

## FREE POWER OR WIDTH OF SOME KINDS OF MATHEMATICAL STRUCTURES

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**Abstract.** The present work consists of 3 sections. In section 1 we have Theorem 1:1 which gives an sufficient condition to exhibit a kind of antichains in pseudotrees. In section 2 the problem of attainability of  $p_s E$  is examined: since simple examples show that even in well-founded sets  $W$  the number  $p_s W$  might be unattained one examines the case of  $p_s T$  for trees; we prove the main Theorem 2:4 and formulate ATH (Antichain Tree Hypothesis) in 2:7 and prove that ATH is implied by the RH (Ramification Hypothesis) (v. 2:8 Theorem). We stress the fact how limit regular cardinals occur in considerations in section 2. Section 3 examines  $p_s T^m$  for squares, cubes and hypercubes of trees it is proved that for any index set  $I$  of cardinality  $> 1$  the cardinal ordering of the hypercube  $T^I$  is such that the number  $p_s T^I$  is attained. One has the beautiful result 3:5.

### Introduction

**0:** Width is a current word in everyday practice (width of a solid physical or geometrical body or figure) and could be used everywhere where a length (measure) is occurring. With many mathematical structures  $S$  a width,  $\text{wid } S$ , could be associated.

**0:1.** For ordered sets  $(E, \leq)$  a width was introduced in Kurepa [1937] and was denoted by  $p_s(E, \leq)$  in order to indicate that one deals with a power (or cardinality) of some free sets (in all slavic languages the word free starts with s (svoboda or sloboda). It was  $p_s(E, \leq) := \sup_A |A|$ ,  $A$  running through the system of all free subsets or antichains in  $(E, \leq)$ . In particular, for any set  $M$  in which no order or structure is introduced  $p_s M$  becomes the power  $pM$  or  $|M|$  of  $M$ .

**0:2.** For metrical sets  $M$ , lying in a metric space  $(E, d)$  where  $d$  is the distance function or metrics, one could define a width of  $M$  as  $\sup d(x, y)$  ( $x, y \in M$ ), called the diameter of  $M$ . In this case,  $\text{wid } M$  is a member of  $R[0, \infty]$ . A similar definition is possible for topological spaces  $(M, d)$ , defined by a distance function  $d(x, y)$  taking values in a given ordered set  $(E, \leq)$  (for such spaces see Kurepa [1956], [1976], ...)

**0:3.** For any mathematical structure of the form  $(M, R)$  where  $M$  is a set and  $R$  a binary relation on  $M$ , i.e.  $R \subset M \times M$ , let us define a width as follows:  $\text{wid}(M, R) := \sup_A |A|$ , where  $A$  runs through the system of all subsets  $A$  of  $M$  such that if  $x, y \in A$  and  $x \neq y$  then neither  $xRy$  nor  $yRx$ , i.e.  $(A^2 \setminus D) \cap R = \nu$  (empty).

**0:4.** For a ternary relation  $R$  on a set  $M$  one defines free subsets as subsets  $A$  of  $M$  such that  $(A^3 \setminus D) \cap R = \nu$ , where  $D$  is the diagonal of  $M$ , i.e. the set of all 3-uns  $(m, m, m)$  ( $m \in M$ ).

**0:5.** In a general way, we have the following. Given an (index) set  $I$  and any  $I$ -un (1)  $f : x \in I \rightarrow fx$  of sets  $fx$ ; any subset  $R$  of the products (2)  $M := \prod_{x \in I} fx$  of all sets  $fx$  is called an  $I$ -ary relation in the given  $I$ -un  $f$ .

**0:6.** *Definition.* A subset  $A$  of (2) is said to be free or an antichain in the structure  $(M, R)$  if for time restriction  $f|A$  the corresponding product  $\prod fx$  is disjoint with  $R$ .

**0:7.** In particular, given an index set  $I$  and a set  $M$  one has the  $I$ -cube of  $M$ , i.e. the set  $M^I$  of all mapping  $f : I \rightarrow M$ ; the diagonal  $D$  of  $M^I$  is the set of all constant mappings  $c : I \rightarrow M$ . Any  $R \subset M^I$  is called an  $I$ -ary relation in  $M$ .

**0:8.** A first question arises whether  $\text{wid}$  is attained, i.e. given  $(M, R)$ , is there a subset  $A$  of  $M$  such that  $|A| = \text{wid}(M, R)$  and such that if  $x, y \in A$ , then neither  $xRy$  nor  $yRx$ . Such subsets of  $M$  are called free relatively to  $R$ , or relatively to  $(M, R)$ ; they are also called *antichains*.

**0:9.** In this paper, we restrict ourselves mainly to ordered sets. One of the main results is the attainability of width for every tree  $T$  such that  $p_s T$  is no limit regular cardinal and such that the question whether the width is attained for *every* tree has probably a *postulational* character.

**0:10.** The question of supremum and maximum was one of the main points in my doctoral dissertation (Paris 1935:2). There trees  $T$  and some cardinal functions one trees were introduced; in particular for any tree  $T$  of decreasing sets a cardinal  $b'T$  was defined as the supremum of  $|D|$ ,  $D$  running through the system of all disjoint subsystems of  $T^d := \{X, X \in T \text{ or } X = Y \setminus Z, \text{ where } Y, Y \in T \text{ and } Y \supset Z\}$ . Then I proved the following:

**0:11.** THEOREM. [These p. 110, Théoreme 3] *Unless the tree  $T$  is of inaccessible height (rank), the supremum  $b'T$  is attained.*

This theorem implies:

**0:12.** THEOREM. *For every ordered chain  $(L, \leq)$ , unless  $p_2(L, \leq)$  is a regular limit, the cellularity  $p_2(L, \leq) := \sup |D|$ ,  $D$  running through disjoint system of open intervals, is attained.*

**0:13.** The fact is transferable to topological spaces, as was published, without quotation of any result in Erdos-Tarski [1943] (the Thèse was not quoted but my

definition of tree or ramification (p. 327<sub>4-1</sub>) and some results of Aronszajn, and Kurepa (p. 328<sub>12-11</sub>) are mentioned without quoting my name).

### 1. Some General Statements

**1:0.** GRAPH LEMMA. *Let  $(G, R)$  be a reflexive symmetric graph and  $t$  a point of  $G$  such that  $p_s G(t) > 1$ ; for every maximal complete subgraph  $L$  of  $G(t)$ , there is a 2-un  $(ft, gt)$  of incomparable points  $ft, gt$  such that  $gt \in L$ ,  $G(t) := \{g : g \in G, gRt\}$ .*

*Proof.* By assumption,  $t$  is a point of  $G$  such that  $p_s G(t) > 1$ ; i.e. there is an antichain  $\{x, y\} \subset G(t)$ . Since, by assumption,  $L$  is a complete subgraph of  $G(t)$ , one has not  $x, y \in L$ , thus there is an element in  $\{x, y\} \setminus L$ ; let us denote it by  $f(t)$ . Now, there is at least one member  $l$  of  $L$  such that  $ft, l$  are incomparable. As a matter of fact, if for every  $l \in L$  the points  $ft, l$  were  $R$ -comparable, this would mean that  $L \cup \{ft\}$  is a complete subgraph of  $G(t)$  more extensive than the maximal subgraph  $L$ , which is an absurdity. Consequently, there is a point in  $L \setminus G(ft)$ , and it suffices to denote it by  $gt$  in order to see that the statement of  $L$  is true. Q.E.D.

**1:0:1.** COROLLARY. *If  $(E, <)$  is ordered and if  $e \in E$  is such that  $p_s E(e) > 1$ , then for every maximal chain  $L$  of  $E(e)$  there is a 2-un  $(fe, ge)$  of free points in  $E(e)$  such that  $gt \in L$ ; one has either  $fe, ge < e$  or  $fe, ge > e$ .*

*Proof.* It is sufficient to put:  $G = E$ ,  $R = \leq \cup \geq$ ,  $t = e$  and to apply the Graph lemma: one gets wording of Corollary 1:0:1.

**1:0:2.** COROLLARY. *Let  $(R, \leq)$  be a pseudotree and  $t \in R$  be such that  $p_s R(t, \cdot) > 1$ . If  $L$  is a branch ( $\equiv$  maximal chain) in  $R(t)$ , then there is a 2-un  $(ft, gt)$  of free points  $ft, gt$  in  $R(t)$ , such that  $gt \in L$ .*

**1:0:3.** COROLLARY. *If  $(T, \leq)$  is any tree and  $t$  a point of  $T$  having at least 2 followers, then for every maximal chain  $L \subset T(t)$  there is a 2-un  $(f(t), g(t))$  of incomparable members in  $T(t, \cdot)$  such that  $f(t), g(t)$  belong to the first row of  $T(t, \cdot)$  containing at least 2 points; again  $g(t) \in L$ .*

*Proof.* Since  $L$  is a branch in  $T(t, \cdot)$ ,  $T$  intersects every row of  $T(t, \cdot)$ ; so also the first one,  $R_t$ , which is not a singleton; therefore  $\{g(t)\} = L \cap R_t$  and  $ft$  could denote any point of  $R_t \setminus L$ .

As an application of the Graph Antichain Lemma, we have the following

**1:1.** THEOREM. *Let (1)  $(R, \leq)$  be any nonempty pseudotree and  $L$  a maximal subchain ( $\equiv$  branch) of (1) such that every  $l \in L$  satisfies  $p_s(l, \cdot)_R > 1$ ; then  $(R, \leq)$  contains an antichain of (1) of cardinality  $\geq cf L$ ; i.e.  $p_s(R, \leq) \equiv cf L$ .*

*Proof.* By an induction argument we are going to exhibit a biunique sequence

$$(2) \quad a_j \quad (j < cf L)$$

of free points  $a_j \in R$ . The thing is obvious if  $L$  has a least point i.e. if  $cf L = 1$ . Therefore let us consider the case that  $cf L$  is a regular initial  $\omega_n$ . Let then

$$(3) \quad w := \{l_0, \dots, l_j, \dots\} \quad (j < cf L)$$

be a well-ordered subset  $W$  of type  $\omega_n$  of  $L$  which is cofinal to  $L$ . To start let us consider the point  $c_0 := l_0$  in (3) and apply the Lemma for  $t = c_0$ ; we get the points  $a_0 := fc_0, b_0 := gc_0$ ; assume that  $0 < j < cf L$ , and that a strictly increasing  $j$ -sequence  $c_i$  ( $i < j$ ) of points of (3) is formed such that in connection with  $L$  one has a  $j$ -sequence  $a_i := fc_i$  ( $i < j$ ) of free points and a strictly increasing  $j$ -sequence  $b_i := gc_i$  ( $i < j$ ) such that

$$(4) \quad b_i, c_i \in W \setminus \bigcup_{r < i} (\cdot, a_r].$$

Let us define  $c_j, a_j, b_j$ . If  $j - 1 < j$ , we put  $c_j := gb_{j-1}, a_j := fc_j, b_j := gc_j$ . If  $j$  is a limit ordinal  $< \omega_n$ , let  $c_j$  denote any point such that

$$(5) \quad c_j \in W \setminus \bigcup (\cdot, a_i] \quad (i < j).$$

Such a  $c_j$  exists because the set  $(5)_2$  is nonempty — a fact implied by the regularity of the order type  $\omega_n > j$  of  $W$ . Then we apply the Lemma for  $t = c_j$  and get

$$(6) \quad a_j := fc_j, \quad b_j := gc_j.$$

In virtue of (5), (6) if  $i < j$ , then one does not have  $c_j = a_i$ , still less  $a_j = a_i$ , because  $a_j := fc_j > c_j$ . Neither does one have  $a_i < a_j$ . Assume on the contrary that for some  $i < j$  one has  $a_i < a_j$ , i.e.  $fc_i < fc_j$ , and  $fc_i || gc_i < c_j < fc_j$ : the point  $fc_j := a_j$  would be preceded by incomparable points  $fc_i = a_i$  and  $c_j$ , contrarily to the fact that each left cone in  $(R, \leq)$  is a chain. So the induction step for each  $j < cf L$  is performable, one gets a requested antichain  $a_i$  ( $i < cf L$ ) of power of  $L$ . Q.E.D.

**1:1:1. Remark.** The statement of the Theorem might be false if the involved sub-chain  $L$  is not maximal. Example: If  $(E, \leq)$  is any totally ordered set of cofinality  $> Al_0$  and if  $(N, \leq)$  is the tree of all finite sequences of natural numbers where  $\leq$  denotes the relation "is an initial segment of", then the ordinal sum  $(E, \leq) + (N, \leq)$  is a pseudotree of width  $Al_0$ , and contains no free subset of cardinality  $cf L$ .

**1:1:2. Remark.** The statement of the Theorem might be false for well-founded sets  $(E, \leq)$ .

As a matter of fact, let  $\omega_n$  be any initial ordinal number and let  $f_i$  ( $i < \omega_n$ ) be any  $\omega_n$ -sequence of disjoint sets each having just 2 points; let then the sum  $S := \Sigma f_i$  ( $i < \omega_n$ ) be ordered in such a way that the members of  $f_i$  be incomparable for each  $i < \omega_n$  and that  $f_i < f_j$  for  $i < j < \omega_n$ ; then each maximal chain  $L$  in  $S$  is of power  $Al_n$ , while  $p_s E := R^2 < cf L$ .

## 2. The question of attainability of width.

**2:0.** In this section we shall present some interesting results on the question whether the width is attained in a given structure. Since already in well-founded sets the

width might be unattained (v. 2:1 Lemma), we pass to trees and establish the main theorem 1:2. We also announce the proposition ATP (Antichain Tree Proposition) stating that for every tree  $T$  the member  $p_s T$  is attained. This proposition is examined with other tree propositions, especially with our Ramification Hypothesis (RH), and our Tree Axiom. We denote by  $T, R$  any tree any pseudo-tree respectively.

**2:1. LEMMA.** *For every limit cardinal  $l$  there exists an ordered set  $(E, \leq)$  such that  $p_s(E, \leq) = l$  and  $l$  is not attained.*

*Proof.* Let us consider any strictly increasing cf  $l$ -sequence  $a_n$  of cardinals such that  $\sup a_n = l := \omega_\alpha$  and an  $l$ -sequence  $E_n$  ( $n < l$ ) of pairwise disjoint sets such that

$$(1) |E_n| = r_n + 1; \text{ where } r_n \text{ is such that } n = k\omega + r_n;$$

let  $<$  in (2)  $E := \cup E_n$  mean that

(3)  $x < y$  holds if and only if  $x \in E_m, y \in E_n, m < n$  and that  $x||y$  means  $\{x, y\} \subset E_n$  for some  $n < l$ . Then obviously,  $p_s E = Al_0$  and every free subset is finite. Analogously, if instead of (1) one requires  $|E_n| = |n|$  ( $n < \omega_\alpha$ ), then the ordering (3) yields the structure (2) for which  $p_s = l$  and in which every free subset is of a cardinality  $< p_r$ .

**2:2.** What about  $p_s T$  for trees?

**2:2:1.** In our Thesis we defined  $mT := \sup_{\alpha < \gamma T} |R_\alpha T|$ ; of course  $mT \leq p_s T$ ; the difference between  $mT$ , and  $p_s T$  could be great; e.g. if  $T$  consist of a well-ordered set  $W$  and of points  $W'_n$  such that for each  $n < \gamma W$ ,  $W_n, W'_n$  are incomparable points as a row  $R_n T$ , then  $mT = 2$ ,  $W' := \{W'_n\}_{n < \gamma T}$  is an antichain of cardinality  $|W|$ .

**2:2:2.** The number  $p_s T$  need not be attained for  $T$  as the power of a row of  $T$ . As a matter of fact, for every ordinal  $n$  there is a tree  $T_n$  such that  $\gamma T_n = \omega_n$ ,  $mT_n = p_s T_n = Al_n$  and  $mT_n$  is not attained as the power of a row of  $T_n$ .

*Proof.* Let  $T_n$  consist of the 2-uns  $(n, n')_{n' \leq n}$  for every  $n < \gamma W$  in which  $(a, b) < (c, d) \leftrightarrow a = b < c = d$ . Then the diagonal  $L := \{(i, i)\}_{i < \omega_n}$  is a maximal chain; its complement is free, it is of power  $Al_n = mT$  and it is not attained as the power of a row.

**2:2:3.** Well-founded set  $U_n$ . For any ordinal number  $n$  let us consider the upper part  $U_n$  of the square of the set  $\omega_n$  of ordinals  $< \omega_\alpha$ , i.e.  $U_n := \{(x, y) : x < y < \omega_n\}$  ordered in such a way that for  $(a, b), (c, d) \in U_n$  the relation  $(a, b) < (c, d)$  means  $a \leq c \wedge b < d$ . One proves easily that  $\leq$  is an order relation in  $U_n$  and that  $(U_n, \leq)$  is well-founded. Also one verifies that  $(a, b)|| (c, d)$  means  $((a \neq c) \wedge (b = d)) \vee ((a < c) \wedge (b \geq d)) \vee ((a > c) \wedge (b \leq d))$ .

**LEMMA.** *In the graph  $(U_n, ||)$  every complete subgraph  $A$  is  $< Al_n$ , i.e. every antichain  $A$  in  $(U_n, \leq)$  is  $< Al_n$ ; if  $n = 0$  or if  $n$  is a limit, then  $p_s(U_n, \leq) = Al_n$  and the number  $p_s$  is not attained.*

*Proof.* Let  $(x_0, y_0) := gA$  be the element of  $A$  having minimal first coordinate  $x_0$ ;  $gA$  is uniquely determined; let  $(x_1, y_1) := g(A \setminus \{gA\})$ ; thus  $x_0 < x_1$  and  $y_0 \geq y_1 > x_1$ : the procedure is performed as far as possible. In the sequence  $(y_i)_i$  there is only a finite number of distinct terms because they form a decreasing sequence of ordinal numbers. On the other hand, the number of consecutive signs = starting with  $y_i$  is  $\leq -x_0 + y_i$ , thus  $< Al_n$ . Consequently,  $|A| < Al_n$ . Obviously, if  $n = 0$  or if  $n$  is limit, then  $p_s(U, \leq) = Al_n$  because for any  $y < \omega_n$  one has the antichain  $\{(x, y) \text{ where } x < y\}$  of power  $|y| - 1$ . The set  $(U_n, \leq)$  served me in 1952 as an example of an ordered set in which the relation  $\parallel$  is not trivial, and in which the  $p_s$ -number is not attained; the case  $n = 0$  was considered also by Rado [1954, § 2].

**2:3.** LEMMA. *Every tree  $T$  such that  $p_s T = Al_0$  contains a free subset of cardinality  $p_s T$ .*

*Proof.* The statement is trivial if  $p_s T$  is finite or if  $T$  contains an infinite row. Therefore let us consider any tree  $\gamma T$  such that  $p_s T = Al_0$  and every level of  $T$  is  $< Al_0$ . Let  $T_0 := \{t : t \in T, p_s T < Al_0\}$ . If  $T_0$  is infinite, then necessarily  $R_0 T_0$  is infinite because  $T_0 = \cup T_0[x, \cdot)$  ( $x \in R_0 T_0$ ) and each summand is finite. If  $T_0$  is finite, one could assume that  $T_0$  is empty: it is sufficient to denote by  $T$  all points  $t$  of  $T$  such that  $\gamma t > \gamma t_0$  for every  $t_0 \in R_0 T_0$ ;  $\gamma t$  is defined by  $t \in R_{\gamma t} T$ . Consequently, we are in the position that  $p_s T(t) > 1$  for every  $t \in T$ .

Since  $p_s T = \sum p_s(t)$  ( $t \in R_0 T$ ) and  $|R_0 T| < Al_0$ , one concludes that there exists a point  $t_0 \in R_0 T$  such that  $p_s T(t) = Al_0$ . Let  $n_0$  be the first ordinal such that the row  $R_{n_0} T$  contains 2 points  $a_0 \neq b_0$  such that  $p_s T(b_0) = Al_0$ ; the existence of  $n_0 = f(t)$ ,  $a_0 = g(T)$ ,  $b_0 = h(T)$  being obvious, let  $n_1, a_1, b_1$  be determined as  $f[b_0, \cdot)_T, g[b_0, \cdot)_T, h[b_0, \cdot)_T$  respectively; one proceeds by an induction argument: if  $k > 0$  is any ordinal  $< \omega_0$  such that: the ordinals  $n_0 < n_1 < \dots < n_i$  ( $i < k$ ), the free points  $a_i \in R_{n_i} T$  ( $i < k$ ), the linked points  $b_i \in R_{n_i} T$  are determined so that  $a_{n_i} \parallel b_{n_i}$ , then we determine also  $n_k, a_k, b_k$  putting  $n_k = f[b_{k-1}, \cdot)_T, a_k = g[b_{k-1}, \cdot)_T, b_k = h[b_{k-1}, \cdot)_T$ . The set  $\{a_0, a_1, \dots\}$  is free and has  $p_s T$  points.

**2:4.** MAIN THEOREM. *If  $p_s T$  is not a regular limit uncountable cardinal, then  $p_s T$  is attained.*

The case when  $p_s T$  is finite or of the form  $Al_{n+1}$  being obvious, we assume that  $p_s T$  is infinite and not of a form  $Al_{n+1}$ . Let us consider the set  $\{t : t \in T, p_s T(t) < p_s T\} := T_0$ . Of course  $p_s T_0 \leq p_s T$ .

**1.** *First subcase:*  $p_s T_0 = p_s T$ ; then the first row  $R_0 T_0$  is of a power  $= p_s T$  because  $p_s T = p_s T_0$  and  $T_0 = \sum p_s T(t_0; )_{T_0}$  ( $t_0 \in R_0 T_0$ ). If incidentally  $R_0 T_0$  is of the power  $p_s T$ , all is proved; this occurs in particular if  $p_s$  is regular.

**1:1.** If  $|R_0 T_0| < p_s T$ ,  $p_s T$  singular, and there is a  $s'$ -sequence  $(s' := cf p_0 T) a_j$  ( $j < s'$ ) of points of  $R_0 T_0$  such that  $\sup [a_j, \cdot)_{T_0} = p_s T$ . Let then  $Al_{k_m}$  ( $m < cf s$ ) be a strictly increasing  $cf$   $s$ -sequence of alephs of the first kind tending to  $p_s T$ . Let  $f_m$  be a one-to-one mapping  $m < cf s \rightarrow f_m \in R_0 T_0$  such that  $p_s [f_m, \cdot)_{T_0} = Al_{k_m}$ ; the existence of  $f_m$  is obvious: by an induction argument one defines  $f_0 =$  the first

$i$  such that  $p_s[a_i, \cdot] \geq Al_{k_0}$  and for any  $0 < i < cf\ s$  let  $f_i$  be the least  $m$  such that  $p_s[a_m, \cdot]_{T_0} > f_i$  ( $i < m$ ) and  $p_s[a_m, \cdot]_{T_0} = Al_{k_m}$ . Choosing for every  $m < cf\ s$  a free set  $A_m \subset ([f_m, \cdot]_{T_0})$  such that  $pA_m > Al_{k+1}$ , the union  $A := \cup_m A_m$  is a requested free subset of  $T_0$  of cardinality  $p_s T$ .

**2.** *Second case:*  $sT_0 < p_s T$ ; let  $T_1 := T \setminus T_0$ ; then  $T_1 \neq \nu$  and for every  $t \in T_1$  one has  $p_s T_1(t, \cdot) = p_s T$  — we say that  $T_1$  is width-homogeneous. So the Theorem is reduced to the following:

**2:5.** THEOREM. *In every width-homogeneous tree  $T$ , disregarding the case when  $p_s T$  is limit regular not countable, the width is attained.*

*Proof.* First,  $T$  contains a free subset  $A$  of cardinality  $s' := cf\ p_s T$ . This is obvious if  $s'$  is isolated; if  $s'$  is not isolated, then, by assumption,  $s' < p_s T$  and by the definition of  $p_s$  as supremum of  $|A| < p_s$ , a requested  $A$  exists. Again, if  $k_i$  ( $i < s'$ ) is any strictly increasing  $s'$ -sequence of cardinals tending to  $p_s$  and  $a_i$  any normal well-order of  $A$ , then for every  $i < s'$  the cone  $T(a_i)$  contains a free subset  $f_i$  of cardinality  $> k_i$  (because  $T$  is width-homogeneous); then the union of all  $f_i$  ( $i < s'$ ) is a requested free subset of cardinality  $p_s$ . Q.E.D.

**2:6.** COROLLARY. *In every tree of a singular width the width is attained.*

Since the question of attainability of  $p_s T$  is reducible to width-homogeneous trees  $T$  and since the question is settled for all  $T$  having a subchain  $L$  or an antichain  $A$  of cardinality  $\geq s'$  and for all  $T$  such that  $s' < p_s$ , the open remaining case is the following: Every row is  $< l$ , where  $l$  is any limit regular  $> Al_0$ , and at the same time: every chain is  $< l$ . For such trees, the question of attainability is open. Do such trees exist? According to our tree axiom,  $TA$ , such trees do exist for every  $l$ . Consequently, the negation of  $TA$  implies the attainability of width in every tree.

Therefore we formulate the following.

**2:7.** Antichain Tree Hypothesis (ATH). In every tree  $T$  the width  $p_s T$  is attained.

By 2:3, 2:4, ATH is provable for every  $T$  such that  $p_s T$  is singular or of countable cofinality, or of the form  $Al_{n+1}$ .

In my Doctorial dissertation the following hypothesis was introduced — Ramification Hypothesis (RH): For every tree  $T$  the cardinal  $bT$  is attained, where for any ordered set  $(E, \leq)$  one defines  $b(E, \leq) := \sup |D|$ ,  $D$  running through the system of all  $d$ -subsets  $D$  of  $(E, \leq)$  i.e. such that the corresponding cones  $D(a)$  ( $a \in D$ ) are pairwise disjoint chains.

**2:8.** THEOREM.  $RH \Rightarrow ATH$ . *The Ramification Hypothesis implies the Antichain Tree Hypothesis.*

*Proof.* Since  $RH$  is equivalent to the Reduction Princip  $RH^1$ ) that every infinite tree is equinumerous to one of his  $d$ -subtrees and since  $ATH$  was proved for all cases except when  $T$  is such that the height  $\gamma T = l$ ,  $|R_\alpha| < l$  for every  $\alpha < l$  and if each subchain is  $< l$ , then a  $d$ -set  $D$  of  $T$  such that  $|D| = |T|$  is necessarily such that its first row  $R_0 D$  is of cardinality  $|T|$  — this is implied by the disjoint partition  $D = \cup D[d, \cdot]$  ( $d \in D$ ) and the fact that  $D[d, \cdot]$  is a subchain of  $(T, \leq)$ .

**2:9.** Disjoint systems of open intervals in ordered chains. I had the opportunity to stress several times that antichains in trees  $(T, \leq)$  are closely connected with disjoint systems of intervals of natural, total extensions of order  $(T, \leq)$ ; e.g. any complete bipartition of any ordered chain  $(L, \leq)$  yields a tree  $T$  of intervals of  $(L, \leq)$ .

Therefore the previous proof of the main Theorem 2:4 implies my result in the Thèse quoted above as Theorem 0:12. And vice versa: Theorem 0:12 implies Theorem 2:4.

The Antichain Tree Hypothesis is equivalent to

2:10. Disjointnes Chain Hypothesis: For every ordered chain  $(L, \leq)$  there exists a disjoint system  $J$  of open intervals such that  $pJ \geq pX$  for any disjoint system  $X$  of open intervals of  $(L, \leq)$ .

### 3. Width and Cartesian multiplication

**3:0.** It is very important and very interesting to see how the  $p_s$ -operator behaves with respect to combinatorial (cartesian) multiplication. Since obviously, the cartesian product of any antichains (in a given structure) is again an antichain in the corresponding product and since, in particular, for any antichain  $A$  and any index set  $I$  one has (1)  $p_s A^I = A^{p^I}$ , one infers that unless  $p_s A = 1$ , the number (1) might be arbitrarily high. Already the simplest case  $p_s(E, \leq) = 1$  of ordered chains  $(E, \leq)$  shows situations of maximal change of during the transition from the structure to the square. E.g. for the real line  $\text{Re}$  one has  $p_s \text{Re} = 1$  and  $p_s \text{Re}^2 = c = \text{power of continuum}$ , because the second diagonal  $(x, -x)$  ( $x \in \text{Re}$ ) is an antichain in the square  $\text{Re}^2$ .

**3:1. LEMMA.** (i) For any infinite ordered chain  $L$  one has  $p_s L^2 \geq A l_0$ ; (ii) If  $L$  is order-dense and infinite then  $L^2$  contains also infinite antichains. (iii) For any infinite well-ordered (or inversely well-ordered) set  $W$ ,  $p_s W^2 = A l_0$ , but every antichain in  $W^2$  is finite.

*Proof.* (i) In fact, since  $L$  is infinite, one has, for any given integer  $n$  a strictly increasing  $n$ -sequence  $a_i$  ( $i < n$ ) and a strictly decreasing  $n$ -sequence  $b_i$  ( $i < n$ ) of points in  $L$ ; then the set of all points  $(a_i, b_i)$  ( $i < n$ ) is an antichain in  $L^2$  of power  $n$ . (ii) If  $L$  is order-dense, then the preceding sequences  $a_i, b_i$  could be taken to be infinite and they yield an infinite antichain in  $L^2$ . (iii) If  $W$  is well-ordered and  $A$  is any given antichain in  $W^2$ , let  $x_0 := \inf p r_1 A$  and let  $y_0$ , be the unique point of  $W$  such that  $a_0 := (x_0, y_0) \in A$ . Let us write functionally  $a_0 = f A$ ; if  $\{a_0\} \neq A$ , let us define  $a_1 := f(A \setminus \{a_0\})$ , and inductively  $a_i := f(A \setminus \{a_0, a_1, \dots, a_{i-1}\})$  as long as the  $f$ -and is  $\neq \nu$ . But the procedure stops at most after  $y_0$  steps because the second projections  $y_0 > y_1 > \dots$  are strictly decreasing. In fact, if we assume e.g.

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<sup>1)</sup>In Kurepa 1935 *b, c* p. 130–133 there were listed 12 mutually equivalent tree propositions  $P_1, P_2, \dots, P_{12}$ , of which  $P_1 = RH, P_2 = RP$ ; one more equivalent statement  $P_0$  was formulated on p. 93: If  $T$  satisfies height  $T(a) = \text{height } T$  ( $a \in T$ ) and  $p_s T(a) > 1$  ( $a \in T$ ), then  $T$  contains an antichain of cardinality  $|\text{height } T|$ .



$y_1 \leq y_2$ , then this relation jointly with  $x_1 < x_2$  would imply  $a_1 < a_2$ , contradicting the fact that  $a_1, a_2$  are two members of the antichain  $A$ .

**3:2. COROLLARY.** *An ordered chain  $L$  is well-ordered or inversely well-ordered if and only if  $L^2$  contains no infinite antichain.*

**2:2.** An analogous statement holds for  $L^n$ , where  $n = 2, 3, 4, \dots$ ; whereas the hypercube  $L^I$  for any infinite index set  $I$  contains an infinite antichain of power  $2^I$ , provided that  $L$  contains at least a chain  $\{0, 1\}$  of two points  $0, 1$ .

**3:4. Left (right) nodes.** Given  $(E, \leq)$ ; a left node of  $(E, \leq)$  is any *maximal* subset  $M$  such that  $x, y \in M$  implies  $E(\cdot, x) = E(\cdot, y)$  where  $E(\cdot, x) := \{z \mid z \in E \text{ and } z < x\}$ . Dually, one defines right nodes of  $(E, \leq)$ . Of course, each node is an antichain.

**3:4:1.** Let  $l(E, \leq)$  be the system of all left nodes of  $(E, \leq)$ . Then we have a well-defined system  $l(E, \leq)$  of antichains in  $(E, \leq)$  such that  $\cup l(E, \leq) = E$ , as well as, for any index set  $I$ , a well-determined system of antichains  $X^I \setminus D$  ( $X \in l(E, \leq)$ ) in the hypercube without the diagonal:  $(E, \leq)^I \setminus D$ , where  $D$  denotes the diagonal of the hypercube, i.e. the set of all constant functions from  $I$  into  $E$ . It is extremely interesting that for trees one has the following:

**3:5. THEOREM.** *Let (1)  $(T, \leq)$  be a tree and  $I$  an index set; then the set*

$$(2) \quad AT^I := \bigcup X^I \setminus D, \quad (X \in l(T, \leq))$$

*is a maximal antichain (= antibranch) in the cardinal ordering of the hypercube without the diagonal*

$$(3) \quad (T, \leq)^I \setminus D,$$

*where  $D$  is the diagonal of the hypercube.*

(ii) *The antibranch (2) has a power  $\geq pA$  for every antichain  $A$  in (3), provided every node of  $T$  has at least two points and  $pT \geq Al_0$  and  $1 < pI < Al_0$  or  $pI \geq pT$ .*

*Proof.* First, (2) is an antichain. As a matter of fact, let  $f, g \in (2)$ , thus  $f \in F, g \in G$  for well-determined left nodes  $F, G$  of (1). Assume that  $f < g$  in (3); then  $f_i \in G$  and  $f_i \leq g_i$  ( $i \in I$ ); but  $G$  is a left node, and  $f_i \leq G$ . Consequently,  $fI$  is a singleton of the node  $F$  because  $fI$  belongs to the  $G$ -ideal, of the tree  $T$ , thus  $fI \in D$ , in contradiction to  $f \in (2)$ . Let us prove that each member  $f$  of (3) is comparable to some member  $f'$  of (2). For this, let  $L := \cap (T, \leq)(\cdot, f_i)$  ( $i \in I$ );  $L$  is a chain  $< f_i$  for every  $i \in I$ ; thus the set  $T(L, \cdot)$  of proper majorants of  $L$  in  $T$  contains  $f_i$  for each  $i \in I$ ; let then  $N := R_0(L, \cdot)$  be the first row of  $(L, \cdot)$ , for each  $i \in I$  there is a unique  $f'_i \in N$  such that  $f'_i \leq f_i$ . The set  $f' \leq I$  of all  $f'_i$  ( $i \in I$ ) has at least two points; in the opposite case, if  $f'I$  were a singleton  $\{h\}$ , one would have (4)  $h \leq f_i$  ( $i \in I$ ), thus  $h = f_i$  ( $i \in I$ ) because if in (4) we had  $<$  instead of  $\leq$ , the point  $h$  would be in  $L$  in contradiction to  $N \cap I = \nu$ . Since,  $pf'I > 1$ ,  $f'$  is a member of  $N^I \setminus D$  and all is proved.

**3:6.** A deleting operation in trees. Let  $T$  be a given tree; let  $p$  be any most extensive path of  $T$  which is the union of monopunctual nodes of  $T$ ; we replace  $p$  by its last

member  $gp$  if  $p$  has a such one; in the opposite case, we replace  $p$  by its first member  $gp$ . The result of such a substitution in  $T$  will be denoted by  $T_n$ . For example, if  $T$  is well-ordered, then  $T_n$  is a singleton consisting of the last point of  $T$  if this point exists; otherwise  $T_n = R_0T$ . If every knot of  $T$  has as least two points, then  $T = T_n$ . Anyway, we have a mapping  $g$  which associates with  $p$  a point  $gp \in p$ ; we also have a self-mapping  $g$  of  $\cup_p p$ .

**3:6:1. LEMMA.** *The mapping  $g$  preserves the incomparability relation: if  $a, b$  are  $\parallel$  in  $\cup_p p$ , so  $ga \parallel gb$  and one has  $p_s T = p_s T_n$ .*

*Proof.* Let  $a, b$  be incomparable in  $M := \cup_p p$ ; then  $ga \parallel gb$ . As a matter of fact let  $p, q$  be summands of  $M$  such that  $a \in p, b \in q$ . Since  $a \parallel b$ , we infer that  $p \parallel q$ ; in the opposite case, there would be comparable points  $c \in p, d \in q$ ; one does not have  $c = d$  because  $p, q$  are disjoint. Assume  $c < d$ .

*First case:*  $a$  is last in  $p, b$  is last in  $q$ ; thus  $c \leq a, d \leq b$ ; since all members of  $p$  are monoknots, we infer the rows of  $T(c, \cdot)$  are monoknots for at least the rank  $\gamma_a$ ; thus in particular  $a \leq d$  and  $a \leq b$ , contrarily to  $a \parallel b$ .

*Second case:*  $a$  is last in  $p, b$  is first in  $q$ . Again one infers that  $c < d$  would imply  $a \leq d$ , and this with  $b < d$  would mean that the point  $d$  would be preceded by incomparable points  $a, b$  — absurdity.

*Third case:*  $a$  is first in  $p, b$  is last in  $q$ . This case is not possible because we would have  $a \leq c < d \leq b$  i.e.  $a < b$ , in contradiction to  $a \parallel b$ .

*Forth case:*  $a$  is first in  $p, b$  is first in  $q$  — not possible, because otherwise  $d$  would be preceded by free points  $a, b$ .

The equality in 3:6:1 is implied by the fact that  $g$  carries every antichain  $A$  of  $T$  in an isomorphic antichain  $gA$  in  $T_n$ . Therefore, let us examine  $T_n$ . Let  $Z$  be the set of all terminal points of  $T_n$ . If  $pZ = p_s T_n$ , all is settled, because  $Z$  is an antichain. If  $pZ < p_s T_n$ , then the complement  $U = T_n \setminus Z$  satisfies  $p_s U = p_s T_n$  and every node of  $U$  has at least two points. Now, the identical partition  $U = \cup X, (X \in IU)$  implies  $pU = \sum pX$  ( $X \in IU$ ) the last number is  $\leq \sum (pX)^2 p \leq (U \times U) = pU$ ; thus in this case the antichain (2) has  $pU$  ( $= pT$ ) points and is not only maximal but also maximum. The same holds for every finite  $I$  of power  $> 1$ . Again if  $pI \geq pT$ , then the identity  $T^I = (\cup X)^I$  implies for cardinals  $(pT)^{p^J} = 2^{p^J} = (\sum_x pX)^{p^J} \geq \sum_x 2^{p^J}$  ( $X$  running through  $IU$ ); consequently, in this case also the cardinality of (2) is again  $(pT)^{p^J}$  and the antichain (2) is again maximum.

Probably the statement (ii) holds for every  $I$  of power  $> 1$ .

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