

Colored shuffle compatibility and ask zeta functions

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Based on joint work with



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Outline

- Shuffle compatibility
- Colored shuffle compatibility
- Application: Hadamard products of ask zeta functions

Shuffle compatibility

Stanley's shuffling theorem

We let

- \mathfrak{S}_n be the group of permutations of $[n] := \{1, 2, \dots, n\}$

and for $\pi \in \mathfrak{S}_n$

- $\text{Des}(\pi) := \{i \in [n-1] : \pi(i) > \pi(i+1)\}$

be the **descent set** of π .

For two disjoint permutations π and σ of length n and m , respectively, we let

- $\pi \sqcup \sigma := \{\tau \in \mathfrak{S}_{n+m} : \pi, \sigma \text{ appear as subsequences of } \tau\}$

be the set of all **shuffles** of π and σ .

Theorem

For two disjoint permutations π and σ of length n and m , respectively, the multiset

$$\{\text{Des}(\tau) : \tau \in \pi \sqcup \sigma\}$$

depends only on $\text{Des}(\pi)$, $\text{Des}(\sigma)$, n and m .

Quasisymmetric functions and P -partitions

We let

- $\mathbf{x} = (x_1, x_2, \dots)$ be an infinite sequence of commuting indeterminates,
- QSym be the \mathbb{Q} -algebra of **quasisymmetric functions**

and

$$F_{n,S}(\mathbf{x}) := \sum_{\substack{i_1 \leq i_2 \leq \dots \leq i_n \\ j \in S \Rightarrow i_j < i_{j+1}}} x_{i_1} x_{i_2} \cdots x_{i_n}$$

be the **fundamental quasisymmetric function** corresponding to $S \subseteq [n-1]$.

Theorem

If π and σ are two disjoint permutations of length n and m , respectively, then

$$F_{n, \text{Des}(\pi)}(\mathbf{x}) F_{m, \text{Des}(\sigma)}(\mathbf{x}) = \sum_{\tau \in \pi \sqcup \sigma} F_{n+m, \text{Des}(\tau)}(\mathbf{x}).$$

Quasisymmetric functions and P -partitions

Let P be a poset on $[n]$. A P -partition is a function $f : P \rightarrow \mathbb{Z}_{>0}$ such that

- $i <_P j$ implies $f(i) \leq f(j)$
- $i <_P j$ and $i >_{\mathbb{Z}} j$ implies $f(i) < f(j)$.

Consider **weight enumerator**

$$F(P; \mathbf{x}) := \sum_{\substack{f: P \rightarrow \mathbb{Z}_{>0} \\ f = P\text{-partition}}} x_{f(1)} x_{f(2)} \cdots x_{f(n)} \in \text{QSym}.$$

Theorem

- We have

$$F(P; \mathbf{x}) = \sum_{\pi \in \mathcal{L}(P)} F(\underline{\pi}; \mathbf{x}) = \sum_{\pi \in \mathcal{L}(P)} F_{n, \text{Des}(\pi)}(\mathbf{x}),$$

where $\mathcal{L}(P) \subseteq \mathfrak{S}_n$ is the set of all **linear extensions** of P .

- If P and Q are two posets on disjoint sets, then

$$F(P; \mathbf{x}) F(Q; \mathbf{x}) = F(P + Q; \mathbf{x}).$$

Shuffle algebras

A permutation statistic stat is called **shuffle compatible** if for any two disjoint permutations π and σ , the multiset

$$\{\text{stat}(\tau) : \tau \in \pi \sqcup \sigma\}$$

depends only on $\text{stat}(\pi)$, $\text{stat}(\sigma)$ and the lengths of π and σ .

Such statistics define an **equivalence relation** \sim_{stat} on the set of all permutations by letting

$$\pi \sim_{\text{stat}} \sigma \iff \pi \text{ and } \sigma \text{ have the same length, and } \text{stat}(\pi) = \text{stat}(\sigma).$$

The space $\mathcal{A}_{\text{stat}}$ of equivalence classes of permutations with multiplication given by

$$[\pi]_{\text{stat}}[\sigma]_{\text{stat}} = \sum_{\tau \in \pi \sqcup \sigma} [\tau]_{\text{stat}}$$

is called the **shuffle algebra** of stat .

Shuffle algebras

Theorem (Gessel–Zhuang, '18)

The descent set statistic Des is shuffle compatible and the corresponding shuffle algebra \mathcal{A}_{Des} is isomorphic to QSym via the linear map

$$[\pi]_{\text{Des}} \mapsto F_{n, \text{Des}(\pi)}.$$

Hadamard product and shuffle algebras

The **Hadamard product** of two formal power series in t is defined by

$$\left(\sum_{n \geq 0} a_n t^n \right) * \left(\sum_{n \geq 0} b_n t^n \right) := \sum_{n \geq 0} a_n b_n t^n.$$

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We let

- $\mathbb{Q}[[t^*]]$ be the space of formal power series in t with the Hadamard product and for $\pi \in \mathfrak{S}_n$

- $\text{des}(\pi) := |\text{Des}(\pi)|$

- $\text{comaj}(\pi) := \sum_{i \in \text{Des}(\pi)} (n - i)$

be the **descent number** and the **comajor index** of π .

Theorem (Gessel–Zhuang, '18)

The shuffle algebra $\mathcal{A}_{(\text{des}, \text{comaj})}$ is isomorphic to certain subalgebra of $\mathbb{Q}[q, z][[t^*]]$ via the linear map

$$[\pi]_{(\text{des}, \text{comaj})} \mapsto \begin{cases} \frac{q^{\text{comaj}(\pi)} t^{\text{des}(\pi)+1}}{(1-t)(1-qt)\cdots(1-q^n t)} z^n, & \text{if } \pi \in \mathfrak{S}_n \\ \frac{1}{1-t}, & \text{if } \pi = \emptyset. \end{cases}$$

Ingredients for Shuffle-Compatibility

- descent set
- quasisymmetric functions
- P -partitions
- principal specialization

Colored shuffle compatibility

Colored descent set

We fix $r \in \mathbb{Z}_{>0}$, and think of

- $\mathbb{Z}_r = \{0 >' 1 >' \dots >' r - 1\}$ as a set of colors.

A r -colored permutation of length n is a pair (π, ϵ) , where $\pi \in \mathfrak{S}_n$ and $\epsilon \in \mathbb{Z}_r^n$.

We let

- $\mathfrak{S}_{n,r}$ be the group of r -colored permutations of length n ,

where the product is given by

$$(\pi, \epsilon)(\sigma, \delta) = (\pi\sigma, \sigma(\epsilon) + \delta).$$

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Example

$$3^1 2^2 1^0 4^0 5^1 6^1 \in \mathfrak{S}_{6,3}$$

Colored descent set

Definition (Mantaci–Reutenauer, '95)

The **colored descent set** $s\text{Des}(\pi, \epsilon)$ of $(\pi, \epsilon) \in \mathfrak{S}_{n,r}$ consists of pairs (i, ϵ_i) for $i \in [n-1]$ such that

- $\epsilon_i \neq \epsilon_{i+1}$, or
- $\epsilon_i = \epsilon_{i+1}$ and $i \in \text{Des}(\pi)$

together with (n, ϵ_n) .

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Example

$$\text{sDes}(3^1 2^2 1^0 4^0 5^1 6^1) = \{(1, 1), (2, 2), (4, 0), (6, 1)\}$$

Colored quasisymmetric functions

For each color $j \in \mathbb{Z}_r$, we let

- $\mathbf{x}^{(j)} = (x_1^{(j)}, x_2^{(j)}, \dots)$ be an infinite sequence of commuting indeterminates
- $\mathbf{X}^r = (\mathbf{x}^{(0)}, \mathbf{x}^{(1)}, \mathbf{x}^{(2)}, \dots, \mathbf{x}^{(r-1)})$.

Colored quasisymmetric functions

Definition (Poirier, '98, Baumann–Hohlweg '08, Bergeron–Hohlweg, '06)

The **fundamental colored quasisymmetric function** corresponding to a colored permutation $u = (\pi, \epsilon) \in \mathfrak{S}_{n,r}$ is defined by

$$F_u := F_{\text{sDes}(u)}(\mathbf{X}^r) := \sum_{\substack{i_1 \leq i_2 \leq \dots \leq i_n \\ \epsilon_{s_j} \leq \epsilon_{s_{j+1}} \Rightarrow i_{s_j} < i_{s_{j+1}}}} X_{i_1}^{(\epsilon_1)} X_{i_2}^{(\epsilon_2)} \cdots X_{i_n}^{(\epsilon_n)},$$

where $\text{sDes}(u) = \{(s_1, \epsilon_{s_1}) <_{\text{lex}} \cdots <_{\text{lex}} (s_k, \epsilon_{s_k})\}$.

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Example

For $u = 3^1 2^2 1^0 4^0 5^1 6^1$, we computed $\text{sDes}(u) = \{(1, 1), (2, 2), (4, 0), (6, 1)\}$ and thus

$$F_u = \sum_{i_1 < i_2 \leq i_3 \leq i_4 < i_5 \leq i_6} x_1^{(1)} x_2^{(2)} x_3^{(0)} x_4^{(0)} x_5^{(1)} x_6^{(1)}.$$

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Let

- $\text{QSym}^{(r)}$ be the \mathbb{Q} -algebra of **colored quasisymmetric functions**, spanned by the fundamental colored quasisymmetric functions.

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- $\text{QSym}^{(r)}$ be the \mathbb{Q} -algebra of **colored quasisymmetric functions**, spanned by the fundamental colored quasisymmetric functions.

Question

How can we multiply two fundamental colored quasisymmetric functions?

Colored P -partitions

Let P be a poset on $[n] \times \mathbb{Z}_r$. A **colored P -partition** is a function $f : P \rightarrow \mathbb{Z}_{>0}$ such that

- $u <_P v$ implies $f(u) \leq f(v)$
- $u <_P v$ and $u >_{\text{rlex}} v$ implies $f(u) < f(v)$.

Consider the **weight enumerator**

$$F(P; \mathbf{X}^r) := \sum_{\substack{f: P \rightarrow \mathbb{Z}_{>0} \\ f = \text{colored } P\text{-partition}}} x_{f(1, \epsilon_1)}^{(\epsilon_1)} x_{f(2, \epsilon_2)}^{(\epsilon_2)} \cdots x_{f(n, \epsilon_n)}^{(\epsilon_n)} \in \text{QSym}^{(r)}.$$

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Example

If $P = \underline{(\pi, \epsilon)}$ is an n -element (colored) chain represented by some $(\pi, \epsilon) \in \mathfrak{S}_{n,r}$, then

$$F(P; \mathbf{X}^r) = F_{(\pi, \epsilon)},$$

since $(\pi_i, \epsilon_i) >_{\text{rlex}} (\pi_{i+1}, \epsilon_{i+1})$ translates to $\epsilon_i > \epsilon_{i+1}$ or $\epsilon_i = \epsilon_{i+1}$ and $i \in \text{Des}(\pi)$.

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Theorem (Hsiao–Petersen, '10)

- We have

$$F(P; \mathbf{X}^r) = \sum_{u \in \mathcal{L}(P)} F(\underline{u}; \mathbf{X}^{(r)}) = \sum_{u \in \mathcal{L}(P)} F_u$$

where $\mathcal{L}(P) \subseteq \mathfrak{S}_{n,r}$ is the set of all **linear extensions** of P .

- If P and Q are two (colored) posets on disjoint sets, then

$$F(P; \mathbf{X}^r) F(Q; \mathbf{X}^r) = F(P + Q; \mathbf{X}^r).$$

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Corollary (Hsiao–Petersen, '10)

For two colored permutations u and v of length n and m , respectively,

$$F_u F_v = \sum_{w \in u \sqcup v} F_w.$$

In particular, the distribution of sDes on $u \sqcup v$ depends only on $\text{sDes}(u)$, $\text{sDes}(v)$, n and m .

Colored shuffle compatibility

A (**colored**) permutation statistic stat is called **shuffle compatible** if for any two disjoint r -**colored** permutations u and v , the multiset

$$\{\text{stat}(w) : w \in u \sqcup v\}$$

depends only on $\text{stat}(u)$, $\text{stat}(v)$ and the lengths of u and v .

Such statistic defines an **equivalence relation** \sim_{stat} on the set of all r -**colored** permutations by letting

$$u \sim_{\text{stat}} v \iff u \text{ and } v \text{ have the same length, and } \text{stat}(\pi) = \text{stat}(\sigma).$$

The space $\mathcal{A}_{\text{stat}}^{(r)}$ of equivalence classes of r -**colored** permutations with multiplication given by

$$[u]_{\text{stat}}[v]_{\text{stat}} = \sum_{w \in u \sqcup v} [w]_{\text{stat}}$$

is called the **shuffle algebra** of stat .

Colored shuffle compatibility

Theorem (M., '21)

The colored descent set statistic $sDes$ is shuffle compatible and the corresponding shuffle algebra $\mathcal{A}_{sDes}^{(r)}$ is isomorphic to $QSym^{(r)}$ via the linear map

$$[u]_{sDes} \mapsto F_{sDes(u)}.$$

Hadamard product and (colored) shuffle algebras

For $(\pi, \epsilon) \in \mathfrak{S}_{n,r}$, let

$$\text{des}(\pi, \epsilon) := |\{j \in [n-1] : \epsilon_j < \epsilon_{j+1}, \text{ or } \epsilon_j = \epsilon_{j+1} \text{ and } j \in \text{Des}(\pi)\} \cup \{0 : \epsilon_1 > 0\}|$$

be the **descent number** of (π, ϵ) and let

- $\text{comaj}(\pi, \epsilon) := \sum_{i \in \text{Des}(\pi, \epsilon)} (n - i)$
- $\text{col}_j(\pi, \epsilon) := |\{i \in [n] : \epsilon_i = j\}|$

be the **comajor** index and the number of **j -colored entries** of (π, ϵ) , respectively.

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Example

For $u = 3^1 2^2 1^0 4^0 5^1 6^1 \in \mathfrak{S}_{6,3}$, we have

$$\text{des}(u) = 3$$

$$\text{comaj}(u) = (6 - 0) + (6 - 1) + (6 - 4) = 13$$

$$\text{col}(u) = (2, 3, 1).$$

Hadamard product and (colored) shuffle algebras

Theorem (Carnevale, M., Rossmann, '23+)

The tuple $(\text{des}, \text{comaj}, \text{col})$ is shuffle compatible and the shuffle algebra

$\mathcal{A}_{(\text{des}, \text{comaj}, \text{col})}^{(r)}$ is isomorphic to certain subalgebra of $\mathbb{Q}[q, z, p_0, p_1, \dots, p_{r-1}][[t^*]]$ via the linear map

$$[u]_{(\text{des}, \text{comaj}, \text{col})} \mapsto \begin{cases} \frac{p_0^{\text{col}_0(u)} \dots p_{r-1}^{\text{col}_{r-1}(u)} q^{\text{comaj}(u)} t^{\text{des}(u)+1}}{(1-t)(1-qt) \dots (1-q^n t)} z^n, & \text{if } u \in \mathfrak{S}_{n,r} \\ \frac{1}{1-t}, & \text{if } u = \emptyset. \end{cases}$$

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The proof is based on **specializations of colored quasisymmetric functions** (M., '21):

$$\begin{aligned} x_1^{(0)} &= p_0, & x_2^{(0)} &= p_0 q, & x_3^{(0)} &= p_0 q^2, & \dots, & x_m^{(0)} &= p_0 q^{m-1}, & x_{m+1}^{(0)} &= \dots = 0 \\ x_1^{(j)} &= 0, & x_2^{(j)} &= p_j q, & x_3^{(j)} &= p_j q^2, & \dots, & x_m^{(j)} &= p_j q^{m-1}, & x_{m+1}^{(j)} &= \dots = 0 \end{aligned}$$

for all $1 \leq j \leq r-1$.

Application: Hadamard products of ask zeta functions

Zeta functions of algebraic structures

- Let G be an algebraic structure (group, ring, module, variety, ...) and consider the associated **zeta function** $\zeta_G(s)$.

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- Results from p -adic integration imply that these local factors are **rational functions** in p^{-s} , i.e. of the form

$$\zeta_{G,p}(s) = W_p(p^{-s}),$$

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- In many cases of interest, local factors exhibit the following **uniformity** phenomenon: There exists a single bivariate rational function $W(q, t)$ such that

$$\zeta_{G,p}(s) = W(p, p^{-s})$$

for all primes p (modulo a finite number of exceptions).

Zeta functions of algebraic structures

Problem

Understand, **combinatorially** if possible, $W(q, t)$.

Ask zeta functions

Definition

Let

- \mathfrak{D} be a (compact) discrete valuation ring (think of integers mod p)
- \mathfrak{m} be the (unique) maximal ideal of \mathfrak{D}
- q be the size of the residue field $\mathfrak{D}/\mathfrak{m}$.

The **ask zeta function** corresponding to $M \in \text{Mat}_{d \times e}(\mathfrak{D})$ is the formal power series

$$Z_M^{\text{ask}}(t) := \sum_{k \geq 0} a_k(M) t^k$$

where

$$a_k(M) := \frac{1}{|M_k|} \sum_{A \in M_k} |\ker(A)|$$

denotes the **average size** of the **kernels** within the reduction M_k of M modulo \mathfrak{m}^k .

Ask zeta functions

Examples

- For $M = \text{Mat}_{d \times e}(\mathfrak{D})$,

$$Z_{\text{Mat}_{d \times e}(\mathfrak{D})}^{\text{ask}}(t) = \frac{1 - q^{-e}t}{(1-t)(1 - q^{d-e}t)}.$$

Ask zeta functions

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$$Z_{\text{Mat}_{d \times e}(\mathfrak{D})}^{\text{ask}}(t) = \frac{1 - q^{-e}t}{(1-t)(1 - q^{d-e}t)}.$$

- If $M = \mathfrak{so}_d(\mathfrak{D}) = \{A \in \mathfrak{gl}_d(\mathfrak{D}) : A + A^t = 0\}$, then

$$Z_{\mathfrak{so}_d(\mathfrak{D})}^{\text{ask}}(t) = \frac{1 - q^{1-d}t}{(1-t)(1 - qt)}.$$

Ask zeta functions

For a simple graph $G = ([n], E)$, let

- M_G be the set of $(n \times n)$ -matrices $A = (a_{ij})$ such that $a_{ij} = 0$, when $\{i, j\} \notin E$
- $Z_G^{\text{ask}}(t) := Z_{M_G}^{\text{ask}}(t)$ be the corresponding ask zeta function.

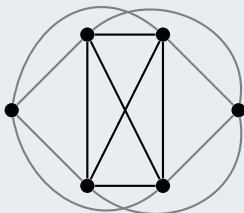
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Example

Let $G = K_{n+2} \vee \overline{K}_n$ is the **join** of the complete graph on $n + 2$ vertices and the edgeless graph on n vertices. For example, for $n = 2$



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Claim

We can understand these ask zeta functions (and many more!) in a **combinatorial way**, through **colored permutation statistics** and **shuffle-compatibility!**

A reformulation in terms of colored configurations

Let \mathfrak{S} be the set of all colored permutations with

- symbols taken from $\Sigma := \mathbb{Z}_{>0}$, and
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$$f = \sum_{u \in \mathfrak{S}} f_u u,$$

where all but finitely many f_u are zero. The **support** of f is defined by

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- $\alpha(c) \neq 1$ if c appears as the nonzero color of some colored permutation in f .

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An example of a labelled colored configuration is the pair $(f = \mathbf{1}^0 + \mathbf{1}^1, \alpha)$ with

$$\alpha(\mathbf{1}) = \pm q^k, \quad \alpha(\mathbf{0}) = \alpha(\mathbf{2}) = \dots = 1.$$

A reformulation in terms of colored configurations

Definition

For an integer $\epsilon \in \mathbb{Z}$ and a labelled colored configuration (f, α) , we define

$$W_{f, \alpha}^{\epsilon}(q, t) := \sum_{u \in \text{supp}(f)} f_u \frac{\alpha(u) q^{\epsilon \text{comaj}(u)} t^{\text{des}(u)}}{(1-t)(1-q^{\epsilon}t) \cdots (1-q^{\epsilon|u|}t)} \in \mathbb{Q}(q)[[t]],$$

where $\alpha(u)$ denotes the product of the values of α at every color of u and $|u|$ denotes the length of u .

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Example

For the labelled colored configuration $(f = 1^0 + 1^1, \alpha)$ with $\alpha(1) \neq 1$, we have

$$W_{f, \alpha}^{\epsilon}(q, t) = \frac{1 + \alpha(1)q^{\epsilon}t}{(1-t)(1-q^{\epsilon}t)}.$$

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Osbervation

We have $Z_M^{\text{ask}}(t) = W_{f, \alpha}^{\epsilon}(q, t)$ for the following:

- $M = \text{Mat}_{d \times e}(\mathcal{D})$, and $f = \mathbf{1}^0 + \mathbf{1}^1$, $\alpha(\mathbf{1}) = -q^{-d}$ and $\epsilon = d - e$.
- $M = \mathfrak{so}_d(\mathcal{D})$, and $f = \mathbf{1}^0 + \mathbf{1}^1$, $\alpha(\mathbf{1}) = -q^{-d}$, and $\epsilon = 1$.
- $M = M_G$, where $G = K_{n+2} \vee \overline{K_n}$ and

$$f = \mathbf{1}^0 \mathbf{2}^0 + \mathbf{1}^0 \mathbf{2}^2 + \mathbf{1}^1 \mathbf{2}^0 + \mathbf{1}^1 \mathbf{2}^2, \quad \alpha(\mathbf{1}) = \alpha(\mathbf{2}) = -q^{-n-3}, \quad \epsilon = 1.$$

Hadamard products of ask zeta functions

Ask zeta functions satisfy the following property:

$$Z_{M_1 \oplus M_2}^{\text{ask}}(t) = Z_{M_1}^{\text{ask}}(t) * Z_{M_2}^{\text{ask}}(t).$$

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Theorem (Carnevale, M., Rossmann, '23+)

If (f, α) and (g, β) are two strongly disjoint labelled colored configurations, then

$$W_{f, \alpha}^{\epsilon}(q, t) * W_{g, \beta}^{\epsilon}(q, t) = W_{f \sqcup g, \alpha \beta}^{\epsilon}(q, t),$$

for all $\epsilon \in \mathbb{Z}$. In particular, for a fixed $\epsilon \in \mathbb{Z}$, the set

$$\{W_{f, \alpha}^{\epsilon}(q, t) : (f, \alpha) \text{ is a labelled colored configuration}\}$$

is closed under taking Hadamard products.

Hadamard products of ask zeta functions

Example

If $\epsilon = d_1 - e_1 = d_2 - e_2$, then

$$\begin{aligned}
 Z_{\text{Mat}_{d_1 \times e_1}(\mathfrak{D}) \oplus \text{Mat}_{d_2 \times e_2}(\mathfrak{D})}^{\text{ask}}(t) &= Z_{\text{Mat}_{d_1 \times e_1}(\mathfrak{D})}^{\text{ask}}(t) * Z_{\text{Mat}_{d_2 \times e_2}(\mathfrak{D})}^{\text{ask}}(t) \\
 &= W_{1^0 + 1^1, 1 \mapsto -q^{-d_1}}^\epsilon(q, t) * W_{1^0 + 1^1, 1 \mapsto -q^{-d_2}}^\epsilon(q, t) \\
 &= W_{1^0 + 1^1, 1 \mapsto -q^{-d_1}}^\epsilon(q, t) * W_{2^0 + 2^2, 2 \mapsto -q^{-d_2}}^\epsilon(q, t) \\
 &= W_{(1^0 + 1^1) \sqcup (2^0 + 2^2), \begin{matrix} 1 \mapsto -q^{-d_1} \\ 2 \mapsto -q^{-d_2} \end{matrix}}^\epsilon(q, t).
 \end{aligned}$$

We compute

$$(1^0 + 1^1) \sqcup (2^0 + 2^2) = 1^0 2^0 + 2^0 1^0 + 1^0 2^2 + 2^2 1^0 + 1^1 2^0 + 2^0 1^1 + 1^1 2^2 + 2^2 1^1,$$

and therefore the ask zeta function above is equal to

$$\frac{1 + (1 - q^{-d_1} - q^{-d_2})q^\epsilon t + (-q^{-d_1} - q^{-d_2} + q^{-d_1-d_2})q^{2\epsilon} t + q^{-d_1-d_2} q^{3\epsilon} t^2}{(1-t)(1-q^\epsilon t)(1-q^{2\epsilon} t)}.$$

Thank you for your attention!

¡Gracias por su atención!

Ευχαριστώ για την προσοχή σας!