



Ternary Modified Collatz Sequences And Jacobsthal Numbers

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Abstract

We show how to apply the Collatz function and the modified Collatz function to the ternary representation of a positive integer, and we present the ternary modified Collatz sequence starting with a multiple of 3^N for an arbitrary large integer N . Each ternary string in the sequence is shown to have a repeating string, and the number of occurrences of each digit in each repeating string is identified as a Jacobsthal number, or one more or one less than a Jacobsthal number.

1 Introduction

Definition 1. [1] For any positive integer m , the *Collatz function* f on m is defined as follows:

$$f(m) := \begin{cases} \frac{m}{2}, & \text{if } m \text{ is even;} \\ 3m + 1, & \text{if } m \text{ is odd.} \end{cases} \quad (1)$$

For any positive integer m , consider a sequence satisfying: $a_0 = m$ and $a_n = f(a_{n-1})$ for $n > 1$. Collatz [1] asked if there exists n such that $a_n = 1$, for any positive integer $m = a_0$.

The Collatz problem is still open, but it is known [2] that for every positive integer $m < 5.7646 \cdot 10^{18}$ there exists $n > 0$ such that $f^n(m) = 1$. If there is an integer m such

that $f^n(m) \neq 1$ for any integer n , we can assume m is a large odd integer. If m is even, we can divide m by a power of 2 to find a large odd integer. To find the Collatz sequence starting with a large odd integer m , we calculate $f(m) = 3m + 1$ and $f^2(m) = \frac{3m+1}{2}$. To make these calculations simpler, we would like to modify the Collatz function, since $f^2(m)$ is always $\frac{3m+1}{2}$ for any odd integer m .

Definition 2. [3] For any positive integer m , the *modified Collatz function* g on m is defined as follows:

$$g(m) := \begin{cases} f(m) = \frac{m}{2}, & \text{if } m \text{ is even;} \\ f^2(m) = \frac{3m+1}{2}, & \text{if } m \text{ is odd.} \end{cases} \quad (2)$$

To make the calculation $3m + 1$ for a large integer m easier, we use the ternary representation of integers. In this paper, we show how to apply the Collatz function and the modified Collatz function to the ternary representation of integers. Then we study the ternary representation of the modified Collatz sequence starting with 3^N for an arbitrary large integer N . As the ternary representation of 3^N is the string $100 \cdots 0$ with a digit 1 and N 0 digits, the ternary representation of $g^n(3^N)$ has a repeating string of digits, for significantly many nonnegative integers n . The number of occurrences of each digit in each repeating string will be counted and identified. Then we consider a multiple of 3^N for an arbitrary large integer N .

Section 2 clarifies notation for this paper. Sections 3 and 4 show how to apply the Collatz function and the modified Collatz function to the ternary representation of integers. In Section 5, a repeating string of digits in the ternary modified Collatz sequence starting with the string $100 \cdots 0$ is studied, and the number of occurrences of each digit in each repeating string is counted. Section 6 shows that the number of 1 digits counted in Section 5 is identified as a Jacobsthal number, and suggests an extension of Jacobsthal numbers. Section 7 generalizes Section 5.

2 Notation

Every ternary representation of an integer is a finite string in $\{0, 1, 2\}^*$, which is the set of all finite strings consisting of digits 0, 1, 2. The set $\{0, 1, 2\}^*$ also includes the *empty string*, which contains no digits, denoted by ϵ [5].

The notation for the number of digits in a string is as follows:

Definition 3. [5] For any finite string x and a digit a , $|x|$ is defined to be the number of digits in x , and $|x|_a$ is defined to be the number of occurrences of digit a 's in x .

Lemma 4. For any string x in $\{0, 1, 2\}^*$,

$$|x| = |x|_0 + |x|_1 + |x|_2.$$

For example, $|00021121| = 8$, $|00021121|_0 = 3$, $|00021121|_1 = 3$, $|00021121|_2 = 2$ and $8 = 3 + 3 + 2$.

The following operation shows how to create a new string from given ones [5, 7]:

Definition 5. For any strings x and y and any positive integer n , the *concatenation* of x and y , denoted by xy , is the string obtained by joining x and y end-to-end, and x^n denotes the concatenation of n x 's. That is, if $x = a_1a_2 \cdots a_{|x|}$ and $y = b_1b_2 \cdots b_{|y|}$ for some $a_i, b_i \in \{0, 1, 2\}$,

$$xy = a_1a_2 \cdots a_{|x|}b_1b_2 \cdots b_{|y|}, \text{ and } x^n = xx \cdots x \text{ (} n \text{ times)}.$$

For a convention, x^0 is defined to be ϵ .

Then concatenation is associative, and the length of the concatenation of two strings is the sum of each string length.

Lemma 6. For any ternary strings x , y , and z , $xyz = (xy)z = x(yz)$.

Lemma 7. For any strings x and y and a nonnegative integer n , $|xy| = |x| + |y|$ and $|x^n| = n|x|$.

For example, $102\ 00 = 10200$, $(10)^3 = 101010$, and $1 = 1\ (10)^0$. $|102\ 00| = |102| + |00| = 3 + 2 = 5$ and $|(10)^3| = 3|10| = 3 \cdot 2 = 5$.

For a finite string x , a substring y is called a *head* of x if there exists a string z such that $x = yz$, and a substring z is called a *tail* of x if there exists a string y such that $x = yz$ [4]. For example, 102 and 10 are heads of 10200, and 00 and 0 are tails of 10200. Notice that a head or a tail of a string is not necessarily unique.

Since the ternary representation of an integer is a string in $\{0, 1, 2\}^*$, we call the ternary representation of an integer as a *ternary string* throughout this paper. When we have to distinguish an integer and its ternary string, we use the following notation.

Notation 8. For any integer m with base 3-representation x , we write $m = [x]_3$ or $(m)_3 = x$.

For example, $5 = [12]_3$ and $(5)_3 = 12$. Then $([x]_3)_3 = x$ for any ternary string x and $[(m)_3]_3 = m$ for any integer m .

Throughout the paper we use the convention that m is an integer and x is its ternary representation. When we apply the functions f and g we often phrase this in terms of how f and g transform x to another ternary representation, and do not mention m .

Notation 9. For a ternary string x , the *ternary representation of* $f([x]_3)$ and $g([x]_3)$ are denoted by $f(x)$ and $g(x)$, respectively. That is, $f(x) = (f([x]_3))_3$ and $g(x) = (g([x]_3))_3$.

Then the ternary string $g(x)$ is identified as follows:

Lemma 10. For a ternary string x ,

$$g(x) = \begin{cases} f(x), & \text{if } x \text{ is even;} \\ f(f(x)), & \text{if } x \text{ is odd.} \end{cases}$$

To apply the Collatz function to a ternary string, it is important to know whether a given ternary string represents an even or odd integer. To simplify the discussion, we say a ternary string is even or odd.

Definition 11. For any ternary string x , x is said to be *odd* if $[x]_3$ is odd, and x is said to be *even* if $[x]_3$ is even.

3 The Collatz function on ternary strings

Every ternary string represents a sum of powers of 3. For example, $[102211]_3 = 1 \cdot 3^5 + 2 \cdot 3^3 + 2 \cdot 3^2 + 1 \cdot 3^1 + 1 \cdot 3^0$. To determine the evenness or oddness of a sum, it does not matter how many even numbers are added. Instead, we have to count how many odd numbers are added. Since an even digit times a power of 3 is even, and an odd digit times a power of 3 is odd, we count odd digits (i.e., the 1's) in a ternary string. For example, the string 102211 is odd, since $|102211|_1 = 3$ is odd.

Observation 12. For a ternary string x , $|x|_1$ is odd, if and only if x is odd.

For an odd ternary string x , the ternary string $f(x) = (3[x]_3 + 1)_3$. Since $(3)_3 = 10$, the ternary string of the product $3[x]_3$ is the concatenation $x0$. Adding 1 to $3[x]_3$ yields replacing the tail digit 0 in the string $x0$ with the digit 1.

Lemma 13. For any odd ternary string x , $f(x) = x1$.

Proof. $3[x]_3 + 1 = [10]_3[x]_3 + 1 = [x0]_3 + 1 = [x1]_3$. □

For example, $f(11102) = 11102\ 0 + 1 = 111021$.

Now we consider even ternary strings. First, consider a ternary string x without any 1's, i.e., x only consists of 0's or 2's. Since x is even, the Collatz function f divides $[x]_3$ by 2. Since every digit in the string x is divisible by 2, f replaces each occurrence of the digit 2 with the digit 1, and keeps each digit 0 as it is. For example, the ternary $f(220020) = 110010$.

Lemma 14. For a ternary string $x = a_1a_2 \cdots a_{|x|}$, if each a_i is either 0 or 2,

$$f(x) = a'_1a'_2 \cdots a'_{|x|}, \text{ where } a'_i = \begin{cases} 0, & \text{if } a_i = 0; \\ 1, & \text{if } a_i = 2. \end{cases}$$

Proof. Since $|x|_1 = 0$, x is even, so $f(x) = \left(\frac{[x]_3}{2}\right)_3$. Since $|a_1a_2 \cdots a_{i-1}|_1 = 0$, $a_1a_2 \cdots a_{i-1}$ is even for any $i = 1, \dots, |x|$. Hence, when $[a_1a_2 \cdots a_{i-1}]_3$ is divided by 2, the remainder is 0. Hence, the i th digit a'_i in $f(x)$ is $\frac{a_i}{2}$, which is 0 if $a_i = 0$; 1 if $a_i = 2$. □

Secondly, consider another even ternary string $1x1$, where the string x does not contain any digit 1.

Lemma 15. For a ternary string $x = a_1a_2 \cdots a_{|x|}$, if each a_i is either 0 or 2,

$$f(1x1) = 0a'_1a'_2 \cdots a'_{|x|}2, \text{ where } a'_i = \begin{cases} 1, & \text{if } a_i = 0; \\ 2, & \text{if } a_i = 2. \end{cases}$$

Proof. Since $|1x1|_1 = 2$ is even, $1x1$ is even, so $f(1x1) = \left(\frac{[1x1]_3}{2}\right)_3$. The head digit in $\left(\frac{[1x1]_3}{2}\right)_3$ is 0, because the head digit 1 in $1x1$ is less than the divisor 2. Since $|1a_1a_2 \cdots a_{i-1}|_1 = 1$ is odd, $1a_1a_2 \cdots a_{i-1}$ is odd for any $i = 1, \dots, |x|$, so the remainder when $[1a_1a_2 \cdots a_{i-1}]_3$ is divided by 2 is 1. Hence, the $(i+1)$ th digit a'_i in $f(1x1)$ is the quotient when $[1a_i]_3$ is divided by 2, i.e. $a'_i = \lfloor \frac{[1a_i]_3}{2} \rfloor$, which is 1 if $a_i = 0$; 2 if $a_i = 2$. Similarly, since $1x$ is odd, the remainder when $[1x]_3$ is divided by 2 is 1. Hence, the last digit in $f(1x1)$ is $\frac{[11]_3}{2} = 2$. \square

Note 16. In this paper, for any string x with the head digit 1, when f transforms the head digit 1 to digit 0, we **keep the new head digit 0**, so that $|x| = |f(x)|$.

For example, $f(12200201) = 02211212$, so $|f(12200201)| = |02211212|$.

Now consider a ternary string yz , where y and z are even ternary strings.

Lemma 17. For any even ternary strings y and z ,

$$f(yz) = f(y)f(z). \quad (3)$$

Proof. Since y and z are even, $|y|_1$ and $|z|_1$ are even, and $f(y) = \left(\frac{[y]_3}{2}\right)_3$ and $f(z) = \left(\frac{[z]_3}{2}\right)_3$. Since $|yz|_1 = |y|_1 + |z|_1$ is even, yz is even, so $f(yz) = \left(\frac{[yz]_3}{2}\right)_3$. Since $[yz]_3 = [y0^{|z|}]_3 + [z]_3$,

$$\frac{[yz]_3}{2} = \frac{[y0^{|z|}]_3}{2} + \frac{[z]_3}{2} = \left[\left(\frac{[y]_3}{2} \right)_3 0^{|z|} \right]_3 + \frac{[z]_3}{2}.$$

Since $|z| = |f(z)|$ and $\frac{[z]_3}{2} = [f(z)]_3$,

$$f(yz) = ([f(y)0^{|f(z)|}]_3 + [f(z)]_3)_3 = ([f(y)f(z)]_3)_3 = f(y)f(z).$$

\square

Now consider an arbitrary even ternary string x . By Observation 12, x has even number of 1's, so we can separate x into small strings, each of which can be a string of the type in either Lemma 14 or Lemma 15. That is, $x = y_1y_2 \cdots y_k$, for some integer k , such that y_i is either x_i or $1x_i1$, where $|x_i|_1 = 0$ for all i . For example, $x = 10210022112012001 = 1021\ 0022\ 11\ 20\ 12001$. Applying Lemma 14 and 15, we find each ternary string $f(y_i)$ for all i . Then we use the following theorem to put them together for $f(x)$.

Theorem 18. For any even ternary string y_i for $i = 1, 2, \dots, k$,

$$f(y_1y_2 \cdots y_k) = f(y_1)f(y_2) \cdots f(y_k). \quad (4)$$

Proof. By Lemma 6, $y_1y_2\cdots y_k = y_1(y_2\cdots y_k)$. Then, by Lemma 17, $f(y_1y_2\cdots y_k) = f(y_1)f(y_2\cdots y_k)$. Continuing this, (4) is obtained. \square

Example 19. The Collatz function f transforms the ternary string 10210022112012001 to the following ternary string:

$$\begin{aligned} f(10210022112012001) &= f(1021\ 0022\ 11\ 20\ 12001) \\ &= f(1021)f(0022)f(11)f(20)f(12001) \\ &= 0122\ 0011\ 02\ 10\ 02112 = 01220011021002112. \end{aligned}$$

4 The modified Collatz function on ternary strings

We have found how to transform a ternary string x into the ternary string $f(x)$ in Section 3. We use the same method to find the ternary string $g(x)$ for any ternary string x , since

$$g(x) = \begin{cases} f(x) = \left(\frac{[x]_3}{2}\right)_3, & \text{if } x \text{ is even;} \\ f(x1) = \left(\frac{[x1]_3}{2}\right)_3, & \text{if } x \text{ is odd,} \end{cases} \quad (5)$$

by Lemma 10 and Lemma 13.

Lemma 20. *For any ternary strings y and z , if y is even,*

$$g(yz) = g(y)g(z). \quad (6)$$

Proof. Since y is even, $|y|_1$ is even. Hence, $|yz|_1 = |y|_1 + |z|_1$ is even, iff $|z|_1$ is even. Therefore, if z is even, yz is even, so $g(yz) = f(yz)$. By Lemma 17, (6) is obtained.

If z is odd, yz is odd and $z1$ is even. By (5) and Lemma 17,

$$g(yz) = f(yz1) = f(y)f(z1) = g(y)g(z).$$

\square

Theorem 21. *Let y_i and z be ternary strings for $i = 1, 2, \dots, k$. If every y_i is even,*

$$g(y_1y_2\cdots y_kz) = g(y_1)g(y_2)\cdots g(y_k)g(z). \quad (7)$$

Proof. Since every y_i is even, $y_1y_2\cdots y_k$ is even, so $g(y_i) = f(y_i)$ for each i and $g(y_1y_2\cdots y_k) = f(y_1y_2\cdots y_k)$. By Lemma 20, $g(y_1y_2\cdots y_kz) = g(y_1y_2\cdots y_k)g(z) = f(y_1y_2\cdots y_k)g(z)$. Applying Theorem 18, (7) is obtained. \square

Lemma 22. *For a ternary string $x = a_1a_2\cdots a_{|x|}$, if each a_i is either 0 or 2,*

$$(a) \quad g(x) = a'_1a'_2\cdots a'_{|x|}, \text{ where } a'_i = 0, \text{ if } a_i = 0; a'_i = 1, \text{ if } a_i = 2;$$

$$(b) \quad g(1x1) = 0a'_1a'_2\cdots a'_{|x|}2, \text{ where } a'_i = 1, \text{ if } a_i = 0; a'_i = 2, \text{ if } a_i = 2;$$

(c) $g(1x) = 0a'_1a'_2 \cdots a'_{|x|}2$, where $a'_i = 1$, if $a_i = 0$; $a'_i = 2$, if $a_i = 2$.

Proof. Since x and $1x1$ are even, $g(x) = f(x)$ and $g(1x1) = f(1x1)$. Hence, (a) and (b) are obtained by Lemmas 14 and 15. Since $1x$ is odd, $g(1x) = f(1x1)$ by (5). Since $1x1$ is even, $f(1x1) = g(1x1)$, so we apply (b) to get (c). \square

Strategy 23. For any ternary string x , the modified Collatz function g transforms x into the ternary string $g(x)$ as follows:

1. break x : $x = y_1y_2 \cdots y_ky_{k+1}$, for some integer k , such that $y_i =$ either x_i or $1x_i1$ for all $i \leq k$, and $y_{k+1} = x_{k+1}$, $1x_{k+1}1$, or $1x_{k+1}$, where $|x_i|_1 = 0$ for all $i = 1, 2, \dots, k, k+1$;
2. find $g(y_i)$: apply Lemma 22 to find each ternary string $g(y_i)$;
3. concatenate all $g(y_i)$'s: apply Theorem 21 to find the ternary string $g(x)$.

Example 24. The modified Collatz function transforms the ternary string 120100211102 to the following ternary string:

$$\begin{aligned} g(120100211102) &= g(1201 \ 002 \ 11 \ 102) \\ &= g(1201)g(002)g(11)g(102) \\ &= 0212 \ 001 \ 02 \ 0122 = 0212001020122 \end{aligned}$$

In this paper, for any ternary string x with the head digit 1, when g transforms the head digit 1 to digit 0, we **keep the new head digit 0** in $g(x)$, so the length of the ternary string $g(x)$ can be calculated as follows:

Note 25. For any ternary strings x , $|g(x)| = |x|$, if x is even; and $|x| + 1$, if odd.

In order to apply the modified Collatz function g to a lengthy ternary string in Section 5 and 7, we need to study more on g .

Theorem 26. For any ternary string x , let $a_i \in \{0, 1, 2\}$ such that $x = a_1a_2 \cdots a_{|x|}$. Then

$$g(x) = \begin{cases} a'_1a'_2 \cdots a'_{|x|}, & \text{if } x \text{ is even;} \\ a'_1a'_2 \cdots a'_{|x|}2, & \text{if } x \text{ is odd,} \end{cases}$$

where

$$a'_i = \begin{cases} 0, & \text{if } a_i = 0; \\ 0, & \text{if } a_i = 1; \\ 1, & \text{if } a_i = 2, \end{cases} \quad \text{if } |a_1a_2 \cdots a_{i-1}|_1 \text{ is even;} \quad a'_i = \begin{cases} 1, & \text{if } a_i = 0; \\ 2, & \text{if } a_i = 1; \\ 2, & \text{if } a_i = 2, \end{cases} \quad \text{if } |a_1a_2 \cdots a_{i-1}|_1 \text{ is odd.}$$

Proof. By(5), g divides either $[x]_3$ or $[x1]_3$ by 2. Since $x = a_1 \cdots a_{i-1}a_i \cdots a_{|x|}$ and $x1 = a_1 \cdots a_{i-1}a_i \cdots a_{|x|}1$, the i th digit in $g(x)$ is

$$a'_i = \begin{cases} \lfloor \frac{a_i}{2} \rfloor, & \text{if the remainder is 0 when } [a_1 \cdots a_{i-1}]_3 \text{ is divided by 2;} \\ \lfloor \frac{1a_i}{2} \rfloor, & \text{if the remainder is 1 when } [a_1 \cdots a_{i-1}]_3 \text{ is divided by 2,} \end{cases}$$

for all $i \leq |x|$. Applying Observation 12 and calculating $\lfloor \frac{a_i}{2} \rfloor$ and $\lfloor \frac{1a_i}{2} \rfloor$, a'_i can be obtained as desired. If x is odd, $|g(x)| = |x| + 1$, so we have to find one more digit. Since the remainder is 1 when $[x]_3$ is divided by 2, the last digit in $g(x) = \left(\frac{[x]_3}{2}\right)_3$ is $\frac{[11]_3}{2} = 2$. \square

Theorem 27. *For any odd ternary string x , let $a_i \in \{0, 1, 2\}$ such that $x = a_1a_2 \cdots a_{|x|}$. Then the ternary string $g(xx) = a'_1a'_2 \cdots a'_{|x|}a'_{|x|+1} \cdots a'_{2|x|}$ such that*

$$\{a'_i, a'_{|x|+i}\} = \begin{cases} \{0, 1\}, & \text{if } a_i = 0; \\ \{0, 2\}, & \text{if } a_i = 1; \\ \{1, 2\}, & \text{if } a_i = 2. \end{cases} \quad (8)$$

Proof. Let $a_{|x|+i}$ be the $(|x| + i)$ th digit in the string xx for any $i \leq |x|$. Then $a_{|x|+i} = a_i$, so $|a_1a_2 \cdots a_{|x|+i-1}|_1 = |a_1a_2 \cdots a_{|x|}|_1 + |a_{|x|+1}a_{|x|+2} \cdots a_{|x|+i-1}|_1 = |x|_1 + |a_1a_2 \cdots a_{i-1}|_1$. Since x is odd, $|x|_1$ is odd. Hence, $|a_1a_2 \cdots a_{i-1}|_1$ is odd, iff $|a_1a_2 \cdots a_{|x|+i-1}|_1$ is even. Since $a_i = a_{|x|+i}$, $\{a'_i, a'_{|x|+i}\}$ collects both images of a_i in Theorem 26. \square

Corollary 28. *For an odd ternary string x , the ternary string $g(xx)$ satisfies the following:*

- (a) $|g(xx)|_0 = |x|_0 + |x|_1$;
- (b) $|g(xx)|_1 = |x|_0 + |x|_2$;
- (c) $|g(xx)|_2 = |x|_1 + |x|_2$.

Proof. By Theorem 27, g transforms one-half of the 0 digits and one-half of the 1 digits into the string xx as 0's, so $|g(xx)|_0 \geq \frac{1}{2}|xx|_0 + \frac{1}{2}|xx|_1 = |x|_0 + |x|_1$. Since there is no other way to get digit 0's in $g(xx)$, (a) is obtained. Similarly, (b) and (c) are proved. \square

Corollary 29. *For any odd ternary strings x ,*

- (a) $g(xx)$ is odd, if and only if $|x|$ is even;
- (b) $|g(xx)| = 2|x|$.

Proof. By Corollary 28 (b), $|g(xx)|_1 = |x|_0 + |x|_2 = |x| - |x|_1$. Since x is odd, $|x|_1$ is odd by Observation 12. Hence, (a) is obtained. Since $|xx|_1 = 2|x|_1$ is even, xx is even. By Note 25, $|g(xx)| = |xx| = 2|x|$. \square

Corollary 30. *For any ternary strings x and z and for any positive integer k ,*

$$g(x^k z) = (g(xx))^{\lfloor \frac{k}{2} \rfloor} g(z_1), \quad (9)$$

where $z_1 = xz$, if k is odd, and $z_1 = z$, if k is even.

Proof. Since $k = 2 \cdot \lfloor \frac{k}{2} \rfloor + p$, where $p = 1$ for odd k ; 0 for even k , $x^k = (xx)^{\lfloor \frac{k}{2} \rfloor} x^p$. Since xx is even, apply Theorem 21 to provide (9). \square

Definition 32. For an arbitrary large integer N and a positive integer n , the n th repeating string r_n of the ternary string $g^n(1 0^N)$ is defined to be the shortest string repeating $\lfloor \frac{N}{|r_n|} \rfloor$ times in $g^n(1 0^N)$ such that

$$g^n(1 0^N) = 0 (r_n)^{\lfloor \frac{N}{|r_n|} \rfloor} t,$$

for some ternary string t .

For $n = 1, 2, \dots, 7$, the n th repeating string r_n in the ternary string $g^n(1 0^N)$, for an arbitrary large integer N , is as follows:

$$\begin{aligned} r_1 &= 1; \\ r_2 &= 02; \\ r_3 &= 01; \\ r_4 &= 0012; \\ r_5 &= 00021121; \\ r_6 &= 0001021011122022; \\ r_7 &= 00001220020221221112010120211011. \end{aligned}$$

Theorem 33. For any positive integer n , $r_{n+1} = g(r_n r_n)$, if r_n is odd.

Proof. By Definition 32, $g^n(1 0^N) = 0 (r_n)^{\lfloor \frac{N}{|r_n|} \rfloor} t$ for some ternary string t . Then, by Theorem 21 and Corollary 30,

$$g^{n+1}(1 0^N) = g\left(0 (r_n)^{\lfloor \frac{N}{|r_n|} \rfloor} t\right) = g(0) (g(r_n r_n))^{\lfloor \frac{N}{|r_n|} \rfloor / 2} g(t_1),$$

where $t_1 = r_n t$, if $\lfloor \frac{N}{|r_n|} \rfloor$ is odd; t , if even. Since $\lfloor \frac{N}{|r_n|} \rfloor / 2 = \lfloor \frac{N}{2|r_n|} \rfloor$, the ternary string $g(r_n r_n)$ is a string repeating $\lfloor \frac{N}{2|r_n|} \rfloor$ times in the ternary string $g^{n+1}(1 0^N)$.

Since r_n is the shortest string repeating in $g^n(1 0^N)$, $|r_{n+1}|$ should be a multiple of $|r_n|$. Since r_n is odd, $g(r_n r_n) \neq g(r_n)g(r_n)$ by Theorem 27. Hence, $|r_{n+1}| \neq |r_n|$. Since $|g(r_n r_n)| = 2|r_n|$ by Corollary 29 (b), the ternary string $g(r_n r_n)$ is the shortest string repeating $\lfloor \frac{N}{|g(r_n r_n)|} \rfloor$ times in the ternary string $g^{n+1}(1 0^N)$. \square

Corollary 34. For every integer $n \geq 3$, r_n is odd and $|r_n| = 2^{n-2}$.

Proof. The proof is done by mathematical induction. $r_3 = 01$ is odd and $|01| = 2^{3-2}$. Assume that r_n is odd and $|r_n| = 2^{n-2}$. Then $r_{n+1} = g(r_n r_n)$ by Theorem 33. By Corollary 29, r_{n+1} is odd, since $|r_n|$ is even, and $|r_{n+1}| = 2|r_n| = 2^{(n+1)-2}$. \square

Remark 35. For an integer $n > 2 + \log_2 N$, r_n does not exist.

Now let's count the number of 0's, 1's, and 2's in each r_n for $n \geq 3$. First, we simplify the notation.

n	2^n	0_n	1_n	2_n
1	2	1	1	0
2	4	2	1	1
3	8	3	3	2
4	16	6	5	5
5	32	11	11	10

Table 1: 2^n , 0_n , 1_n , and 2_n

Definition 36. For any positive integer n and any digit $a \in \{0, 1, 2\}$, a_n is defined to be the number of a 's in the $(n+2)$ th repeating string r_{n+2} in $g^{n+2}(1\ 0^N)$, i.e., $a_n := |r_{n+2}|_a$.

For example, $|r_{n+2}| = 2^n$, 0_n , 1_n , and 2_n , for $n = 1, 2, \dots, 5$, are shown in Table 1.

Lemma 37. For any positive integer n ,

- (a) $0_n + 1_n + 2_n = 2^n$;
- (b) 1_n is odd.

Proof. Since $|r_{n+2}| = 2^n$ by Corollary 34, (a) is obtained. Since r_{n+2} is odd by Corollary 34, $|r_{n+2}|_1$ is odd. Hence, (b) is obtained. \square

Theorem 38. For any positive integer n ,

- (a) $0_{n+1} = 0_n + 1_n$;
- (b) $1_{n+1} = 0_n + 2_n$;
- (c) $2_{n+1} = 1_n + 2_n$.

Proof. Since $n \geq 1$, r_{n+2} is odd by Corollary 34, so $r_{n+3} = g(r_{n+2}r_{n+2})$ by Theorem 33. Then, by Corollary 28, $|r_{n+3}|_0 = |r_{n+2}|_0 + |r_{n+2}|_1$; $|r_{n+3}|_1 = |r_{n+2}|_0 + |r_{n+2}|_2$; $|r_{n+3}|_2 = |r_{n+2}|_1 + |r_{n+2}|_2$. By Definition 36, (a), (b), and (c) are obtained. \square

Corollary 39. For any positive integer n ,

- (a) $2_n + 0_{n+1} = 2^n$;
- (b) $1_n + 1_{n+1} = 2^n$;
- (c) $0_n + 2_{n+1} = 2^n$.

Proof. Apply each relation in Theorem 38 to Lemma 37 (a). \square

Corollary 40. For any positive integer n ,

- (a) $0_n = 2_n + 1$;
- (b) $0_n = 1_n$, if n is odd; $1_n + 1$, if n is even;
- (c) $2_n = 1_n - 1$, if n is odd; 1_n , if n is even.

Proof. Applying Theorem 38 (a) and (c),

$$0_n - 2_n = (0_{n-1} + 1_{n-1}) - (1_{n-1} + 2_{n-1}) = 0_{n-1} - 2_{n-1}.$$

Then $0_n - 2_n = 0_{n-1} - 2_{n-1} = \dots = 0_1 - 2_1 = 1$, so (a) is obtained. Applying Theorem 38 (b) and (c); (a) and (b), we have

$$\begin{aligned} 1_n - 2_n &= (0_{n-1} + 2_{n-1}) - (1_{n-1} + 2_{n-1}) = 0_{n-1} - 1_{n-1}; \\ 0_n - 1_n &= (0_{n-1} + 1_{n-1}) - (0_{n-1} + 2_{n-1}) = 1_{n-1} - 2_{n-1}. \end{aligned}$$

Combining them, $1_n - 2_n = 1_{n-2} - 2_{n-2}$ and $0_n - 1_n = 0_{n-2} - 1_{n-2}$. Hence, if n is even, $1_n - 2_n = 1_2 - 2_2 = 0$ and $0_n - 1_n = 0_2 - 1_2 = 1$. If n is odd, $1_n - 2_n = 1_1 - 2_1 = 1$ and $0_n - 1_n = 0_1 - 1_1 = 0$. Therefore, (b) and (c) are obtained. \square

Corollary 41. *For any positive integer n ,*

- (a) $0_n \geq 1_n \geq 2_n$;
- (b) 0_n is odd, if and only if n is odd; 2_n is odd, if and only if n is even.

Proof. By Corollary 40, (a) is obvious. By Lemma 37 (b) and Corollary 40 (b), $0_n = 1_n$ is odd, iff n is odd. By Corollary 40 (a), 2_n is even, iff 0_n is odd. \square

Corollary 42. *For any positive integer n ,*

$$0_{n+2} - 0_n = 2^n = 2_{n+2} - 2_n. \tag{11}$$

Proof. By Corollary 39 (a), $2_{n+1} = 2^{n+1} - 0_{n+2}$, so Corollary 39 (c) becomes $0_n + (2^{n+1} - 0_{n+2}) = 2^n$. Hence, $2^n = 0_{n+2} - 0_n$. Then, by Corollary 40 (a), $2_{n+2} - 2_n = (0_{n+2} - 1) - (0_n - 1) = 2^n$. \square

Corollary 43. *For any positive integer n ,*

- (a) $0_n + 0_{n+1} = 2^n + 1$;
- (b) $2_n + 2_{n+1} = 2^n - 1$.

Proof. Applying Corollary 40 (a) to Corollary 39 (a) and (c), we have

$$(0_n - 1) + 0_{n+1} = 2^n; \quad (2_n + 1) + 2_{n+1} = 2^n.$$

\square

Finally, the explicit formulae for 0_n , 1_n , and 2_n are as follows:

Theorem 44. *For any positive integer n , if n is odd,*

$$0_n = \frac{2^n + 1}{3}; \quad 1_n = \frac{2^n + 1}{3}; \quad 2_n = \frac{2^n - 2}{3},$$

and if n is even,

$$0_n = \frac{2^n + 2}{3}; \quad 1_n = \frac{2^n - 1}{3}; \quad 2_n = \frac{2^n - 1}{3}.$$

Proof. By Corollary 40 (a) and (c),

$$0_n + 1_n + 2_n = \begin{cases} (2_n + 1) + (2_n + 1) + 2_n = 3 \cdot 2_n + 2, & \text{if } n \text{ is odd;} \\ (2_n + 1) + 2_n + 2_n = 3 \cdot 2_n + 1, & \text{if } n \text{ is even.} \end{cases}$$

Then, by Lemma 37 (a), $2^n = 3 \cdot 2_n + 2$, if n is odd, and $2^n = 3 \cdot 2_n + 1$, if n is even. Solve for 2_n , first. Then apply Corollary 40 (a) and (c) to find 0_n and 1_n . \square

6 Jacobsthal numbers and their extension

The Jacobsthal numbers $(J_n)_{n \geq 0}$ ([A001045](#)) are the numbers satisfying the following recurrence relation and initial conditions:

$$J_n = J_{n-1} + 2 \cdot J_{n-2} \quad ; \quad J_0 = 0, \quad J_1 = 1, \quad (12)$$

and the n th Jacobsthal number J_n is 0, 1, 1, 3, 5, 11, 21, 43, 85, 171, 341, for $n = 0, 1, \dots, 10$ [6]. Notice that $J_n = 1_n$ for $n = 1, 2, \dots, 10$, which is true for any positive integer n .

Theorem 45. *For any positive integer n , $1_n = J_n$.*

Proof. By Theorem 38 (b),

$$\begin{aligned} 1_{n-1} + 2 \cdot 1_{n-2} &= (0_{n-2} + 2_{n-2}) + 2 \cdot 1_{n-2} \\ &= (0_{n-2} + 1_{n-2}) + (1_{n-2} + 2_{n-2}) = 0_{n-1} + 2_{n-1} = 1_n. \end{aligned}$$

Hence, 1_n satisfies the recurrence relation in (12). Since $1_1 = 1 = J_1$ and $1_2 = 1 = J_2$, $1_n = J_n$ for any integer $n \geq 1$. \square

We also find the sequences A_{1n} ([A005578](#)) and A_{2n} ([A000975](#)) such that $A_{1n} = 0_n$ and $A_{2,n-1} = 2_n$ for any positive integer n :

$$A_{1n} := \left\lceil \frac{2^n}{3} \right\rceil; \quad A_{2n} := \left\lceil \frac{2(2^n - 1)}{3} \right\rceil,$$

for any nonnegative integer n [6]. For $n = 0, 1, \dots, 10$, A_{1n} is 1, 1, 2, 3, 6, 11, 22, 43, 86, 171, 342, and A_{2n} is 0, 1, 2, 5, 10, 21, 42, 85, 170, 341. Notice that the index n of J_n , A_{1n} , and A_{2n} starts from 0, and the index n of 1_n , 0_n , and 2_n starts from 1. However, using the explicit formulae in Theorem 44, 0_n , 1_n , and 2_n are extended for any integer n .

Definition 46. For any integer n , 0_n , 1_n , and 2_n are defined as follows:

$$1_n := \frac{2^n - (-1)^n}{3};$$

if n is odd, $0_n := 1_n$ and $2_n := 1_n - 1$, and if n is even, $0_n := 1_n + 1$ and $2_n := 1_n$.

For example, 0_n , 1_n , and 2_n , for $n = -5, -4, \dots, 4, 5$, are shown in Table 2.

n	-5	-4	-3	-2	-1	0	1	2	3	4	5
0_n	$\frac{11}{32}$	$\frac{11}{16}$	$\frac{3}{8}$	$\frac{3}{4}$	$\frac{1}{2}$	1	1	2	3	6	11
1_n	$\frac{11}{32}$	$-\frac{5}{16}$	$\frac{3}{8}$	$-\frac{1}{4}$	$\frac{1}{2}$	0	1	1	3	5	11
2_n	$-\frac{21}{32}$	$-\frac{5}{16}$	$-\frac{5}{8}$	$-\frac{1}{4}$	$-\frac{1}{2}$	0	0	1	2	5	10

Table 2: 0_n , 1_n , and 2_n , for $n = 0, \pm 1, \pm 2, \pm 3, \pm 4, \pm 5$

Notice that for any negative integer n , 0_n is always positive, 2_n is always negative, and 1_n alternates the sign. More over, every a_n , for any digit $a \in \{0, 1, 2\}$ and any negative integer n , is a fraction, whose numerator in the simplest form is a Jacobsthal number.

Theorem 47. For any positive integer n ,

$$0_{-n} = \begin{cases} \frac{J_n}{2^n}, & \text{for odd } n; \\ \frac{J_{n+1}}{2^n}, & \text{for even } n; \end{cases} \quad 1_{-n} = (-1)^{n+1} \frac{J_n}{2^n}; \quad 2_{-n} = \begin{cases} -\frac{J_{n+1}}{2^n}, & \text{for odd } n; \\ -\frac{J_n}{2^n}, & \text{for even } n. \end{cases}$$

Proof. By Definition 46 and Theorem 44, for any positive integer n ,

$$1_{-n} = \frac{2^{-n} - (-1)^{-n}}{3} = \frac{1 - (-2)^n}{3 \cdot 2^n} = (-1)^{n+1} \frac{2^n - (-1)^n}{3 \cdot 2^n} = (-1)^{n+1} \frac{1_n}{2^n}.$$

Since $1_n = J_n$ for any positive integer n , 1_{-n} is as desired. Then, by Definition 46, 0_{-n} and 2_{-n} are calculated as desired. \square

Since the explicit formulae in Theorem 44 hold for any integer n by Definition 46, almost all of the rules in Section 4 hold for any integer n .

Lemma 48. For any integer n ,

- (a) $0_n + 1_n + 2_n = 2^n$;
- (b) $0_{n+1} = 0_n + 1_n$; $1_{n+1} = 0_n + 2_n$; $2_{n+1} = 1_n + 2_n$;
- (c) $2^n = 1_n + 1_{n+1} = 2_{n+2} - 2_n = 0_{n+2} - 0_n = 0_{n+1} + 0_n - 1 = 2_n + 2_{n+1} + 1$;
- (d) $0_n \geq 1_n \geq 2_n$.

Hence, even if 0_n , 1_n , or 2_n is not an integer for each negative n , we may say that an extension for each J_n , A_{1n} , and A_{2n} is found.

Claim 49. J_n ([A001045](#)), A_{1n} ([A005578](#)), and A_{2n} ([A000975](#)) can be extended as follows: for any integer n ,

$$J_n := 1_n; \quad A_{1n} := 0_n; \quad A_{2,n-1} := 2_n.$$

In particular, an extension of the Jacobsthal numbers is as follows:

Definition 50. For any positive integer n , J_{-n} is defined as follows:

$$J_{-n} := (-1)^{n+1} \frac{J_n}{2^n}.$$

Then the extended Jacobsthal numbers satisfy the same recurrence relation in (12): $J_{n-1} + 2 \cdot J_{n-2} = J_n$, for any integer n . For example, the extended Jacobsthal numbers J_n , for $n = -7, -6, \dots, 6, 7$, are shown in Table 3.

n	-7	-6	-5	-4	-3	-2	-1	0	1	2	3	4	5	6	7
J_n	$\frac{43}{128}$	$-\frac{21}{64}$	$\frac{11}{32}$	$-\frac{5}{16}$	$\frac{3}{8}$	$-\frac{1}{4}$	$\frac{1}{2}$	0	1	1	3	5	11	21	43

Table 3: J_n , for $n = \pm 1, \pm 2, \dots, \pm 7$

7 More repeating strings

For an arbitrary large integer N , the ternary modified Collatz sequence starting with the ternary string $1 0^N$ has a repeating string, and J_n counts the number of occurrences of digit 1 in the shortest repeating string in the ternary string $g^{n+2}(1 0^N)$. Now, we would like to find more repeating strings, which have J_n occurrences of digit 1. We consider the ternary modified Collatz sequence starting with a ternary string $y 0^N$ for some ternary string y , which represents a multiple of 3^N .

Observation 51. For an arbitrary large integer N and any odd ternary string y , there exist ternary strings h and t such that the ternary string $g^3(y 0^N) = h r^{\lfloor \frac{N}{2} \rfloor} t$, where the string

$$r = \begin{cases} 01, & \text{if } [y]_3 \equiv 1 \pmod{8}; \\ 10, & \text{if } [y]_3 \equiv 3 \pmod{8}; \\ 12, & \text{if } [y]_3 \equiv 5 \pmod{8}; \\ 21, & \text{if } [y]_3 \equiv 7 \pmod{8}. \end{cases}$$

Proof. Since y is odd, the ternary string $y 0^N$ is odd, so $g(y 0^N) = \left(\frac{[y 0^N 1]_3}{2} \right)_3$ by (5), and $g(y 0^N) = \left(\frac{[y]_3 - 1}{2} \right)_3 z'$ for some string z' such that $|z'| = |z| + 1$ by Theorem 31. Since

$[y0^N 1]_3 = [(y)_3 - 1]_3 0^{N+1} + [10^N 1]_3$ and $\left(\frac{[10^N 1]_3}{2}\right)_3 = 01^N 2$ by Theorem 26, $z' = 1^N 2$. Hence,

$$g(y 0^N) = \left(\frac{[y]_3 - 1}{2}\right)_3 1^N 2 = \left(\frac{[y]_3 - 1}{2}\right)_3 (11)^{\lfloor \frac{N}{2} \rfloor} 1^{\lfloor \frac{N}{2} \rfloor} 2.$$

If $\frac{[y]_3 - 1}{2}$ is even, $\left\lfloor \frac{[y]_3 - 1}{2} \right\rfloor = \frac{[y]_3 - 1}{4}$, and g transforms each repeating string 11 in $g(y 0^N)$ to 02 in $g^2(y 0^N)$ by Theorem 26. Hence, by Theorem 31,

$$g^2(y 0^N) = \left(\frac{[y]_3 - 1}{4}\right)_3 (02)^{\lfloor \frac{N}{2} \rfloor} t_1,$$

for some string t_1 . Then g transforms each repeating string 02 in $g^2(y 0^N)$ to 01 in $g^3(y 0^N)$ if $\frac{[y]_3 - 1}{4}$ is even; 12 if odd, by Theorem 26. Hence, 01 and 12 repeat $\lfloor \frac{N}{2} \rfloor$ times in $g^3(y 0^N)$, if $[y]_3 \equiv 1 \pmod{8}$ and $[y]_3 \equiv 5 \pmod{8}$, respectively.

If $\frac{[y]_3 - 1}{2}$ is odd, $\left\lfloor \frac{[y]_3 - 1}{2} \right\rfloor = \frac{[y]_3 - 3}{4}$, and g transforms each repeating string 11 in $g(y 0^N)$ to 20 in $g^2(y 0^N)$ by Theorem 26. Hence, by Theorem 31,

$$g^2(y 0^N) = \left(\frac{[y]_3 - 3}{4}\right)_3 (20)^{\lfloor \frac{N}{2} \rfloor} t_2,$$

for some string t_2 . Then g transforms each repeating string 20 in $g^2(y 0^N)$ to 10 in $g^3(y 0^N)$ if $\frac{[y]_3 - 3}{4}$ is even; 21 if odd, by Theorem 26. Hence, 10 and 21 repeat $\lfloor \frac{N}{2} \rfloor$ times in $g^3(y 0^N)$, if $[y]_3 \equiv 3 \pmod{8}$ and $[y]_3 \equiv 7 \pmod{8}$, respectively. \square

Notice that each r in $g^3(y 0^N)$ is an odd ternary string of length 2. Hence, we use two copies of r in $g^3(y_1 0^N)$ to find a repeating string in $g^4(y 0^N)$, and continue to find a repeating string in $g^{n+2}(y 0^N)$. Then each repeating string in $g^{n+2}(y 0^N)$ has J_n or $J_n \pm 1$ occurrences of digit 0, J_n occurrences of digit 1, and J_n or $J_n \pm 1$ occurrences of digit 2. This works for any ternary string y , since we can reduce y to an odd string.

Theorem 52. *Let N be an arbitrary large integer and y be a ternary string. Then, for any positive integer n , there exists a ternary string r_n such that $|r_n| = 2^n$, $|r_n|_1 = J_n$, either $|r_n|_0 = 0_n$ and $|r_n|_2 = 2_n$ or $|r_n|_0 = 2_n$ and $|r_n|_2 = 0_n$, and the ternary string*

$$g^{n+i_y+2}(y 0^N) = h (r_n)^{\lfloor \frac{N}{2^n} \rfloor} t$$

for some ternary strings h and t , where i_y is the nonnegative integer such that $[y]_3/2^{i_y}$ is an odd integer.

Proof. If y is even, $g^{i_y}(y 0^N) = g^{i_y}(y) 0^N$ by Theorem 21 and 26. Since $g^{i_y}(y) = \left(\frac{[y]_3}{2^{i_y}}\right)_3$ is odd, $g^{n+i_y}(y 0^N) = g^n(y_1 0^N)$ for some odd string y_1 . Hence, without loss of generality, we assume y is an odd string and $i_y = 0$.

The proof is done by mathematical induction on n . The base case, when $n = 1$, is shown in Observation 51: the string of length 2 repeating $\lfloor \frac{N}{2} \rfloor$ times in the ternary $g^3(y 0^N)$ are 10, 01, 12, and 21. Hence, $|10|_1 = |01|_1 = |12|_1 = |21|_1 = 1 = J_1$; $|10|_0 = |01|_0 = 1 = 0_1$ and $|10|_2 = |01|_2 = 0 = 2_1$; $|12|_0 = |21|_0 = 0 = 2_1$ and $|12|_2 = |21|_2 = 1 = 0_1$.

Assume r_n is the string of length 2^n repeating $\lfloor \frac{N}{2^n} \rfloor$ times in $g^{n+2}(y 0^N)$ such that $|r_n| = 2^n$, $|r_n|_1 = J_n$, either $|r_n|_0 = 0_n$ and $|r_n|_2 = 2_n$ or $|r_n|_0 = 2_n$ and $|r_n|_2 = 0_n$. Since $J_n = 1_n$ is odd for any positive n by Lemma 37 (b), r_n is odd. Then $g(r_n r_n)$ repeats $\lfloor \frac{N}{2|r_n|} \rfloor$ times in $g^{n+3}(y 0^N)$ by Corollary 30, and $|g(r_n r_n)| = 2|r_n| = 2^{n+1}$ by Corollary 29 (b). Hence, $g(r_n r_n)$ is the string of length 2^{n+1} repeating $\lfloor \frac{N}{2^{n+1}} \rfloor$ times in $g^{n+3}(y 0^N)$, i.e., $g(r_n r_n) = r_{n+1}$. Then, by Corollary 28,

$$|r_{n+1}|_0 = |r_n|_0 + |r_n|_1; \quad |r_{n+1}|_1 = |r_n|_0 + |r_n|_2; \quad |r_{n+1}|_2 = |r_n|_1 + |r_n|_2.$$

If $|r_n|_0 = 0_n$ and $|r_n|_2 = 2_n$ are assumed in the induction hypothesis, by Theorem 38,

$$|r_{n+1}|_0 = 0_n + 1_n = 0_{n+1}; \quad |r_{n+1}|_1 = 0_n + 2_n = 1_{n+1}; \quad |r_{n+1}|_2 = 1_n + 2_n = 2_{n+1}.$$

If $|r_n|_0 = 2_n$ and $|r_n|_2 = 0_n$ are assumed in the induction hypothesis, by Theorem 38,

$$|r_{n+1}|_0 = 2_n + 1_n = 2_{n+1}; \quad |r_{n+1}|_1 = 2_n + 0_n = 1_{n+1}; \quad |r_{n+1}|_2 = 1_n + 0_n = 0_{n+1}.$$

Since $1_{n+1} = J_{n+1}$, $|r_{n+1}|_1 = J_{n+1}$. □

8 Acknowledgments

I would like to thank Mr. Andrew Mosedale for proofreading, although all remaining errors are my own.

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2010 *Mathematics Subject Classification*: Primary 11A67; Secondary 11B75.

Keywords: Collatz sequence, Collatz problem, Jacobsthal number, ternary representation, $3x + 1$ problem.

(Concerned with sequences [A000975](#), [A001045](#), and [A005578](#).)

Received December 23 2015; revised versions received January 7 2016; August 31 2016; September 2 2016. Published in *Journal of Integer Sequences*, September 7 2016.

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