

## HOMOMORPHISMS OF ABELIAN VARIETIES

*by*

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**Abstract.** — We study Galois properties of points of prime order on an abelian variety that imply the simplicity of its endomorphism algebra. Applications of these properties to hyperelliptic jacobians are discussed.

**Résumé (Homomorphismes des variétés abéliennes).** — Nous étudions les propriétés galoisiennes des points d'ordre fini des variétés abéliennes qui impliquent la simplicité de leur algèbre d'endomorphismes. Nous discutons ceux-ci par rapport aux jacobiniennes hyperelliptiques.

It is well-known that an abelian variety is (absolutely) simple or is isogenous to a self-product of an (absolutely) simple abelian variety if and only if the center of its endomorphism algebra is a field. In this paper we prove that the center is a field if the field of definition of points of prime order  $\ell$  is “big enough”.

The paper is organized as follows. In §1 we discuss Galois properties of points of order  $\ell$  on an abelian variety  $X$  that imply that its endomorphism algebra  $\text{End}^0(X)$  is a central simple algebra over the field of rational numbers. In §2 we prove that similar Galois properties for two abelian varieties  $X$  and  $Y$  combined with the linear disjointness of the corresponding fields of definitions of points of order  $\ell$  imply that  $X$  and  $Y$  are non-isogenous (and even  $\text{Hom}(X, Y) = 0$ ). In §3 we give applications to endomorphism algebras of hyperelliptic jacobians. In §4 we prove that if  $X$  admits multiplications by a number field  $E$  and the dimension of the centralizer of  $E$  in  $\text{End}^0(X)$  is “as large as possible” then  $X$  is an abelian variety of CM-type isogenous to a self-product of an absolutely simple abelian variety.

Throughout the paper we will freely use the following observation [21, p.174]: if an abelian variety  $X$  is isogenous to a self-product  $Z^d$  of an abelian variety  $Z$  then a choice of an isogeny between  $X$  and  $Z^d$  defines an isomorphism between  $\text{End}^0(X)$  and the algebra  $M_d(\text{End}^0(Z))$  of  $d \times d$  matrices over  $\text{End}^0(Z)$ . Since the center of

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$\text{End}^0(Z)$  coincides with the center of  $M_d(\text{End}^0(Z))$ , we get an isomorphism between the center of  $\text{End}^0(X)$  and the center of  $\text{End}^0(Z)$  (that does not depend on the choice of an isogeny). Also  $\dim(X) = d \cdot \dim(Z)$ ; in particular, both  $d$  and  $\dim(Z)$  divide  $\dim(X)$ .

### 1. Endomorphism algebras of abelian varieties

Throughout this paper  $K$  is a field. We write  $K_a$  for its algebraic closure and  $\text{Gal}(K)$  for the absolute Galois group  $\text{Gal}(K_a/K)$ . We write  $\ell$  for a prime different from  $\text{char}(K)$ . If  $X$  is an abelian variety of positive dimension over  $K_a$  then we write  $\text{End}(X)$  for the ring of all its  $K_a$ -endomorphisms and  $\text{End}^0(X)$  for the corresponding  $\mathbb{Q}$ -algebra  $\text{End}(X) \otimes \mathbb{Q}$ . If  $Y$  is (may be, another) abelian variety over  $K_a$  then we write  $\text{Hom}(X, Y)$  for the group of all  $K_a$ -homomorphisms from  $X$  to  $Y$ . It is well-known that  $\text{Hom}(X, Y) = 0$  if and only if  $\text{Hom}(Y, X) = 0$ .

If  $n$  is a positive integer that is not divisible by  $\text{char}(K)$  then we write  $X_n$  for the kernel of multiplication by  $n$  in  $X(K_a)$ . It is well-known [21] that  $X_n$  is a free  $\mathbb{Z}/n\mathbb{Z}$ -module of rank  $2 \dim(X)$ . In particular, if  $n = \ell$  is a prime then  $X_\ell$  is an  $\mathbb{F}_\ell$ -vector space of dimension  $2 \dim(X)$ .

If  $X$  is defined over  $K$  then  $X_n$  is a Galois submodule in  $X(K_a)$ . It is known that all points of  $X_n$  are defined over a finite separable extension of  $K$ . We write  $\bar{\rho}_{n,X,K} : \text{Gal}(K) \rightarrow \text{Aut}_{\mathbb{Z}/n\mathbb{Z}}(X_n)$  for the corresponding homomorphism defining the structure of the Galois module on  $X_n$ ,

$$\tilde{G}_{n,X,K} \subset \text{Aut}_{\mathbb{Z}/n\mathbb{Z}}(X_n)$$

for its image  $\bar{\rho}_{n,X,K}(\text{Gal}(K))$  and  $K(X_n)$  for the field of definition of all points of  $X_n$ . Clearly,  $K(X_n)$  is a finite Galois extension of  $K$  with Galois group  $\text{Gal}(K(X_n)/K) = \tilde{G}_{n,X,K}$ . If  $n = \ell$  then we get a natural faithful linear representation

$$\tilde{G}_{\ell,X,K} \subset \text{Aut}_{\mathbb{F}_\ell}(X_\ell)$$

of  $\tilde{G}_{\ell,X,K}$  in the  $\mathbb{F}_\ell$ -vector space  $X_\ell$ .

**Remark 1.1.** — If  $n = \ell^2$  then there is the natural surjective homomorphism

$$\tau_{\ell,X} : \tilde{G}_{\ell^2,X,K} \twoheadrightarrow \tilde{G}_{\ell,X,K}$$

corresponding to the field inclusion  $K(X_\ell) \subset K(X_{\ell^2})$ ; clearly, its kernel is a finite  $\ell$ -group. Clearly, every prime dividing  $\#(\tilde{G}_{\ell^2,X,K})$  either divides  $\#(\tilde{G}_{\ell,X,K})$  or is equal to  $\ell$ . If  $A$  is a subgroup in  $\tilde{G}_{\ell^2,X,K}$  of index  $N$  then its image  $\tau_{\ell,X}(A)$  in  $\tilde{G}_{\ell,X,K}$  is isomorphic to  $A/A \cap \ker(\tau_{\ell,X})$ . It follows easily that the index of  $\tau_{\ell,X}(A)$  in  $\tilde{G}_{\ell,X,K}$  equals  $N/\ell^j$  where  $\ell^j$  is the index of  $A \cap \ker(\tau_{\ell,X})$  in  $\ker(\tau_{\ell,X})$ . In particular,  $j$  is a nonnegative integer.

We write  $\text{End}_K(X)$  for the ring of all  $K$ -endomorphisms of  $X$ . We have

$$\mathbb{Z} = \mathbb{Z} \cdot 1_X \subset \text{End}_K(X) \subset \text{End}(X)$$

where  $1_X$  is the identity automorphism of  $X$ . Since  $X$  is defined over  $K$ , one may associate with every  $u \in \text{End}(X)$  and  $\sigma \in \text{Gal}(K)$  an endomorphism  ${}^\sigma u \in \text{End}(X)$  such that  ${}^\sigma u(x) = \sigma u(\sigma^{-1}x)$  for  $x \in X(K_a)$  and we get the group homomorphism

$$\kappa_X : \text{Gal}(K) \longrightarrow \text{Aut}(\text{End}(X)); \quad \kappa_X(\sigma)(u) = {}^\sigma u \quad \forall \sigma \in \text{Gal}(K), u \in \text{End}(X).$$

It is well-known that  $\text{End}_K(X)$  coincides with the subring of  $\text{Gal}(K)$ -invariants in  $\text{End}(X)$ , *i.e.*,  $\text{End}_K(X) = \{u \in \text{End}(X) \mid {}^\sigma u = u \quad \forall \sigma \in \text{Gal}(K)\}$ . It is also well-known that  $\text{End}(X)$  (viewed as a group with respect to addition) is a free commutative group of finite rank and  $\text{End}_K(X)$  is its *pure* subgroup, *i.e.*, the quotient  $\text{End}(X)/\text{End}_K(X)$  is also a free commutative group of finite rank. All endomorphisms of  $X$  are defined over a finite separable extension of  $K$ . More precisely [31], if  $n \geq 3$  is a positive integer not divisible by  $\text{char}(K)$  then all the endomorphisms of  $X$  are defined over  $K(X_n)$ ; in particular,

$$\text{Gal}(K(X_n)) \subset \ker(\kappa_X) \subset \text{Gal}(K).$$

This implies that if  $\Gamma_K := \kappa_X(\text{Gal}(K)) \subset \text{Aut}(\text{End}(X))$  then there exists a surjective homomorphism  $\kappa_{X,n} : \tilde{G}_{n,X} \rightarrow \Gamma_K$  such that the composition

$$\text{Gal}(K) \longrightarrow \text{Gal}(K(X_n)/K) = \tilde{G}_{n,X} \xrightarrow{\kappa_{X,n}} \Gamma_K$$

coincides with  $\kappa_X$  and

$$\text{End}_K(X) = \text{End}(X)^{\Gamma_K}.$$

Clearly,  $\text{End}(X)$  leaves invariant the subgroup  $X_\ell \subset X(K_a)$ . It is well-known that  $u \in \text{End}(X)$  kills  $X_\ell$  (*i.e.*  $u(X_\ell) = 0$ ) if and only if  $u \in \ell \cdot \text{End}(X)$ . This gives us a natural embedding

$$\text{End}_K(X) \otimes \mathbb{Z}/\ell\mathbb{Z} \subset \text{End}(X) \otimes \mathbb{Z}/\ell\mathbb{Z} \hookrightarrow \text{End}_{\mathbb{F}_\ell}(X_\ell);$$

the image of  $\text{End}_K(X) \otimes \mathbb{Z}/\ell\mathbb{Z}$  lies in the centralizer of the Galois group, *i.e.*, we get an embedding

$$\text{End}_K(X) \otimes \mathbb{Z}/\ell\mathbb{Z} \hookrightarrow \text{End}_{\text{Gal}(K)}(X_\ell) = \text{End}_{\tilde{G}_{\ell,X,K}}(X_\ell).$$

The next easy assertion seems to be well-known (compare with Prop. 3 and its proof on pp. 107–108 in [19]) but quite useful.

**Lemma 1.2.** — *If  $\text{End}_{\tilde{G}_{\ell,X,K}}(X_\ell) = \mathbb{F}_\ell$  then  $\text{End}_K(X) = \mathbb{Z}$ .*

*Proof.* — It follows that the  $\mathbb{F}_\ell$ -dimension of  $\text{End}_K(X) \otimes \mathbb{Z}/\ell\mathbb{Z}$  does not exceed 1. This means that the rank of the free commutative group  $\text{End}_K(X)$  does not exceed 1 and therefore is 1. Since  $\mathbb{Z} \cdot 1_X \subset \text{End}_K(X)$ , it follows easily that  $\text{End}_K(X) = \mathbb{Z} \cdot 1_X = \mathbb{Z}$ . □

**Lemma 1.3.** — *If  $\text{End}_{\tilde{G}_{\ell, X, K}}(X_\ell)$  is a field then  $\text{End}_K(X)$  has no zero divisors, i.e.,  $\text{End}_K(X) \otimes \mathbb{Q}$  is a division algebra over  $\mathbb{Q}$ .*

*Proof.* — It follows that  $\text{End}_K(X) \otimes \mathbb{Z}/\ell\mathbb{Z}$  is also a field and therefore has no zero divisors. Suppose that  $u, v$  are non-zero elements of  $\text{End}_K(X)$  with  $uv = 0$ . Dividing (if possible)  $u$  and  $v$  by suitable powers of  $\ell$  in  $\text{End}_K(X)$ , we may assume that both  $u$  and  $v$  do not lie in  $\ell \text{End}_K(X)$  and induce non-zero elements in  $\text{End}_K(X) \otimes \mathbb{Z}/\ell\mathbb{Z}$  with zero product. Contradiction.  $\square$

Let us put  $\text{End}^0(X) := \text{End}(X) \otimes \mathbb{Q}$ . Then  $\text{End}^0(X)$  is a semisimple finite-dimensional  $\mathbb{Q}$ -algebra [21, §21]. Clearly, the natural map  $\text{Aut}(\text{End}(X)) \rightarrow \text{Aut}(\text{End}^0(X))$  is an embedding. This allows us to view  $\kappa_X$  as a homomorphism

$$\kappa_X : \text{Gal}(K) \longrightarrow \text{Aut}(\text{End}(X)) \subset \text{Aut}(\text{End}^0(X)),$$

whose image coincides with  $\Gamma_K \subset \text{Aut}(\text{End}(X)) \subset \text{Aut}(\text{End}^0(X))$ ; the subalgebra  $\text{End}^0(X)^{\Gamma_K}$  of  $\Gamma_K$ -invariants coincides with  $\text{End}_K(X) \otimes \mathbb{Q}$ .

**Remark 1.4**

(i) Let us split the semisimple  $\mathbb{Q}$ -algebra  $\text{End}^0(X)$  into a finite direct product  $\text{End}^0(X) = \prod_{s \in \mathcal{I}} D_s$  of simple  $\mathbb{Q}$ -algebras  $D_s$ . (Here  $\mathcal{I}$  is identified with the set of minimal two-sided ideals in  $\text{End}^0(X)$ .) Let  $e_s$  be the identity element of  $D_s$ . One may view  $e_s$  as an idempotent in  $\text{End}^0(X)$ . Clearly,

$$1_X = \sum_{s \in \mathcal{I}} e_s \in \text{End}^0(X), \quad e_s e_t = 0 \quad \forall s \neq t.$$

There exists a positive integer  $N$  such that all  $N \cdot e_s$  lie in  $\text{End}(X)$ . We write  $X_s$  for the image  $X_s := (N e_s)(X)$ ; it is an abelian subvariety in  $X$  of positive dimension. Clearly, the sum map

$$\pi_X : \prod_s X_s \longrightarrow X, \quad (x_s) \longmapsto \sum_s x_s$$

is an isogeny. It is also clear that the intersection  $D_s \cap \text{End}(X)$  leaves  $X_s \subset X$  invariant. This gives us a natural identification  $D_s \cong \text{End}^0(X_s)$ . One may easily check that each  $X_s$  is isogenous to a self-product of (absolutely) simple abelian variety. Clearly, if  $s \neq t$  then  $\text{Hom}(X_s, X_t) = 0$ .

(ii) We write  $C_s$  for the center of  $D_s$ . Then  $C_s$  coincides with the center of  $\text{End}^0(X_s)$  and is therefore either a totally real number field of degree dividing  $\dim(X_s)$  or a CM-field of degree dividing  $2 \dim(X_s)$  [21, p. 202]; the center  $C$  of  $\text{End}^0(X)$  coincides with  $\prod_{s \in \mathcal{I}} C_s = \bigoplus_{s \in \mathcal{I}} C_s$ .

(iii) All the sets

$$\{e_s \mid s \in \mathcal{I}\} \subset \bigoplus_{s \in \mathcal{I}} \mathbb{Q} \cdot e_s \subset \bigoplus_{s \in \mathcal{I}} C_s = C$$

are stable under the Galois action  $\text{Gal}(K) \xrightarrow{\kappa_X} \text{Aut}(\text{End}^0(X))$ . In particular, there is a continuous homomorphism from  $\text{Gal}(K)$  to the group  $\text{Perm}(\mathcal{I})$  of permutations of  $\mathcal{I}$  such that its kernel contains  $\ker(\kappa_X)$  and

$$e_{\sigma(s)} = \kappa_X(\sigma)(e_s) = {}^\sigma e_s, \quad \sigma(C_s) = C_{\sigma(s)}, \quad \sigma(D_s) = D_{\sigma(s)} \quad \forall \sigma \in \text{Gal}(K), s \in \mathcal{I}.$$

It follows that  $X_{\sigma(s)} = Ne_{\sigma(s)}(X) = \sigma(Ne_s(X)) = \sigma(X_s)$ ; in particular, abelian subvarieties  $X_s$  and  $X_{\sigma(s)}$  have the same dimension and  $u \mapsto {}^\sigma u$  gives rise to an isomorphism of  $\mathbb{Q}$ -algebras  $\text{End}^0(X_{\sigma(s)}) \cong \text{End}^0(X_s)$ .

(iv) If  $J$  is a non-empty Galois-invariant subset in  $\mathcal{J}$  then the sum  $\sum_{s \in J} Ne_s$  is Galois-invariant and therefore lies in  $\text{End}_K(X)$ . If  $J'$  is another Galois-invariant subset of  $\mathcal{I}$  that does not meet  $J$  then  $\sum_{s \in J'} Ne_s$  also lies in  $\text{End}_K(X)$  and  $\sum_{s \in J} Ne_s \sum_{s \in J'} Ne_s = 0$ . Assume that  $\text{End}_K(X)$  has no zero divisors. It follows that  $\mathcal{I}$  must consist of one Galois orbit; in particular, all  $X_s$  have the same dimension equal to  $\dim(X)/\#\mathcal{I}$ . In addition, if  $t \in \mathcal{I}$ ,  $\text{Gal}(K)_t$  is the stabilizer of  $t$  in  $\text{Gal}(K)$  and  $F_t$  is the subfield of  $\text{Gal}(K)_t$ -invariants in the separable closure of  $K$  then it follows easily that  $\text{Gal}(K)_t$  is an open subgroup of index  $\#\mathcal{I}$  in  $\text{Gal}(K)$ , the field extension  $F_t/K$  is separable of degree  $\#\mathcal{I}$  and  $\prod_{s \in \mathcal{I}} X_s$  is isomorphic over  $K_a$  to the Weil restriction  $\text{Res}_{F_t/K}(X_t)$ . This implies that  $X$  is isogenous over  $K_a$  to  $\text{Res}_{F_t/K}(X_t)$ .

**Theorem 1.5.** — *Suppose that  $\ell$  is a prime,  $K$  is a field of characteristic  $\neq \ell$ . Suppose that  $X$  is an abelian variety of positive dimension  $g$  defined over  $K$ . Assume that  $\tilde{\mathcal{G}}_{\ell, X, K}$  contains a subgroup  $\mathcal{G}$  such  $\text{End}_{\mathcal{G}}(X_{\ell})$  is a field.*

*Then one of the following conditions holds:*

(a) *The center of  $\text{End}^0(X)$  is a field. In other words,  $\text{End}^0(X)$  is a simple  $\mathbb{Q}$ -algebra.*

(b)

(i) *The prime  $\ell$  is odd;*

(ii) *there exist a positive integer  $r > 1$  dividing  $g$ , a field  $F$  with*

$$K \subset K(X_{\ell})^{\mathcal{G}} =: L \subset F \subset K(X_{\ell}), \quad [F : L] = r$$

*and a  $g/r$ -dimensional abelian variety  $Y$  over  $F$  such that  $\text{End}^0(Y)$  is a simple  $\mathbb{Q}$ -algebra, the  $\mathbb{Q}$ -algebra  $\text{End}^0(X)$  is isomorphic to the direct sum of  $r$  copies of  $\text{End}^0(Y)$  and the Weil restriction  $\text{Res}_{F/L}(Y)$  is isogenous over  $K_a$  to  $X$ . In particular,  $X$  is isogenous over  $K_a$  to a product of  $g/r$ -dimensional abelian varieties. In addition,  $\mathcal{G}$  contains a subgroup of index  $r$ ;*

(c)

(i) *The prime  $\ell = 2$ ;*

(ii) *there exist a positive integer  $r > 1$  dividing  $g$ , fields  $L$  and  $F$  with*

$$K \subset K(X_4)^{\mathcal{G}} \subset L \subset F \subset K(X_4), \quad [F : L] = r$$

and a  $g/r$ -dimensional abelian variety  $Y$  over  $F$  such that  $\text{End}^0(Y)$  is a simple  $\mathbb{Q}$ -algebra, the  $\mathbb{Q}$ -algebra  $\text{End}^0(X)$  is isomorphic to the direct sum of  $r$  copies of  $\text{End}^0(Y)$  and the Weil restriction  $\text{Res}_{F/L}(Y)$  is isogenous over  $K_a$  to  $X$ . In particular,  $X$  is isogenous over  $K_a$  to a product of  $g/r$ -dimensional abelian varieties. In addition, there exists a nonnegative integer  $j$  such that  $2^j$  divides  $r$  and  $\mathcal{G}$  contains a subgroup of index  $r/2^j > 1$ .

*Proof.* — We will use notations of Remark 1.4. Let us put  $n = \ell$  if  $\ell$  is odd and  $n = 4$  if  $\ell = 2$ . Replacing  $K$  by  $K(X_\ell)^{\mathcal{G}}$ , we may and will assume that

$$\tilde{G}_{\ell,X,K} = \mathcal{G}.$$

If  $\ell$  is odd then let us put  $L = K$  and  $H := \text{Gal}(K(X_\ell)/K) = \mathcal{G} = \text{Gal}(L(X_\ell)/L)$ .

If  $\ell = 2$  then we choose a subgroup  $\mathcal{H} \subset \tilde{G}_{4,X,K}$  of smallest possible order such that  $\tau_{2,X}(\mathcal{H}) = \tilde{G}_{2,X,K} = \mathcal{G}$  and put  $L := K(X_4)^{\mathcal{H}} \subset K(X_4)$ . It follows easily that  $L(X_4) = K(X_4)$  and  $\text{Gal}(L(X_2)/L) = \text{Gal}(K(X_2)/K)$ , i.e.,

$$\mathcal{H} = \tilde{G}_{4,X,L}, \quad \tilde{G}_{2,X,L} = \mathcal{G}.$$

The minimality property of  $\mathcal{H}$  combined with Remark 1.1 implies that if  $H \subset \tilde{G}_{4,X,L}$  is a subgroup of index  $r > 1$  then  $\tau_{2,X}(H)$  has index  $r/2^j > 1$  in  $\tilde{G}_{2,X,L}$  for some nonnegative index  $j$ .

In light of Lemma 1.3,  $\text{End}_L(X)$  has no zero divisors. It follows from Remark 1.4(iv) that  $\text{Gal}(L)$  acts on  $\mathcal{I}$  transitively. Let us put  $r = \#\mathcal{I}$ . If  $r = 1$  then  $\mathcal{I}$  is a singleton and  $\mathcal{I} = \{s\}$ ,  $X = X_s$ ,  $\text{End}^0(X) = D_s$ ,  $C = C_s$ . This means that assertion (a) of Theorem 1.5 holds true.

Further we assume that  $r > 1$ . Let us choose  $t \in \mathcal{I}$  and put  $Y := X_t$ . If  $F := F_t$  is the subfield of  $\text{Gal}(L)_t$ -invariants in the separable closure of  $K$  then it follows from Remark 1.4(iv) that  $F_t/L$  is a separable degree  $r$  extension,  $Y$  is defined over  $F$  and  $X$  is isogenous over  $L_a = K_a$  to  $\text{Res}_{F/L}(Y)$ .

Recall (Remark 1.4(iii)) that  $\ker(\kappa_X)$  acts trivially on  $\mathcal{I}$ . It follows that  $\text{Gal}(L(X_n))$  acts trivially on  $\mathcal{I}$ . This implies that  $\text{Gal}(L(X_n))$  lies in  $\text{Gal}(L)_t$ . Recall that  $\text{Gal}(L)_t$  is an open subgroup of index  $r$  in  $\text{Gal}(L)$  and  $\text{Gal}(L(X_n))$  is a normal open subgroup in  $\text{Gal}(L)$ . It follows that  $H := \text{Gal}(L)_t / \text{Gal}(L(X_n))$  is a subgroup of index  $r$  in

$$\text{Gal}(L) / \text{Gal}(L(X_n)) = \text{Gal}(L(X_n)/L) = \tilde{G}_{n,X,L}.$$

If  $\ell$  is odd then  $n = \ell$  and  $\tilde{G}_{n,X,L} = \tilde{G}_{\ell,X,L} = \mathcal{G}$  contains a subgroup of index  $r > 1$ . It follows from Remark 1.4 that assertion (b) of Theorem 1.5 holds true.

If  $\ell = 2$  then  $n = 4$  and  $\tilde{G}_{n,X,L} = \tilde{G}_{4,X,L}$  contains a subgroup  $H$  of index  $r > 1$ . But in this case we know (see the very beginning of this proof) that  $\tilde{G}_{2,X,L} = \mathcal{G}$  and  $\tau_{2,X}(H)$  has index  $r/2^j > 1$  in  $\tilde{G}_{2,X,L}$  for some nonnegative integer  $j$ . It follows from Remark 1.4 that assertion (c) of Theorem 1.5 holds true.  $\square$

Before stating our next result, recall that a *perfect* finite group  $\mathcal{G}$  with center  $\mathcal{Z}$  is called *quasi-simple* if the quotient  $\mathcal{G}/\mathcal{Z}$  is a simple nonabelian group. Let  $H$  be a non-central normal subgroup in quasi-simple  $\mathcal{G}$ . Then the image of  $H$  in simple  $\mathcal{G}/\mathcal{Z}$  is a non-trivial normal subgroup and therefore coincides with  $\mathcal{G}/\mathcal{Z}$ . This means that  $\mathcal{G} = \mathcal{Z}H$ . Since  $\mathcal{G}$  is perfect,  $\mathcal{G} = [\mathcal{G}, \mathcal{G}] = [H, H] \subset H$ . It follows that  $\mathcal{G} = H$ . In other words, every proper normal subgroup in a quasi-simple group is central.

**Theorem 1.6.** — *Suppose that  $\ell$  is a prime,  $K$  is a field of characteristic different from  $\ell$ . Suppose that  $X$  is an abelian variety of positive dimension  $g$  defined over  $K$ . Let us assume that  $\tilde{G}_{\ell, X, K}$  contains a subgroup  $\mathcal{G}$  that enjoys the following properties:*

- (i)  $\text{End}_{\mathcal{G}}(X_{\ell}) = \mathbb{F}_{\ell}$ ;
- (ii) *The group  $\mathcal{G}$  does not contain a subgroup of index 2.*
- (iii) *The only normal subgroup in  $\mathcal{G}$  of index dividing  $g$  is  $\mathcal{G}$  itself.*

*Then one of the following two conditions (a) and (b) holds:*

- (a) *There exists a positive integer  $r > 2$  such that:*
  - (a0)  *$r$  divides  $g$  and  $X$  is isogenous over  $K_a$  to a product of  $g/r$ -dimensional abelian varieties;*
  - (a1) *If  $\ell$  is odd then  $\mathcal{G}$  contains a subgroup of index  $r$ ;*
  - (a2) *If  $\ell = 2$  then there exists a nonnegative integer  $j$  such that  $\mathcal{G}$  contains a subgroup of index  $r/2^j > 1$ .*
- (b)
  - (b1) *The center of  $\text{End}^0(X)$  coincides with  $\mathbb{Q}$ . In other words,  $\text{End}^0(X)$  is a matrix algebra either over  $\mathbb{Q}$  or over a quaternion  $\mathbb{Q}$ -algebra.*
  - (b2) *If  $\mathcal{G}$  is perfect and  $\text{End}^0(X)$  is a matrix algebra over a quaternion  $\mathbb{Q}$ -algebra  $\mathbb{H}$  then  $\mathbb{H}$  is unramified at every prime not dividing  $\#(\mathcal{G})$ .*
  - (b3) *Let  $\mathcal{Z}$  be the center of  $\mathcal{G}$ . Suppose that  $\mathcal{G}$  is quasi-simple, i.e. it is perfect and the quotient  $\mathcal{G}/\mathcal{Z}$  is a simple group. If  $\text{End}^0(X) \neq \mathbb{Q}$  then there exist a perfect finite (multiplicative) subgroup  $\Pi \subset \text{End}^0(X)^*$  and a surjective homomorphism  $\Pi \twoheadrightarrow \mathcal{G}/\mathcal{Z}$  such that every prime dividing  $\#(\Pi)$  also divides  $\#(\mathcal{G})$ .*

*Proof.* — Let us assume that the center  $C$  of  $\text{End}^0(X)$  is not a field. Applying Theorem 1.5, we conclude that the condition (a) holds.

Assume now that the center  $C$  of  $\text{End}^0(X)$  is a field. We need to prove (b). Let us define  $n$  and  $L$  as in the beginning of the proof of Theorem 1.5. We have

$$\mathcal{G} = \tilde{G}_{\ell, X, L}, \quad \text{End}_{\tilde{G}_{\ell, X, L}}(X_{\ell}) = \mathbb{F}_{\ell}.$$

In addition, if  $\ell = 2$  and  $H \subset \tilde{G}_{4, X, L}$  is a subgroup of index  $r > 1$  then  $\tau_{2, X}(H)$  has index  $r/2^j > 1$  in  $\tilde{G}_{2, X, L} = \mathcal{G}$  for some nonnegative integer  $j$ . This implies that the only normal subgroup in  $\tilde{G}_{n, X, L} = \tilde{G}_{4, X, L}$  of index dividing  $g$  is  $\tilde{G}_{n, X, L}$  itself. It is also clear that  $\tilde{G}_{n, X, L}$  does not contain a subgroup of index 2. It follows from Remark 1.1 that if  $\mathcal{G}$  is perfect then  $\tilde{G}_{4, X, L}$  is also perfect and every prime dividing  $\#(\tilde{G}_{4, X, L})$

must divide  $\#(\mathcal{G})$ , because (thanks to a celebrated theorem of Feit-Thompson)  $\#(\mathcal{G})$  must be even. (If  $\ell$  is odd then  $n = \ell$  and  $\tilde{G}_{n,X,L} = \mathcal{G}$ .)

It follows from Lemma 1.2 that  $\text{End}_L(X) = \mathbb{Z}$  and therefore  $\text{End}_L(X) \otimes \mathbb{Q} = \mathbb{Q}$ . Recall that  $\text{End}_L(X) \otimes \mathbb{Q} = \text{End}^0(X)^{\text{Gal}(L)}$  and  $\kappa_X : \text{Gal}(L) \rightarrow \text{Aut}(\text{End}^0(X))$  kills  $\text{Gal}(L(X_n))$ . This gives rise to the homomorphism

$$\kappa_{X,n} : \tilde{G}_{n,X,L} = \text{Gal}(L(X_n)/L) = \text{Gal}(L)/\text{Gal}(L(X_n)) \longrightarrow \text{Aut}(\text{End}^0(X))$$

with  $\kappa_{X,n}(\tilde{G}_{n,X,L}) = \kappa_X(\text{Gal}(L)) \subset \text{Aut}(\text{End}^0(X))$  and  $\text{End}^0(X)^{\tilde{G}_{n,X,L}} = \mathbb{Q}$ . Clearly, the action of  $\tilde{G}_{n,X,L}$  on  $\text{End}^0(X)$  leaves invariant the center  $C$  and therefore defines a homomorphism  $\tilde{G}_{n,X,L} \rightarrow \text{Aut}(C)$  with  $C^{\tilde{G}_{n,X,L}} = \mathbb{Q}$ . It follows that  $C/\mathbb{Q}$  is a Galois extension and the corresponding map

$$\tilde{G}_{n,X,L} \longrightarrow \text{Aut}(C) = \text{Gal}(C/\mathbb{Q})$$

is surjective. Recall that  $C$  is either a totally real number field of degree dividing  $g$  or a purely imaginary quadratic extension of a totally real number field  $C^+$  where  $[C^+ : \mathbb{Q}]$  divides  $g$ . In the case of totally real  $C$  let us put  $C^+ := C$ . Clearly, in both cases  $C^+$  is the largest totally real subfield of  $C$  and therefore the action of  $\tilde{G}_{n,X,L}$  leaves  $C^+$  stable, *i.e.*  $C^+/\mathbb{Q}$  is also a Galois extension. Let us put  $r := [C^+ : \mathbb{Q}]$ . It is known [21, p.202] that  $r$  divides  $g$ . Clearly, the Galois group  $\text{Gal}(C^+/\mathbb{Q})$  has order  $r$  and we have a surjective homomorphism (composition)

$$\tilde{G}_{n,X,L} \twoheadrightarrow \text{Gal}(C/\mathbb{Q}) \twoheadrightarrow \text{Gal}(C^+/\mathbb{Q})$$

of  $\tilde{G}_{n,X,L}$  onto order  $r$  group  $\text{Gal}(C^+/\mathbb{Q})$ . Clearly, its kernel is a normal subgroup of index  $r$  in  $\tilde{G}_{n,X,L}$ . This contradicts our assumption if  $r > 1$ . Hence  $r = 1$ , *i.e.*  $C^+ = \mathbb{Q}$ . It follows that either  $C = \mathbb{Q}$  or  $C$  is an imaginary quadratic field and  $\text{Gal}(C/\mathbb{Q})$  is a group of order 2. In the latter case we get the surjective homomorphism from  $\tilde{G}_{n,X,L}$  onto  $\text{Gal}(C/\mathbb{Q})$ , whose kernel is a subgroup of order 2 in  $\tilde{G}_{n,X,L}$ , which does not exist. This proves that  $C = \mathbb{Q}$ . It follows from Albert's classification [21, p.202] that  $\text{End}^0(X)$  is either a matrix algebra  $\mathbb{Q}$  or a matrix algebra  $M_d(\mathbb{H})$  where  $\mathbb{H}$  is a quaternion  $\mathbb{Q}$ -algebra. This proves assertion (b1) of Theorem 1.6.

Assume, in addition, that  $\mathcal{G}$  is perfect. Then, as we have already seen,  $\tilde{G}_{n,X,L}$  is also perfect. This implies that  $\Gamma := \kappa_{X,n}(\tilde{G}_{n,X,L})$  is a finite perfect subgroup of  $\text{Aut}(\text{End}^0(X))$  and every prime dividing  $\#(\Gamma)$  must divide  $\#(\tilde{G}_{n,X,L})$  and therefore divides  $\#(\mathcal{G})$ . Clearly,

$$(1) \quad \mathbb{Q} = \text{End}^0(X)^\Gamma.$$

Assume that  $\text{End}^0(X) \neq \mathbb{Q}$ . Then  $\Gamma \neq \{1\}$ . Since  $\text{End}^0(X)$  is a central simple  $\mathbb{Q}$ -algebra, all its automorphisms are inner, *i.e.*,  $\text{Aut}(\text{End}^0(X)) = \text{End}^0(X)^*/\mathbb{Q}^*$ . Let  $\Delta \twoheadrightarrow \Gamma$  be the universal central extension of  $\Gamma$ . It is well-known that  $\Delta$  is a finite perfect group and the set of prime divisors of  $\#(\Delta)$  coincides with the set of prime divisors of  $\#(\Gamma)$ . The universality property implies that the inclusion map  $\Gamma \subset \text{End}^0(X)^*/\mathbb{Q}^*$  lifts (uniquely) to a homomorphism  $\pi : \Delta \rightarrow \text{End}^0(X)^*$ . The



equality (1) means that the centralizer of  $\pi(\Delta)$  in  $\text{End}^0(X)$  coincides with  $\mathbb{Q}$  and therefore  $\ker(\pi)$  does not coincide with  $\Delta$ . It follows that the image  $\Gamma_0$  of  $\ker(\pi)$  in  $\Gamma$  does not coincide with the whole  $\Gamma$ . It also follows that if  $\mathbb{Q}[\Delta]$  is the group  $\mathbb{Q}$ -algebra of  $\Delta$  then  $\pi$  induces the  $\mathbb{Q}$ -algebra homomorphism  $\pi : \mathbb{Q}[\Delta] \rightarrow \text{End}^0(X)$  such that the centralizer of the image  $\pi(\mathbb{Q}[\Delta])$  in  $\text{End}^0(X)$  coincides with  $\mathbb{Q}$ .

I claim that  $\pi(\mathbb{Q}[\Delta]) = \text{End}^0(X)$  and therefore  $\text{End}^0(X)$  is isomorphic to a direct summand of  $\mathbb{Q}[\Delta]$ . This claim follows easily from the next lemma that will be proven later in this section.

**Lemma 1.7.** — *Let  $E$  be a field of characteristic zero,  $T$  a semisimple finite-dimensional  $E$ -algebra,  $S$  a finite-dimensional central simple  $E$ -algebra,  $\beta : T \rightarrow S$  an  $E$ -algebra homomorphism that sends 1 to 1. Suppose that the centralizer of the image  $\beta(T)$  in  $S$  coincides with the center  $E$ . Then  $\beta$  is surjective, i.e.  $\beta(T) = S$ .*

In order to prove (b2), let us assume that  $\text{End}^0(X) = M_d(\mathbb{H})$  where  $\mathbb{H}$  is a quaternion  $\mathbb{Q}$ -algebra. Then  $M_d(\mathbb{H})$  is isomorphic to a direct summand of  $\mathbb{Q}[\Delta]$ . On the other hand, it is well-known that if  $q$  is a prime not dividing  $\#(\Delta)$  then  $\mathbb{Q}_q[\Delta] = \mathbb{Q}[\Delta] \otimes_{\mathbb{Q}} \mathbb{Q}_q$  is a direct sum of matrix algebras over (commutative) fields. It follows that  $M_d(\mathbb{H}) \otimes_{\mathbb{Q}} \mathbb{Q}_q$  also splits. This proves the assertion (b2).

In order to prove (b3), let us assume that  $\mathcal{G}$  is a quasi-simple finite group with center  $\mathcal{Z}$ . Let us put  $\Pi := \pi(\Delta) \subset \text{End}^0(X)^*$ . We are going to construct a surjective homomorphism  $\Pi \twoheadrightarrow \mathcal{G}/\mathcal{Z}$ . In order to do that, it suffices to construct a surjective homomorphism  $\Gamma \twoheadrightarrow \mathcal{G}/\mathcal{Z}$ . Recall that there are surjective homomorphisms

$$\tau : \tilde{G}_{n,X,L} \twoheadrightarrow \tilde{G}_{\ell,X,L} = \mathcal{G}, \quad \kappa_{X,n} : \tilde{G}_{n,X,L} \twoheadrightarrow \Gamma.$$

(If  $\ell$  is odd then  $\tau$  is the identity map; if  $\ell = 2$  then  $\tau = \tau_{2,X}$ .) Let  $H_0$  be the kernel of  $\kappa_{X,n} : \tilde{G}_{n,X,L} \twoheadrightarrow \Gamma$ . Clearly,

$$(2) \quad \tilde{G}_{n,X,L}/H_0 \cong \Gamma.$$

Since  $\Gamma \neq \{1\}$ , we have  $H_0 \neq \tilde{G}_{n,X,L}$ . It follows that  $\tau(H_0) \neq \mathcal{G}$ . The surjectivity of  $\tau : \tilde{G}_{n,X,L} \twoheadrightarrow \mathcal{G}$  implies that  $\tau(H_0)$  is normal in  $\mathcal{G}$  and therefore lies in the center  $\mathcal{Z}$ . This gives us the surjective homomorphisms

$$\tilde{G}_{n,X,L}/H_0 \twoheadrightarrow \tau(\tilde{G}_{n,X,L})/\tau(H_0) = \mathcal{G}/\tau(H_0) \twoheadrightarrow \mathcal{G}/\mathcal{Z},$$

whose composition is a surjective homomorphism  $\tilde{G}_{n,X,L}/H_0 \twoheadrightarrow \mathcal{G}/\mathcal{Z}$ . Using (2), we get the desired surjective homomorphism  $\Gamma \twoheadrightarrow \mathcal{G}/\mathcal{Z}$ .  $\square$

*Proof of Lemma 1.7.* — Replacing  $E$  by its algebraic closure  $E_a$  and tensoring  $T$  and  $S$  by  $E_a$ , we may and will assume that  $E$  is algebraically closed. Then  $S = M_n(E)$  for some positive integer  $n$ . Clearly,  $\beta(T)$  is a direct sum of say,  $b$  matrix algebras over  $E$  and the center of  $\beta(T)$  is isomorphic to a direct sum of  $b$  copies of  $E$ . In particular, if  $b > 1$  then the centralizer of  $\beta(T)$  in  $S$  contains the  $b$ -dimensional center of  $\beta(T)$  which gives us the contradiction. So,  $b = 1$  and  $\beta(T) \cong M_k(E)$  for some

positive integer  $k$ . Clearly,  $k \leq n$ ; if the equality holds then we are done. Assume that  $k < n$ : we need to get a contradiction. So, we have

$$1 \in E \subset \beta(T) \cong M_k(E) \hookrightarrow M_n(E) = S.$$

This provides  $E^n$  with a structure of faithful  $\beta(T)$ -module in such a way that  $E^n$  does not contain a non-zero submodule with trivial (zero) action of  $\beta(T)$ . Since  $\beta(T) \cong M_k(E)$ , the  $\beta(T)$ -module  $E^n$  splits into a direct sum of say,  $e$  copies of a simple faithful  $\beta(T)$ -module  $W$  with  $\dim_E(W) = k$ . Clearly,  $e = n/k > 1$ . It follows easily that the centralizer of  $\beta(T)$  in  $S = M_n(E)$  coincides with

$$\text{End}_{\beta(T)}(W^e) = M_e(\text{End}_{\beta(T)}(W)) = M_e(E)$$

and has  $E$ -dimension  $e^2 > 1$ . Contradiction.  $\square$

**Corollary 1.8.** — *Suppose that  $\ell$  is a prime,  $K$  is a field of characteristic different from  $\ell$ . Suppose that  $X$  is an abelian variety of positive dimension  $g$  defined over  $K$ . Let us assume that  $\tilde{G}_{\ell, X, K}$  contains a perfect subgroup  $\mathcal{G}$  that enjoys the following properties:*

- (a)  $\text{End}_{\mathcal{G}}(X_{\ell}) = \mathbb{F}_{\ell}$ ;
- (b) *The only subgroup of index dividing  $g$  in  $\mathcal{G}$  is  $\mathcal{G}$  itself.*

*If  $g$  is odd then either  $\text{End}^0(X)$  is a matrix algebra over  $\mathbb{Q}$  or  $p = \text{char}(K) > 0$  and  $\text{End}^0(X)$  is a matrix algebra  $M_d(\mathbb{H}_p)$  over a quaternion  $\mathbb{Q}$ -algebra  $\mathbb{H}_p$  that is ramified exactly at  $p$  and  $\infty$  and  $d > 1$ . In particular, if  $\text{char}(K)$  does not divide  $\#(\mathcal{G})$  then  $\text{End}^0(X)$  is a matrix algebra over  $\mathbb{Q}$ .*

*Proof of Corollary 1.8.* — Let us assume that  $\text{End}^0(X)$  is *not* isomorphic to a matrix algebra over  $\mathbb{Q}$ . Then  $\text{End}^0(X)$  is (isomorphic to) a matrix algebra  $M_d(\mathbb{H})$  over a quaternion  $\mathbb{Q}$ -algebra  $\mathbb{H}$ . This means that there exists an absolutely simple abelian variety  $Y$  over  $K_a$  such that  $X$  is isogenous to  $Y^d$  and  $\text{End}^0(Y) = \mathbb{H}$ . Clearly,  $\dim(Y)$  is odd. It follows from Albert's classification [21, p.202] that  $p := \text{char}(K_a) = \text{char}(K) > 0$ . By Lemma 4.3 of [23], if there exists a prime  $q \neq p$  such that  $\mathbb{H}$  is unramified at  $q$  then  $4 = \dim_{\mathbb{Q}} \mathbb{H}$  divides  $2 \dim(Y)$ . Since  $\dim(Y)$  is odd,  $2 \dim(Y)$  is not divisible by 4 and therefore  $\mathbb{H}$  is unramified at all primes different from  $p$ . It follows from the theorem of Hasse-Brauer-Noether that  $\mathbb{H} \cong \mathbb{H}_p$ .

Now, assume that  $d = 1$ , *i.e.*  $\text{End}^0(X) = \mathbb{H}_p$ . We know that  $\text{End}^0(X)^* = \mathbb{H}_p^*$  contains a nontrivial finite perfect group  $\Pi$ . But this contradicts to the following elementary statement, whose proof will be given later in this section.

**Lemma 1.9.** — *Every finite subgroup in  $\mathbb{H}_p^*$  is solvable.*

Hence  $\text{End}^0(X) \neq \mathbb{H}_p$ , *i.e.*  $d > 1$ .

Assume now that  $p$  does *not* divide  $\#(\mathcal{G})$ . It follows from Theorem 1.6 that  $\mathbb{H}$  is unramified at  $p$ . This implies that  $\mathbb{H}$  can be ramified only at  $\infty$  which could not be the case. The obtained contradiction proves that  $\text{End}^0(X)$  is a matrix algebra over  $\mathbb{Q}$ .  $\square$

*Proof of Lemma 1.9.* — If  $p \neq 2$  then  $\mathbb{H}_p^* \subset (\mathbb{H}_p \otimes_{\mathbb{Q}} \mathbb{Q}_2)^* \cong \mathrm{GL}(2, \mathbb{Q}_2)$  and if  $p = 2$  then  $\mathbb{H}_2^* \subset (\mathbb{H}_2 \otimes_{\mathbb{Q}} \mathbb{Q}_3)^* \cong \mathrm{GL}(2, \mathbb{Q}_3)$ . Since every finite subgroup in  $\mathrm{GL}(2, \mathbb{Q}_2)$  (resp.  $\mathrm{GL}(2, \mathbb{Q}_3)$ ) is conjugate to a finite subgroup in  $\mathrm{GL}(2, \mathbb{Z}_2)$  (resp.  $\mathrm{GL}(2, \mathbb{Z}_3)$ ), it suffices to check that every finite subgroup in  $\mathrm{GL}(2, \mathbb{Z}_2)$  and  $\mathrm{GL}(2, \mathbb{Z}_3)$  is solvable.

Recall that both  $\mathrm{GL}(2, \mathbb{F}_2)$  and  $\mathrm{GL}(2, \mathbb{F}_3)$  are solvable and use the Minkowski-Serre lemma ([28, pp. 124–125]; see also [32]). This lemma asserts, in particular, that if  $q$  is an odd prime then the kernel of the reduction map  $\mathrm{GL}(n, \mathbb{Z}_q) \rightarrow \mathrm{GL}(n, \mathbb{F}_q)$  does not contain nontrivial elements of finite order and that all periodic elements in the kernel of the reduction map  $\mathrm{GL}(n, \mathbb{Z}_2) \rightarrow \mathrm{GL}(n, \mathbb{F}_2)$  have order 1 or 2.

Indeed, every finite subgroup  $\Pi \subset \mathrm{GL}(2, \mathbb{Z}_3)$  maps injectively in  $\mathrm{GL}(2, \mathbb{F}_3)$  and therefore is solvable. If  $\Pi \subset \mathrm{GL}(2, \mathbb{Z}_2)$  is a finite subgroup then the kernel of the reduction map  $\Pi \rightarrow \mathrm{GL}(2, \mathbb{F}_2)$  consists of elements of order 1 or 2 and therefore is an elementary commutative 2-group. Since the image of the reduction map is solvable, we conclude that  $\Pi$  is solvable. □

**Corollary 1.10.** — *Suppose that  $\ell$  is a prime,  $K$  is a field of characteristic different from  $\ell$ . Suppose that  $X$  is an abelian variety of dimension  $g$  defined over  $K$ . Let us put  $g' = \max(2, g)$ . Let us assume that  $\tilde{G}_{\ell, X, K}$  contains a perfect subgroup  $\mathcal{G}$  that enjoys the following properties:*

- (a)  $\mathrm{End}_{\mathcal{G}}(X_{\ell}) = \mathbb{F}_{\ell}$ ;
- (b) *The only subgroup of index dividing  $g$  in  $\mathcal{G}$  is  $\mathcal{G}$  itself.*
- (c) *If  $\mathcal{Z}$  is the center of  $\mathcal{G}$  then  $\mathcal{G}/\mathcal{Z}$  is a simple nonabelian group.*

*Suppose that  $\mathrm{End}^0(X) \cong M_d(\mathbb{Q})$  with  $d > 1$ . Then there exist a perfect finite subgroup  $\Pi \subset \mathrm{GL}(d, \mathbb{Z})$  and a surjective homomorphism  $\Pi \rightarrow \mathcal{G}/\mathcal{Z}$  such that every prime dividing  $\#(\Pi)$  also divides  $\#(\mathcal{G})$ .*

*Proof of Corollary 1.10.* — Clearly,  $\mathrm{End}^0(X)^* = \mathrm{GL}(n, \mathbb{Q})$ . One has only to recall that every finite subgroup in  $\mathrm{GL}(n, \mathbb{Q})$  is conjugate to a finite subgroup in  $\mathrm{GL}(n, \mathbb{Z})$  and apply Theorem 1.6(iii). □

## 2. Homomorphisms of abelian varieties

**Theorem 2.1.** — *Let  $\ell$  be a prime,  $K$  a field of characteristic different from  $\ell$ ,  $X$  and  $Y$  abelian varieties of positive dimension defined over  $K$ . Suppose that the following conditions hold:*

- (i) *The extensions  $K(X_{\ell})$  and  $K(Y_{\ell})$  are linearly disjoint over  $K$ .*
- (ii)  $\mathrm{End}_{\tilde{G}_{\ell, X, K}}(X_{\ell}) = \mathbb{F}_{\ell}$ .
- (iii) *The centralizer of  $\tilde{G}_{\ell, Y, K}$  in  $\mathrm{End}_{\mathbb{F}_{\ell}}(Y_{\ell})$  is a field.*

*Then either  $\mathrm{Hom}(X, Y) = 0, \mathrm{Hom}(Y, X) = 0$  or  $\mathrm{char}(K) > 0$  and both abelian varieties  $X$  and  $Y$  are supersingular.*

**Remark 2.2.** — Theorem 2.1 was proven in [43] under an additional assumption that the Galois modules  $X_\ell$  and  $Y_\ell$  are simple.

In order to prove Theorem 2.1, we need first to discuss the notion of Tate module. Recall [21, 29, 47] that this is a  $\mathbb{Z}_\ell$ -module  $T_\ell(X)$  defined as the projective limit of Galois modules  $X_{\ell^m}$ . It is well-known that  $T_\ell(X)$  is a free  $\mathbb{Z}_\ell$ -module of rank  $2 \dim(X)$  provided with the continuous action

$$\rho_{\ell, X} : \text{Gal}(K) \longrightarrow \text{Aut}_{\mathbb{Z}_\ell}(T_\ell(X)).$$

There is the natural isomorphism of Galois modules

$$(3) \quad X_\ell = T_\ell(X) / \ell T_\ell(X),$$

so one may view  $\tilde{\rho}_{\ell, X}$  as the reduction of  $\rho_{\ell, X}$  modulo  $\ell$ . Let us put

$$V_\ell(X) = T_\ell(X) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell;$$

it is a  $2 \dim(X)$ -dimensional  $\mathbb{Q}_\ell$ -vector space. The group  $T_\ell(X)$  is naturally identified with the  $\mathbb{Z}_\ell$ -lattice in  $V_\ell(X)$  and the inclusion  $\text{Aut}_{\mathbb{Z}_\ell}(T_\ell(X)) \subset \text{Aut}_{\mathbb{Q}_\ell}(V_\ell(X))$  allows us to view  $V_\ell(X)$  as representation of  $\text{Gal}(K)$  over  $\mathbb{Q}_\ell$ . Let  $Y$  be (may be, another) abelian variety of positive dimension defined over  $K$ . Recall [21, § 19] that  $\text{Hom}(X, Y)$  is a free commutative group of finite rank. Since  $X$  and  $Y$  are defined over  $K$ , one may associate with every  $u \in \text{Hom}(X, Y)$  and  $\sigma \in \text{Gal}(K)$  an endomorphism  $\sigma u \in \text{Hom}(X, Y)$  such that

$$\sigma u(x) = \sigma u(\sigma^{-1}x) \quad \forall x \in X(K_a)$$

and we get the group homomorphism

$$\kappa_{X, Y} : \text{Gal}(K) \rightarrow \text{Aut}(\text{Hom}(X, Y)); \quad \kappa_{X, Y}(\sigma)(u) = \sigma u \quad \forall \sigma \in \text{Gal}(K), u \in \text{Hom}(X, Y),$$

which provides the finite-dimensional  $\mathbb{Q}_\ell$ -vector space  $\text{Hom}(X, Y) \otimes \mathbb{Q}_\ell$  with the natural structure of Galois module.

There is a natural structure of Galois module on the  $\mathbb{Q}_\ell$ -vector space

$$\text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), V_\ell(Y))$$

induced by the Galois actions on  $V_\ell(X)$  and  $V_\ell(Y)$ . On the other hand, there is a natural embedding of Galois modules [21, § 19],

$$\text{Hom}(X, Y) \otimes \mathbb{Q}_\ell \subset \text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), V_\ell(Y)),$$

whose image must be a  $\text{Gal}(K)$ -invariant  $\mathbb{Q}_\ell$ -vector subspace. It is also clear that  $\text{Hom}_{\mathbb{Z}_\ell}(T_\ell(X), T_\ell(Y))$  is a Galois-invariant  $\mathbb{Z}_\ell$ -lattice in  $\text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), V_\ell(Y))$ . The equality (3) gives rise to a natural isomorphism of Galois modules

$$(4) \quad \text{Hom}_{\mathbb{Z}_\ell}(T_\ell(X), T_\ell(Y)) \otimes_{\mathbb{Z}_\ell} \mathbb{Z}_\ell / \ell \mathbb{Z}_\ell = \text{Hom}_{\mathbb{F}_\ell}(X_\ell, Y_\ell).$$

*Proof of Theorem 2.1.* — Let  $K(X_\ell, Y_\ell)$  be the compositum of the fields  $K(X_\ell)$  and  $K(Y_\ell)$ . The linear disjointness of  $K(X_\ell)$  and  $K(Y_\ell)$  means that

$$\text{Gal}(K(X_\ell, Y_\ell)/K) = \text{Gal}(K(Y_\ell)/K) \times \text{Gal}(K(X_\ell)/K).$$

Let  $X_\ell^* = \text{Hom}_{\mathbb{F}_\ell}(X_\ell, \mathbb{F}_\ell)$  be the dual of  $X_\ell$  and  $\bar{\rho}_{n, X, K}^* : \text{Gal}(K) \rightarrow \text{Aut}(X_\ell^*)$  the dual of  $\bar{\rho}_{n, X, K}$ . One may easily check that  $\ker(\bar{\rho}_{n, X, K}^*) = \ker(\bar{\rho}_{n, X, K})$  and therefore we have an isomorphism of the images

$$\tilde{G}_{\ell, X, K}^* := \bar{\rho}_{n, X, K}^*(\text{Gal}(K)) \cong \bar{\rho}_{n, X, K}(\text{Gal}(K)) = \tilde{G}_{\ell, X, K}.$$

One may also easily check that the centralizer of  $\text{Gal}(K)$  in  $\text{End}_{\mathbb{F}_\ell}(X_\ell^*)$  still coincides with  $\mathbb{F}_\ell$ . It follows that if  $A_1$  is the  $\mathbb{F}_\ell$ -subalgebra in  $\text{End}_{\mathbb{F}_\ell}(X_\ell^*)$  generated by  $\tilde{G}_{\ell, X, K}^*$  then its centralizer in  $\text{End}_{\mathbb{F}_\ell}(X_\ell^*)$  coincides with  $\mathbb{F}_\ell$ . Let us consider the Galois module  $W_1 = \text{Hom}_{\mathbb{F}_\ell}(X_\ell, Y_\ell) = X_\ell^* \otimes_{\mathbb{F}_\ell} Y_\ell$  and denote by  $\tau$  the homomorphism  $\text{Gal}(K) \rightarrow \text{Aut}(W_1)$  that defines the Galois module structure on  $W_1$ . One may easily check that  $\tau$  factors through  $\text{Gal}(K(X_\ell, Y_\ell)/K)$  and the image of  $\tau$  coincides with the image of

$$\tilde{G}_{\ell, X, K}^* \times \tilde{G}_{\ell, X, Y} \subset \text{Aut}(X_\ell^*) \times \text{Aut}(Y_\ell) \longrightarrow \text{Aut}(X_\ell^* \otimes_{\mathbb{F}_\ell} Y_\ell) = \text{Aut}(W_1).$$

Let  $A_2$  be the  $\mathbb{F}_\ell$ -subalgebra in  $\text{End}_{\mathbb{F}_\ell}(Y_\ell)$  generated by  $\tilde{G}_{\ell, Y, K}$ . Recall that the centralizer of  $\text{Gal}(K)$  in  $\text{End}_{\mathbb{F}_\ell}(Y_\ell)$  is a field, say  $\mathbb{F}$ . Clearly, the centralizer of  $A_2$  in  $\text{End}_{\mathbb{F}_\ell}(Y_\ell)$  coincides with  $\mathbb{F}$ . One may easily check that the subalgebra of  $\text{End}_{\mathbb{F}_\ell}(W_1)$  generated by the image of  $\text{Gal}(K)$  coincides with

$$A_1 \otimes_{\mathbb{F}_\ell} A_2 \subset \text{End}_{\mathbb{F}_\ell}(X_\ell^*) \otimes_{\mathbb{F}_\ell} \text{End}_{\mathbb{F}_\ell}(Y_\ell) = \text{End}_F(X_\ell^* \otimes_{\mathbb{F}_\ell} Y_\ell) = \text{End}_{\mathbb{F}_\ell}(W_1).$$

It follows from Lemma (10.37) on p. 252 of [3] that the centralizer of  $A_1 \otimes_{\mathbb{F}_\ell} A_2$  in  $\text{End}_F(X_\ell^* \otimes_{\mathbb{F}_\ell} Y_\ell)$  coincides with  $\mathbb{F}_\ell \otimes_{\mathbb{F}_\ell} \mathbb{F} = \mathbb{F}$ . This implies that the centralizer of  $\text{Gal}(K)$  in  $\text{End}_F(X_\ell^* \otimes_{\mathbb{F}_\ell} Y_\ell) = \text{End}_{\mathbb{F}_\ell}(W_1)$  is the field  $\mathbb{F}$ .

Let us consider the  $\mathbb{Q}_\ell$ -vector space  $V_1 = \text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), V_\ell(Y))$  and the free  $\mathbb{Z}_\ell$ -module  $T_1 = \text{Hom}_{\mathbb{Z}_\ell}(T_\ell(X), T_\ell(Y))$  provided with the natural structure of Galois modules. Clearly,  $T_1$  is a Galois-stable  $\mathbb{Z}_\ell$ -lattice in  $V_1$ . By (4), there is a natural isomorphism of Galois modules  $W_1 = T_1/\ell T_1$ . Let us denote by  $D_1$  the centralizer of  $\text{Gal}(K)$  in  $\text{End}_{\mathbb{Q}_\ell}(V_1)$ . Clearly,  $D_1$  is a finite-dimensional  $\mathbb{Q}_\ell$ -algebra. Therefore in order to prove that  $D_1$  is a division algebra, it suffices to check that  $D_1$  has no zero divisors.

Suppose that  $D_1$  has zero divisors, *i.e.* there are non-zero  $u, v \in D_1$  with  $uv = 0$ . We have  $u, v \subset D_1 \subset \text{End}_{\mathbb{Q}_\ell}(V_1)$ . Multiplying  $u$  and  $v$  by proper powers of  $\ell$ , we may and will assume that  $u(T_1) \subset T_1, v(T_1) \subset T_1$  but  $u(T_1)$  is *not* contained in  $\ell T_1$  and  $v(T_1)$  is *not* contained in  $\ell T_1$ . This means that  $u$  and  $v$  induce *non-zero* endomorphisms  $\bar{u}, \bar{v} \in \text{End}(W_1)$  that commute with  $\text{Gal}(K)$  and  $\bar{u}\bar{v} = 0$ . Since both  $\bar{u}$  and  $\bar{v}$  are non-zero elements of the field  $\mathbb{F}$ , we get a contradiction that proves that  $D_1$  has no zero divisors and therefore is a division algebra.

*End of the proof of Theorem 2.1.* — We may and will assume that  $K$  is finitely generated over its prime subfield (replacing  $K$  by its suitable subfield). Then the conjecture of Tate [34] (proven by the author in characteristic  $> 2$  [36, 37], Faltings in characteristic zero [5, 6] and Mori in characteristic 2 [17]) asserts that the natural representation of  $\text{Gal}(K)$  in  $V_\ell(Z)$  is completely reducible for any abelian variety  $Z$  over  $K$ . In particular, the natural representations of  $\text{Gal}(K)$  in  $V_\ell(X)$  and  $V_\ell(Y)$  are completely reducible. It follows easily that the dual Galois representation in  $\text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), \mathbb{Q}_\ell)$  is also completely reducible. Since  $\mathbb{Q}_\ell$  has characteristic zero, it follows from a theorem of Chevalley [1, p. 88] that the Galois representation in the tensor product  $\text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), \mathbb{Q}_\ell) \otimes_{\mathbb{Q}_\ell} V_\ell(Y) = \text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), V_\ell(Y)) =: V_1$  is completely reducible. The complete reducibility implies easily that  $V_1$  is an irreducible Galois representation, because the centralizer is a division algebra. Recall that  $\text{Hom}(X, Y) \otimes \mathbb{Q}_\ell$  is a Galois-invariant subspace in  $\text{Hom}_{\mathbb{Q}_\ell}(V_\ell(X), V_\ell(Y)) = V_1$ . The irreducibility of  $V_1$  implies that either  $\text{Hom}(X, Y) \otimes \mathbb{Q}_\ell = 0$  or  $\text{Hom}(X, Y) \otimes \mathbb{Q}_\ell = V_1$ .

If  $\text{Hom}(X, Y) \otimes \mathbb{Q}_\ell = 0$  then  $\text{Hom}(X, Y) = 0$  and therefore  $\text{Hom}(Y, X) = 0$ .

If  $\text{Hom}(X, Y) \otimes \mathbb{Q}_\ell = V_1$  then the rank of the free commutative group  $\text{Hom}(X, Y)$  coincides with the dimension of the  $\mathbb{Q}_\ell$ -vector space  $V_1$ . Clearly,  $V_1$  has dimension  $4 \dim(X) \dim(Y)$ . It is proven in proposition 3.3 of [43] that if  $A$  and  $B$  are abelian varieties over an algebraically closed field  $\mathcal{K}$  and the rank of  $\text{Hom}(A, B)$  equals  $4 \dim(A) \dim(B)$  then  $\text{char}(\mathcal{K}) > 0$  and both  $A$  and  $B$  are supersingular abelian varieties. Applying this result to  $X$  and  $Y$ , we conclude that  $\text{char}(K) = \text{char}(K_a) > 0$  and both  $X$  and  $Y$  are supersingular abelian varieties.  $\square$

### 3. Hyperelliptic jacobians

In this section we deal with the case of  $\ell = 2$ . Suppose that  $\text{char}(K) \neq 2$ . Let  $f(x) \in K[x]$  be a polynomial of degree  $n \geq 3$  without multiple roots. Let  $\mathfrak{R}_f \subset K_a$  be the set of roots of  $f$ . Clearly,  $\mathfrak{R}_f$  consists of  $n$  elements. Let  $K(\mathfrak{R}_f) \subset K_a$  be the splitting field of  $f$ . Clearly,  $K(\mathfrak{R}_f)/K$  is a Galois extension and we write  $\text{Gal}(f)$  for its Galois group  $\text{Gal}(K(\mathfrak{R}_f)/K)$ . By definition,  $\text{Gal}(K(\mathfrak{R}_f)/K)$  permutes elements of  $\mathfrak{R}_f$ ; further we identify  $\text{Gal}(f)$  with the corresponding subgroup of  $\text{Perm}(\mathfrak{R}_f)$  where  $\text{Perm}(\mathfrak{R}_f)$  is the group of permutations of  $\mathfrak{R}_f$ .

We write  $\mathbb{F}_2^{\mathfrak{R}_f}$  for the  $n$ -dimensional  $\mathbb{F}_2$ -vector space of maps  $h : \mathfrak{R}_f \rightarrow \mathbb{F}_2$ . The space  $\mathbb{F}_2^{\mathfrak{R}_f}$  is provided with a natural action of  $\text{Perm}(\mathfrak{R}_f)$  defined as follows. Each  $s \in \text{Perm}(\mathfrak{R}_f)$  sends a map  $h : \mathfrak{R}_f \rightarrow \mathbb{F}_2$  to  $sh : \alpha \mapsto h(s^{-1}(\alpha))$ . The permutation module  $\mathbb{F}_2^{\mathfrak{R}_f}$  contains the  $\text{Perm}(\mathfrak{R}_f)$ -stable hyperplane

$$(\mathbb{F}_2^{\mathfrak{R}_f})^0 = \left\{ h : \mathfrak{R}_f \rightarrow \mathbb{F}_2 \mid \sum_{\alpha \in \mathfrak{R}_f} h(\alpha) = 0 \right\}$$

and the  $\text{Perm}(\mathfrak{R}_f)$ -invariant line  $\mathbb{F}_2 \cdot 1_{\mathfrak{R}_f}$  where  $1_{\mathfrak{R}_f}$  is the constant function 1. Clearly,  $(\mathbb{F}_2^{\mathfrak{R}_f})^0$  contains  $\mathbb{F}_2 \cdot 1_{\mathfrak{R}_f}$  if and only if  $n$  is even.

If  $n$  is even then let us define the  $\text{Gal}(f)$ -module  $Q_{\mathfrak{R}_f} := (\mathbb{F}_2^{\mathfrak{R}_f})^0 / (\mathbb{F}_2 \cdot 1_{\mathfrak{R}_f})$ . If  $n$  is odd then let us put  $Q_{\mathfrak{R}_f} := (\mathbb{F}_2^{\mathfrak{R}_f})^0$ . If  $n \neq 4$  the natural representation of  $\text{Gal}(f)$  is faithful, because in this case the natural homomorphism  $\text{Perm}(\mathfrak{R}_f) \rightarrow \text{Aut}_{\mathbb{F}_2}(Q_{\mathfrak{R}_f})$  is injective.

**Remark 3.1.** — It is known [15, Satz 4], that  $\text{End}_{\text{Gal}(f)}(Q_{\mathfrak{R}_f}) = \mathbb{F}_2$  if either  $n$  is odd and  $\text{Gal}(f)$  acts doubly transitively on  $\mathfrak{R}_f$  or  $n$  is even and  $\text{Gal}(f)$  acts 3-transitively on  $\mathfrak{R}_f$ .

The canonical surjection  $\text{Gal}(K) \twoheadrightarrow \text{Gal}(K(\mathfrak{R}_f)/K) = \text{Gal}(f)$  provides  $Q_{\mathfrak{R}_f}$  with a natural structure of  $\text{Gal}(K)$ -module. Let  $C_f$  be the hyperelliptic curve  $y^2 = f(x)$  and  $J(C_f)$  its jacobian. It is well-known that  $J(C_f)$  is a  $[(n-1)/2]$ -dimensional abelian variety defined over  $K$ . It is also well-known that the  $\text{Gal}(K)$ -modules  $J(C_f)_2$  and  $Q_{\mathfrak{R}_f}$  are isomorphic (see for instance [25, 27, 39]). It follows that if  $n \neq 4$  then

$$\text{Gal}(f) = \tilde{G}_{2,J(C_f)}.$$

It follows from Remark 3.1 that if either  $n$  is odd and  $\text{Gal}(f)$  acts doubly transitively on  $\mathfrak{R}_f$  or  $n$  is even and  $\text{Gal}(f)$  acts 3-transitively on  $\mathfrak{R}_f$  then

$$\text{End}_{\tilde{G}_{2,J(C_f)}}(J(C_f)_2) = \mathbb{F}_2.$$

It is also clear that  $K(J(C_f)_2) \subset K(\mathfrak{R}_f)$ . (The equality holds if  $n \neq 4$ .)

The next assertion follows immediately from Theorem 1.6, Corollaries 1.8 and 1.10 (applied to  $X = J(C_f)$ ,  $\ell = 2$ ,  $\mathcal{G} = \text{Gal}(f)$ ).

**Theorem 3.2.** — *Let  $K$  be a field of characteristic different from 2, let  $n \geq 5$  be an integer,  $g = [(n-1)/2]$  and  $f(x) \in K[x]$  a polynomial of degree  $n$ . Suppose that either  $n$  is odd and  $\text{Gal}(f)$  acts doubly transitively on  $\mathfrak{R}_f$  or  $n$  is even and  $\text{Gal}(f)$  acts 3-transitively on  $\mathfrak{R}_f$ . Assume also that  $\text{Gal}(f)$  is a simple nonabelian group that does not contain a subgroup of index dividing  $g$  except  $\text{Gal}(f)$  itself. If  $g$  is odd then  $\text{End}^0(J(C_f))$  enjoys one of the following properties:*

(i)  $\text{End}^0(J(C_f))$  is isomorphic to the matrix algebra  $M_d(\mathbb{Q})$  where  $d$  divides  $g$ . If  $d > 1$  there exist a finite perfect group  $\Pi \subset \text{GL}(d, \mathbb{Z})$  and a surjective homomorphism  $\Pi \rightarrow \text{Gal}(f)$  such that every prime dividing  $\#(\Pi)$  also divides  $\#(\text{Gal}(f))$ .

(ii)  $p := \text{char}(K)$  is a prime dividing  $\#(\text{Gal}(f))$  and  $\text{End}^0(J(C_f))$  is isomorphic to the matrix algebra  $M_d(\mathbb{H}_p)$  where  $d > 1$  divides  $g$ .

**Example 3.3.** — Suppose that  $n = 5$  and  $\text{Gal}(f)$  is the alternating group  $A_5$  acting doubly transitively on  $\mathfrak{R}_f$ . Clearly,  $g = 2$  and  $\text{Gal}(f)$  is a simple nonabelian group without subgroups of index 2. Applying Theorem 3.2, we conclude that  $\text{End}^0(J(C_f))$  is either  $\mathbb{Q}$  or  $M_2(\mathbb{Q})$  or  $M_2(\mathbb{H})$  where  $\mathbb{H}$  is a quaternion  $\mathbb{Q}$ -algebra unramified outside  $\{\infty, 2, 3, 5\}$ ; in addition  $\mathbb{H} \cong \mathbb{H}_p$  if  $p := \text{char}(K) > 0$ . Suppose that  $\text{End}(J(C_f)) \neq \mathbb{Z}$  and therefore  $\text{End}^0(J(C_f)) \neq \mathbb{Q}$ . If  $\text{End}^0(J(C_f)) = M_2(\mathbb{Q})$  then  $\text{GL}(2, \mathbb{Q}) = M_2(\mathbb{Q})^*$  contains a finite group, whose order divides 5, which is not the case. This implies

that  $\text{End}^0(J(C_f)) = M_2(\mathbb{H})$ . This means that  $J(C_f)$  is supersingular and therefore  $p := \text{char}(K) > 0$ . This implies that  $p = 3$  or  $p = 5$ .

We conclude that either  $\text{End}(J(C_f)) = \mathbb{Z}$  or  $\text{char}(K) \in \{3, 5\}$  and  $J(C_f)$  is a supersingular abelian variety. In fact, it is known [46] that if  $\text{char}(K) = 5$  then  $\text{End}(J(C_f)) = \mathbb{Z}$ . On the other hand, one may find a supersingular  $J(C_f)$  in characteristic 3 [46].

Example 3.3 is a special case of the following general result proven by the author [38, 42, 46]. *Suppose that  $n \geq 5$  and  $\text{Gal}(f)$  is the alternating group  $A_n$  acting on  $\mathfrak{R}_f$ . If  $\text{char}(K) = 3$  we assume additionally that  $n \geq 7$ . Then  $\text{End}(J(C_f)) = \mathbb{Z}$ .*

We refer the reader to [18, 19, 11, 12, 16, 13, 38, 40, 42, 41, 44, 45] for a discussion of other known results about, and examples of, hyperelliptic jacobians without complex multiplication.

**Corollary 3.4.** — *Suppose that  $n = 7$  and  $\text{Gal}(f) = \text{SL}_3(\mathbb{F}_2) \cong \text{PSL}_2(\mathbb{F}_7)$  acts doubly transitively on  $\mathfrak{R}_f$ . Then  $\text{End}^0(J(C_f)) = \mathbb{Q}$  and therefore  $\text{End}(J(C_f)) = \mathbb{Z}$ .*

*Proof.* — We have  $g = \dim(J(C_f)) = 3$ . Since  $\text{PSL}_2(\mathbb{F}_7)$  is a simple nonabelian group it does not contain a subgroup of index 3. So, we may apply Theorem 3.2. We obtain that if  $\text{End}^0(J(C_f)) \neq \mathbb{Q}$  then either  $\text{End}^0(J(C_f)) = M_3(\mathbb{Q})$  and there exist a finite perfect group  $\Pi \subset \text{GL}(3, \mathbb{Z})$  and a surjective homomorphism  $\Pi \twoheadrightarrow \text{Gal}(f) = \text{PSL}_2(\mathbb{F}_7)$  or  $\text{End}^0(J(C_f)) = M_3(\mathbb{H}_p)$  where  $p = \text{char}(K)$  is either 3 or 7. The case of  $\text{End}^0(J(C_f)) = M_3(\mathbb{H}_p)$  means that  $J(C_f)$  is supersingular, which is not true ([46], Th. 3.1). Hence  $\text{End}^0(J(C_f)) = M_3(\mathbb{Q})$  and  $\text{GL}(3, \mathbb{Z})$  contains a finite group of order dividing 7. It follows that  $\text{GL}(3, \mathbb{Z})$  contains an element of order dividing 7, which is not true. The obtained contradiction proves that  $\text{End}^0(J(C_f)) = \mathbb{Q}$  and therefore  $\text{End}(J(C_f)) = \mathbb{Z}$ .  $\square$

**Corollary 3.5.** — *Suppose that  $n = 11$  and  $\text{Gal}(f) = \text{PSL}_2(\mathbb{F}_{11})$  acts doubly transitively on  $\mathfrak{R}_f$ . Then  $\text{End}^0(J(C_f)) = \mathbb{Q}$  and therefore  $\text{End}(J(C_f)) = \mathbb{Z}$ .*

*Proof.* — We have  $g = \dim(J(C_f)) = 5$ . It is known [2] that  $\text{PSL}_2(\mathbb{F}_{11})$  is a simple nonabelian subgroup not containing a subgroup of index 5. So, we may apply Theorem 3.2. We obtain that if  $\text{End}^0(J(C_f)) \neq \mathbb{Q}$  then either  $\text{End}^0(J(C_f)) = M_5(\mathbb{Q})$  and there exist a finite perfect group  $\Pi \subset \text{GL}(5, \mathbb{Z})$  and a surjective homomorphism  $\Pi \twoheadrightarrow \text{Gal}(f) = \text{PSL}_2(\mathbb{F}_{11})$  or  $\text{End}^0(J(C_f)) = M_5(\mathbb{H}_p)$  where  $p = \text{char}(K)$  is either 3 or 5 or 11.

Assume that  $\text{End}^0(J(C_f)) = M_5(\mathbb{Q})$ . Then  $\text{GL}(5, \mathbb{Z})$  contains a finite group, whose order is divisible by 11. It follows that  $\text{GL}(5, \mathbb{Z})$  contains an element of order 11, which is not true. Hence  $\text{End}^0(J(C_f)) \neq M_5(\mathbb{Q})$ .

Assume that  $\text{End}^0(J(C_f)) = M_5(\mathbb{H}_p)$  where  $p$  is either 3 or 5 or 11. This implies that  $J(C_f)$  is a supersingular abelian variety.



Notice that every homomorphism from simple  $\mathrm{PSL}_2(\mathbb{F}_{11})$  to  $\mathrm{GL}(4, \mathbb{F}_2)$  is trivial, because 11 divides  $\#(\mathrm{PSL}_2(\mathbb{F}_{11}))$  but  $\#(\mathrm{GL}(4, \mathbb{F}_2))$  is *not* divisible by 11. Since  $4 = g - 1$ , it follows from Theorem 3.3 of [46] (applied to  $g = 5, X = J(C_f), G = \mathrm{Gal}(f) = \mathrm{PSL}_2(\mathbb{F}_{11})$ ) that there exists a central extension  $\pi_1 : G_1 \rightarrow \mathrm{PSL}_2(\mathbb{F}_{11})$  such that  $G_1$  is perfect,  $\ker(\pi_1)$  is a cyclic group of order 1 or 2 and  $M_5(\mathbb{H}_p)$  is a direct summand of the group  $\mathbb{Q}$ -algebra  $\mathbb{Q}[G_1]$ . It follows easily that  $G_1 = \mathrm{PSL}_2(\mathbb{F}_{11})$  or  $\mathrm{SL}_2(\mathbb{F}_{11})$ . It is known [10, 7] that  $\mathbb{Q}[\mathrm{PSL}_2(\mathbb{F}_{11})]$  is a direct sum of matrix algebras over fields. Hence  $G_1 = \mathrm{SL}_2(\mathbb{F}_{11})$  and the direct summand  $M_5(\mathbb{H}_p)$  corresponds to a faithful ordinary irreducible character  $\chi$  of  $\mathrm{SL}_2(\mathbb{F}_{11})$  with degree 10 and  $\mathbb{Q}(\chi) = \mathbb{Q}$ . This implies that in notations of [4, §38],  $\chi = \theta_j$  where  $j$  is an odd integer such that  $1 \leq j \leq (11 - 1)/2 = 5$  and either  $6j$  is divisible by  $11 + 1 = 12$  or  $4j$  is divisible by 12 ([7], Th.6.2 on p.285). This implies that  $j = 3$  and  $\chi = \theta_3$ . However, the direct summand attached to  $\theta_3$  is ramified at 2 ([10, the case (c) on p.4]; [7, theorem 6.1(iii) on p.284]). Since  $p \neq 2$ , we get a contradiction which proves that  $J(C_f)$  is not supersingular. This implies that  $\mathrm{End}^0(J(C_f)) = \mathbb{Q}$  and therefore  $\mathrm{End}(J(C_f)) = \mathbb{Z}$ . □

**Corollary 3.6.** — *Suppose that  $n = 12$  and  $\mathrm{Gal}(f)$  is the Mathieu group  $\mathbf{M}_{11}$  acting 3-transitively on  $\mathfrak{R}_f$ . Then  $\mathrm{End}(J(C_f)) = \mathbb{Z}$ .*

*Proof.* — Let  $\alpha$  be a root of  $f(x)$  and  $K_1 = K(\alpha)$ . Clearly, the stabilizer of  $\alpha$  in  $\mathrm{Gal}(f) = \mathbf{M}_{11}$  is  $\mathrm{PSL}_2(\mathbb{F}_{11})$  acting doubly transitively on the roots of  $f_1(x) = f(x)/(x - \alpha) \in K_1[x]$ . Let us put  $h(x) = f_1(x + \alpha) \in K_1[x], h(x) = x^{11}h(1/x) \in K_1[x]$ . Clearly,  $\deg(h_1) = 11$  and  $\mathrm{Gal}(h_1) = \mathrm{PSL}_2(\mathbb{F}_{11})$  acts doubly transitively on the roots of  $h_1$ . By Corollary 3.5,  $\mathrm{End}(J(C_{h_1})) = \mathbb{Z}$ . On the other hand, the standard substitution  $x_1 = 1/(x - \alpha), y_1 = y/(x - \alpha)^6$  establishes a birational isomorphism between  $C_f$  and  $C_{h_1} : y_1^2 = h_1(x_1)$ . This implies that  $J(C_f) \cong J(C_{h_1})$  and therefore  $\mathrm{End}(J(C_f)) = \mathbb{Z}$ . □

In characteristic zero the assertions of Corollaries 3.4, 3.5 and 3.6 were earlier proven in [46, 39].

**Corollary 3.7.** — *Suppose that  $\deg(f) = n$  where  $n = 22, 23$  or  $24$  and  $\mathrm{Gal}(f)$  is the corresponding (at least) 3-transitive Mathieu group  $\mathbf{M}_n \subset \mathrm{Perm}(\mathfrak{R}_f) \cong \mathbf{S}_n$ . Then  $\mathrm{End}(J(C_f)) = \mathbb{Z}$ .*

*Proof.* — First, assume that  $n = 23$  or  $24$ . We have  $g = \dim(J(C_f)) = 11$ . It is known that both  $\mathbf{M}_{23}$  and  $\mathbf{M}_{24}$  do not contain a subgroup of index 11 [2]. So, we may apply Theorem 3.2 and obtain that if  $\mathrm{End}(J(C_f)) \neq \mathbb{Z}$  then  $\mathrm{End}^0(J(C_f)) \neq \mathbb{Q}$  and one of the following conditions holds:

- (i)  $\mathrm{End}^0(J(C_f)) = \mathbf{M}_{11}(\mathbb{Q})$  and there exist a finite perfect group  $\Pi \subset \mathrm{GL}(11, \mathbb{Z})$  and a surjective homomorphism  $\Pi \rightarrow \mathrm{Gal}(f) = \mathbf{M}_n$ ;
- (ii)  $p = \mathrm{char}(K) \in \{3, 5, 7, 11, 23\}$  and  $\mathrm{End}^0(J(C_f)) = \mathbf{M}_{11}(\mathbb{H}_p)$ .

Assume that the condition (i) holds. Then  $\text{End}^0(J(C_f)) = M_{11}(\mathbb{Q})$  and  $\text{GL}(11, \mathbb{Z})$  contains a finite group, whose order is divisible by 23. It follows that  $\text{GL}(11, \mathbb{Z})$  contains an element of order 23, which is not true. The obtained contradiction proves that the condition (i) is not fulfilled.

Hence the condition (ii) holds. Then  $p = \text{char}(K) \in \{3, 5, 7, 11, 23\}$  and there exist a finite perfect subgroup  $\Pi \subset \text{End}^0(J(C_f))^* = \text{GL}(11, \mathbb{H}_p)$  and a surjective homomorphism  $\pi : \Pi \twoheadrightarrow \mathbf{M}_n$ . Replacing  $\Pi$  by a suitable subgroup, we may and will assume that no proper subgroup of  $\Pi$  maps onto  $\mathbf{M}_n$ . By tensoring  $\mathbb{H}_p$  to the field of complex numbers (over  $\mathbb{Q}$ ), we obtain an embedding

$$\Pi \subset \text{GL}(11, \mathbb{H}_p) \subset \text{GL}(22, \mathbb{C}).$$

In particular, the (perfect) group  $\Pi$  admits a non-trivial projective 22-dimensional representation over  $\mathbb{C}$ . Recall that  $\mathbf{M}_n$  has Schur's multiplier 1 (since  $n = 23$  or  $24$ ) [2] and therefore all its projective representations are (obtained from) linear representations. Also, all nontrivial linear representations of  $\mathbf{M}_{24}$  have dimension  $\geq 23$ , because the smallest dimension of a nontrivial linear representation of  $\mathbf{M}_{24}$  is 23. It follows from results of Feit–Tits [8] that  $\Pi$  cannot have a non-trivial projective representation of dimension  $< 23$ . This implies that  $n \neq 24$ , *i.e.*  $n = 23$ .

Recall that 22 is the smallest possible dimension of a nontrivial representation of  $\mathbf{M}_{23}$  in characteristic zero, because its every irreducible representation in characteristic zero has dimension  $\geq 22$  [2]. It follows from a theorem of Feit–Tits ([8], pp. 1 and § 4; see also [14]) that the projective representation

$$\Pi \longrightarrow \text{GL}(11, \mathbb{H}_p)/\mathbb{Q}^* \subset \text{GL}(22, \mathbb{C})/\mathbb{C}^*$$

factors through  $\ker(\pi)$ . This means that  $\ker(\pi)$  lies in  $\mathbb{Q}^*$  and therefore  $\Pi$  is a central extension of  $\mathbf{M}_{23}$ . Now the perfectness of  $\Pi$  implies that  $\pi$  is an isomorphism, *i.e.*  $\Pi \cong \mathbf{M}_{23}$ .

Let us consider the natural homomorphism  $\mathbb{Q}[\mathbf{M}_{23}] \cong \mathbb{Q}[\Pi] \rightarrow M_{11}(\mathbb{H}_p)$  induced by the inclusion  $\Delta \subset M_{11}(\mathbb{H}_p)^*$ . It is surjective, because otherwise one may construct a (complex) nontrivial representation of  $\mathbf{M}_{23}$  of dimension  $< 22$ . This implies that  $M_{11}(\mathbb{H}_p)$  is isomorphic to a direct summand of  $\mathbb{Q}[\mathbf{M}_{23}]$ . But this is not true, since Schur indices of all irreducible representations of  $\mathbf{M}_{23}$  are equal to 1 [7, § 7] and therefore  $\mathbb{Q}[\mathbf{M}_{23}]$  splits into a direct sum of matrix algebras over fields. The obtained contradiction proves that the condition (ii) is not fulfilled. So,  $\text{End}(J(C_f)) = \mathbb{Z}$ .

Now let  $n = 22$ . Then  $g = 10$ . It is known that  $\mathbf{M}_{22}$  is a simple nonabelian group not containing a subgroup of index 10 [2]. Let us assume that  $\text{End}^0(J(C_f)) \neq \mathbb{Q}$ . Applying Theorem 1.6, we conclude that there exists a positive integer  $d$  dividing 10 such that either  $d > 1$  and  $\text{End}^0(J(C_f)) = M_d(\mathbb{Q})$  or  $\text{End}^0(J(C_f)) = M_d(\mathbb{H})$  where  $\mathbb{H}$  is a quaternion  $\mathbb{Q}$ -algebra unramified outside  $\infty$  and the prime divisors of  $\#(\mathbf{M}_{22})$ . In addition, there exist a finite perfect subgroup  $\Pi \subset \text{End}^0(J(C_f))^*$  and a surjective homomorphism  $\pi : \Pi \twoheadrightarrow \mathbf{M}_{22}$ . Replacing  $\Pi$  by a suitable subgroup, we may and will assume (without losing the perfectness) that no proper subgroup of  $\Pi$  maps onto  $\mathbf{M}_n$ .

By Lemma 3.13 on pp. 200–201 of [41], every homomorphism from  $\Pi$  to  $\mathrm{PSL}(10, \mathbb{R})$  is trivial. The perfectness of  $\Pi$  implies that every homomorphism from  $\Pi$  to  $\mathrm{PGL}(10, \mathbb{R})$  is trivial. Since  $M_d(\mathbb{Q})^* = \mathrm{GL}(d, \mathbb{Q}) \subset \mathrm{GL}(10, \mathbb{R})$ , we conclude that  $\mathrm{End}^0(J(C_f)) \neq M_d(\mathbb{Q})$  and therefore  $\mathrm{End}^0(J(C_f)) = M_d(\mathbb{H})$ .

If  $d = 10$  then  $p := \mathrm{char}(K) > 0$  and  $J(C_f)$  is a supersingular abelian variety.

Assume that  $d \neq 10$ , i.e.  $d = 1, 2$  or  $5$ . If  $H$  is unramified at  $\infty$  then there exists an embedding  $\mathbb{H} \hookrightarrow M_2(\mathbb{R})$ . This gives us the embeddings

$$\Pi \subset M_d(\mathbb{H})^* \hookrightarrow M_{2d}(\mathbb{R})^* = \mathrm{GL}(2d, \mathbb{R}) \subset \mathrm{GL}(10, \mathbb{R})$$

and therefore there is a nontrivial homomorphism from  $\Pi$  to  $\mathrm{PGL}(10, \mathbb{R})$ . The obtained contradiction proves that  $\mathbb{H}$  is ramified at  $\infty$ .

There exists an embedding  $\mathbb{H} \hookrightarrow M_4(\mathbb{Q}) \subset M_4(\mathbb{R})$ . This implies that if  $d = 1$  or  $2$  then there are embeddings

$$\Pi \subset M_d(\mathbb{H})^* \hookrightarrow M_{4d}(\mathbb{R})^* = \mathrm{GL}(4d, \mathbb{R}) \subset \mathrm{GL}(10, \mathbb{R})$$

and therefore there is a nontrivial homomorphism from  $\Pi$  to  $\mathrm{PGL}(10, \mathbb{R})$ . The obtained contradiction proves that  $d = 5$ . This means that there exists an abelian surface  $Y$  over  $K_a$  such that  $J(C_f)$  is isogenous to  $Y^5$  and  $\mathrm{End}^0(Y) = \mathbb{H}$ . However, there do not exist abelian surfaces, whose endomorphism algebra is a definite quaternion algebra over  $\mathbb{Q}$ . This result is well-known in characteristic zero (see, for instance [24]); the positive characteristic case was done by Oort [23, Lemma 4.5 on p. 490]. Hence  $d \neq 5$ . This implies that  $d = 10$  and  $J(C_f)$  is a supersingular abelian variety.

Since  $\mathbf{M}_{22}$  is a simple group and  $11 \mid \#(\mathbf{M}_{22})$ , every homomorphism from  $\mathbf{M}_{22}$  to  $\mathrm{GL}(9, \mathbb{F}_2)$  is trivial, because  $\#(\mathrm{GL}(9, \mathbb{F}_2))$  is not divisible by 11. Since  $9 = g - 1$ , it follows from Theorem 3.3 of [46] (applied to  $g = 10, X = J(C_f), G = \mathrm{Gal}(f) = \mathbf{M}_{22}$ ) that there exists a central extension  $\pi_1 : G_1 \rightarrow \mathbf{M}_{22}$  such that  $G_1$  is perfect,  $\ker(\pi_1)$  is a cyclic group of order 1 or 2 and there exists a faithful 20-dimensional absolutely irreducible representation of  $G_1$  in characteristic zero. However, such a central extension with 20-dimensional irreducible representation does not exist [2].  $\square$

Combining Corollary 3.7 with previous author’s results [39, 42] concerning small Mathieu groups, we obtain the following statement.

**Theorem 3.8.** — *Suppose that  $n \in \{11, 12, 22, 23, 24\}$  and  $\mathrm{Gal}(f)$  is the corresponding Mathieu group  $\mathbf{M}_n \subset \mathrm{Perm}(\mathfrak{R}_f) \cong \mathbf{S}_n$ . Then  $\mathrm{End}(J(C_f)) = \mathbb{Z}$ .*

In characteristic zero the assertion of Theorem 3.8 was earlier proven in [39, 41].

**Theorem 3.9.** — *Suppose that  $n = 15$  and  $\mathrm{Gal}(f)$  is the alternating group  $\mathbb{A}_7$  acting doubly transitively on  $\mathfrak{R}_f$ . Then either  $\mathrm{End}(J(C_f)) = \mathbb{Z}$  or  $J(C_f)$  is isogenous over  $K_a$  to a product of elliptic curves.*

*Proof.* — We have  $g = 7$ . Unfortunately,  $A_7$  has a subgroup of index 7. However,  $A_7$  is simple nonabelian and does not have a normal subgroup of index 7. Applying

Theorem 1.6 to  $X = J(C_f)$ ,  $g = 7$ ,  $\ell = 2$ ,  $\mathcal{G} = \text{Gal}(f) = \mathbb{A}_7$ , we obtain that either  $J(C_f)$  is isogenous to a product of elliptic curves (case (a)) or  $\text{End}^0(J(C_f))$  is a central simple  $\mathbb{Q}$ -algebra (case (b)). If  $\text{End}^0(J(C_f))$  is a matrix algebra over  $\mathbb{Q}$  then either  $\text{End}^0(J(C_f)) = \mathbb{Q}$  (i.e.,  $\text{End}(J(C_f)) = \mathbb{Z}$ ) or  $\text{End}^0(J(C_f)) = M_7(\mathbb{Q})$  (i.e.,  $J(C_f)$  is isogenous to the 7th power of an elliptic curve without complex multiplication).

If the central simple  $\mathbb{Q}$ -algebra  $\text{End}^0(J(C_f))$  is not a matrix algebra over  $\mathbb{Q}$  then there exists a quaternion  $\mathbb{Q}$ -algebra  $\mathbb{H}$  such that either  $\text{End}^0(J(C_f)) = \mathbb{H}$  or  $\text{End}^0(J(C_f)) = M_7(\mathbb{H})$ . If  $\text{End}^0(J(C_f)) = M_7(\mathbb{H})$  then  $J(C_f)$  is a supersingular abelian variety and therefore is isogenous to a product of elliptic curves.

Let us assume that  $\text{End}^0(J(C_f)) = \mathbb{H}$ . We need to arrive to a contradiction. Since  $7 = \dim(J(C_f))$  is odd,  $p = \text{char}(K) > 0$ . The same arguments as in the proof of Corollary 1.8 tell us that  $\mathbb{H} = \mathbb{H}_p$ . By Theorem 1.6(b3), there exist a perfect finite group  $\Pi \subset \text{End}^0(J(C_f))^* = \mathbb{H}_p^*$  and a surjective homomorphism  $\Pi \twoheadrightarrow \mathbb{A}_7$ . But Lemma 1.9 asserts that every finite subgroup in  $\mathbb{H}_p^*$  is solvable. The obtained contradiction proves that  $\text{End}^0(J(C_f)) \neq \mathbb{H}$ .  $\square$

**Theorem 3.10.** — *Suppose that  $n = q + 1$  where  $q \geq 5$  is a prime power that is congruent to  $\pm 3$  modulo 8. Suppose that  $\text{Gal}(f) = \text{PSL}_2(\mathbb{F}_q)$  acts doubly transitively on  $\mathfrak{X}_f$  (where  $\mathfrak{X}_f$  is identified with the projective line  $\mathbb{P}^1(\mathbb{F}_q)$ ). Then  $\text{End}^0(J(C_f))$  is a simple  $\mathbb{Q}$ -algebra, i.e.  $J(C_f)$  is either absolutely simple or isogenous to a power of an absolutely simple abelian variety.*

*Proof.* — Since  $n = q + 1$  is even,  $g = (q - 1)/2$ . It is known [20] that the  $\text{Gal}(f) = \text{PSL}_2(\mathbb{F}_q)$ -module  $Q_{\mathfrak{X}_f}$  is simple and the centralizer of  $\text{PSL}_2(\mathbb{F}_q)$  in  $\text{End}_{\mathbb{F}_2}(Q_{\mathfrak{X}_f})$  is the field  $\mathbb{F}_4$ . On the other hand,  $\text{PSL}_2(\mathbb{F}_q)$  is a simple nonabelian group: we need to inspect its subgroups. The following statement will be proven later in this section.

**Lemma 3.11.** — *Let  $q \geq 5$  be a power of an odd prime. Then  $\text{PSL}_2(\mathbb{F}_q)$  does not contain a subgroup of index dividing  $(q - 1)/2$  except  $\text{PSL}_2(\mathbb{F}_q)$  itself.*

Recall that  $\tilde{G}_{2,J(C_f)} = \text{Gal}(f) = \text{PSL}_2(\mathbb{F}_q)$ . Now Theorem 3.10 follows readily from Theorem 1.5 combined with Lemma 3.11.  $\square$

*Proof of Lemma 3.11.* — Since  $\text{PSL}_2(\mathbb{F}_q)$  is a simple nonabelian subgroup, it does not contain a subgroup of index  $\leq 4$  except  $\text{PSL}_2(\mathbb{F}_q)$  itself. This implies that in the course of the proof we may assume that  $(q - 1)/2 \geq 5$ , i.e.,  $q \geq 11$ .

Recall that  $\#(\text{PSL}_2(\mathbb{F}_q)) = (q + 1)q(q - 1)/2$ . Let  $H \neq \text{PSL}_2(\mathbb{F}_q)$  be a subgroup in  $\text{PSL}_2(\mathbb{F}_q)$ . The list of subgroups in  $\text{PSL}_2(\mathbb{F}_q)$  given in [33, theorem 6.25 on p. 412] tells us that  $\#(H)$  divides either  $q \pm 1$  or  $q(q - 1)/2$  or 60 or  $(b + 1)b(b - 1)$  where  $b < q$  is a positive integer such that  $q$  is an integral power of  $b$ . This implies that if the index of  $H$  is a divisor of  $(q - 1)/2$  then either

- (1)  $(q + 1)q$  divides 60, or
- (2)  $(q + 1)q(q - 1)/2 \leq \frac{q-1}{2}(\sqrt{q} + 1)\sqrt{q}(\sqrt{q} - 1) = \frac{q-1}{2}(q - 1)\sqrt{q}$ .

In the case (1) we have  $q = 5$  which contradicts our assumption that  $q \geq 11$ . So, the case (2) holds. Clearly,  $(q + 1)\sqrt{q} \leq (q - 1)$  which is obviously not true.  $\square$

**Theorem 3.12.** — *Let  $K$  be a field of characteristic different from 2. Suppose that  $f(x)$  and  $h(x)$  are polynomials in  $K[x]$  enjoying the following properties:*

- (i)  $\deg(f) \geq 3$  and the Galois group  $\text{Gal}(f)$  acts doubly transitively on the set  $\mathfrak{R}_f$  of roots of  $f$ . If  $\deg(f)$  is even then this action is 3-transitive;
- (ii)  $\deg(h) \geq 3$  and the Galois group  $\text{Gal}(h)$  acts doubly transitively on the set  $\mathfrak{R}_h$  of roots of  $h$ . If  $\deg(h)$  is even then this action is 3-transitive;
- (iii) The splitting fields  $K(\mathfrak{R}_f)$  of  $f$  and  $K(\mathfrak{R}_h)$  of  $h$  are linearly disjoint over  $K$ .

Let  $J(C_f)$  be the jacobian of the hyperelliptic curve  $C_f : y^2 = f(x)$  and  $J(C_h)$  be the jacobian of the hyperelliptic curve  $C_h : y^2 = h(x)$ . Then either  $\text{Hom}(J(C_f), J(C_h)) = 0$ ,  $\text{Hom}(J(C_h), J(C_f)) = 0$  or  $\text{char}(K) > 0$  and both  $J(C_f)$  and  $J(C_h)$  are supersingular abelian varieties.

*Proof.* — Let us put  $X = J(C_f), Y = J(C_h)$ . The transitivity properties imply that  $\text{End}_{\tilde{G}_{2,X}}(X_2) = \mathbb{F}_2$  and  $\text{End}_{\tilde{G}_{2,Y}}(Y_2) = \mathbb{F}_2$ . The linear disjointness of  $K(\mathfrak{R}_f)$  and  $K(\mathfrak{R}_h)$  implies that the fields  $K(X_2) = K((J(C_f)_2) \subset K(\mathfrak{R}_f)$  and  $K(Y_2) = K((J(C_h)_2) \subset K(\mathfrak{R}_h)$  are also linearly disjoint over  $K$ . Now the assertion follows readily from Theorem 2.1 with  $\ell = 2$ .  $\square$

#### 4. Abelian varieties with multiplications

Let  $E$  be a number field. Let  $(X, i)$  be a pair consisting of an abelian variety  $X$  of positive dimension over  $K_a$  and an embedding  $i : E \hookrightarrow \text{End}^0(X)$ . Here  $1 \in E$  must go to  $1_X$ . It is well known [26] that the degree  $[E : \mathbb{Q}]$  divides  $2 \dim(X)$ , *i.e.*

$$d = d_X := \frac{2 \dim(X)}{[E : \mathbb{Q}]}$$

is a positive integer. Let us denote by  $\text{End}^0(X, i)$  the centralizer of  $i(E)$  in  $\text{End}^0(X)$ . Clearly,  $i(E)$  lies in the center of the finite-dimensional  $\mathbb{Q}$ -algebra  $\text{End}^0(X, i)$ . It follows that  $\text{End}^0(X, i)$  carries a natural structure of finite-dimensional  $E$ -algebra. If  $Y$  is (possibly) another abelian variety over  $K_a$  and  $j : E \hookrightarrow \text{End}^0(Y)$  is an embedding that sends 1 to the identity automorphism of  $Y$  then we write

$$\text{Hom}^0((X, i), (Y, j)) = \{u \in \text{Hom}^0(X, Y) \mid ui(c) = j(c)u \ \forall c \in E\}.$$

Clearly,  $\text{End}^0(X, i) = \text{Hom}^0((X, i), (X, i))$ . If  $m$  is a positive integer then we write  $i^{(m)}$  for the composition  $E \hookrightarrow \text{End}^0(X) \subset \text{End}^0(X^m)$  of  $i$  and the diagonal inclusion  $\text{End}^0(X) \subset \text{End}^0(X^m) = M_m(\text{End}^0(X))$ . Clearly,

$$\text{End}^0(X^m, i^{(m)}) = M_m(\text{End}^0(X, i)) \subset M_m(\text{End}^0(X)) = \text{End}^0(X^m).$$

**Remark 4.1.** — The  $E$ -algebra  $\text{End}^0(X, i)$  is semisimple. Indeed, in notations of Remark 1.4  $\text{End}^0(X) = \prod_{s \in \mathcal{I}} D_s$  where all  $D_s = \text{End}^0(X_s)$  are simple  $\mathbb{Q}$ -algebras. If  $\text{pr}_s : \text{End}^0(X) \rightarrow D_s$  is the corresponding projection map and  $D_{s,E}$  is the centralizer of  $\text{pr}_s i(E)$  in  $D_s$  then one may easily check that  $\text{End}^0(X, i) = \prod_{s \in \mathcal{I}} D_{s,E}$ . Clearly,  $\text{pr}_s i(E) \cong E$  is a simple  $\mathbb{Q}$ -algebra. It follows from Theorem 4.3.2 on p.104 of [9] that  $D_{s,E}$  is also a simple  $\mathbb{Q}$ -algebra. This implies that  $D_{s,E}$  is a simple  $E$ -algebra and therefore  $\text{End}^0(X, i)$  is a semisimple  $E$ -algebra. We write  $i_s$  for the composition  $\text{pr}_s i : E \hookrightarrow \text{End}^0(X) \rightarrow D_s \cong \text{End}^0(X_s)$ . Clearly,  $D_{s,E} = \text{End}^0(X_s, i_s)$  and

$$(5) \quad \text{End}^0(X, i) = \prod_{s \in \mathcal{I}} \text{End}^0(X_s, i_s).$$

It follows that  $\text{End}^0(X, i)$  is a simple  $E$ -algebra if and only if  $\text{End}^0(X)$  is a simple  $\mathbb{Q}$ -algebra, *i.e.*,  $X$  is isogenous to a self-product of (absolutely) simple abelian variety.

**Theorem 4.2**

- (i)  $\dim_E(\text{End}^0((X, i))) \leq 4 \cdot \dim(X)^2/[E : \mathbb{Q}]^2$ ;
- (ii) Suppose that  $\dim_E(\text{End}^0((X, i))) = 4 \cdot \dim(X)^2/[E : \mathbb{Q}]^2$ . Then:
  - (a)  $X$  is isogenous to a self-product of an (absolutely) simple abelian variety. Also  $\text{End}^0((X, i))$  is a central simple  $E$ -algebra, *i.e.*,  $E$  coincides with the center of  $\text{End}^0((X, i))$ . In addition,  $X$  is an abelian variety of CM-type.
  - (b) There exist an abelian variety  $Z$ , a positive integer  $m$ , an isogeny  $\psi : Z^m \rightarrow X$  and an embedding  $k : E \hookrightarrow \text{End}^0(Z)$  that sends 1 to  $1_Z$  such that:
    - (1)  $\text{End}^0(Z, k)$  is a central division algebra over  $E$  of dimension  $(2 \dim(Z)/[E : \mathbb{Q}])^2$  and  $\psi \in \text{Hom}^0((Z^r, k^{(m)}), (X, i))$ .
    - (2) If  $\text{char}(K_a) = 0$  then  $E$  contains a CM subfield and  $2 \dim(Z) = [E : \mathbb{Q}]$ . In particular,  $[E : \mathbb{Q}]$  is even.
    - (3) If  $E$  does not contain a CM-field (*e.g.*,  $E$  is a totally real number field) then  $\text{char}(K_a) > 0$  and  $X$  is a supersingular abelian variety.

*Proof.* — Recall that  $d = 2 \dim(X)/[E : \mathbb{Q}]$ . First, assume that  $X$  is isogenous to a self-product of an absolutely simple abelian variety, *i.e.*,  $\text{End}^0(X, i)$  is a simple  $E$ -algebra. We need to prove that

$$N := \dim_E(\text{End}^0(X, i)) \leq d^2.$$

Let  $C$  be the center of  $\text{End}^0(X)$ . Let  $E'$  be the center of  $\text{End}^0(X, i)$ . Clearly,

$$C \subset E' \subset \text{End}^0(X, i) \subset \text{End}^0(X).$$

Let us put  $e = [E' : E]$ . Then  $\text{End}^0(X, i)$  is a central simple  $E'$ -algebra of dimension  $N/e$ . Then there exists a central division  $E'$ -algebra  $D$  such that  $\text{End}^0(X, i)$  is isomorphic to the matrix algebra  $M_m(D)$  of size  $m$  for some positive integer  $m$ . Dimension arguments imply that

$$m^2 \dim_{E'}(D) = \frac{N}{e}, \quad \dim_{E'}(D) = \frac{N}{em^2}.$$

Since  $\dim_{E'}(D)$  is a square,

$$\frac{N}{e} = N_1^2, \quad N = eN_1^2, \quad \dim_{E'}(D) = \left(\frac{N_1}{m}\right)^2$$

for some positive integer  $N_1$ . Clearly,  $m$  divides  $N_1$ .

Clearly,  $D$  contains a (maximal) field extension  $L/E'$  of degree  $(N_1)/m$  and  $\text{End}^0(X, i) \cong M_m(D)$  contains every field extension  $T/L$  of degree  $m$ . This implies that

$$\text{End}^0(X) \supset \text{End}^0(X, i) \supset T$$

and the number field  $T$  has degree  $[T : \mathbb{Q}] = [E' : \mathbb{Q}] \cdot \frac{N_1}{m} \cdot m = [E : \mathbb{Q}]eN_1$ . But  $[T : \mathbb{Q}]$  must divide  $2 \dim(X)$  (see [30, proposition 2 on p. 36]); if the equality holds then  $X$  is an abelian variety of CM-type. This implies that  $eN_1$  divides  $d = 2 \dim(X)/[E : \mathbb{Q}]$ . It follows that  $(eN_1)^2$  divides  $d^2$ ; if the equality holds then  $[T : \mathbb{Q}] = 2 \dim(X)$  and therefore  $X$  is an abelian variety of CM-type. But  $(eN_1)^2 = e^2N_1^2 = e(eN_1^2) = eN = e \cdot \dim_E(\text{End}^0(X, i))$ . This implies that  $\dim_E(\text{End}^0(X, i)) \leq d^2/e \leq d^2$ , which proves (i).

Assume now that  $\dim_E(\text{End}^0(X, i)) = d^2$ . Then  $e = 1$  and

$$(eN_1)^2 = r^2, N_1 = d, [T : \mathbb{Q}] = [E : \mathbb{Q}]eN_1 = [E : \mathbb{Q}]d = 2 \dim(X);$$

in particular,  $X$  is an abelian variety of CM-type. In addition, since  $e = 1$ , we have  $E' = E$ , i.e.  $\text{End}^0(X, i)$  is a central simple  $E$ -algebra. We also have  $C \subset E$  and

$$\dim_E(D) = \dim_{E'}(D) = \left(\frac{N_1}{m}\right)^2 = \left(\frac{d}{m}\right)^2.$$

Since  $E$  is the center of  $D$ , it is also the center of the matrix algebra  $M_m(D)$ . Clearly, there exist an abelian variety  $Z$  over  $K_a$ , an embedding  $j : D \hookrightarrow \text{End}^0(Z)$  and an isogeny  $\psi : Z^m \rightarrow X$  such that the induced isomorphism

$$\psi_* : \text{End}^0(Z^m) \cong \text{End}^0(X), \quad u \mapsto \psi u \psi^{-1}$$

maps  $j(M_m(D)) := M_m(j(D)) \subset M_m(\text{End}^0(Z)) = \text{End}^0(Z^m)$  onto  $\text{End}^0(X, i)$ . Since  $E$  is the center of  $M_m(D)$  and  $i(E)$  is the center of  $\text{End}^0(X, i)$ , the isomorphism  $\psi_*$  maps  $j(E) \subset j(M_m(D)) = M_m(j(D)) \subset \text{End}^0(Z^m)$  onto  $i(E) \subset \text{End}^0(X)$ . In other words,  $\psi_* j(E) = i(E)$ . It follows that there exists an automorphism  $\sigma$  of the field  $E$  such that  $i = \psi_* j \sigma$  on  $E$ . It follows easily that if we put  $k := j \sigma : E \hookrightarrow \text{End}^0(Z)$  then  $\psi \in \text{Hom}((Z^m, k^{(m)}), (X, \psi))$ .

Clearly,  $k(E) = j(E)$  and therefore  $j(D) \subset \text{End}^0(Z, k)$ . Since  $M_m(\text{End}^0(Z, k)) \cong \text{End}^0(X, i) \cong M_m(D)$ , the dimension arguments imply that  $j(D) = \text{End}^0(Z, k)$  and therefore  $\text{End}^0(Z, k) \cong D$  is a division algebra. Clearly,

$$\dim(Z) = \frac{\dim(X)}{m}, \quad \dim_E(D) = \left(\frac{d}{m}\right)^2 = \left(\frac{2 \dim(X)}{[E : \mathbb{Q}]m}\right)^2 = \left(\frac{2 \dim(Z)}{[E : \mathbb{Q}]}\right)^2.$$

Let  $B$  be an absolutely simple abelian variety over  $K_a$  such that  $X$  is isogenous to a self-product  $B^r$  of  $B$  where the positive integer  $r = \dim(X)/\dim(B)$ . Then  $\text{End}^0(B)$  is

a central division algebra over  $C$ ; we define a positive integer  $g_0$  by  $\dim_C(\text{End}^0(B)) = g_0^2$ . Since  $\text{End}^0(X)$  contains a field of degree  $2 \dim(X)$ , it follows from Propositions 3 and 4 on pp.36–37 in [30] (applied to  $A = X$ ,  $K = C$ ,  $g = g_0$ ,  $m = \dim(B)$ ,  $f = [C : \mathbb{Q}]$ ) that  $2 \dim(B) = [C : \mathbb{Q}] \cdot g_0$ . Let  $T_0$  be a maximal subfield in the  $g_0^2$ -dimensional central division algebra  $\text{End}^0(B)$ . Well-known properties of maximal subfields of division algebras imply that  $T_0$  contains the center  $C$  and  $[T_0 : C] = g_0$ . It follows that  $[T_0 : \mathbb{Q}] = [C : \mathbb{Q}][T_0 : C] = [C : \mathbb{Q}] \cdot g_0 = 2 \dim(B)$  and therefore  $\text{End}^0(B)$  contains a field of degree  $2 \dim(B)$ . This implies that  $B$  is an absolutely simple abelian variety of CM-type; in terminology of [22],  $B$  is an absolutely simple abelian variety with *sufficiently many complex multiplications*.

Assume now that  $\text{char}(K_a) = 0$ . We need to check that  $2 \dim(Z) = [E : \mathbb{Q}]$  and  $E$  contains a CM-field. Indeed, since  $D$  is a division algebra, it follows from Albert's classification [21, 23] that  $\dim_{\mathbb{Q}}(D)$  divides  $2 \dim(Z) = 2 \dim(X)/m = [E : \mathbb{Q}]d/m$ . On the other hand,  $\dim_{\mathbb{Q}}(D) = [E : \mathbb{Q}] \dim_E(D) = [E : \mathbb{Q}] (d/m)^2$ . Since  $m$  divides  $d$ , we conclude that  $d/m = 1$ , i.e.,  $\dim_E(D) = 1$ ,  $D = E$ ,  $2 \dim(Z) = [E : \mathbb{Q}]$ . In other words,  $\text{End}^0(Z)$  contains the field  $E$  of degree  $2 \dim(Z)$ . It follows from Theorem 1 on p.40 in [30] (applied to  $F = E$ ) that  $E$  contains a CM-field.

Now let us drop the assumption about  $\text{char}(K_a)$  and assume instead that  $E$  does not contain a CM subfield. It follows that  $\text{char}(K) > 0$ . Since  $C$  lies in  $E$ , it is totally real. Since  $B$  is an absolutely simple abelian variety with *sufficiently many complex multiplications* it is isogenous to an absolutely simple abelian variety  $W$  defined over a finite field [22] and  $\text{End}^0(B) \cong \text{End}^0(W)$ . In particular, the center of  $\text{End}^0(W)$  is isomorphic to  $C$  and therefore is a totally real number field. It follows from the Honda–Tate theory [35] that  $W$  is a supersingular elliptic curve and therefore  $B$  is also a supersingular elliptic curve. Since  $X$  is isogenous to  $B^r$ , it is a supersingular abelian variety.

Now let us consider the case of arbitrary  $X$ . Applying the already proven case of Theorem 4.2(i) to each  $X_s$ , we conclude that

$$\dim_E(\text{End}^0(X_s, i)) \leq \left( \frac{2 \dim(X_s)}{[E : \mathbb{Q}]} \right)^2.$$

Applying (5), we conclude that

$$\begin{aligned} \dim_E(\text{End}^0(X, i)) &= \sum_{s \in \mathcal{I}} \dim_E(\text{End}^0(X_s, i_s)) \\ &\leq \sum_{s \in \mathcal{I}} \left( \frac{2 \dim(X_s)}{[E : \mathbb{Q}]} \right)^2 \leq \frac{(2 \sum_{s \in \mathcal{I}} \dim(X_s))^2}{[E : \mathbb{Q}]^2} = \frac{(2 \dim(X))^2}{[E : \mathbb{Q}]^2}. \end{aligned}$$

It follows that if the equality  $\dim_E(\text{End}^0(X, i)) = (2 \dim(X))^2/[E : \mathbb{Q}]^2$  holds then the set  $\mathcal{I}$  of indices  $s$  is a singleton, i.e.  $X = X_s$  is isogenous to a self-product of an absolutely simple abelian variety.  $\square$



### 5. Corrigendum to [46]

Page 629, proof of Lemma 6.1 (i). First, the Hasse–Witt/Cartier–Manin matrix of the hyperelliptic curve  $C$  is  $M^{(1/3)}$ . (The exponent was inadvertently distorted.) Second, the jacobian  $J(C)$  is a *supersingular* abelian surface if and only if  $MM^{(3)} = 0$ . (The product was mistakenly transposed.) Clearly,

$$\det(MM^{(3)}) = \det(M) \det(M)^3 = \det(M)^4 = (a_1 a_5)^4.$$

Hence, if  $MM^{(3)} = 0$  then  $a_1 = 0$ , because  $a_5 \neq 0$ . Suppose that  $a_1 = 0$ . Then

$$M = \begin{pmatrix} a_2 & 0 \\ a_5 & 0 \end{pmatrix}, \quad M^{(3)} = \begin{pmatrix} a_2^3 & 0 \\ a_5^3 & 0 \end{pmatrix}, \quad MM^{(3)} = \begin{pmatrix} a_2^4 & 0 \\ a_5 a_2^3 & 0 \end{pmatrix}.$$

We conclude that  $MM^{(3)} = 0$  if and only if  $a_1 = a_2 = 0$ . It follows that  $J(C)$  is a supersingular abelian surface if and only if  $a_1 = a_2 = 0$ . Since  $M \neq 0$ , the jacobian  $J(C)$  is not isomorphic to a product of two supersingular elliptic curves.

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