# Enumeration of Concave Integer Partitions 

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#### Abstract

An integer partition $\lambda \vdash n$ corresponds, via its Ferrers diagram, to an artinian monomial ideal $I \subset \mathbb{C}[x, y]$ with $\operatorname{dim}_{\mathbb{C}} \mathbb{C}[x, y] / I=n$. If $\lambda$ corresponds to an integrally closed ideal we call it concave. We study generating functions for the number of concave partitions, unrestricted or with at most $r$ parts.


## 1. CONCAVE PARTITIONS

By an integer partition $\lambda=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \ldots\right)$ we mean a weakly decreasing sequence of nonnegative integers, all but finitely many of which are zero. The non-zero elements are called the parts of the partition. When writing a partition, we often will only write the parts; thus $(2,1,1,0,0,0, \ldots)$ may be written as $(2,1,1)$.

We write $r=\langle\lambda\rangle$ for the number of parts of $\lambda$, and $n=|\lambda|=\sum_{i} \lambda_{i}$; equivalently, we write $\lambda \vdash n$ if $n=|\lambda|$. The set of all partitions is denoted by $\mathcal{P}$, and the set of partitions of $n$ by $\mathcal{P}(n)$. We put $|\mathcal{P}(n)|=p(n)$. By subscripting any of the above with $r$ we restrict to partitions with at most $r$ parts.

We will use the fact that $\mathcal{P}$ forms a monoid under component-wise addition.
For an integer partition $\lambda \vdash n$ we define its Ferrer's diagram $F(\lambda)=\left\{(i, j) \in \mathbb{N}^{2} \mid i<\lambda_{j+1}\right\}$. In figure 1 the black dots comprise the Ferrer's diagram of the partition $\mu=(4,4,2,2)$.

Then $F(\lambda)$ is a finite order ideal in the partially ordered set $\left(\mathbb{N}^{2}, \leq\right)$, where $(a, b) \leq(c, d)$ iff $a \leq c$ and $b \leq d$. In fact, integer partitions correspond precisely to finite order ideals in this poset.

The complement $I(\lambda)=\mathbb{N}^{2} \backslash F(\lambda)$ is a monoid ideal in the additive monoid $\mathbb{N}^{2}$. Recall that for a monoid ideal $I$ the integral closure $\bar{I}$ is

$$
\begin{equation*}
\{\boldsymbol{a} \mid \ell \boldsymbol{a} \in I \text { for some } \ell>0\} \tag{1}
\end{equation*}
$$

and that $I$ is integrally closed iff it is equal to its integral closure.

Definition 1. The integer partition $\lambda$ is concave iff $I(\lambda)$ is integrally closed. We denote by $\bar{\lambda}$ the unique partition such that $I(\bar{\lambda})=\overline{I(\lambda)}$.

Now let $R$ be the complex monoid ring of $\mathbb{N}^{2}$. We identify $\mathbb{N}^{2}$ with the set of commutative monomials in the variables $x, y$, so that $R \simeq \mathbb{C}[x, y]$. Then a monoid ideal $I \subset \mathbb{N}^{2}$ corresponds to the monomial ideal $J$ in $R$ generated by the monomials $\left\{x^{i} y^{j} \mid(i, j) \in I\right\}$. Furthermore, since the monoid ideals of the form $I(\lambda)$ are precisely those with finite complement to $\mathbb{N}^{2}$, those monoid ideals will correspond to monomial ideals $J \subset R$ such that $R / J$ has a finite $\mathbb{C}$ vector space basis (consisting of images of those monomials not in $J$ ). By abuse of notation, such monomial ideals are called artinian, and the $\mathbb{C}$-vector space dimension of $R / J$ is called the colength of $J$.

We get in this way a bijection between
(1) integer partitions of $n$,
(2) order ideals in $\left(\mathbb{N}^{2}, \leq\right)$ of cardinality $n$,
(3) monoid ideals in $\mathbb{N}^{2}$ whose complement has cardinality $n$, and
(4) monomial ideals in $R$ of colength $n$.

Recall that if $\mathfrak{a}$ is an ideal in the commutative unitary ring $S$, then the integral closure $\overline{\mathfrak{a}}$ consists of all $u \in S$ that fulfill some equation of the form

$$
\begin{equation*}
s^{n}+b_{1} s^{n-1}+\cdots+b_{n}=0, \quad b_{i} \in \mathfrak{a}^{i} \tag{2}
\end{equation*}
$$

Then $\mathfrak{a}$ is always contained in its integral closure, which is an ideal. The ideal $\mathfrak{a}$ is said to be integrally closed if it coincides with its integral closure.

Note that this notion is different from the integral closure of $\mathfrak{a}$ as a subring of $S$. On the other hand, one can show $\|$ that the integral closure of the Rees algebra $S[\mathfrak{a} t] \subseteq S[t]$ is equal to the graded subring

$$
S+\overline{\mathfrak{a}} t+\cdots+\overline{\mathfrak{a}^{n}} t^{n}+\cdots
$$

For the special case $S=R$, we have that the integral closure of a monomial ideal is again a monomial ideal, and that the latter monomial ideal corresponds to the integral closure of the monoid ideal corresponding to the former monomial ideal [9, [4]. Hence, we have a bijection between
(1) concave integer partitions of $n$,
(2) integrally closed monoid ideals in $\mathbb{N}^{2}$ whose complements have cardinality $n$, and
(3) integrally closed monomial ideals in $R$ of colength $n$.

Fröberg and Barucci [3] studied the growth of the number of ideals of colength $n$ in certain rings, among them local noetherian rings of dimension 1. Studying the growth of the number of monomial ideals of colength $n$ in $R$ is, by the above, the same as studying the partition function $p(n)$. In this article, we will instead study the growth of the number of integrally closed monomial ideals in $R$, that is, the number of concave partitions of $n$.

## 2. InEQUALITIES DEFINING CONCAVE PARTITIONS

It is in general a hard problem to compute the integral closure of an ideal in a commutative ring. However, for monomial ideals in a polynomial ring, the following theorem, which can be found in [7, Exercise 4.23] or in [9, [4], makes the problem feasible.

[^0]

Figure 1. $\mu$ and $\bar{\mu}$
Theorem 2.1. Let $I \subset \mathbb{N}^{2}$ be a monoid ideal, and regard $\mathbb{N}^{2}$ as a subset of $\mathbb{Q}^{2}$ in the natural way. Let $\operatorname{conv}_{\mathbb{Q}}(I)$ denote the convex hull of $I$ inside $\mathbb{Q}^{2}$. Then the integral closure of $I$ is given by

$$
\begin{equation*}
\operatorname{conv}_{\mathbb{Q}}(I) \cap \mathbb{N}^{2} \tag{3}
\end{equation*}
$$

Example 2. The partition $\mu=(4,4,2,2)$ corresponds to the monoid ideal

$$
((0,4),(2,2),(4,0)),
$$

which has integral closure

$$
((0,4),(1,3),(2,2),(3,1),(4,0))
$$

It follows that $\bar{\mu}=(4,3,2,1)$. In figure ${ }^{1}$ we have drawn the lattice points belonging to $F(\mu)$ as dots, and the lattice points belonging to $I(\lambda)$ as crosses.

The above theorem gives the following characterization of concave partitions:
Lemma 2.1. Let $\lambda=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \ldots\right)$ be a partition. Then $\lambda$ is concave iff for all positive integers $i<j<k$,

$$
\begin{equation*}
\lambda_{j}<1+\lambda_{i} \frac{k-j}{k-i}+\lambda_{k} \frac{j-i}{k-i} \tag{4}
\end{equation*}
$$

or, equivalently, if

$$
\begin{equation*}
\lambda_{i}(j-k)+\lambda_{j}(k-i)+\lambda_{k}(i-j)<k-i \tag{5}
\end{equation*}
$$

Since all quantities involved are integers, (道) is equivalent to

$$
\begin{equation*}
\lambda_{i}(k-j)+\lambda_{j}(i-k)+\lambda_{k}(j-i) \geq i-k+1 \tag{6}
\end{equation*}
$$

## 3. Generating functions for super-concave partitions

We will enumerate concave partitions by considering another class of partitions which is more amenable to enumeration, yet is close to that of concave partitions.

Definition 3. Let $\lambda=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \ldots\right)$ be a partition. Then $\lambda$ is super-concave iff for all positive integers $i<j<k$,

$$
\begin{equation*}
\lambda_{i}(k-j)+\lambda_{j}(i-k)+\lambda_{k}(j-i) \geq 0 \tag{7}
\end{equation*}
$$

The reader should note that it is actually a stronger property to be super-concave than to be concave. Unlike the latter property, it is not necessarily preserved by conjugation: the partition (2) is super-concave, hence concave, but its conjugate $(1,1)$ is concave but not super-concave.

Theorem 3.1. Let $\lambda=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \ldots\right)$ be a partition, and let $\mu=\left(\mu_{1}, \mu_{2}, \mu_{3}, \ldots\right)$ be its conjugate, so that $\left|\left\{j \mid \mu_{j}=i\right\}\right|=\lambda_{i}-\lambda_{i+1}$ for all $i$. Then the following are equivalent:
(i) $\lambda$ is super-concave,
(ii) for all positive $\ell$,

$$
\begin{equation*}
-\lambda_{\ell}+2 \lambda_{\ell+1}-\lambda_{\ell+2} \leq 0 \tag{8}
\end{equation*}
$$

(iii) for all positive $\ell$,

$$
\begin{equation*}
\lambda_{\ell+1}-\lambda_{\ell} \geq \lambda_{\ell+2}-\lambda_{\ell+1} \tag{9}
\end{equation*}
$$

(iv) $\left|\left\{k \mid \mu_{k}=i\right\}\right| \geq\left|\left\{k \mid \mu_{k}=j\right\}\right|$ whenever $i \leq j$.
 let $\boldsymbol{f}_{j}=-\boldsymbol{e}_{j}+2 \boldsymbol{e}_{j+1}-\boldsymbol{e}_{j+2}$, and let $\boldsymbol{t}_{i, j, k}=(j-k) \boldsymbol{e}_{i}+(k-i) \boldsymbol{e}_{j}+(j-i) \boldsymbol{e}_{k}$. Clearly, (7) is equivalent with $\boldsymbol{t}_{i, j, k} \cdot \lambda \leq 0$, and (8) is equivalent with $\boldsymbol{f}_{j} \cdot \lambda \leq 0$. We have that $\boldsymbol{f}_{\ell}=\boldsymbol{t}_{\ell, \ell+1, \ell+2}$. Conversely, we claim that $\boldsymbol{t}_{i, j, k}$ is a positive linear combination of different $\boldsymbol{f}_{\ell}$. From this claim, it follows that if $\lambda$ fulfills (8) for all $\ell$ then $\lambda$ is super-concave.

We can without loss of generality assume that $i=1$. Then it is easy to verify that

$$
\begin{equation*}
\boldsymbol{t}_{1, j, k}=\sum_{\ell=1}^{j-2} \ell(k-j) \boldsymbol{f}_{\ell}+\sum_{\ell=j-1}^{k-2} \ell(j-1)(k-\ell-1) \boldsymbol{f}_{\ell} \tag{10}
\end{equation*}
$$

(同) $\Longleftrightarrow$ (远) $\Longleftrightarrow$ (iv) : This is obvious.
The difference operator $\Delta$ is defined on partitions by

$$
\begin{equation*}
\Delta\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \ldots\right)=\left(\lambda_{1}-\lambda_{2}, \lambda_{2}-\lambda_{3}, \lambda_{3}-\lambda_{4}, \ldots\right) \tag{11}
\end{equation*}
$$

We get that the second order difference operator $\Delta^{2}$ is given by

$$
\begin{align*}
\Delta^{2}\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \ldots\right)=\Delta\left(\Delta \left(\lambda_{1}, \lambda_{2}\right.\right. & \left.\left., \lambda_{3}, \ldots\right)\right)= \\
& =\left(\lambda_{1}-2 \lambda_{2}+\lambda_{3}, \lambda_{2}-2 \lambda_{3}+\lambda_{4}, \lambda_{3}-2 \lambda_{4}+\lambda_{5}, \ldots\right) \tag{12}
\end{align*}
$$

Corollary 3.1. The super-concave partitions are precisely those with non-negative second differences.

Definition 4. Let $p_{s c}(n)$ denote the number of super-concave partitions of $n$, and $p_{s c}(n, r)$ denote the number of super-concave partitions of $n$ with at most $r$ parts. Let similarly $p_{c}(n)$ and $p_{c}(n, r)$ denote the number of concave partitions of $n$, and the number of concave partitions of $n$ with at most $r$ parts, respectively. For a partition $\lambda=\left(\lambda_{1}, \lambda_{2}, \ldots\right)$ let $\boldsymbol{x}^{\lambda}=x_{1}^{\lambda_{1}} x_{2}^{\lambda_{2}} \cdots$, and define

$$
\begin{align*}
P S(\boldsymbol{x}) & =\sum_{\lambda \text { super-concave }} \boldsymbol{x}^{\lambda} \\
P S_{r}\left(x_{1}, \ldots, x_{r}\right) & =P S\left(x_{1}, x_{2}, \ldots, x_{r}, 0,0,0, \ldots\right)=\sum_{\substack{\lambda \text { super-concave } \\
\lambda_{r+1}=0}} \boldsymbol{x}^{\lambda}  \tag{13}\\
P C(\boldsymbol{x}) & =\sum_{\lambda \text { concave }} \boldsymbol{x}^{\lambda} \\
P C_{r}\left(x_{1}, \ldots, x_{r}\right) & =P C\left(x_{1}, x_{2}, \ldots, x_{r}, 0,0,0, \ldots\right)=\sum_{\substack{\lambda \text { concave } \\
\lambda_{r+1}=0}} \boldsymbol{x}^{\lambda}
\end{align*}
$$

Partitions with non-negative second differences have been studied by Andrews [2], who proved that there are as many such partitions of $n$ as there are partitions of $n$ into triangular numbers.

Canfield et al [5] have studied partitions with non-negative $m$ 'th differences. Specializing their results to the case $m=2$, we conclude:

Theorem 3.2. Let $n, r$ be denote positive integers.
(i) There is a bijection between partitions of $n$ into triangular numbers and super-concave partitions.
(ii) The multi-generating function for super-concave partitions is given by

$$
\begin{align*}
P S(\boldsymbol{x}) & =\frac{1}{\prod_{i=1}^{\infty}\left(1-\prod_{j=1}^{i} x_{j}^{1+i-j}\right)}  \tag{14}\\
& =1+x_{1}+x_{1}{ }^{2}+x_{1}{ }^{3}+x_{1}{ }^{4}+x_{1}{ }^{2} x_{2}+x_{1}{ }^{5}+x_{1}{ }^{4} x_{2}+x_{1}{ }^{3} x_{2}+\ldots
\end{align*}
$$

(iii) The multi-generating function for super-concave partitions with at most $r$ parts is given by

$$
\begin{equation*}
P S_{r}\left(x_{1}, x_{2}, \ldots, x_{r}\right)=\frac{1}{\prod_{i=1}^{r}\left(1-\prod_{j=1}^{i} x_{j}^{1+i-j}\right)} \tag{15}
\end{equation*}
$$

(iv) The generating function for super-concave partitions is

$$
\begin{equation*}
P S(t)=\sum_{n=0}^{\infty} p_{s c}(n) t^{n}=\prod_{i=1}^{\infty} \frac{1}{1-t^{\frac{i(i+1)}{2}}} \tag{16}
\end{equation*}
$$

and the one for super-concave partitions with at most $r$ parts is

$$
\begin{equation*}
P S_{r}(t)=\sum_{n=0}^{\infty} p_{s c}(n, r) t^{n}=\prod_{i=1}^{r} \frac{1}{1-t^{\frac{i(i+1)}{2}}} \tag{17}
\end{equation*}
$$

(v) The proportion of super-concave partitions with at most $r$ parts among all partitions with at most $r$ parts is

$$
\begin{equation*}
\frac{r!}{\prod_{i=1}^{r} \frac{i(i+1)}{2}} . \tag{18}
\end{equation*}
$$

(vi) As $n \rightarrow \infty$,

$$
\begin{array}{r}
p_{s c}(n) \sim c n^{-3 / 2} \exp \left(3 C n^{1 / 3}\right) \\
C=2^{-1 / 3}[\zeta(3 / 2) \Gamma(3 / 2)]^{2 / 3}, \quad c=\frac{\sqrt{3}}{12}\left(\frac{C}{\pi}\right)^{3 / 2} \tag{19}
\end{array}
$$

The sequence $\left(p_{s c}(n)\right)_{n=0}^{\infty}$ is identical to sequence A007294 in OEIS [10]. We have submitted the sequences $\left(p_{s c}(n, r)\right)_{n=0}^{\infty}$, for $r=3,4$, in OEIS [T]], as A086159 and A086160. The sequence for $r=2$ was already in the database, as 008620 .
3.1. Other appearances of super-concave partitions in the literature. The bijection between partitions into triangular numbers and partitions with non-negative second difference is mentioned in A007294 in OEIS [10], together with a reference to Andrews [2]. That sequence has been contributed by Mira Bernstein and Roland Bacher; we thank Philippe Flajolet for drawing our attention to it.

Gert Almkvist [1] gives an asymptotic analysis of $p_{s c}(n)$ which is finer than (19).
Another derivation of the generating functions above can found in a forthcoming paper "Partition Bijections, a Survey" [B] by Igor Pak. He observes that the set of super-concave partitions with at most $r$ parts consists of the lattice points of the unimodular cone spanned by the vectors $v_{0}=(1, \ldots, 1)$ and $v_{i}=(i-1, i-2, \ldots, 1,0,0, \ldots)$ for $1 \leq i \leq r$.

Corteel and Savage [6] calculate rational generating functions for classes of partitions defined by linear homogeneous inequalities. This applies to super-concave partitions, but not directly to concave partitions, since the inequalities (5) defining them are inhomogeneous.

## 4. Generating functions for concave partitions

Recall that a concave partition $\boldsymbol{\lambda}=\left(\lambda_{1}, \lambda_{2}, \ldots\right)$ fulfills (6), and that conversely, every sequence of non-negative integers which is eventually zero and fulfills (6) gives a concave partition. If we fix a positive integer $r$, then we need only finitely many of the inequalities in (6): we can take those indexed by $i<j<k<r+2$, together with the non-negativity conditions $\lambda_{i} \geq 0$. Hence, there is a matrix $A$ with $r$ columns, and whose rows are indexed by tuples $(i, j, k)$ with $k \leq r+1$, so that a concave partition with at most $r$ parts corresponds to a solution to

$$
\begin{equation*}
A \boldsymbol{\lambda} \geq \boldsymbol{b}, \quad \boldsymbol{\lambda} \in \mathbb{N}^{r} \tag{20}
\end{equation*}
$$

whereas a super-concave partition with at most $r$ parts corresponds to a solution to

$$
\begin{equation*}
A \boldsymbol{\lambda} \geq \mathbf{0}, \quad \boldsymbol{\lambda} \in \mathbb{N}^{r} . \tag{21}
\end{equation*}
$$

We let $\mathcal{K}=\left\{\alpha \in \mathbb{R}^{r} \mid A \alpha \geq \boldsymbol{b}, \boldsymbol{\alpha} \geq \mathbf{0}\right\}, \mathcal{P}=\left\{\alpha \in \mathbb{R}^{r} \mid A \alpha \geq \mathbf{0}, \boldsymbol{\alpha} \geq \mathbf{0}\right\}$. Then $\mathcal{P}$ is a rational polyhedron in the positive orthant. Since the RHS vector $\boldsymbol{b}$ is non-positive, $\mathcal{P}$ contains its recession cone $\mathcal{K}$. The solutions to (21) and (20) are precisely $\mathcal{K} \mathcal{I}=\mathcal{K} \cap \mathbb{N}^{r}$ and $\mathcal{P} \mathcal{I}=\mathcal{P} \cap \mathbb{N}^{r}$, and the generating functions of these two sets of lattice points are precisely $P S_{r}$ and $P C_{r}$.

Example 5. If $r=3$ and if we order the 3 -subsets of $\{1,2,3,4\}$ as $123,124,134,234$ then

$$
A=\left(\begin{array}{ccc}
1 & -2 & 1 \\
2 & -3 & 0 \\
1 & 0 & -3 \\
0 & 1 & -2
\end{array}\right), \quad \boldsymbol{b}=(-1,-2,-2,-1)^{t}
$$

$\mathcal{K}$ is the cone generated by the rays $(1,0,0),(2,1,0)$, and $(3,2,1)$, whereas $\mathcal{P}$ is the Minkowski sum of $\mathcal{K}$ and the polytope which is the convex hull of $(0,0,0),(0,0,1 / 2,(0,1 / 3,2 / 3)$, $(0,1 / 2,0),(0,2 / 3,1 / 3),(0,2 / 3,2 / 3)$. So $\mathcal{P}$ is a rational polyhedron but not a lattice polyhedron.

Lemma 4.1. The generating function $P C_{r}\left(x_{1}, \ldots, x_{r}\right)$ is a rational function with the same denominator as $P S_{r}\left(x_{1}, \ldots, x_{r}\right)$, and with a numerator which evaluates to 1 at $(1, \ldots, 1)$. In
other words,

$$
\begin{equation*}
P C_{r}\left(x_{1}, \ldots, x_{r}\right)=\frac{Q_{r}\left(x_{1}, \ldots, x_{r}\right)}{\prod_{i=1}^{r}\left(1-\prod_{j=1}^{i} x_{j}^{1+i-j}\right)}, \quad Q_{r}(1, \ldots, 1)=1 \tag{22}
\end{equation*}
$$

Proof. This can be obtained from the corresponding result for linear diophantine equalities $\square$ by adding slack-variables and then specializing the corresponding formal variables to 1 . We give the outline of a self-contained proof.

By Gordan's lemmaŋ $\mathcal{K} \mathcal{I}$ is a finitely generated affine semigroup. In fact, it has a unique finite minimal generating set, called its Hilbert basis. Furthermore, $\mathcal{P I}$ is a module over $\mathcal{K} \mathcal{I}$, by which we mean that $\mathcal{K} \mathcal{I}+\mathcal{P I} \subseteq \mathcal{P} \mathcal{I}$. Now let $R=\mathbb{C}[\mathcal{K} \mathcal{I}]$ be the semigroup ring on $\mathcal{K} \mathcal{I}$, i.e. the $\mathbb{C}$-vector space spanned by all monomials $\left\{\boldsymbol{x}^{\boldsymbol{\alpha}} \mid \boldsymbol{\alpha} \in \mathcal{K} \mathcal{I}\right\}$. We define

$$
\begin{equation*}
x^{\alpha} x^{\beta}=x^{\alpha+\beta} \tag{23}
\end{equation*}
$$

and extend this multiplication by linearity to all of $R$, turning it into a $r$-multigraded, noetherian $\mathbb{C}$-algebra. Similarly, we define $M$ to be the $\mathbb{C}$-linear span of monomials corresponding to points in $\mathcal{P I}$. (20). The multiplication (23) gives $M$ the structure of $r$-multigraded $R$-module.

Since $\mathcal{K} \mathcal{I}$ is a finitely generated affine semigroup, $R$ is a finitely generated $\mathbb{C}$-algebra. Since it is a subring of $\mathbb{C}\left[x_{1}, \ldots, x_{r}\right]$, it is an integral domain. The Hilbert series of $R$ is $P S_{r}$, and the Hilbert series of $M$ is $P C_{r}$.

Now note that since there is some $\gamma \in \mathbb{N}^{r}$ such that $\mathcal{P} \mathcal{I}+\gamma \subseteq \mathcal{K I}$, it follows that $M$ is isomorphic as an $R$-module to the ideal $\boldsymbol{x}^{\gamma} M \subseteq R$. Consequently, $M$ is a finitely generated torsion-free module over $R$, of rank 1 . Its annihilator is zero, so $M$ has the same dimension as $R$.

It follows from standard commutative algebral that the Hilbert series of $R$ and $M$ are rational, of the form

$$
\frac{N_{R}\left(x_{1}, \ldots, x_{r}\right)}{\prod_{i=1}^{s}\left(1-\boldsymbol{x}^{\boldsymbol{\alpha}_{i}}\right)} \quad \text { and } \quad \frac{N_{M}\left(x_{1}, \ldots, x_{r}\right)}{\prod_{i=1}^{s}\left(1-\boldsymbol{x}^{\boldsymbol{\alpha}_{i}}\right)},
$$

where the $\boldsymbol{\alpha}_{i}$ 's are the elements of a basis of $\mathcal{K} \mathcal{I}$, and the polynomials $N_{R}$ and $N_{M}$ have rational coefficients. Since we know the Hilbert series of $R$, we conclude that the vectors $(i, i-1, \ldots, 0, \ldots, 0)$, for $1 \leq i \leq r$, form a basis for $\mathcal{K} \mathcal{I}$.

Furthermoref, $N_{R}(1, \ldots, 1)=1$, and $N_{M}(1, \ldots, 1)=\operatorname{rank}(M)=1$. The ring $R$ is CohenMacaulay, hence[] all coefficients of $N_{R}(t, \ldots, t)$ are non-negative. As calculated in (28), the polynomials $N_{M}(t, \ldots, t)$ have some negative coefficients for $r=2,3,4$, so $M$ is not Cohen-Macaulay in general.

We can say something more about the numerators:
Theorem 4.1. Let $r$ be a fixed positive integer. Then

[^1](A) The multigenerating function of concave partitions with at most $r$ parts is given by (22), where $Q_{r}\left(x_{1}, \ldots, x_{r}\right)$ is a polynomial with integer coefficients such that all exponent vectors of the monomials that occur in $Q_{r}$ are weakly decreasing.
(B) The generating function for concave partitions with at most $r$ parts is given by
\[

$$
\begin{equation*}
P C_{r}(t)=\sum_{n=0}^{\infty} p_{c}(n, r) t^{n}=\frac{Q_{r}(t)}{\prod_{i=1}^{r}\left(1-t^{\frac{i(i+1)}{2}}\right)} \tag{24}
\end{equation*}
$$

\]

where $Q_{r}(1)=1$, and the numerator has degree strictly smaller than $r^{3} / 6+r^{2} / 2+r / 3$.
(C) $p_{c}(n, r) \sim p_{s c}(n, r)$ as $n \rightarrow \infty$.
(D) The proportion of concave partitions with at most $r$ parts among all partitions with at most $r$ parts is the same as the proportion of super-concave partitions with at most $r$ parts among all partitions with at most $r$ parts, namely

$$
\begin{equation*}
\frac{r!}{\prod_{i=1}^{r} \frac{i(i+1)}{2}} . \tag{25}
\end{equation*}
$$

(E) $Q_{r}\left(x_{1}, \ldots, x_{r}\right)=Q_{r+1}\left(x_{1}, \ldots, x_{r}, 0\right)$.
(F)

$$
\begin{equation*}
P C(\boldsymbol{x})=\frac{Q(\boldsymbol{x})}{\prod_{i=1}^{\infty}\left(1-\prod_{j=1}^{i} x_{j}^{1+i-j}\right)} \tag{26}
\end{equation*}
$$

where $Q(\boldsymbol{x})$ is a formal power series with the property that for each $\ell, Q\left(x_{1}, \ldots, x_{\ell}, 0,0, \ldots\right)=$ $Q_{\ell}\left(x_{1}, \ldots, x_{\ell}\right)$; in other words,

$$
Q=1+\sum_{i=1}^{\infty}\left(Q_{i}-Q_{i-1}\right)
$$

Proof. All monomials in

$$
\prod_{i=1}^{r}\left(1-\prod_{j=1}^{i} x_{j}^{1+i-j}\right)
$$

have weakly decreasing exponent vectors, as have all monomials in the power series $P C_{r}\left(x_{1}, \ldots, x_{r}\right)$. Summing weakly decreasing exponent vectors gives weakly decreasing exponent vectors, so all exponent vectors in $Q_{r}\left(x_{1}, \ldots, x_{r}\right)$ are weakly decreasing.

If we specialize $x_{1}=x_{2}=\cdots=x_{r}=t$ we get

$$
P C_{r}(t)=\frac{Q_{r}(t)}{\prod_{i=1}^{r}\left(1-t^{\frac{i(i+1)}{2}}\right)}, \quad P S_{r}(t)=\frac{1}{\prod_{i=1}^{r}\left(1-t^{\frac{i(i+1)}{2}}\right)} .
$$

Thus $Q_{r}(1)=1$, and we conclude that $p_{c}(n, r) \sim p_{s c}(n, r)$ as $n \rightarrow \infty$.
Furthermore, from Stanley's "grey book" [12, Theorem 4.6.25] we have that the rational function $P C_{r}(t, \ldots, t)$ is of degree $<0$. The degree of the denominator is

$$
\sum_{i=1}^{r} \frac{i(i+1)}{2}=\frac{r^{3}}{6}+\frac{r^{2}}{2}+\frac{r}{3}
$$

so $Q_{r}(t)$ have smaller degree than that.
If $\left(\lambda_{1}, \ldots, \lambda_{r}, \lambda_{r+1}\right)$ is a concave partition, then so is $\left(\lambda_{1}, \ldots, \lambda_{r}, 0\right)$; it follows that $Q_{r+1}\left(x_{1}, \ldots, x_{r}, 0\right)=$ $Q_{r}\left(x_{1}, \ldots, x_{r}\right)$. The assertion about $P C(\boldsymbol{x})$ follows by passing to the limit.

By generating all concave partitions of $n$ with at most $r$ parts, up to a large $n$, we have calculated that

$$
\begin{align*}
& Q_{1}(\boldsymbol{x})=1 \\
& Q_{2}(\boldsymbol{x})=1+x_{1} x_{2}-x_{1}^{2} x_{2}  \tag{27}\\
& Q_{3}(\boldsymbol{x})=Q_{2}(\boldsymbol{x})+x_{3}\left(x_{1}^{5} x_{2}^{3}-x_{1}^{4} x_{2}^{3}-2 x_{1}^{3} x_{2}^{2}+x_{1}^{2} x_{2}^{2}+x_{1} x_{2}\right)
\end{align*}
$$

and that

$$
\begin{align*}
Q_{1}(t) & =1 \\
Q_{2}(t) & =1+t^{2}-t^{3} \\
Q_{3}(t) & =1+t^{2}+t^{5}-2 t^{6}-t^{8}+t^{9}  \tag{28}\\
Q_{4}(t) & =1+t^{2}+t^{4}+t^{5}-t^{6}-t^{7}+2 t^{9}-2 t^{10}-t^{11}-2 t^{12}+ \\
& +2 t^{13}-t^{14}-t^{15}+t^{16}+t^{17}+t^{18}-t^{19}
\end{align*}
$$

We have also used the package LinDiophanthus 15 by Doron Zeilberger to verify our results.
By generating all concave partitions of $n$ for $n \leq 20$ we have calculated that

$$
\begin{align*}
& P C(t)=\sum_{n=0}^{\infty} p_{c}(n) t^{n}=1+t+2 t^{2}+3 t^{3}+4 t^{4}+7 t^{5}+9 t^{6}+11 t^{7}+ \\
& +17 t^{8}+23 t^{9}+28 t^{10}+39 t^{11}+48 t^{12}+59 t^{13}+79 t^{14}+ \\
&  \tag{29}\\
& \quad+100 t^{15}+121 t^{16}+152 t^{17}+185 t^{18}+225 t^{19}+280 t^{20}+O\left(t^{21}\right)
\end{align*}
$$

Based on (28), we conjecture that

$$
\begin{equation*}
P C(t)=\frac{1+t^{2}+O\left(t^{3}\right)}{\prod_{i=1}^{\infty}\left(1-t^{\frac{i(i+1)}{2}}\right)} \tag{30}
\end{equation*}
$$

We also conjecture that $\log p_{c}(n)$ grows as $n^{1 / 3}$, i.e. approximately as fast as super-concave partitions.

The sequences $\left(p_{c}(n)\right)_{n=0}^{\infty}$ are in the OEIS 10] as A084913. The sequences $\left(p_{c}(n, r)\right)_{n=0}^{\infty}$ are A086161, A086162, and A086163 for $r=2,3,4$.

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[^0]:    ${ }^{1}$ See for instance [13, chapter 6.6]

[^1]:    ${ }^{2}$ See 11, Corollary 3.8] and the paragraph immediately following it
    ${ }^{3}$ Gordan's lemma says the lattice points in a finitely generated rational cone in the positive orthant constitute a normal affine semigroup, see [ 1 Proposition 6.1.2]
    ${ }^{4}$ See 11, Theorem 2.3], and note that $M$ is $\mathbb{N}^{r}$-graded rather than $\mathbb{Z}^{r}$-graded
    ${ }^{5}$ See 4 , exercise 4.4.12
    ${ }^{6}$ See again [7], exercise 4.4.12

