

## ON NONHOMOGENEOUS BIHARMONIC EQUATIONS INVOLVING CRITICAL SOBOLEV EXPONENT

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**Abstract:** In this paper we consider the problem  $\Delta^2 u = \lambda |u|^{q_c-2} u + f$  in  $\Omega$ ,  $u = \Delta u = 0$  on  $\partial\Omega$ , where  $q_c = 2N/(N-4)$ ,  $N > 4$ , is the limiting Sobolev exponent and  $\Omega$  is a smooth bounded domain in  $\mathbb{R}^N$ . Under some restrictions on  $f$  and  $\lambda$ , the existence of weak solution  $u$  is proved. Moreover  $u \geq 0$  for  $f \geq 0$  whenever  $\lambda \geq 0$ .

### 1 – Introduction

In this article, we show that the problem

$$(1.1) \quad (P_{\lambda,f}) \quad \begin{cases} \Delta(\Delta u) = \lambda |u|^{q_c-2} u + f & \text{in } \Omega, \\ u = \Delta u = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $\Omega$  is a smooth bounded domain in  $\mathbb{R}^N$ ,  $N > 4$ ,  $\Delta$  is the Laplacian operator and  $q_c = 2N/(N-4)$ , has weak solutions in  $H_\theta^2(\Omega) = H^2(\Omega) \cap H_0^1(\Omega)$  equipped with the norm

$$\|u\|_{H_\theta^2} = \left( \int_\Omega |\Delta u|^2 \right)^{1/2}.$$

To this end we consider the functional

$$(1.2) \quad F_\lambda(u) = \frac{1}{2} \int_\Omega |\Delta u|^2 dx - \frac{\lambda}{q_c} \int_\Omega |u|^{q_c} dx - \int_\Omega f u dx, \quad u \in H_\theta^2(\Omega), \quad \lambda > 0.$$

Under some suitable conditions, it is proved that (1.1) admits at least two solutions. Our arguments make use of the mountain pass theorem and of the Lions concentration-compactness principle.

Recently, Van der Vorst [10] considered the following problem

$$(1.3) \quad S = \inf \left\{ \int_{\Omega} |\Delta u|^2; u \in H_{\theta}^2(\Omega), \int_{\Omega} |u|^{q_c} = 1 \right\}.$$

He proved that the infimum in (1.3) is never achieved by a function  $u \in H_{\theta}^2(\Omega)$  when  $\Omega$  is bounded. In contrast Hadiji, Picard and the author in [7] considered the problem

$$(1.4) \quad S_{\varphi} = \inf \left\{ \int_{\Omega} |\Delta u|^2; u \in H_{\theta}^2(\Omega), \int_{\Omega} |u + \varphi|^{q_c} = 1 \right\}.$$

They showed that the infimum in (1.4) is achieved whenever  $\varphi$  is continuous and non identically equal to zero. More precisely it is shown that, for any minimizing sequence  $(u_m)$  for (1.4), there exists a subsequence  $(u_{m_k})$  and a function  $u \in H_{\theta}^2(\Omega)$  such that

$$u_{m_k} \rightharpoonup u \text{ weakly in } H_{\theta}^2(\Omega) \quad \text{and} \quad \|u + \varphi\|_{q_c} = 1.$$

On the other hand, Bernis et al. [1] considered a variant of (1.1) where  $f$  is replaced by  $\beta |u|^{p-2} u$ ,  $1 < p < 2$ . They proved the existence of at least two positive solutions for  $\beta$  sufficiently small. At this stage, we would like to mention that when  $\Omega = \mathbb{R}^N$  P.L. Lions [9] proved that  $S$  is achieved only by the function  $u_{\varepsilon}$  defined by

$$u_{\varepsilon}(x) = \frac{\left[ (N-4)(N-2)N(N+2)\varepsilon^2 \right]^{\frac{N-4}{8}}}{\left( \varepsilon + |x-a|^2 \right)^{\frac{N-4}{2}}}, \quad x \in \mathbb{R}^N,$$

for any  $a \in \mathbb{R}^N$  and any  $\varepsilon > 0$ . This note is organized as follows. In Section 2 we verify that  $F_{\lambda}$  satisfies the  $(PS)_c$  condition. In Section 3 we prove the existence of a local minimizer  $u$  of  $F_{\lambda}$ . Moreover, we show that  $u \geq 0$  whenever  $f \geq 0$  and  $\lambda \geq 0$ . Section 4 is devoted to the existence of a second solution to (1.4). The results presented in this paper have been announced in [6].

Notice that if  $f \equiv 0$ , the result of Section 3 is valid and gives the trivial solution  $u = 0$ . The method we adopt is closely related to the one of [3].

Before the verification of the  $(PS)_c$  condition, let us remark that if  $v$  is a solution to (1.1) then  $u = \lambda^{\frac{1}{q_c-2}} v$  satisfies

$$(1.5) \quad \begin{cases} \Delta(\Delta u) = |u|^{q_c-2} u + g & \text{in } \Omega, \\ u = \Delta u = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $g = \lambda^{\frac{1}{q_c-2}} f$ .

**2 – Verification of the  $(PS)_c$  condition**

Let  $\Omega$  be a bounded domain in  $\mathbb{R}^N$ ,  $N > 4$ , and  $f \in L^2(\Omega)$ . We denote by  $F_\lambda: H_\theta^2(\Omega) \rightarrow \mathbb{R}$  the functional defined by

$$(2.1) \quad F_\lambda(u) = \frac{1}{2} \int_\Omega |\Delta u|^2 dx - \frac{\lambda}{q_c} \int_\Omega |u|^{q_c} dx - \int_\Omega f u dx ,$$

where  $\Delta$  is the Laplacian operator and  $\lambda$  is a real parameter. We first look for critical points of  $F \stackrel{\text{def}}{=} F_1$ . We show that  $F$  satisfies the Palais-Smale condition in a suitable sublevel strip.

Let  $S$  be the best Sobolev embedding constant of  $H_\theta^2(\Omega)$  into  $L^{q_c}(\Omega)$ ; that is

$$(2.2) \quad S = \inf \left\{ \int_\Omega |\Delta u|^2; u \in H_\theta^2(\Omega), \int_\Omega |u|^{q_c} = 1 \right\}$$

and

$$(2.3) \quad K = \frac{N^{\frac{q}{q_c}}}{2q(4q_c)^{\frac{q}{q_c}}} \|f\|_q^q, \quad q = \frac{q_c}{q_c - 1} .$$

**Proposition 2.1.** *The functional  $F$  satisfies the  $(PS)_c$  condition in the sublevel strip  $(-\infty, \frac{2}{N} S^{\frac{N}{4}} - K)$ ; that is if  $\{u_m\}$  is a sequence in  $H_\theta^2(\Omega)$  such that*

$$(2.4) \quad F(u_m) \rightarrow c \quad \text{and} \quad dF(u_m) \rightarrow 0 \quad \text{in } H_\theta^{-2}(\Omega) ,$$

where

$$c < \frac{2}{N} S^{\frac{N}{4}} - K ,$$

then  $\{u_m\}$  contains a subsequence which converges strongly in  $H_\theta^2(\Omega)$ .

**Proof:** Let  $\{u_m\}$  be a sequence in  $H_\theta^2(\Omega)$  which satisfies (2.4). From (2.4) it is easy to see that  $\{u_m\}$  is bounded in  $H_\theta^2(\Omega)$ ; thus there is a subsequence  $\{u_{m_k}\}$ , and an element  $u$  of  $H_\theta^2(\Omega)$  such that

$$(2.5) \quad u_{m_k} \rightharpoonup u \quad \text{weakly in } H_\theta^2(\Omega)$$

and

$$(2.6) \quad u_{m_k} \rightarrow u \quad \text{strongly in } L^p(\Omega), \quad 1 \leq p < q_c \quad \text{and a.e. in } \overline{\Omega} .$$

The concentration-compactness Lemma of Lions [9] asserts the existence of at most a countable index set  $J$  and positive constants  $\{\nu_j\}$ ,  $j \in J$  such that

$$(2.7) \quad |u_{m_k}|^{q_c} \rightharpoonup |u|^{q_c} + \sum_{j \in J} \nu_j \delta_{x_j} ,$$

weakly in the sense of measures, and

$$(2.8) \quad |\Delta u_{m_k}|^2 \rightarrow \mu ,$$

for some positive bounded measure  $\mu$ . Moreover,

$$(2.9) \quad \mu \geq |\Delta u|^2 + \sum_{j \in J} S \nu_j^{\frac{N-4}{N}} \delta_{x_j} ,$$

where

$$(2.10) \quad x_j \in \bar{\Omega} \quad \text{and} \quad \nu_j = 0 \quad \text{or} \quad \nu_j \geq S^{\frac{N}{4}} .$$

We assert that  $\nu_j = 0$  for each  $j$ . If not, assume that  $\nu_{j_0} \neq 0$ , for some  $j_0$ . From the hypothesis (2.4),

$$\begin{aligned} c &= \lim_{k \rightarrow \infty} F(u_{m_k}) - \frac{1}{2} \langle dF(u_{m_k}), u_{m_k} \rangle , \\ c &\geq \frac{2}{N} \int_{\Omega} |u|^{q_c} - \frac{1}{2} \int_{\Omega} f u + \frac{2}{N} S^{\frac{N}{4}} . \end{aligned}$$

Using the Hölder inequality one has

$$c \geq \frac{2}{N} S^{\frac{N}{4}} - \frac{N^{\frac{q}{q_c}}}{2q (4q_c)^{\frac{q}{q_c}}} \|f\|_q^q .$$

This contradicts the hypothesis. Consequently  $\nu_j = 0$  for each  $j$  and

$$\lim_{k \rightarrow \infty} \int_{\Omega} |u_{m_k}|^{q_c} = \int_{\Omega} |u|^{q_c} ,$$

which implies

$$u_{m_k} \rightarrow u \quad \text{strongly in } H_{\theta}^2(\Omega) .$$

The proof is complete. ■

### 3 – Existence of a solution

In this part we consider the problem of finding solutions to  $(P_{\lambda, f})$ . We show, under suitable conditions on  $f$  and  $\lambda$ , that  $F_{\lambda}$  has an infimum on a small ball in  $H_{\theta}^2(\Omega)$ . We suppose first that  $\lambda = 1$ , and denote by  $F$  the functional  $F_1$ . The proof is based on the following lemma.

**Lemma 3.1.** *There exist constants  $r$  and  $R > 0$  such that if  $\|f\|_2 \leq R$ , then*

$$(3.1) \quad F(u) \geq 0 \quad \text{for all } \|u\|_{H_\theta^2(\Omega)} = r .$$

**Proof:** Thanks to the Sobolev and Hölder inequalities we have

$$(3.2) \quad F(u) \geq \frac{1}{2} \int_\Omega |\Delta u|^2 - \frac{1}{q_c} S^{-q_c} \left( \int_\Omega |\Delta u|^2 \right)^{\frac{q_c}{2}} - |\Omega|^{\frac{1}{2} - \frac{1}{q_c}} S^{-1} \|f\|_2 \left( \int_\Omega |\Delta u|^2 \right)^{1/2} .$$

Inequality (3.2) can be written

$$(3.3) \quad F(u) \geq h\left(\|u\|_{H_\theta^2}\right) ,$$

where

$$h(x) = \frac{1}{2} x^2 - \lambda_0 x^{q_c} - \lambda_1 x, \quad \lambda_0 = \frac{1}{q_c} S^{-q_c} \quad \text{and} \quad \lambda_1 = \|f\|_2 |\Omega|^{\frac{1}{2} - \frac{1}{q_c}} S^{-1} .$$

Let

$$g(x) = \frac{1}{2} x - \lambda_0 x^{q_c-1} - \lambda_1 \quad \text{for } x \geq 0 .$$

There exists  $\bar{\lambda} > 0$  such that, if  $0 < \lambda_1 \leq \bar{\lambda}$ ,  $g$  attains its positive maximum and we get (3.1), with

$$r = \left( \frac{q_c S^{q_c}}{2} \right)^{\frac{1}{q_c-1}} \quad \text{and} \quad R = |\Omega|^{-\frac{1}{2} + \frac{1}{q_c}} S \bar{\lambda} ,$$

thanks to (3.3). ■

**Remark 3.1.** Arguing as above we can see that there exists a constant  $\alpha > 0$  such that

$$F(u) \geq \alpha, \quad \text{for all } \|u\|_{H_\theta^2} = r .$$

**Proposition 3.1.** *Let  $R$  and  $r$  be given by Lemma 3.1. Suppose that  $f \not\equiv 0$  and*

$$(3.4) \quad \max\left(\|f\|_2, \|f\|_q\right) < \min(R', R) ,$$

where

$$R' = \frac{4 q_c S^{\frac{N}{4q}}}{N \left(2 (q_c - 1)\right)^{\frac{1}{q}}} .$$

Then there exists a function  $u_1 \in H_\theta^2(\Omega)$  such that

$$(3.5) \quad F(u_1) = \min_{B_r} F(v) < 0 ,$$

where

$$B_r = \left\{ v \in H_\theta^2, \|v\|_{H_\theta^2(\Omega)} < r \right\},$$

and  $u_1$  is a solution to  $(P_{1,f})$ . Moreover,  $u_1 \geq 0$  whenever  $f \geq 0$ .

**Proof:** Without loss of generality, we can suppose that  $f(a) > 0$  for some  $a \in \Omega$ .

Let

$$u_\varepsilon(x) = \frac{\varepsilon^{\frac{N-4}{4}} \phi(x)}{(\varepsilon + |x - a|^2)^{\frac{N-4}{2}}}, \quad \varepsilon > 0,$$

where  $\phi \in C_0^\infty(\Omega)$  is a fixed function such that  $0 \leq \phi \leq 1$  and  $\phi \equiv 1$  in some neighbourhood of  $a$ .

Since

$$\int_\Omega f u_\varepsilon dx > 0, \quad \text{for a small } \varepsilon,$$

we can choose  $t > 0$  sufficiently small such that

$$F(t u_\varepsilon) < 0.$$

Hence

$$(3.6) \quad \inf_{B_r} F(v) < 0.$$

Let  $\{u_m\}$  be a minimizing sequence of (3.6). From (3.4) and Lemma 3.1 we may assume that

$$(3.7) \quad \|u_m\|_{H_\theta^2} < r_0 < r.$$

According to the Ekeland variational principle [5] we may assume

$$(3.8) \quad \Delta^2 u_m - |u_m|^{q_c} - f \rightarrow 0 \quad \text{in } H_\theta^{-2}(\Omega).$$

On the other hand, from (2.3) and (3.4), we get

$$(3.9) \quad \frac{1}{N} S^{\frac{N}{4}} - K > 0.$$

We deduce, from (3.8)–(3.9) and Proposition 2.1, that  $\{u_m\}$  has a subsequence converging to  $u_1 \in H_\theta^2(\Omega)$ , and  $u_1$  is a weak solution to  $(P_{1,f})$ .

Now we suppose that  $f \geq 0$ . Let  $v \in H_\theta^2(\Omega)$  be a solution to the following problem

$$-\Delta v = |\Delta u_1|.$$

As in [10, 11] we get  $v > 0$ ,  $v \geq |u_1|$  in  $\Omega$ ,

$$\int_{\Omega} |\Delta v|^2 = \int_{\Omega} |\Delta u_1|^2 \quad \text{and} \quad \int_{\Omega} |v|^{q_c} \geq \int_{\Omega} |u_1|^{q_c} .$$

It then follows that

$$F(v) \leq F(u_1) \quad \text{and} \quad \|v\|_{H_{\theta}^2} \leq r .$$

Consequently  $F$  is minimized by a positive function. ■

This method allows us under suitable conditions on  $f$  and  $\lambda$ , to prove the existence of solutions to  $(P_{\lambda,f})$ .

**Theorem 3.1.** *Suppose that  $f \not\equiv 0$ , then there exists  $\lambda_f > 0$  such that if the following condition is satisfied*

$$(3.10) \quad 0 < \lambda_f < \lambda^{\frac{1}{q_c-2}} < \min\left(\frac{1}{\|f\|_2}, \frac{1}{\|f\|_q}\right) \min(R', R) ,$$

*Problem  $(P)_{\lambda,f}$  has at least one solution  $u_{\lambda}$ . Moreover  $u_{\lambda} \geq 0$  whenever  $f \geq 0$ .*

**Proof:** For the proof we consider Problem  $(P_{1,g})$  where  $g = f \lambda^{\frac{1}{q_c-2}}$ . Condition (3.10) implies that  $g$  satisfies (3.4). So the existence follows immediately from Proposition 3.1.

Now suppose, on the contrary, that  $u_{\lambda}$  exists for any  $\lambda$  such that

$$0 < \lambda^{\frac{1}{q_c-2}} < \min\left(\frac{1}{\|f\|_2}, \frac{1}{\|f\|_q}\right) \min(R', R) .$$

Note that, since  $\lambda^{-\frac{1}{q_c-2}} u_{\lambda}$  is the solution to  $(P_{1,g})$  obtained by (3.5), we have

$$\|u_{\lambda}\|_{H_{\theta}^2(\Omega)} \leq r \lambda^{\frac{1}{q_c-2}} .$$

It follows from this that  $\|u_{\lambda}\|_{H_{\theta}^2(\Omega)} \rightarrow 0$  as  $\lambda \downarrow 0$ .

Passing to the limit in  $(P_{\lambda,f})$  we deduce that  $f \equiv 0$ , which yields to a contradiction. ■

#### 4 – Existence of a second solution

In this section we shall show, under additional conditions that  $(P_{\lambda,f})$  possesses a second solution. Here we use the mountain pass theorem without the Palais-Smale condition [2, 8]. As in the preceding section, we first deal with the case  $\lambda = 1$ .

Assume that condition (3.4) is satisfied and that  $f > 0$  in some neighbourhood of  $a$ . Set

$$v_\varepsilon = \frac{u_\varepsilon}{\|u_\varepsilon\|_{q_c}} .$$

The main result of this section is the following.

**Theorem 4.1.** *There exists  $t_0 > 0$  such that if  $f$  satisfies*

$$(4.1) \quad \|f\|_q^q < \frac{t_0}{K_1} \int_\Omega f v_\varepsilon dx, \quad \text{for small enough } \varepsilon > 0 ,$$

where

$$K_1 = \frac{N^{\frac{q}{q_c}}}{2q(4q_c)^{\frac{q}{q_c}}} ,$$

then  $(P_{1,f})$  has at least two distinct solutions.

**Proof:** The proof relies on a variant of the mountain pass theorem without the (PS) condition. We have, for  $\varepsilon$  sufficiently small (see [4]),

$$(4.2) \quad \|\Delta v_\varepsilon\|_2^2 = S + O(\varepsilon^{\frac{N-4}{2}}) .$$

Set

$$h(t) = F(t v_\varepsilon) = \frac{1}{2} t^2 X_\varepsilon - \frac{1}{q_c} t^{q_c} - t \int_\Omega f v_\varepsilon dx \quad \text{for } t \geq 0 ,$$

where  $X_\varepsilon = \|\Delta v_\varepsilon\|_2^2$ .

Since  $h(t)$  goes to  $-\infty$  as  $t$  goes to  $+\infty$ ,  $\sup_{t \geq 0} h(t)$  is achieved at some  $t_\varepsilon \geq 0$ . Remark 3.1 asserts that  $t_\varepsilon > 0$ , and we deduce

$$(4.3) \quad h'(t_\varepsilon) = t_\varepsilon (X_\varepsilon - t_\varepsilon^{q_c-2}) - \int_\Omega f v_\varepsilon dx = 0 \quad \text{and} \quad h''(t_\varepsilon) \leq 0 ,$$

thus

$$(4.4) \quad \left( \frac{1}{q_c - 1} \right)^{\frac{1}{q_c-2}} X_\varepsilon^{\frac{1}{q_c-2}} \leq t_\varepsilon \leq X_\varepsilon^{\frac{1}{q_c-2}} .$$

Let  $t_0 = \frac{1}{2} \left( \frac{1}{q_c-1} \right)^{\frac{1}{q_c-2}} S^{\frac{1}{q_c-2}}$ . We deduce from (4.2) and (4.4) that, for  $\varepsilon_0$  small,

$$(4.5) \quad t_0 < t_\varepsilon \quad \text{for } \varepsilon \in (0, \varepsilon_0) .$$

Thus

$$\sup_{t \geq 0} h(t) = \sup_{t \geq t_0} h(t) .$$



On the other hand, since the function  $t \rightarrow \frac{1}{2} t^2 X_\varepsilon - \frac{1}{q_c} t^{q_c}$  is increasing on the interval  $[0, X_\varepsilon^{\frac{1}{q_c-2}}]$ , we get

$$h(t_\varepsilon) \leq \frac{2}{N} S^{\frac{N}{4}} - t_\varepsilon \int_\Omega f v_\varepsilon dx + O(\varepsilon^{\frac{N-4}{2}}),$$

thanks to (4.2). Hence

$$(4.6) \quad h(t_\varepsilon) \leq \frac{2}{N} S^{\frac{N}{4}} - t_0 \int_\Omega f v_\varepsilon dx + O(\varepsilon^{\frac{N-4}{2}}).$$

Consequently if we let

$$(4.7) \quad t_0 \int_\Omega f v_\varepsilon dx > K_1 \|f\|_q^q,$$

we deduce that

$$(4.8) \quad \sup_{t \geq 0} F(tv_\varepsilon) < \frac{2}{N} S^{\frac{N}{4}} - K.$$

Note that there exists  $t_1$  large enough such that

$$(4.9) \quad F(t_1 v_\varepsilon) < 0 \quad \text{and} \quad \|t_1 v_\varepsilon\|_{H_\theta^2} > r,$$

where  $r$  is given by Lemma 3.1. Hence

$$\alpha \leq c_2 = \inf_{\gamma \in \Gamma} \max_{s \in [0,1]} F(\gamma(s)) < \frac{2}{N} S^{\frac{N}{4}} - K,$$

where

$$\Gamma = \left\{ \gamma \in C([0,1], H_\theta^2(\Omega)) : \gamma(0) = 0, \gamma(1) = t_1 v_\varepsilon \right\},$$

provided  $\varepsilon$  is small enough. Then, according to the mountain pass theorem without the (PS) condition, there exists a sequence  $\{u_m\}$  in  $H_\theta^2(\Omega)$  such that

$$F(u_m) \rightarrow c_2 \quad \text{and} \quad dF(u_m) \rightarrow 0 \quad \text{in} \quad H_\theta^{-2}(\Omega).$$

Since  $c_2 < \frac{2}{N} S^{\frac{N}{4}} - K$ , we deduce from Proposition 2.1 that there exists  $u_2$  such that  $c_2 = F(u_2)$  and  $u_2$  is a weak solution to  $(P_{1,f})$ .

This solution is distinct from  $u_1$  since  $c_1 < 0 < c_2$ . So the proof is complete. ■

Finally, by using Theorem 4.1, we deduce the

**Corollary 4.1.** *Assume (3.10). If*

$$\lambda^{\frac{q-1}{q_c-2}} < \frac{t_0}{K_1 \|f\|_q^q} \int_\Omega f v_\varepsilon dx,$$

for  $\varepsilon$  small enough, then problem  $(P_{\lambda,f})$  has at least two solutions.

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