

Classification of commutative association schemes with almost commutative Terwilliger algebras

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Abstract We classify the commutative association schemes such that all non-primary irreducible modules of their Terwilliger algebras are one-dimensional.

Keywords Association scheme · Terwilliger algebra · Wreath product · Poset

1 Introduction

The *Terwilliger algebra* $\mathcal{T} = \mathcal{T}(x)$ of an *association scheme* $\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$ ($x \in X$) is introduced in [9]. The algebra \mathcal{T} is a semisimple \mathbb{C} -algebra, and not commutative if $|X| > 1$. It is important to determine the irreducible \mathcal{T} -modules for each \mathcal{X} and consider what combinatorial information on \mathcal{X} can be deduced from a property of its irreducible \mathcal{T} -modules.

In [4], the irreducible \mathcal{T} -modules of a *wreath product* of 1-class association schemes are determined and it is shown that every *non-primary irreducible \mathcal{T} -module* is 1-dimensional. A semisimple algebra is commutative if all of its irreducible modules are 1-dimensional. So we may say \mathcal{T} is *almost commutative* if all of its non-primary irreducible \mathcal{T} -modules are 1-dimensional. In this paper, we classify the commutative association schemes whose Terwilliger algebras are almost commutative.

In the classification, we first characterize such association schemes in terms of *intersection numbers* p_{ij}^h and *Krein parameters* q_{ij}^h of \mathcal{X} . In the study of association schemes, p_{ij}^h and q_{ij}^h play important roles. By considering vanishing conditions of p_{ij}^h , q_{ij}^h , several important classes of association schemes such as *P-polynomial* and *Q-polynomial* schemes have been introduced [1, 3]. In this paper, we introduce a class of association schemes whose intersection numbers vanish in a drastic way.

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- (B) For all distinct h, i , there is exactly one j such that $p_{ij}^h \neq 0$ ($0 \leq h, i, j \leq d$).
- (B*) For all distinct h, i , there is exactly one j such that $q_{ij}^h \neq 0$ ($0 \leq h, i, j \leq d$).

The following is the main theorem of this paper:

Theorem 1 *Let $\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$ be a commutative association scheme. The following are equivalent:*

- (i) *Every non-primary irreducible $\mathcal{T}(x)$ -module is 1-dimensional for some $x \in X$.*
- (ii) *Every non-primary irreducible $\mathcal{T}(x)$ -module is 1-dimensional for all $x \in X$.*
- (iii) *The intersection numbers of \mathcal{X} satisfy (B).*
- (iv) *The Krein parameters of \mathcal{X} satisfy (B*).*
- (v) *\mathcal{X} is a wreath product of association schemes $\mathcal{X}_1, \mathcal{X}_2, \dots, \mathcal{X}_n$ where each \mathcal{X}_i is either a 1-class association scheme or the group scheme of a finite abelian group.*

Moreover, if (i)–(v) hold, \mathcal{X} is triply regular.

The paper is organized as follows: Sect. 2 reviews some basic properties of association schemes and their Terwilliger algebras. In the proof of Theorem 1, we will adopt the following strategy. In Sect. 3, we will prove the equivalence of assertions (i)–(iv) from the theorem. In Sect. 4, we will prove the equivalence of assertions (iii) and (v) from the theorem.

2 Preliminaries

For general introduction to association schemes and Terwilliger algebras, we refer the reader to [1, 3, 6, 9].

Let X be a finite nonempty set and $\text{Mat}_X(\mathbb{C})$ the set of square complex matrices whose entries are indexed by the elements of X . Let R_0, R_1, \dots, R_d be nonempty subsets of $X \times X$, and for each $0 \leq i \leq d$, let $A_i \in \text{Mat}_X(\mathbb{C})$ be the adjacency matrix of R_i :

$$(A_i)_{xy} = \begin{cases} 1 & \text{if } (x, y) \in R_i, \\ 0 & \text{otherwise.} \end{cases}$$

The pair $\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$ is called a d -class association scheme if the following (AS1)–(AS4) hold:

- (AS1) $A_0 = I$, the identity matrix.
- (AS2) $A_0 + A_1 + \dots + A_d = J$, the all-one’s matrix.
- (AS3) For $0 \leq i \leq d$, there is $0 \leq i' \leq d$ such that $A_{i'} = A_i^T$, the transpose of A_i .
- (AS4) $A_i A_j$ is a linear combination of A_0, A_1, \dots, A_d for $0 \leq i, j \leq d$.

By (AS1) and (AS4), $\mathcal{M} := \text{Span}\{A_0, A_1, \dots, A_d\}$ is a subalgebra of $\text{Mat}_X(\mathbb{C})$; this is the *Bose–Mesner algebra* of \mathcal{X} . By (AS2), the A_i are linearly independent and thus form a basis for \mathcal{M} . We say \mathcal{X} is *commutative* if \mathcal{M} is commutative.

Throughout the paper, we assume \mathcal{X} is commutative. By (AS3), \mathcal{M} is closed under conjugate-transposition. Hence \mathcal{M} is a commutative semisimple algebra and has a basis $\{E_i : 0 \leq i \leq d\}$ of primitive idempotents:

$$E_i E_j = \delta_{ij} E_i; \tag{1}$$

$$E_0 + E_1 + \dots + E_d = I; \tag{2}$$

where we always set $E_0 = |X|^{-1} J$. Note that for each $0 \leq i \leq d$, there is $0 \leq \hat{i} \leq d$ such that $E_{\hat{i}} = E_i^T$. It also follows from (AS2) that \mathcal{M} is closed under entry-wise multiplication denoted \circ .

The *intersection numbers* p_{ij}^h and the *Krein parameters* q_{ij}^h are defined by the following equations:

$$A_i A_j = \sum_{h=0}^d p_{ij}^h A_h, \quad E_i \circ E_j = |X|^{-1} \sum_{h=0}^d q_{ij}^h E_h \quad (0 \leq i, j \leq d). \tag{3}$$

Let $R_i(x) := \{y \in X : (x, y) \in R_i\}$ ($x \in X, 0 \leq i \leq d$). By (3), $p_{ij}^h = |R_i(x) \cap R_{j'}(y)|$ where $(x, y) \in R_h$ ($x, y \in X$). Clearly, the p_{ij}^h are non-negative integers. In particular, $k_i := p_{ii}^0 = |R_i(x)| \neq 0$. We can also verify that the q_{ij}^h are non-negative real numbers and $m_i := q_{ii}^0 = \text{tr } E_i \neq 0$ [1, 6]. Note that

$$k_i = \sum_{h=0}^d p_{ih}^j \quad (0 \leq i, j \leq d); \tag{4}$$

$$p_{ij}^h = 0 \iff p_{h j'}^i = 0 \iff p_{i' h}^j = 0; \tag{5}$$

$$p_{ij}^h = p_{ji}^h. \tag{6}$$

Fix $x \in X$. For each $0 \leq i \leq d$, let $E_i^* = E_i^*(x)$, $A_i^* = A_i^*(x)$ be the diagonal matrices in $\text{Mat}_X(\mathbb{C})$ with yy -entries $(E_i^*)_{yy} = (A_i^*)_{xy}$, $(A_i^*)_{yy} = |X|(E_i)_{xy}$. By definition, we have the following:

$$E_i^* E_j^* = \delta_{ij} E_i^* \quad (0 \leq i, j \leq d); \tag{7}$$

$$E_0^* + E_1^* + \dots + E_d^* = I. \tag{8}$$

We also have the following:

$$A_0^* = I; \tag{9}$$

$$A_0^* + A_1^* + \dots + A_d^* = |X| E_0^*; \tag{10}$$

$$A_i^* A_j^* = \sum_{h=0}^d q_{ij}^h A_h^* \quad (0 \leq i, j \leq d). \tag{11}$$

The E_i^* and the A_i^* form two bases for the *dual Bose–Mesner algebra* $\mathcal{M}^* = \mathcal{M}^*(x)$ with respect to x . The *Terwilliger algebra* $\mathcal{T} = \mathcal{T}(x)$ of \mathcal{X} with respect to

x is the subalgebra of $\text{Mat}_X(\mathbb{C})$ generated by \mathcal{M} and \mathcal{M}^* . Since \mathcal{T} is closed under conjugate-transposition, it is semisimple. It is easily shown that \mathcal{T} is not commutative if $|X| > 1$. We can verify the following:

Lemma 2 ([9])

- (i) $E_i^* A_j E_h^* = 0$ if and only if $p_{ij}^h = 0$.
- (ii) $E_i A_j^* E_h = 0$ if and only if $q_{ij}^h = 0$.

Nonzero matrices among $E_i^* A_j E_h^*$ ($0 \leq h, i, j \leq d$) form a basis of $\mathcal{M}^* \mathcal{M} \mathcal{M}^*$, and \mathcal{T} is generated by $\mathcal{M}^* \mathcal{M} \mathcal{M}^*$. When \mathcal{T} coincides with $\mathcal{M}^* \mathcal{M} \mathcal{M}^*$, \mathcal{X} has a special regularity:

Definition 3 \mathcal{X} is called *triplely regular* if for all $0 \leq h, i, j, l, m, n \leq d$, the size

$$|R_h(x) \cap R_i(y) \cap R_j(z)|$$

is independent of $x, y, z \in X$ where $(x, y) \in R_l, (y, z) \in R_m, (x, z) \in R_n$.

Proposition 4 ([7]) \mathcal{X} is triplely regular if and only if $\mathcal{T}(x) = \mathcal{M}^*(x) \mathcal{M} \mathcal{M}^*(x)$ for all $x \in X$.

The triple-regularity was first introduced in the study of *spin models* (see [5]).

Let \mathbb{C}^X be the set of the complex column vectors with coordinates indexed by X , and observe that $\text{Mat}_X(\mathbb{C})$ acts on \mathbb{C}^X from the left. We equip \mathbb{C}^X with the Hermitian inner product $\langle \cdot, \cdot \rangle$. For $y \in X$, let \hat{y} be the vector in \mathbb{C}^X with a 1 in coordinate y and 0 elsewhere. Then $\{\hat{y} : y \in X\}$ forms an orthonormal basis of \mathbb{C}^X .

For a \mathcal{T} -module W , its orthogonal complement W^\perp is also a \mathcal{T} -module since \mathcal{T} is closed under conjugate-transposition. Thus \mathbb{C}^X is decomposed as a direct sum of irreducible \mathcal{T} -modules. Let $\mathbf{1} := \sum_{y \in X} \hat{y} \in \mathbb{C}^X$.

Lemma 5 ([9]) *The space $\text{Span}\{E_i^* \mathbf{1} : 0 \leq i \leq d\} = \text{Span}\{E_i \hat{x} : 0 \leq i \leq d\}$ is an irreducible $\mathcal{T}(x)$ -module of dimension $d + 1$.*

The \mathcal{T} -module in Lemma 5 will be denoted $W_0 = W_0(x)$. This \mathcal{T} -module W_0 is said to be *primary*.

Definition 6 Let $\mathcal{X}_1 = (X_1, \{R_i^{(1)}\}_{0 \leq i \leq d_1})$, $\mathcal{X}_2 = (X_2, \{R_i^{(2)}\}_{0 \leq i \leq d_2})$ be association schemes, and $A_i^{(1)}$ ($0 \leq i \leq d_1$), $A_i^{(2)}$ ($0 \leq i \leq d_2$) their adjacency matrices. Let $X = X_1 \times X_2$ and R_i ($0 \leq i \leq d_1 + d_2$) be subsets of $X \times X$ whose adjacency matrices A_i are defined as follows:

$$A_i = A_i^{(1)} \otimes A_0^{(2)} \quad (0 \leq i \leq d_1),$$

$$A_{d_1+i} = J \otimes A_i^{(2)} \quad (1 \leq i \leq d_2),$$

where \otimes denotes the Kronecker product. Then $(X, \{R_i\}_{0 \leq i \leq d_1+d_2})$ is an association scheme; this is called the *wreath product* of \mathcal{X}_1 and \mathcal{X}_2 , denoted $\mathcal{X}_1 \wr \mathcal{X}_2$.

Definition 7 Let \mathcal{P}, \mathcal{Q} be partially ordered sets (posets). The *ordinal sum* $\mathcal{P} \oplus \mathcal{Q}$ of \mathcal{P} and \mathcal{Q} is the poset on the union $\mathcal{P} \cup \mathcal{Q}$ such that $x < y$ in $\mathcal{P} \oplus \mathcal{Q}$ if (a) $x < y$ in \mathcal{P} , or (b) $x < y$ in \mathcal{Q} , or (c) $x \in \mathcal{P}$ and $y \in \mathcal{Q}$.

Basic concepts and properties of posets can be found in [8, Chap. 3].

3 Characterization

In this section, we prove the equivalence of (i)–(iv) in Theorem 1. Fix $x \in X$. Let $\mathcal{T} = \mathcal{T}(x)$ be the Terwilliger algebra of \mathcal{X} with respect to x .

Lemma 8 *The following are equivalent:*

- (i) $\mathbb{C}^X = W_0$.
- (ii) $k_i = 1$ for all $0 \leq i \leq d$.
- (iii) $m_i = 1$ for all $0 \leq i \leq d$.
- (iv) \mathcal{X} is the group association scheme of a finite abelian group.

Moreover, if (i)–(iv) hold, then (B) and (B*) hold.

Proof Since $1 = \dim E_i^* W_0 \leq \dim E_i^* \mathbb{C}^X = k_i$, we have (i) \Leftrightarrow (ii). Similarly, we have (i) \Leftrightarrow (iii). Obviously, \mathcal{M} is a group algebra if and only if (ii) holds. Since \mathcal{X} is commutative, we have (ii) \Leftrightarrow (iv). The last assertion is clear. \square

See [1, 2], for details of group association schemes.

Lemma 9 *The following hold.*

- (i) (B) holds if and only if $E_i^* A_j E_h^* = |X| E_i^* E_0 E_h^*$ for $0 \leq h, i, j \leq d$ with $h \neq i$ and $p_{ij}^h \neq 0$.
- (ii) (B*) holds if and only if $E_i A_j E_h = |X| E_i E_0^* E_h$ for $0 \leq h, i, j \leq d$ with $h \neq i$ and $q_{ij}^h \neq 0$.

Proof (i) Note that by (AS2), $|X| E_i^* E_0 E_h^* = E_i^* A_j E_h^* + \sum_{l \neq j} E_i^* A_l E_h^*$. Suppose (B) holds. Let $p_{ij}^h \neq 0$ and $h \neq i$. By Lemma 2 and (B), $E_i^* A_l E_h^* \neq 0$ only if $l = j$. Thus $|X| E_i^* E_0 E_h^* = E_i^* A_j E_h^*$. Conversely, suppose $E_i^* A_j E_h^* = |X| E_i^* E_0 E_h^*$. Then we have $\sum_{l \neq j} E_i^* A_l E_h^* = 0$. Since the $E_i^* A_l E_h^*$ are linearly independent, $E_i^* A_l E_h^* = 0$ if $l \neq j$, that is, $p_{il}^h \neq 0$ only if $l = j$. We obtain (B). (ii) By exchanging the roles of the $p_{ij}^h, E_i^*, A_i, (AS2)$ by those of the $q_{ij}^h, E_i, A_i^*, (10)$, we obtain the assertion. \square

Proposition 10 *If every non-primary irreducible \mathcal{T} -module is 1-dimensional, then (B), (B*) hold.*

Proof By Lemma 8, if $\mathbb{C}^X = W_0$, (B), (B*) hold. Suppose $\mathbb{C}^X \neq W_0$. Since the nontrivial subspaces $E_h^* W_0^\perp$ are direct sums of non-primary irreducible \mathcal{T} -modules, they are \mathcal{T} -modules. Hence $E_i^* A_j E_h^* W_0^\perp = 0$ if $h \neq i$. Let $p_{ij}^h \neq 0$ and $h \neq i$. Let

u_2, \dots, u_{k_h} be a basis of $E_h^*W_0^\perp$. Then $E_h^*\mathbf{1}, u_2, \dots, u_{k_h}$ form a basis of $E_h^*\mathbf{C}^X$. We have $E_i^*A_jE_h^*\mathbf{1} = p_{ij}^hE_i^*\mathbf{1}$ and $|X|E_i^*E_0E_h^*\mathbf{1} = k_hE_i^*\mathbf{1}$. Clearly, $E_i^*A_jE_h^*u_l = |X|E_i^*E_0E_h^*u_l = 0$ ($2 \leq l \leq k_h$). Hence $k_h \cdot E_i^*A_jE_h^* = p_{ij}^h \cdot |X|E_i^*E_0E_h^*$. Since $|X|E_i^*E_0E_h^* = \sum_{l=0}^d E_i^*A_lE_h^*$ and the $E_i^*A_lE_h^*$ are linearly independent, $k_h = p_{ij}^h$ and thus $E_i^*A_jE_h^* = |X|E_i^*E_0E_h^*$. By Lemma 9, (B) holds. Similarly, exchanging the roles of $p_{ij}^h, E_i^*, A_i, \mathbf{1}$ by those of the $q_{ij}^h, E_i, A_i^*, \hat{x}$, (B*) holds. \square

Lemma 11 *The following hold.*

- (i) *If (B) holds, then $E_i^*\mathcal{M}E_i^*$ is a commutative algebra for $0 \leq i \leq d$.*
- (ii) *If (B*) holds, then $E_i\mathcal{M}^*E_i$ is a commutative algebra for $0 \leq i \leq d$.*

Proof (i) By (7), (8) and Lemma 9, for h, j ($0 \leq h, j \leq d$) we have

$$\begin{aligned} E_i^*A_hA_jE_i^* &= E_i^*A_h\left(\sum_{l=0}^d E_l^*\right)A_jE_i^* = E_i^*A_hE_i^*A_jE_i^* + \sum_{l \neq i} E_i^*A_hE_l^*A_jE_i^* \\ &= E_i^*A_hE_i^* \cdot E_i^*A_jE_i^* + \sum_{l \neq i} E_i^*A_hE_l^* \cdot E_l^*A_jE_i^* \\ &= E_i^*A_hE_i^* \cdot E_i^*A_jE_i^* + \sum_{l \neq i, p_{ih}^l \neq 0, p_{lj}^i \neq 0} |X|^2 E_i^*E_0E_l^*E_0E_i^* \\ &= E_i^*A_hE_i^* \cdot E_i^*A_jE_i^* + \sum_{l \neq i, p_{ih}^l \neq 0, p_{lj}^i \neq 0} k_l |X| E_i^*E_0E_i^*. \end{aligned}$$

Therefore

$$\begin{aligned} E_i^*A_hE_i^* \cdot E_i^*A_jE_i^* &= E_i^*A_hA_jE_i^* - \sum_{l \neq i, p_{ih}^l \neq 0, p_{lj}^i \neq 0} k_l |X| E_i^*E_0E_i^* \\ &= \sum_{l=0}^d p_{hj}^l E_i^*A_lE_i^* - \sum_{l \neq i, p_{ih}^l \neq 0, p_{lj}^i \neq 0} k_l |X| E_i^*E_0E_i^*. \end{aligned} \tag{12}$$

Hence $E_i^*\mathcal{M}E_i^*$ is closed under multiplication. Since \mathcal{M} is commutative, by (12), $E_i^*\mathcal{M}E_i^*$ is commutative as well. (ii) Exchanging the roles of the $p_{ij}^h, E_i^*, A_i, \mathcal{M}$, (7), (8) by those of the $q_{ij}^h, E_i, A_j^*, \mathcal{M}^*$, (1), (2), the assertion holds. \square

Lemma 12 *The following hold.*

- (i) *If (B) holds, then $\mathcal{T} = \mathcal{M}^*\mathcal{M}\mathcal{M}^*$.*
- (ii) *If (B*) holds, then $\mathcal{T} = \mathcal{M}\mathcal{M}^*\mathcal{M}$.*

Proof (i) Let $U := \text{Span}\{E_i^*E_0E_h^* : h \neq i, 0 \leq h, i \leq d\}$. By Lemma 9, $U = \text{Span}\{E_i^*A_jE_h^* : h \neq i, 0 \leq h, i, j \leq d\}$. Hence $\mathcal{M}^*\mathcal{M}\mathcal{M}^* = (\bigoplus_{i=0}^d E_i^*\mathcal{M}E_i^*) \oplus U$

(a direct sum). By Lemma 11 and calculations in its proof, the $E_i^* \mathcal{M} E_i^*$ and U are closed under multiplication. We can easily verify that the $E_i^* \mathcal{M} E_i^*$ act on U from both the left and the right. Thus $\mathcal{M}^* \mathcal{M} \mathcal{M}^*$ forms an algebra, i.e., $\mathcal{T} = \mathcal{M}^* \mathcal{M} \mathcal{M}^*$.
 (ii) Exchanging the roles of the $p_{ij}^h, E_i^*, A_i, \mathcal{M}$ by those of the $q_{ij}^h, E_i, A_i^*, \mathcal{M}^*$, the assertion holds. \square

Corollary 13 *If (B) holds, \mathcal{X} is triply regular.*

Proof By Proposition 4 and Lemma 12, the assertion holds. \square

Proposition 14 *The following hold.*

- (i) *If (B) holds, every non-primary irreducible \mathcal{T} -module is 1-dimensional.*
- (ii) *If (B*) holds, every non-primary irreducible \mathcal{T} -module is 1-dimensional.*

Proof (i) By Lemma 11, $E_i^* \mathcal{M} E_i^*$ is a commutative semisimple algebra. Hence $E_i^* \mathbb{C}^X$ is decomposed as the direct sum of the common eigenspaces of $E_i^* \mathcal{M} E_i^*$. Assume $\mathbb{C}^X \neq W_0$. Let $u \in (E_i^* \mathbb{C}^X) \cap W_0^\perp$ be a common eigenvector of $E_i^* \mathcal{M} E_i^*$. Since $\text{Span}\{u\}$ is closed under the action of $\mathcal{M}^* \mathcal{M} \mathcal{M}^*$, by Lemma 12, it is a \mathcal{T} -module. In this way, $(E_i^* \mathbb{C}^X) \cap W_0^\perp$ is decomposed as a direct sum of 1-dimensional irreducible \mathcal{T} -modules. The assertion is clear. (ii) Exchanging the roles of the E_i^*, \mathcal{M} by those of the E_i, \mathcal{M}^* , the assertion holds. \square

Proof of Theorem 1 (i) \Leftrightarrow (ii) \Leftrightarrow (iii) \Leftrightarrow (iv) By Proposition 10, we have (i) \Rightarrow (iii) and (i) \Rightarrow (iv). By Proposition 14, we have (iii) \Rightarrow (ii) and (iv) \Rightarrow (ii). Obviously, (ii) \Rightarrow (i). \square

4 Classification

In this section, we prove (iii) \Leftrightarrow (v) in Theorem 1. Throughout the section, let $\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$ be a commutative association scheme whose intersection numbers satisfy (B).

Lemma 15 *If $p_{ij}^j \neq 0$ for $1 \leq i, j \leq d$, then $p_{i'j}^j \neq 0, p_{ij'}^j \neq 0$ and $p_{i'j'}^j \neq 0$.*

Proof By (5), $p_{i'j}^j \neq 0$ and $p_{ij'}^j \neq 0$. Again by (5), $p_{ij'}^j \neq 0$, and by (6) we have $p_{i'j'}^j \neq 0$. Again by (5), $p_{i'j'}^j \neq 0$. \square

Lemma 16 *If $p_{i'j}^j \neq 0$ for $1 \leq i, j \leq d$ with $i' \neq j$, then $A_i A_j = p_{ij}^j A_j$.*

Proof By (B), $p_{i'l}^j = 0$ if $l \neq j$. By (5), $p_{i'l}^j = 0$ if and only if $p_{ij}^l = 0$. Hence $p_{ij}^l = 0$ if $l \neq j$, and the assertion holds. \square

Let $[i] = [i'] := \{i, i'\}$ for $1 \leq i \leq d$.

Lemma 17 *Let $<$ be the relation on $\{[i] : 1 \leq i \leq d\}$ defined as follows: $[i] < [j]$ if $[i] = [j]$ or $p_{ij}^j \neq 0$. Then $<$ is a partial order.*

Proof By Lemma 15, the relation $<$ is well-defined. By definition, $<$ is reflexive. Suppose $[i] < [j]$ and $[j] < [i]$. Moreover suppose $p_{ij}^j \neq 0$ and $p_{ji}^i \neq 0$. Since $p_{ij}^j \neq 0$, by (5), $p_{jj'}^i \neq 0$. If $i \neq j$, by (B), $p_{jl}^i \neq 0$ only if $l = j'$. Since $p_{ji}^i \neq 0$, $i = j'$. Thus $[i] = [j]$ and $<$ is antisymmetric. Suppose $[h] < [i]$ and $[i] < [j]$ for distinct $[h], [i], [j]$. Since $p_{h'i}^i \neq 0$, $p_{i'j}^j \neq 0$ and $h' \neq i$, $i' \neq j$, by Lemma 16, $(A_h A_i) A_j = p_{hi}^i A_i A_j = p_{hi}^i p_{ij}^j A_j \neq 0$. On the other hand, $A_h (A_i A_j) = p_{ij}^j A_h A_j$. Therefore $A_h A_j = p_{hj}^j A_j \neq 0$ and $p_{hj}^j \neq 0$. Hence $[h] < [j]$, and thus $<$ is transitive. \square

For the rest of the section, let $\mathcal{P} := (\{[i] : 1 \leq i \leq d\}, <)$ be the poset defined in Lemma 17.

Lemma 18 *Let $[i]$ be a minimal element of \mathcal{P} . If $k_i \geq 2$, then $[i]$ is the minimum.*

Proof Suppose $k_i \geq 2$. Let $x \in X$. Take distinct $y, z \in R_i(x)$. Since $[i]$ is minimal, $(y, z) \in R_i \cup R_{i'}$. Let $[j] \in \mathcal{P} \setminus \{[i]\}$ and $w \in R_j(x)$. Since $i \neq j$, by (B), $y, z \in R_h(w)$ for some $1 \leq h \leq d$. Hence $p_{hi}^h = p_{ih}^h \neq 0$, and $[i] < [h]$. If $[h] = [i]$, by the configuration of x, y, w , we have $p_{ji}^i \neq 0$ or $p_{j'i'}^i \neq 0$, i.e., $[j] < [i]$, contradicting $[i]$ is minimal. Hence $[h] \neq [i]$. By the configuration of x, w, y , $p_{jh}^i = p_{hj}^i \neq 0$. Since $[h] \neq [i]$ and $p_{hh'}^i \neq 0$, $h' = j$. So $[h] = [j]$. Since $[i] < [h]$, we have $[i] < [j]$. \square

Lemma 19 *The following hold.*

- (i) *If \mathcal{P} is a singleton, then either $d = 1$ or \mathcal{X} is the group association scheme of \mathbb{Z}_3 .*
- (ii) *If \mathcal{P} is an antichain with at least 2 elements, \mathcal{X} is the group association scheme of a finite abelian group.*

Proof (i) Let $\mathcal{P} = \{[i]\}$. Suppose $k_i \geq 2$. Then $p_{ii}^i \neq 0$. By (5), (6), we have $p_{i'i}^i = p_{i'i'}^i \neq 0$. If $i \neq i'$, by (B), (4), $k_{i'} = \sum_{l=0}^d p_{i'l}^i = p_{i'i}^i = p_{i'i'}^i < p_{i0}^i + p_{i'i'}^i \leq \sum_{l=0}^d p_{il}^i = k_i$, contradicting $k_{i'} = k_i$. Hence $i = i'$, i.e., $d = 1$. Suppose $d \neq 1$. Then $k_i = k_{i'} = 1$ and by Lemma 8, \mathcal{X} is the group association scheme of \mathbb{Z}_3 . (ii) By Lemma 18, $k_i = 1$ for all $[i] \in \mathcal{P}$. By Lemma 8, the assertion holds. \square

Lemma 20 *Suppose $\mathcal{P} = \mathcal{P}_1 \oplus \mathcal{P}_2$ for some subposets $\mathcal{P}_1, \mathcal{P}_2$ of \mathcal{P} . Then $R_0 \cup (\bigcup_{[i] \in \mathcal{P}_1} R_i)$ is an equivalence relation on X , i.e., \mathcal{X} is imprimitive. Moreover, A_i ($1 \leq i \leq d$) can be written as follows:*

$$\begin{aligned}
 A_i &= B_i \otimes I_q \quad ([i] \in \mathcal{P}_1); \\
 A_i &= J_p \otimes C_i \quad ([i] \in \mathcal{P}_2);
 \end{aligned}$$

where $B_0 = I_p$, B_i ($[i] \in \mathcal{P}_1$) are the adjacency matrices of the subscheme \mathcal{X}_1 and $C_0 = I_q$, C_i ($[i] \in \mathcal{P}_2$) are those of the quotient scheme $\mathcal{X}_2 = \mathcal{X}/\mathcal{X}_1$. In particular, $\mathcal{X} = \mathcal{X}_1 \wr \mathcal{X}_2$.

Proof The first assertion is clear. By [1, Theorem II.9.3], the equations on A_i ($[i] \in \mathcal{P}_1$) hold. Let \sim be the relation on $\{0, 1, \dots, d\}$ defined as $i \sim j$ if $p_{j\alpha}^i \neq 0$ for some $\alpha \in [\alpha] \in \mathcal{P}_1$. Then \sim is an equivalence relation. Clearly, $\{0\} \cup \{i : [i] \in \mathcal{P}_1\}$ is an equivalence class. For $[i] \in \mathcal{P}_2$, $p_{\alpha i}^i \neq 0$ for all $\alpha \in [\alpha] \in \mathcal{P}_1$. Since $i \neq \alpha$, by (B), $p_{\alpha j}^i = 0$ if $j \neq i$. In particular, for $[j] \in \mathcal{P}_2$, $p_{j\alpha}^i = p_{\alpha j}^i = 0$ if $j \neq i$. Hence each i ($[i] \in \mathcal{P}_2$) forms an equivalence class. By [1, Theorem II.9.4], the equations on A_i ($[i] \in \mathcal{P}_2$) hold. The last assertion is clear by Definition 6. \square

Corollary 21 *We keep the notation of Lemma 20. Both \mathcal{X}_1 and \mathcal{X}_2 are commutative association schemes whose intersection numbers satisfy (B) and whose corresponding posets are \mathcal{P}_1 and \mathcal{P}_2 respectively.*

For details of imprimitive association schemes, see [1, Sect. II.9].

Lemma 22 *Suppose $[i], [j] \in \mathcal{P}$ are incomparable. If $[i] < [h]$ for some $[h] \in \mathcal{P} \setminus \{[i], [j]\}$, then $[j] < [h]$.*

Proof Let $x \in X$. Take $y \in R_i(x)$, $z \in R_j(x)$ and $w \in R_h(x)$. Since $[i] < [h]$, i.e., $p_{ih}^h \neq 0$, by (B), $p_{il}^h = 0$ if $l \neq h$. So $(y, w) \in R_h$. Let $(y, z) \in R_s$ and $(z, w) \in R_t$. By the configurations of 3 vertices x, z, w and y, z, w , we obtain $p_{jt}^h = p_{ij}^h \neq 0$ and $p_{st}^h = p_{is}^h \neq 0$. If $t \neq h$, by (B), we have $s = j$ and by the configuration of x, y, z , we have $p_{ij}^j \neq 0$ contradicting the assumption that $[i], [j]$ are incomparable. Hence $t = h$ and $p_{jh}^h \neq 0$, i.e., $[j] < [h]$. \square

Proposition 23 *\mathcal{P} is an ordinal sum of singletons and antichains.*

Proof Let \mathcal{P}_1 be the set of minimal elements of \mathcal{P} . Clearly, \mathcal{P}_1 is either a singleton or an antichain. By Lemma 22, $\mathcal{P} = \mathcal{P}_1 \oplus (\mathcal{P} \setminus \mathcal{P}_1)$. Next let \mathcal{P}_2 be the set of minimal elements of $\mathcal{P} \setminus \mathcal{P}_1$. Similarly we obtain $\mathcal{P} \setminus \mathcal{P}_1 = \mathcal{P}_2 \oplus (\mathcal{P} \setminus (\mathcal{P}_1 \oplus \mathcal{P}_2))$. Repeating this process, we finally obtain $\mathcal{P} = \mathcal{P}_1 \oplus \mathcal{P}_2 \oplus \dots \oplus \mathcal{P}_m$, where \mathcal{P}_i ($1 \leq i \leq m$) is either a singleton or an antichain. \square

Proof of Theorem 1 (iii) \Leftrightarrow (v) By Proposition 23, $\mathcal{P} = \mathcal{P}_1 \oplus \mathcal{P}_2 \oplus \dots \oplus \mathcal{P}_m$, where \mathcal{P}_l ($1 \leq l \leq m$) is a singleton or an antichain. By Lemma 20, the subposet \mathcal{P}_1 induces the subscheme \mathcal{X}_1 of \mathcal{X} , and $\mathcal{X} = \mathcal{X}_1 \wr \mathcal{Y}_1$ where \mathcal{Y}_1 denotes the quotient scheme $\mathcal{X}/\mathcal{X}_1$. By Corollary 21, \mathcal{Y}_1 satisfies (B) and corresponds to the poset $\mathcal{P}_2 \oplus \dots \oplus \mathcal{P}_m$. Again, the subposet \mathcal{P}_2 induces the subscheme \mathcal{X}_2 of \mathcal{Y}_1 , and $\mathcal{Y}_1 = \mathcal{X}_2 \wr \mathcal{Y}_2$ where \mathcal{Y}_2 denotes the quotient scheme $\mathcal{Y}_1/\mathcal{X}_2$. Repeating this process, we finally obtain $\mathcal{X} = \mathcal{X}_1 \wr \mathcal{X}_2 \wr \dots \wr \mathcal{X}_m$, where \mathcal{X}_l ($1 \leq l \leq m$) corresponds to the poset \mathcal{P}_l . By Lemma 19, \mathcal{X}_l is a 1-class association scheme or the group association scheme of a finite abelian group. This completes the proof. \square

Remark Let \mathcal{X} be a symmetric association scheme. Then \mathcal{X} is a wreath product of 1-class association schemes if and only if \mathcal{P} is a chain.

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