

# Reconstructing a Generalized Quadrangle from its Distance Two Association Scheme

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**Abstract.** Payne [4] constructed an association scheme from a generalized quadrangle with a quasiregular point. We show that an association scheme with appropriate parameters and satisfying an assumption about maximal cliques must be one of these schemes arising from a generalized quadrangle.

**Keywords:** association scheme, generalized quadrangle, quasiregular point

## 1. Introduction

It is well known that the points of a generalized quadrangle (GQ) under the relation of collinearity form a strongly regular graph. Recently there has been interest in the structure of the induced subgraph of points at distance 2 from a fixed point. In a translation generalized quadrangle (see [5, chapter 8]) with parameters  $(s, t) = (q^a, q^{a+1})$  there is a quasiregular point, i.e., a point  $p$  for which each triad  $(p, x, y)$  of pairwise noncollinear points has  $k = |\{p, x, y\}^\perp| = 0$  or  $q + 1$ . With fixed  $x$  and  $a > 1$  (necessarily  $a$  is odd), the numbers of points  $y$  with  $k = 0$  and with  $k = q + 1$  are positive and easily computed. Payne observed in [4] that the points at distance 2 from such a quasiregular point  $p$  form an association scheme  $\mathcal{A}(S, p)$  with three classes with one of the relations being collinearity.

A GQ with parameters  $(s, s^2)$  may be considered a special case of this where the association scheme has two classes and the induced subgraph is strongly regular. Ivanov and Shpectorov [3] showed that a strongly regular graph which has the same parameters and such that all maximal cliques have  $s$  points is the distance 2 subgraph of a  $GQ(s, s^2)$ ; a shorter proof was later given by Brouwer and Haemers [1].

In this note, we consider the analogous question for the quadrangle association schemes of [4]. The techniques used are mostly eigenvalue techniques, and [2] is a general reference for these.

Throughout, we will assume that  $(X, \{f_1, f_2, f_3\})$  is an association scheme with parameters as in [4] such that all maximal cliques in  $\Gamma_1 = (X, f_1)$  have size  $s$ . We will call such maximal cliques *lines*, the elements of  $X$  *points*, and refer to  $x$  and  $y$  as *adjacent* if  $(x, y) \in f_1$ . Let  $A_i$  be the adjacency matrix and  $M_i$  be the intersection matrix for the relation  $f_i$ ; so  $A_i$  is the matrix with rows and columns indexed by elements of  $X$  with  $(x, y)$  entry equal to 1 if  $(x, y) \in f_i$  and 0 otherwise, and  $M_i$  is the  $4 \times 4$  matrix with  $(j, k)$  entry  $p_{ji}^k$ . We will also use the notation  $\Gamma_i = (X, f_i)$  and  $v_i = |\{y : (x, y) \in f_i\}|$  where  $x \in X$  is fixed; note  $v_i$  does not depend on the choice of  $x$ .

The relevant parameters from [4] are as follows.

$$M_1 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ (qs + 1)(s - 1) & s - 2 & qs - q & qs + 1 \\ 0 & \frac{qs(qs-1)}{q+1} & \frac{(s-1)(qs^2-1)}{q+1} & \frac{q^2s^2-1}{q+1} \\ 0 & \frac{qs(s-q)}{q+1} & \frac{(qs-q)(s-q)}{q+1} & \frac{(qs+1)(s-2q-1)}{q+1} \end{pmatrix}$$

$$v_1 = (qs + 1)(s - 1)$$

$$v_2 = \frac{s(q^2s^2 - 1)}{q + 1}$$

$$v_3 = \frac{qs(s - 1)(s - q)}{q + 1}$$

The adjacency matrices  $A_i$  have eigenvalues and multiplicities:

$A_1$	$A_2$	$A_3$	multiplicity
$(qs + 1)(s - 1)$	$\frac{s(q^2s^2-1)}{q+1}$	$\frac{qs(s-1)(s-q)}{q+1}$	1
$s - qs - 1$	$\frac{s(qs-1)}{q+1}$	$\frac{-qs(s-q)}{q+1}$	$(qs + 1)(s - 1)$
$s - 1$	$-s$	0	$\frac{s(q^2s^2-1)}{q+1}$
$-(qs + 1)$	0	$qs$	$\frac{qs(s-1)(s-q)}{q+1}$

Our notation differs from [4] in that we use only the parameters  $q$  and  $s$ ; the remaining parameter  $t$  satisfies  $t = qs$ .

Our main result is:

**THEOREM.** *There exists a GQ  $S$  such that  $(X, \{f_1, f_2, f_3\}) = \mathcal{A}(S, p)$ .*

## 2. Reconstructing the generalized quadrangle

If  $L$  is a line, we will say a point  $x$  is adjacent to  $L$  or has distance 1 from  $L$  if  $x$  is adjacent to some point of  $L$ ;  $x$  is at distance 2 from  $L$  if  $x$  is not adjacent to  $L$  but, there exists a point  $y$  adjacent to  $L$  such that  $x$  is adjacent to  $y$ . Note that any point is at distance at most 2 from  $L$  since  $p_{11}^2$  and  $p_{11}^3$  are not zero.

**PROPOSITION.** *Let  $L$  be a line, and let  $Z$  be the set of points at distance 2 from  $L$ . Then  $L \cup Z$  is a set of  $qs^2$  points which induces a regular subgraph of degree  $s - 1$  in  $\Gamma_1$ . Further, if  $x, y \in L \cup Z$  and  $x$  and  $y$  are not adjacent, then  $(x, y) \in f_2$ .*

*Proof.* Clearly any point not in  $L$  is adjacent to at most one point of  $L$ . Any point of  $L$  is adjacent to  $v_1 = qs^2 - qs + s - 1$  points, of which  $s - 1$  are on  $L$ , hence to  $qs^2 - qs$  points not on  $L$ .

Now,  $|Z| =$  number of points adjacent to no point on  $L$

$$= |X| - |L|(qs^2 - qs) - |L| = qs^2 - s.$$

It follows that  $|L \cup Z| = qs^2$ .

Fix  $x \in L$ , and count the number of points of  $Z$  having relation  $f_2$  to  $x$ .

If  $y \notin L \cup Z$ , there is a unique point of  $L$  adjacent to  $y$ , and hence the points of  $L - \{x\}$  partition the points not in  $L \cup Z$  having relation  $f_2$  to  $x$  into  $s - 1$  sets of size  $p_{21}^1$ . It follows that the number of  $z \in Z$  with  $(x, z) \in f_2$  is

$$v_2 - (s - 1)p_{21}^1 = \frac{s((qs)^2 - 1)}{q + 1} - \frac{(s - 1)(qs)(qs - 1)}{q + 1} = qs^2 - s = |Z|.$$

Therefore, if  $z \in Z$  and  $x \in L$ ,  $(x, z) \in f_2$ .

If  $z \in Z$ , the points not in  $Z$  adjacent to  $z$  are partitioned (as above) by the points of  $L$  into  $s$  sets of size  $p_{11}^2$ . Hence, the number of points in  $Z$  adjacent to  $z$  is

$$v_1 - sp_{11}^2 = qs^2 - qs + s - 1 - s(qs - q) = s - 1.$$

Hence  $L \cup Z$  is a regular subgraph of degree  $s - 1$ .

Similarly, if  $z \in Z$ , the number of points not in  $Z$  having relation  $f_3$  to  $z$  is  $sp_{31}^2 = v_3$ , hence if  $z$  and  $w$  are distinct nonadjacent points of  $Z$ , then  $(z, w) \in f_2$ .  $\square$

We now consider the relationship in the graph between a set having the properties of  $L \cup Z$  and a point outside the set. Let  $\mathcal{L}$  be the set of all subsets of  $X$  which induce a regular subgraph on  $qs^2$  points in  $\Gamma_1$  with valency  $s - 1$  and form a coclique in  $\Gamma_3$ . By the previous proposition, each line is contained in a (clearly unique) element of  $\mathcal{L}$ .

PROPOSITION. Suppose  $T \in \mathcal{L}$ , and  $x \notin T$ . Then  $x$  is adjacent to  $qs$  points of  $T$ , and has relation  $f_3$  to  $\frac{qs(s-q)}{q+1}$  points of  $T$ .

*Proof.* Order  $X$  so that the points of  $T$  are first, and consider the corresponding partition of  $A_2$ , so

$$A_2 = \begin{pmatrix} A_{2T} & M \\ M^t & A_{2X \setminus T} \end{pmatrix},$$

where  $A_{2T}$  and  $A_{2X \setminus T}$  are the adjacency matrices for  $f_2$  restricted to  $T$  and  $X \setminus T$  respectively. Let  $B_2$  be the matrix of average row sums for this partition. Then

$$B_2 = \begin{pmatrix} s(qs-1) & \frac{qs(qs-1)(s-1)}{q+1} \\ \frac{qs(qs-1)}{q+1} & \frac{q^2s^3 - q^2s^2 + qs - s}{q+1} \end{pmatrix},$$

which has eigenvalues  $v_2 = \frac{q^2s^3 - s}{q+1}$  and  $\frac{s(qs-1)}{q+1}$ . These interlace the eigenvalues of  $A_2$ , and the interlacing is tight, hence, the row sums are constant. It follows that if  $x \notin T$ , then  $x$  has relation  $f_2$  to  $\frac{qs(qs-1)}{q+1}$  points to  $T$ .

Similarly partition  $A_3$ , and let  $B_3$  be the matrix of average row sums. Then

$$B_3 = \begin{pmatrix} 0 & v_3 \\ v_3/(s-1) & v_3(s-2)/(s-1) \end{pmatrix}$$

with eigenvalues  $v_3$  and  $\frac{-qs(s-q)}{q+1}$ . Again the interlacing is tight, so if  $x \notin T$ ,  $x$  has relation  $f_3$  to  $v_3/(s-1) = \frac{qs(s-q)}{q+1}$  points of  $T$ .

Therefore if  $x \notin T$ ,  $x$  is adjacent to  $|T| - \frac{qs(qs-1)}{q+1} - \frac{qs(s-q)}{q+1} = qs$  points of  $T$ .  $\square$

PROPOSITION. Suppose  $T \in \mathcal{L}$ ,  $x \notin T$ . Then there exists  $T_x \in \mathcal{L}$  such that  $x \in T_x$  and  $T_x \cap T = \emptyset$ .

*Proof.* Since  $x$  is adjacent to  $qs$  points of  $T$ , and  $x$  lies on  $qs+1$  lines, there exists a line  $L_x$  with  $x \in L_x$ ,  $L_x$  disjoint from  $T$ . Let  $Z_x$  be the set of points at distance two from  $L_x$ . Each point of  $L_x$  is adjacent to  $qs$  points of  $T$ , and these sets of points are disjoint. Thus, each point of  $T$  is adjacent to  $L_x$ , and it follows that  $T_x = L_x \cup Z_x$  is the desired set.  $\square$

THEOREM. Let  $T \in \mathcal{L}$ . Then  $T$  is the disjoint union of  $qs$  lines, and  $T$  is uniquely determined by each of its lines.

*Proof.* We wish to show that  $(T, f_1)$  is the graph  $qs \cdot K_s$ ; this is equivalent to showing that its adjacency matrix  $A_{1T}$  has eigenvalue  $s-1$  with multiplicity at least  $qs$ .

Let  $E = -J + qA_1 + (q+1)A_3$ . Then  $E$  has eigenvalues  $qs-q$  and  $qs-q-qs^2$  with multiplicities  $qs^3 - qs^2 + qs - s$  and  $qs^2 - qs + s$ , respectively. Partition  $E$  according to the partition of  $X$  into  $T$  and  $X \setminus T$ , so

$$E = \begin{pmatrix} E_T & M \\ M^t & E_{X \setminus T} \end{pmatrix}.$$

Note  $E_T$  is  $qs^2 \times qs^2$ . Let  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_{qs^2}$  be the eigenvalues of  $E_T$ , and  $\mu_1 \geq \mu_2 \geq \dots \geq \mu_{qs^3 - qs^2}$  be the eigenvalues of  $E_{X \setminus T}$ . Then by [2, Theorem 1. 3. 3],  $\lambda_i = qs - q$  for  $i = 1, \dots, qs - s$  and  $\lambda_i = 2qs - 2q - qs^2 - \mu_{qs^3 - qs^2 + qs - s + 1 - i}$  for  $qs - s + 1 \leq i \leq qs^2$ .

Let  $U \in \mathcal{L}$  with  $U \cap T = \phi$ , and  $\chi_U$  be the characteristic vector of  $U$  in the set  $X \setminus T$ . If  $x \in X \setminus T$ ,  $x$  is adjacent to  $qs$  points of  $U$  and has relation  $f_3$  to  $\frac{qs(s-q)}{q+1}$ , hence  $E_{X \setminus T} \chi_U = (qs - q - qs^2) \chi_U$ . There are at least  $\frac{qs^3 - qs^2}{qs^2} = s - 1$  linearly independent such vectors, since each point of  $X \setminus T$  is in such a  $U$ . By Cauchy interlacing  $\mu_i \geq qs - q - qs^2$ , therefore  $\lambda_i = qs - q$  for  $qs - s + 1 \leq i \leq qs - 1$ .

We now relate this to the eigenvalues of  $A_{1T}$ . Note that  $E_T = -J_T + qA_{1T}$ , and  $E_T \mathbf{j} = (qs - q - qs^2) \mathbf{j}$ , where  $\mathbf{j}$  is the vector of all ones. The eigenvectors of  $E_T$  with eigenvalue  $qs - q$  are orthogonal to  $\mathbf{j}$ , hence they are eigenvectors for  $A_{1T}$  with eigenvalue  $s - 1$ . Since  $\mathbf{j}$  is an eigenvector for  $A_{1T}$  with eigenvalue  $s - 1$ ,  $A_{1T}$  has eigenvalue  $s - 1$  with multiplicity  $qs$ . It follows that the subgraph induced by  $T$  is  $qs \cdot K_s$ . □

If  $T \in \mathcal{L}$ , each point not in  $T$  is on a unique line disjoint from  $T$  and this determines a partition of  $X$  into a disjoint union of elements of  $\mathcal{L}$ . Let  $\Pi$  be the set of such partitions. Proceeding as in [1], we construct a GQ as follows:

The point set is  $X \cup \mathcal{L} \cup \{\infty\}$ .

The lines are of two types:

- (1)  $L \cup \{T\}$  where  $L$  is an  $s$ -clique in  $\Gamma_1, T \in \mathcal{L}, L \subset T$ .
- (2)  $\pi \cup \{\infty\}$  where  $\pi \in \Pi$ .

Incidence is inclusion.

It is easy to check that this gives a GQ with  $\infty$  a quasiregular point, and that  $(X, \{f_1, f_2, f_3\})$  is the coherent configuration of points at distance 2 from  $\infty$  derived as in [4].

That  $T$  is uniquely determined by each of its lines follows from the first proposition.

### 3. Remarks

If  $s = 3, p_{11}^1 = 1$ , which implies the condition on maximal cliques is satisfied. Then  $q = 1$  is the only value giving nonnegative parameters, and the constructed GQ has parameters  $(3, 3)$  and a quasiregular point, hence is unique. This implies that the corresponding association scheme is unique.

The case considered by Brouwer and Haemers [1] of a  $GQ(s, s^2)$  may be

considered to be a special case of our result, where the relation  $f_3$  is empty and  $A_3 = 0$ . Our proof works also in this case, and essentially reduces to theirs.

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