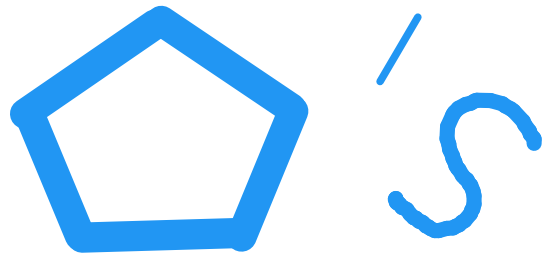




# ALTERNATING SIGN- & MAGGOG





Moritz Gangl April 2023





# Overview:

- 1) Definitions
  - 2) Main results
  - 3) History
  - 4) Sketch of proof
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Def: (G.2023) Let  $n \in \mathbb{N}$ ,  $0 \leq l \leq n-2 < r \leq 2n-3$ .

An  $(n, l, r)$ -alternating sign pentagon (ASP) is an array of the following form:

$$\begin{array}{ccccccc}
 a_{1, l+1} & a_{1, l+2} & \dots & \dots & a_{1, r-1} & & a_{1, r} \\
 a_{2, l+1} & a_{2, l+2} & \dots & \dots & a_{2, r-1} & & a_{2, r} \\
 \vdots & \vdots & & & \vdots & & \vdots \\
 a_{2n-r-2, l+1} & a_{2n-r-2, l+2} & \dots & \dots & a_{2n-r-2, r-1} & & a_{2n-r-2, r} \\
 a_{l+1, l+1} & a_{l+1, l+2} & \dots & \dots & a_{2n-(r+1), r-1} & & \\
 & a_{l+2, l+2} & \dots & & & & \\
 & & \dots & & & & \\
 & & & a_{n, n} & & & 
 \end{array}$$

with  $a_{i,j} \in \{0, \pm 1\}$  s.t.

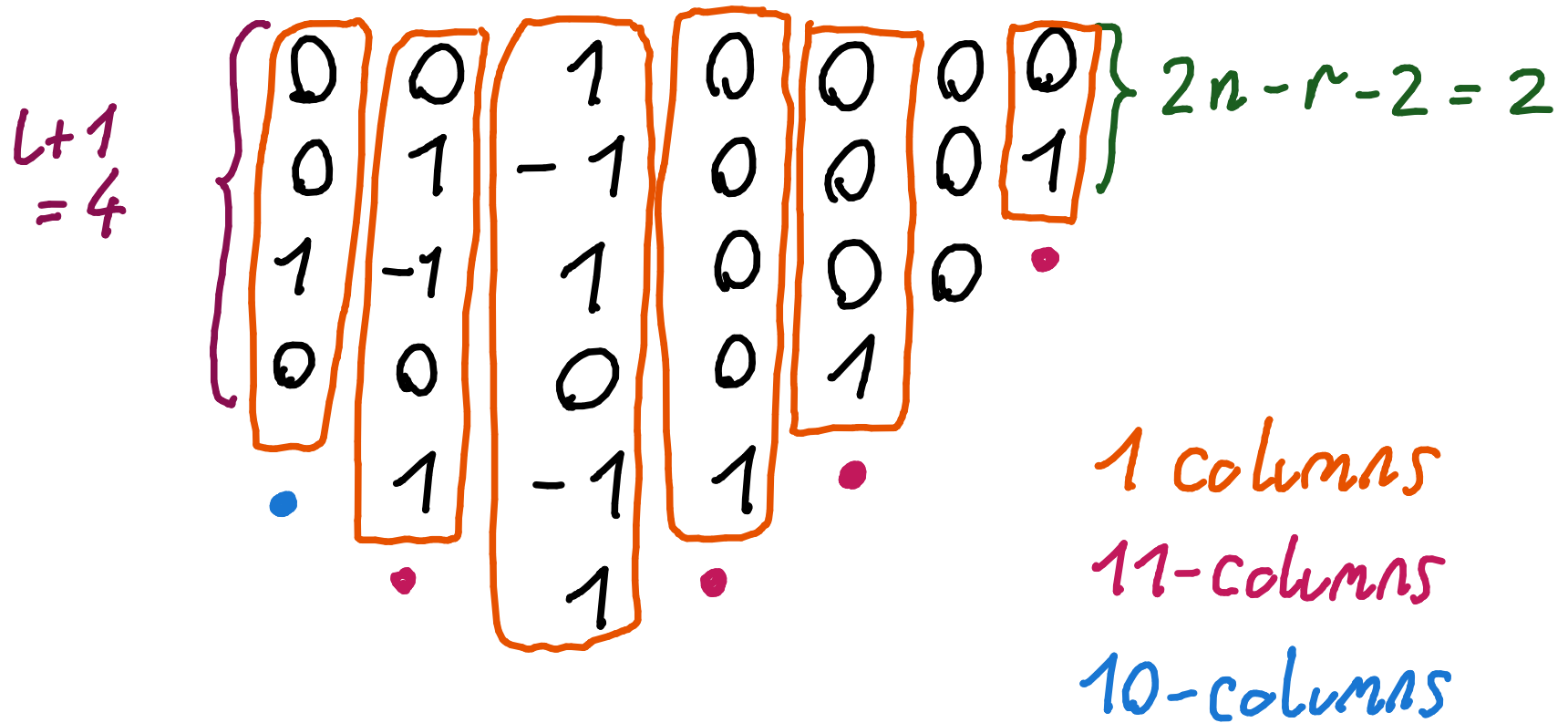
- i) the non-zero entries alternate in sign along rows and columns,
- ii) the row sums are all equal to 1,
- iii) the top most non-zero entry of each column is equal to 1 if it exists.

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- A **1-column** of an ASP is a column that sums up to 1.
  - A **11-column** is a 1-column with bottom entry 1.
  - A **10-column** is a 1-column with bottom entry 0.

We define for an  $(n, l, r)$ -ASP  $P$  the following statistic

$$g(P) := \#(\text{11-columns to the left of the central column of } P) \\ + \#(\text{10-columns to the right of the central column of } P) + 1$$

Example: The following is a  $(6, 3, 8)$ -ASP with  $g(P) = 2$ .



$$g(P) := \#(11\text{-columns to the left of the central column of } P) + \#(10\text{-columns to the right of the central column of } P) + 1 = 1 + 0 + 1 = 2$$

Def: (G. 2023) Let  $n \in \mathbb{N}$ . An  $(n, k, l)$ -Magog pentagon is an array of positive integers consisting of the top  $k$  rows and the first  $l$   $\searrow$ -diagonals, counted from top right to bottom left, of

$$\begin{array}{cccc} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n-1} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-1,1} & a_{n-1,2} & & \\ a_{n1} & & & \end{array}$$

such that

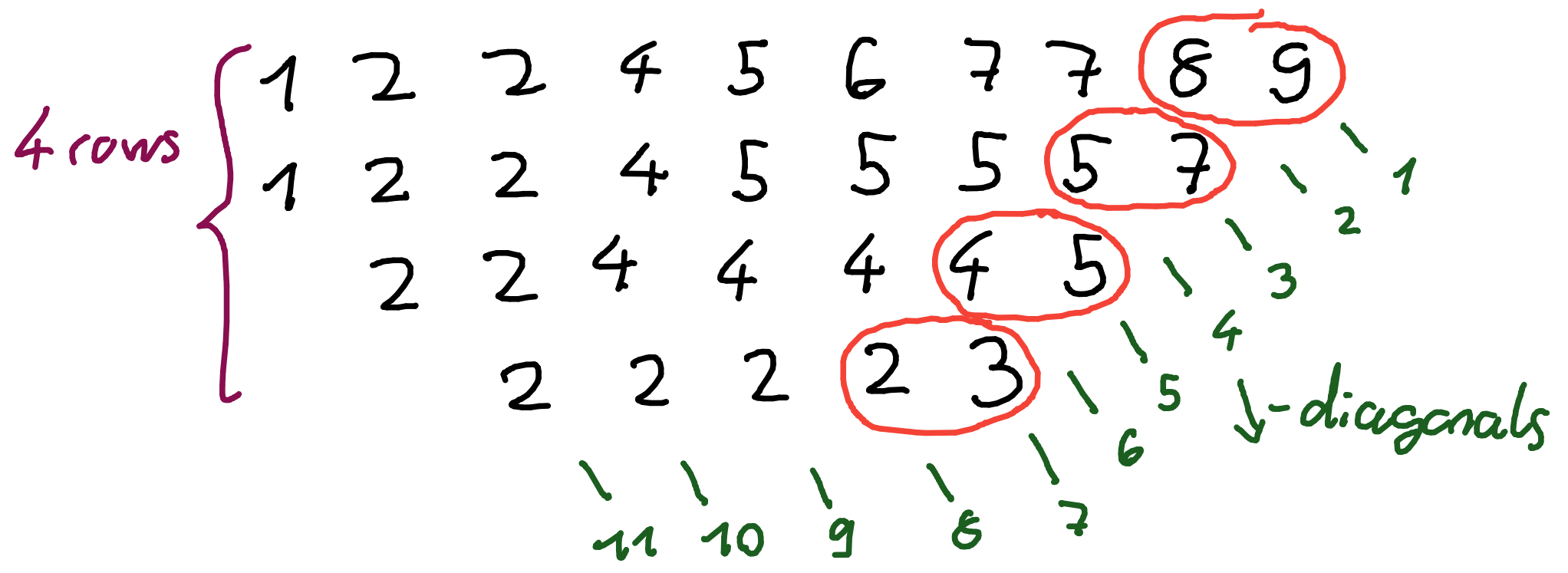
- i) entries along rows are weakly increasing,
- ii) entries along columns are weakly decreasing,
- iii)  $\forall 1 \leq i \leq n: a_{1,i} \leq i$ .

We define a statistic on an  $(n, k, l)$ -Magog pentagon  $M$  by

$$\tau(M) := n + \sum_{i=1}^k (a_{n-1,i} - a_{n,i}).$$



Example: A  $(10, 4, 11)$ -Magic pentagon with  $\tau(M) = 5$  is displayed below



$$\tau = 10 + (-1) + (-2) + (-1) + (-1) = 5$$



Thm (G. 2023) Let  $n \in \mathbb{N}$ ,  $1 \leq p \leq n$ ,  $0 \leq l \leq n-2 < r \leq 2n-3$  such that  $l+r < 2n-2$  and  $r-l > n-3$  then the following sets are equinumerous:

- $(n, l, r)$ -ASPs  $P$  with  $g(P) = p$ ,
- $(n, 2n-3-r, 2n-3-l)$ -ASPs  $T$  with  $g(T) = n+1-p$ ,
- $(n, r+2-n, r-l)$ -Magog pentagons  $M$  with  $\tau(M) = p$ .





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 Equinumerous: otherwise no ASPs with these parameters exist!

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Example: For  $n=3, l=0, r=2$  we have:

$\nleftrightarrow$

|   |          |   |          |  |       |   |       |   |       |
|---|----------|---|----------|--|-------|---|-------|---|-------|
| $\begin{matrix} 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & & & \end{matrix}$ | $p=3$    | $\begin{matrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & & & \end{matrix}$ | $p=3$    | $\begin{matrix} 0 & 0 & 1 & 0 \\ 1 & -1 & 1 & 1 \\ 1 & & & \end{matrix}$ | $p=2$ | $\begin{matrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & & & \end{matrix}$ | $p=2$ | $\begin{matrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & & & \end{matrix}$ | $p=1$ |
| $\begin{matrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 \\ 1 & & & \end{matrix}$ | $p=1$    | $\begin{matrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & & & \end{matrix}$ | $p=1$    | $\begin{matrix} 0 & 1 & 0 & 0 \\ 1 & -1 & 1 & 1 \\ 1 & & & \end{matrix}$ | $p=2$ | $\begin{matrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & & & \end{matrix}$ | $p=2$ | $\begin{matrix} 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & & & \end{matrix}$ | $p=3$ |
| $1\ 1$  | $2\ 2$   | $2\ 3$  | $1\ 2$   | $1\ 3$   |       |   |       |   |       |
| $\tau=3$  | $\tau=3$ | $\tau=2$  | $\tau=2$ | $\tau=1$   |       |   |       |   |       |



Thm: (G. 2023) Let  $n \in \mathbb{N}$ ,  $1 \leq p \leq n$ ,  $0 \leq \ell \leq n-2 < r \leq 2n-3$  such that  $\ell+r \leq 2n-2$  and  $r-\ell > n-3$  then the generating function for the set of  $(n, r+2-n, r-\ell)$ -Magog perbagons w.r.t.  $\tau$  is given by

$$t \cdot \text{PF} \left[ \sum_{\substack{1 \leq k_2 < k_1 \leq n-1 \\ e_1, e_2 \geq 1 \\ e_1 < e_2}}^{r+1} \det \left( t \binom{k_2-1}{e_i - k_2} - t \binom{k_2-1}{r-e_i-\ell+2n-1-k_2} + \binom{k_2-1}{e_i-1-k_2} - \binom{k_2-1}{r-e_i-\ell+2n-k_2} \right) \right]$$

if  $n$  is odd. If  $n$  is even we have to add an  $n$ -th column to the Pfaffian with entries

$$a_{2,n} := \sum_{e_1 \geq 1}^{r+2} t \binom{2-1}{e_1-2} - t \binom{2-1}{r-e_1-\ell-2n+1-2} + \binom{2-1}{e_1-1-2} - \binom{2-1}{r-e_1-\ell+2n-2}.$$

$n \times n$  - ASM's

Conj: RR 86  
Proof: Zeilberger 96,  
Kuperberg 97,  
Fischer 2007

← easy bijection →  $(n, n)$ -Gog trapezoids

$$\prod_{i=1}^{n-1} \frac{(3i+1)!}{(n+i)!}$$

calc based proof:  
Zeilberger 96  
(no bijection yet!)

calc based  
proof: Fischer  
2018

$(n, n)$ -Magog trapezoids

↕ easy bijection

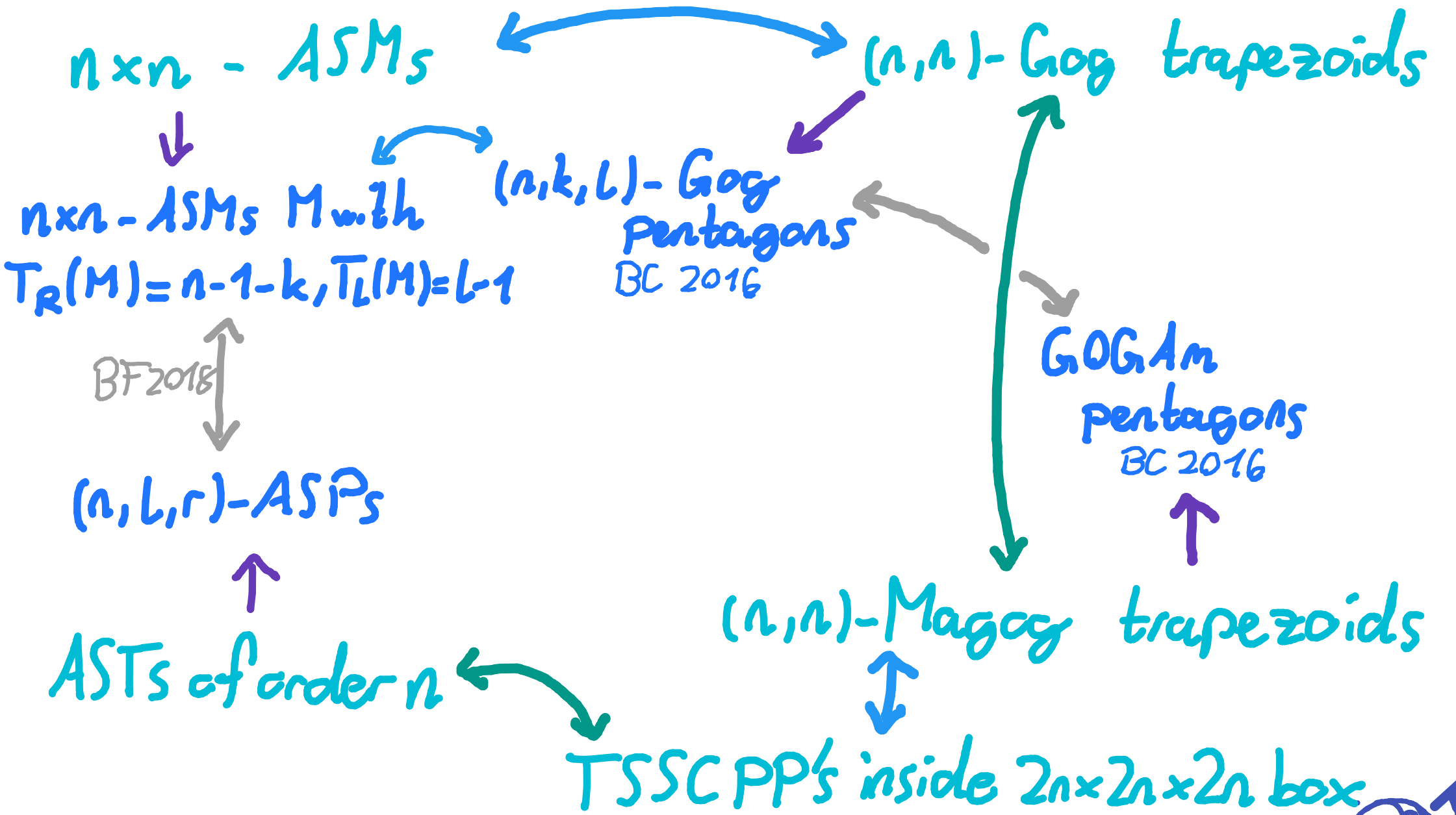
TSSC PP's inside  $2n \times 2n \times 2n$  box  
Proof: Andrews 94

ASTs of order  $n$

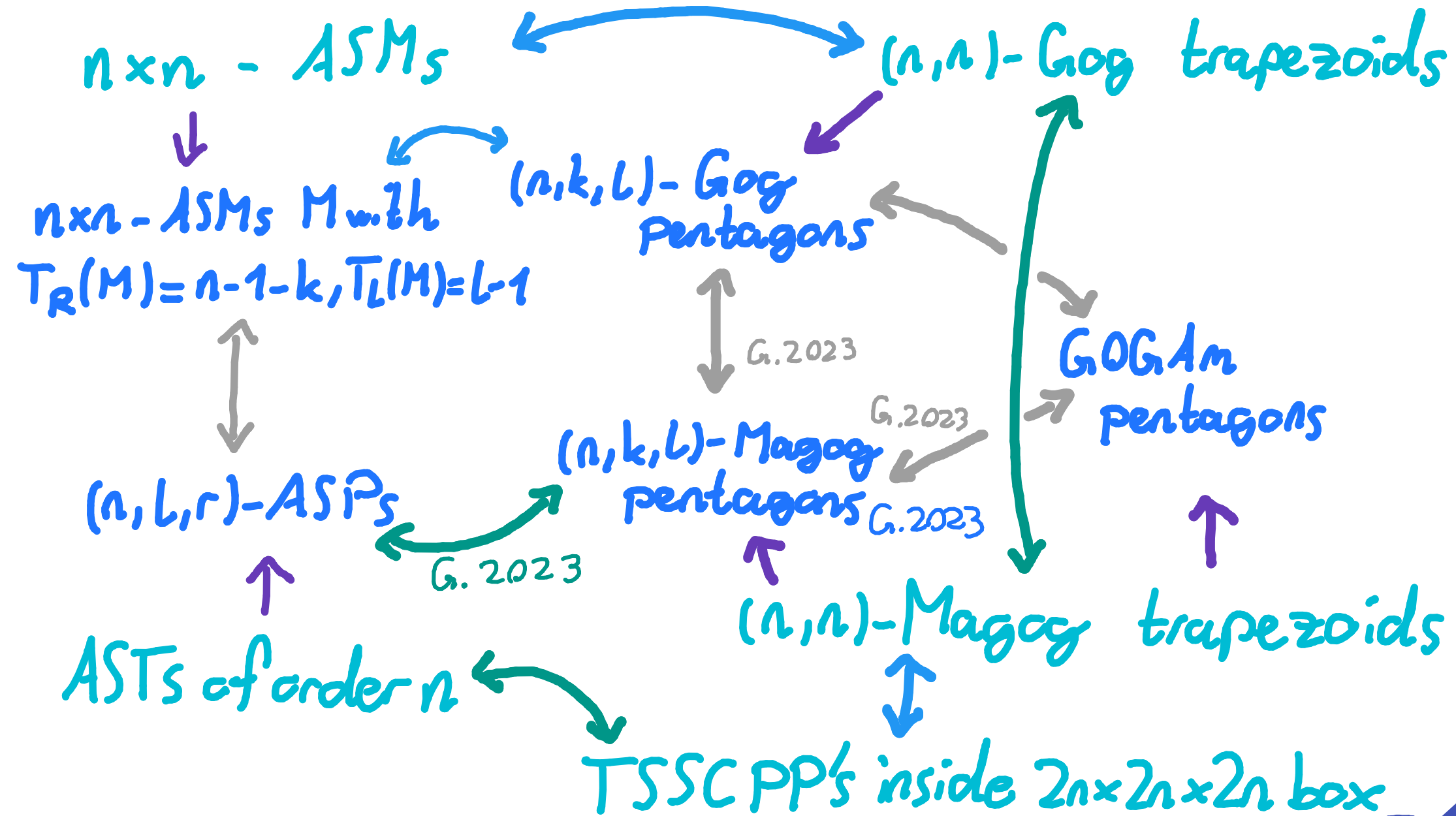
Proof: ABF 2016



Arrow index: bijection, calculation, generalisation/refinement, conjecture



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Thm: (G. 2023) Let  $n \in \mathbb{N}$ ,  $1 \leq p \leq n$ ,  $0 \leq l \leq n-2 < r \leq 2n-3$  such that  $l+r < 2n-2$  and  $r-l > n-3$  then the generating function for the set of  $(n, r+2-n, r-l)$ -Magog pentagons w.r.t.  $\tau$  is given by

$$t \cdot \text{PF} \left[ \sum_{\substack{e_1, e_2 \geq 1 \\ l_1 \leq l_2}}^{r+1} \det \left( t^{\binom{k_2-1}{e_i - k_2}} - t^{\binom{k_2-1}{r-e_i-l+2n-1-k_2}} + \binom{k_2-1}{e_i-1-k_2} - \binom{k_2-1}{r-e_i-l+2n-k_2} \right) \right]$$



Thm: (Fischer 2018) Let  $n \in \mathbb{N}$ ,  $1 \leq p \leq n$ . The number of ASTs  $T$  of order  $n$  with  $g(T) = p$  and non-central 1 columns in positions  $0 \leq \alpha_1 < \dots < \alpha_{n-1} \leq 2n-3$  is given by the constant term w.r.t.  $x_1, \dots, x_{n-1}, t$  of:

$$t^{-p+1} \prod_{i=1}^{n-1} (t+x_i) x_i^{-\alpha_i} \prod_{1 \leq i < j \leq n-1} (1+x_i+x_j)(x_j-x_i).$$

Cor: (G. 2023) Let  $n \in \mathbb{N}$ ,  $1 \leq p \leq n$ ,  $0 \leq l \leq n-2 < r \leq 2n-3$ . The number of  $(n, k, l)$ -ASPs  $P$  with  $g(P) = p$  is given by the constant term w.r.t.  $x_1, \dots, x_{n-1}, t$  of

$$t^{1-p} \sum_{l \leq \alpha_1 < \dots < \alpha_{n-1} \leq r} \prod_{i=1}^{n-1} (t+x_i) x_i^{-\alpha_i} \prod_{1 \leq i < j \leq n-1} (1+x_i+x_j)(x_j-x_i).$$

Goal: Evaluate constant term!





Define for a function  $f(x_1, \dots, x_n)$ :

$$\text{Sym}_{x_1, \dots, x_n} [f(x_1, \dots, x_n)] := \sum_{\sigma \in S_n} f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$$

$$\text{ASym}_{x_1, \dots, x_n} [f(x_1, \dots, x_n)] := \sum_{\sigma \in S_n} \text{sign}(\sigma) f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$$

Then show that previous CT is equal to CT w.r.t.  $x_1, \dots, x_{n-1}, t$  of

$$\frac{t^{1-p}}{(n-1)!} \prod_{i=1}^{n-1} (t+x_i) x_i^{-n} \prod_{1 \leq i < j \leq n-1} (x_i - x_j)$$

$$\times \text{ASym}_{x_1, \dots, x_{n-1}} \left[ \prod_{1 \leq i < j \leq n-1} (1+x_i+x_j) \sum_{0 \leq k_1 < k_2 < \dots < k_{n-1} \leq n-1} \prod_{i=1}^{n-1} x_i^{k_i} \right].$$



## Thm: (Fischer 2022)

For  $n \geq 1$  we have

$$\begin{aligned}
 & \text{Asym}_{x_1, \dots, x_n} \left[ \prod_{1 \leq i < j \leq n} (1 + wx_i + x_j + x_i x_j) \sum_{0 \leq k_1 < \dots < k_n \leq m} \prod_{i=1}^n x_i^{k_i} \right] \cdot \prod_{1 \leq i < j \leq n} (x_j - x_i)^{-1} \\
 &= \frac{\det_{1 \leq i, j \leq n} (x_i^{j-1} (1+x_i)^{j-1} (1+wx_i)^{n-j} - x_i^{m+2n-j} (1+x_i^{-1})^{j-1} (1+wx_i^{-1})^{n-j})}{\prod_{i=1}^n (1-x_i) \prod_{1 \leq i < j \leq n} (1-x_i x_j) (x_j - x_i)}
 \end{aligned}$$

and identity equivalent to "Littlewood identity" are some of the ingredients to show that  $C\bar{1}$  is given by

$$t^{1-\rho} \sum_{1 \leq e_1 < \dots < e_{n-1} \leq r+1} \det_{1 \leq i, j \leq n-1} \left[ t \binom{\rho-1}{e_i - j} - t \binom{\rho-1}{r - e_i - l + 2n - 1 - j} + \binom{\rho-1}{e_i - j - 1} + \binom{\rho-1}{r - e_i - l + 2n - j} \right]$$



Use tools from lattice path combinatorics to see that

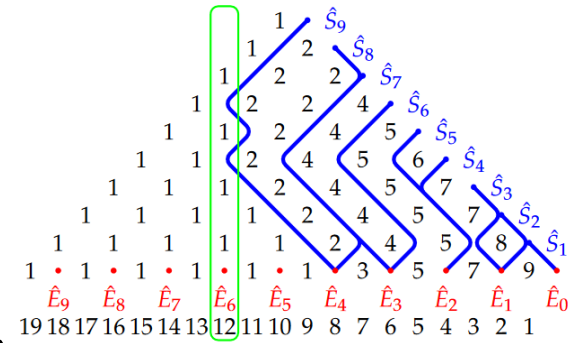
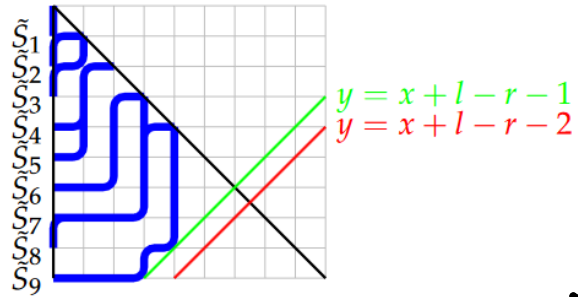
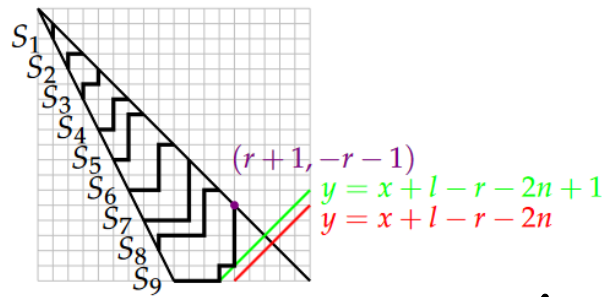
$$t^{1-\rho} \sum_{\substack{1 \leq e_1 < \dots < e_{n-1} \leq r+1 \\ 1 \leq i_1 < \dots < i_{n-1} \leq r+1}} \det \left[ \begin{array}{c} t^{\binom{\rho-1}{e_i - i_j}} - t^{\binom{\rho-1}{r - e_i - l + 2n - 1 - i_j}} \\ + \binom{\rho-1}{e_i - i_j - 1} + \binom{\rho-1}{r - e_i - l + 2n - 1 - i_j} \end{array} \right]$$

is equal to GF of the weighted set  $\mathcal{P}_n^{l,r}$  of all non-intersecting  $n-1$  tuples of lattice paths consisting of north & east steps in the integer lattice with

- 1) starting points  $S_1 = (1, -2), \dots, S_{n-1} = (n-1, -2(n-1))$
- 2) end points in  $E_1 = (1, -1), \dots, E_{r+1} = (r+1, -(r+1))$
- 3) the path starting at  $S_{n-1}$  staying weakly above  $y = x + l - r - 2n + 1$  where a path is weighted by  $t$  if it ends with a north step.



To obtain Magog pentagons do the following and carefully keep track of statistics and properties:




shift path at  $S_2$  by  $(x, y) \rightarrow (x-2, y+2)$  rotate & fill in numbers to the left of path at  $S_{n-2}$  starting with 1 up to  $n$ .

To obtain GF in terms of Pfaffian use:

Thm (Stembridge 1990) let  $G$  be an acyclic, directed graph,  $v_1, \dots, v_r \in V(G)$ ,  $I \subseteq V(G)$  totally ordered s.t. for all  $i < j \in [r]$ ,  $v < v'$  in  $I$  any directed path from  $v_j$  to  $v$  in  $G$  intersects all directed paths from  $v_i$  to  $v'$  in  $G$ . Denote by  $GF_0[v_1, \dots, v_r; I | G] = \sum_{(P_1, \dots, P_r)} \prod_{i=1}^r \text{wt}(P_i)$  where we sum over all  $r$ -tuples of non-intersecting lattice paths with starting points  $v_1, \dots, v_r$  & end points in  $I$  in  $G$ . If  $r$  is even then

$$GF_0[v_1, \dots, v_r; I | G] = \text{Pf}_{1 \leq i < j \leq r} (GF_0[v_i, v_j; I | G])$$





Thank you very much  
for your attention and  
the opportunity to give this  
talk!

Have a nice day!