

# An unpublished theorem of Manfred Schocker and the Patras-Reutenauer algebra

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**Abstract** Patras, Reutenauer (J. Algebr. Comb. 16:301–314, 2002) describe a subalgebra  $\mathfrak{A}$  of the Malvenuto-Reutenauer algebra  $\mathcal{P}$ . Their paper contains several characteristic properties of this subalgebra. In an unpublished manuscript Manfred Schocker states without proof a theorem, providing two further characterizations of the Patras-Reutenauer algebra. In this paper we establish a slightly generalized version of Schocker's theorem, and give some applications. Finally we describe a derivation of the convolution algebra  $\mathfrak{A}$ , which is a homomorphism for the inner product.

**Keywords** Symmetric group algebras · Reciprocity laws · Lie idempotents · Solomon's descent algebra

## 1 Introduction

In this section we explain some different characterizations of the Patras-Reutenauer algebra, contained in [9], and the theorem of Schocker.

As a vector space the Malvenuto-Reutenauer algebra  $\mathcal{P}$  is the direct sum of all group algebras  $K\mathcal{S}_n$ , where  $\mathcal{S}_n$  denotes the group of all permutations of the set  $[n] := \{1, \dots, n\} \subseteq \mathbb{N}$ . The set  $\mathcal{S} := \bigcup_{n \geq 0} \mathcal{S}_n$  is a basis of  $\mathcal{P}$ . The field of coefficients  $K$  is assumed to be of characteristic 0. Via *Polya action*  $\mathcal{P}$  acts on every free associative algebra  $\mathcal{A} = \mathcal{A}(X)$ , freely generated by a set  $X$ . The multiplicative monoid  $X^*$  generated by  $X$  is a basis of  $\mathcal{A}$ . For all  $\sigma \in \mathcal{S}$  and all words  $x_1 \cdots x_n \in X^*$  of length  $n$  we put

$$\sigma x_1 \cdots x_n := \begin{cases} x_{1\sigma} \cdots x_{n\sigma} & \text{if } \sigma \in \mathcal{S}_n, \\ 0_{\mathcal{A}} & \text{if } \sigma \notin \mathcal{S}_n. \end{cases}$$

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Linear extension yields the Polya action of  $\mathcal{P}$  on  $\mathcal{A}$ , which is a left action. For every subset  $Y$  of  $X$  we define a (uniquely determined) algebra endomorphism of  $\mathcal{A}$  by

$$x \mapsto x_Y := \begin{cases} x & \text{for } x \in Y, \\ 1_{\mathcal{A}} & \text{for } x \in X \setminus Y. \end{cases}$$

We write  $a_Y$  for the image of  $a$  under this mapping, for all  $a \in \mathcal{A}$ . The coproduct  $\delta : \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$  is the uniquely determined algebra homomorphism, such that

$$x\delta = x \otimes 1_{\mathcal{A}} + 1_{\mathcal{A}} \otimes x,$$

for all  $x \in X$ . The Lie subalgebra  $\mathcal{L} := \mathcal{L}\langle X \rangle$  generated by  $X$  of the Lie algebra  $\mathcal{A}_{\text{Lie}}$  associated to  $\mathcal{A}$  is the set of all  $a \in \mathcal{A}$  with the following property:

$$a\delta = a \otimes 1_{\mathcal{A}} + 1_{\mathcal{A}} \otimes a,$$

by the theorem of Friedrichs. There is a coproduct  $\downarrow$  on the vector space  $\mathcal{P}$ , too: for  $\sigma \in \mathcal{S}_n$ ,

$$\sigma \downarrow := \sum_{j=0}^n \tau_j \otimes \rho_{n-j},$$

$\tau_j \in \mathcal{S}_j$  and  $\rho_{n-j} \in \mathcal{S}_{n-j}$ , where, viewed as a word, the permutation  $\tau_j$  is obtained by lining up the elements  $1, \dots, j$  from  $\sigma$  in their present order. Similarly, the permutation  $\rho_{n-j}$  is obtained by reading out the numbers  $j + 1, \dots, n$  from  $\sigma$  from left to right and subtracting  $j$  from each of them. A more conceptual description is given in (3). For example,  $312 \downarrow = 312 \otimes \emptyset + 1 \otimes 21 + 12 \otimes 1 + \emptyset \otimes 312$ . Another example is  $1_{\mathcal{S}_n} \downarrow = \sum 1_{\mathcal{S}_j} \otimes 1_{\mathcal{S}_{n-j}}$ . We embed  $\mathcal{P}$  in  $\mathcal{A}\langle \mathbb{N} \rangle$  simply by reading permutations as words over the alphabet  $\mathbb{N}$ . To be more precise, we define a linear mapping  $w$  of  $\mathcal{P}$  into  $\mathcal{A}\langle \mathbb{N} \rangle$  by

$$w : \sigma \mapsto \sigma w := 1\sigma \cdots n\sigma = \sigma(1.2 \cdots n) \in \mathbb{N}^*,$$

for all  $\sigma \in \mathcal{S}_n$ , and linear extension.<sup>1</sup> By  $*$  we denote the *inner product* on  $\mathcal{P}$ , inherited from the algebra structure of all  $K\mathcal{S}_n$ . Then,

$$(x * y)w = x(yw),$$

for all  $x, y \in \mathcal{P}$ . Finally put

$$\mathcal{O} := \{x \in \mathcal{P} \mid xw \in \mathcal{L}\langle \mathbb{N} \rangle\}.$$

The elements of  $\mathcal{O}$  are often called *multilinear Lie elements*. Obviously,  $\mathcal{O} = \bigoplus_{n \geq 1} \mathcal{O}_n$  where  $\mathcal{O}_n := \mathcal{O} \cap K\mathcal{S}_n$ . In addition to the inner product the *convolution product*  $\star$  on  $\mathcal{P}$  is defined as follows: For all  $\sigma \in \mathcal{S}_n$  and  $\tau \in \mathcal{S}_m$  we define  $\sigma \# \tau \in \mathcal{S}_{n+m}$  by

$$i(\sigma \# \tau) := \begin{cases} i\sigma & \text{for } 1 \leq i \leq n, \\ (i - n)\tau + n & \text{for } n + 1 \leq i \leq n + m, \end{cases}$$

<sup>1</sup>We denote the *concatenation* of  $x, y \in \mathcal{A}\langle \mathbb{N} \rangle$  by  $x.y$ .

then

$$\sigma \star \tau := \sum_{\rho} (\sigma \# \tau) \rho, \tag{1}$$

where the summation is extended over all permutations  $\rho \in \mathcal{S}_{n+m}$ , which are increasing on  $[n]$  and on  $n + [m] = \{n + 1, \dots, n + m\}$ . For example,  $1 \star 21 = 132 + 231 + 321$ . This turns  $\mathcal{P}$  into an associative algebra with neutral element  $\emptyset$ , the only element of  $\mathcal{S}_0$ . By  $\mathfrak{A}$  we denote the unitary subalgebra of  $(\mathcal{P}, \star)$  generated by  $\mathcal{O}$ . Observe  $\mathfrak{A} = \bigoplus_{n \geq 0} \mathfrak{A}_n$ , where  $\mathfrak{A}_n := \mathfrak{A} \cap K\mathcal{S}_n$ , i.e.  $\mathfrak{A}$  is a homogeneous subspace of  $\mathcal{P}$ , just as  $\mathcal{O}$ . The first characterization of  $\mathfrak{A}$  is a consequence of several statements in [9] (Proposition-Definition 3, Theorem 4 and the assertions about primitive elements):

**Theorem 1.1** *Let  $x \in \mathcal{P}$ . Then  $x \in \mathfrak{A}$  if and only if for all sets  $X$  and all  $a \in \mathcal{A} := \mathcal{A}(X)$ :*

$$(xa)\delta = (x \downarrow)(a\delta). \tag{2}$$

*The left hand side refers to Polya action of  $\mathcal{P}$  on  $\mathcal{A}$  and the right hand side to Polya action of  $\mathcal{P} \otimes \mathcal{P}$  on  $\mathcal{A} \otimes \mathcal{A}$ . In particular,  $1_{\mathcal{S}_n} \in \mathfrak{A}$  for all  $n \in \mathbb{N}$ .*

The second characterization needs some more preparation. Let  $X$  be an alphabet and  $<$  a total ordering on  $X$ . We define the standard permutation  $\pi_w \in \mathcal{S}_n$  belonging to a word  $w = x_1 \cdots x_n \in X^*$  as follows:

$$i\pi_w < j\pi_w \iff \begin{cases} x_i < x_j, \\ \text{or } x_i = x_j \text{ and } i < j. \end{cases}$$

For example, let  $a < b < \dots < z$  and  $w = rccacd$ , then  $\pi_w = 623145 \in \mathcal{S}_6$ . By linear extension we get a mapping

$$\text{st} : \mathcal{A}(X) \rightarrow \mathcal{P}, \quad w \mapsto w \text{ st} := \pi_w.$$

Then the coproduct  $\downarrow$  can be described as follows:

$$\sigma \downarrow = \sum_{j=0}^n (\sigma w)_{[j]} \text{st} \otimes (\sigma w)_{[n] \setminus [j]} \text{st}, \tag{3}$$

for all  $\sigma \in \mathcal{S}_n$ . A slight modification of 1.1 follows:

**Proposition 1.2** *Let  $x = \sum_{\sigma \in \mathcal{S}_n} k_{\sigma} \sigma \in K\mathcal{S}_n$ . Then  $x \in \mathfrak{A}$  if and only if*

$$(xw)\delta = (x \downarrow)((1.2. \dots .n)\delta). \tag{4}$$

*Proof* By 1.1 the condition (4) is necessary since  $xw = x(1.2. \dots .n)$ . On the other hand, if  $X$  is a set and  $a = x_1 \cdots x_n \in X^n$  define the algebra homomorphism  $\varphi : \mathcal{A}(\mathbb{N}) \rightarrow \mathcal{A}(X)$  by

$$i\varphi := \begin{cases} x_i & \text{if } 1 \leq i \leq n, \\ 1_{\mathcal{A}(X)} & \text{if } n < i. \end{cases}$$

Then  $\varphi$  is permutable with Polya action, i.e.  $(\sigma i_1 \cdots i_n)\varphi = \sigma(i_1\varphi \cdots i_n\varphi)$ , for all  $\sigma \in \mathcal{S}_n$ , further  $xa = (x(1.2 \cdots n))\varphi$  and

$$\begin{aligned} (xa)\delta &= (x(1.2 \cdots n))\varphi\delta \\ &= (x(1.2 \cdots n))\delta(\varphi \otimes \varphi) \\ &= ((x\downarrow)(1.2 \cdots n)\delta)(\varphi \otimes \varphi) \\ &= (x\downarrow)((1.2 \cdots n)\delta(\varphi \otimes \varphi)) \\ &= (x\downarrow)(a\delta), \end{aligned}$$

therefore  $x \in \mathfrak{A}$  by 1.1. □

Condition (4) is equivalent to

$$\begin{aligned} &\sum_{\substack{J \subseteq [n] \\ |J|=j}} \sum_{\sigma \in \mathcal{S}_n} k_\sigma((\sigma w)_J \otimes (\sigma w)_{[n] \setminus J}) \\ &= \sum_{\substack{J \subseteq [n] \\ |J|=j}} \sum_{\sigma \in \mathcal{S}_n} k_\sigma((\sigma w)_{[j]} \text{st}(1.2 \cdots n)_J \otimes (\sigma w)_{[n] \setminus [j]} \text{st}(1.2 \cdots n)_{[n] \setminus J}), \end{aligned}$$

for  $0 \leq j \leq n$ . Applying  $\text{st} \otimes \text{st}$  yields

$$\begin{aligned} &\sum_{\substack{J \subseteq [n] \\ |J|=j}} \sum_{\sigma \in \mathcal{S}_n} k_\sigma((\sigma w)_J \text{st} \otimes (\sigma w)_{[n] \setminus J} \text{st}) \\ &= \binom{n}{j} \sum_{\sigma \in \mathcal{S}_n} k_\sigma((\sigma w)_{[j]} \text{st} \otimes (\sigma w)_{[n] \setminus [j]} \text{st}). \end{aligned}$$

The following combinatorial characterization of  $\mathfrak{A}$  ([9], Theorem 4) is much stronger than this last assertion.

**Theorem 1.3** *Let  $x = \sum_{\sigma \in \mathcal{S}_n} k_\sigma \sigma \in K\mathcal{S}_n$ . Then  $x \in \mathfrak{A}$  if and only if*

$$\begin{aligned} &\sum_{\sigma \in \mathcal{S}_n} k_\sigma((\sigma w)_J \text{st} \otimes (\sigma w)_{[n] \setminus J} \text{st}) \\ &= \sum_{\sigma \in \mathcal{S}_n} k_\sigma((\sigma w)_{[|J|]} \text{st} \otimes (\sigma w)_{[n] \setminus [|J|]} \text{st}), \end{aligned}$$

for all  $J \subseteq [n]$ .

The succeeding theorem of Manfred Schocker provides two further characterizations of the Patras-Reutenauer algebra. It is contained without proof in an unpublished manuscript.

**Theorem 1.4** *For all  $x \in \mathcal{P}$  the following statements are equivalent:*

- (i)  $x \in \mathfrak{A}$ ,
- (ii)  $(z_1 \star z_2) * x = ((z_1 \otimes z_2) *_{\otimes} x \downarrow) \text{conv}$ , for all  $z_1, z_2 \in \mathcal{P}$ ,
- (iii)  $(x * z) \downarrow = x \downarrow *_{\otimes} z \downarrow$ , for all  $z \in \mathcal{P}$ .

Here  $\text{conv} : \mathcal{P} \otimes \mathcal{P} \rightarrow \mathcal{P}$ ,  $x \otimes y \mapsto x \star y$  denotes the linearization of the convolution.

The statement (ii) is called the *multiplicative reciprocity law*. It was proved in [5] for elements  $z_1, z_2, x$  in Solomon’s algebra  $\mathcal{D}$ , which is the subalgebra of  $(\mathcal{P}, \star)$  generated by all  $1_{S_n}$ . In particular,  $\mathcal{D} \subseteq \mathfrak{A}$ . By [13]  $\mathcal{D}$  is also a subalgebra of  $(\mathcal{P}, *)$ . In this paper we give a proof of Schocker’s result in a slightly generalized version and some applications.

## 2 The Lie projector $R$

We present a useful instrument in this section, which is useful in the proof of Schocker’s theorem and for applications.

Let  $p_n$  be the canonical projection of  $\mathcal{P}$  onto  $KS_n$ . The algebra  $(\mathcal{P}, \star)$  is graded since  $KS_n \star KS_m \subseteq KS_{n+m}$ . We denote by

$$\widehat{\mathcal{P}} := \prod_{n \geq 0} KS_n$$

the completion of  $\mathcal{P}$  with respect to the metric given by

$$d(\alpha, \beta) := \begin{cases} e^{-\min\{n \mid p_n(\alpha - \beta) \neq 0\}} & \text{if } \alpha \neq \beta, \\ 0 & \text{if } \alpha = \beta. \end{cases}$$

Consider  $\mathcal{P}$  as a subspace of  $\widehat{\mathcal{P}}$ . The projection of  $\widehat{\mathcal{P}}$  onto  $KS_n$  is again denoted by  $p_n$ . For every sequence  $(\alpha_n)_{n \geq 0}$  converging to  $0_{\widehat{\mathcal{P}}}$  the series  $\sum \alpha_n$  itself is convergent. Cauchy multiplication turns  $\widehat{\mathcal{P}}$  into an associative algebra with neutral element  $\emptyset \in S_0$ . Obviously,  $(\mathcal{P}, \star)$  is a subalgebra of  $(\widehat{\mathcal{P}}, \star)$ . The inner product  $*$  and the Polya action of  $\mathcal{P}$  on  $\mathcal{A}$  can also be extended to  $\widehat{\mathcal{P}}$ . The latter is a homogeneous operation, i.e., the subspaces  $\mathcal{A}_n$  are invariant, where  $\mathcal{A}_n$  denotes the subspace of  $\mathcal{A}$  generated by all elements of  $X^*$  of length  $n$ . In contrast with  $(\mathcal{P}, *)$  the algebra  $(\widehat{\mathcal{P}}, *)$  has a neutral element:

$$E := \sum_{n \geq 0} 1_{S_n}.$$

Polya action defines a linear mapping  $\text{pol}$  of  $\widehat{\mathcal{P}}$  into the algebra  $\mathcal{E}$  of all linear endomorphisms of  $\mathcal{A}$ , which is injective if (and only if)  $X$  is infinite. With respect to the inner product  $\text{pol}$  is an anti-homomorphism. The following statement<sup>2</sup> is well known:

$$\text{pol}(S \star T) = \delta(\text{pol}(S) \otimes \text{pol}(T)) \text{conc} \tag{5}$$

<sup>2</sup>In [7] this is used to *define* the convolution product on  $\mathcal{P}$ .

for all  $S, T \in \widehat{\mathcal{P}}$ . Here  $\text{conc} : \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}$ ,  $a \otimes b \mapsto ab$  denotes the linearization of the concatenation in  $\mathcal{A}$ . Putting

$$\varphi \star \psi := \delta(\varphi \otimes \psi) \text{conc}$$

for all  $\varphi, \psi \in \mathcal{E}$  turns  $\mathcal{E}$  into an associative algebra with neutral element  $\varepsilon$ , the canonical projection of  $\mathcal{A} = \bigoplus_{n \geq 0} \mathcal{A}_n$  onto  $\mathcal{A}_0$ . Then  $\text{pol}$  is a unital homomorphism of  $(\widehat{\mathcal{P}}, \star)$  in  $(\mathcal{E}, \star)$ . It is convenient (see [11]), to generalize  $\text{conc}$  and  $\delta$  in the following way: for all  $k \in \mathbb{N}$  define an algebra homomorphism

$$\delta^{(k)} : \mathcal{A} \rightarrow \underbrace{\mathcal{A} \otimes \cdots \otimes \mathcal{A}}_k = \mathcal{A}^{\otimes k}$$

by

$$\delta^{(k)} : x \mapsto \sum_{i=0}^{k-1} \underbrace{1_{\mathcal{A}} \otimes \cdots \otimes 1_{\mathcal{A}}}_i \otimes x \otimes \underbrace{1_{\mathcal{A}} \otimes \cdots \otimes 1_{\mathcal{A}}}_{k-i-1},$$

for all  $x \in X$ , further  $(a_1 \otimes \cdots \otimes a_k) \text{conc}^{(k)} := a_1 \cdots a_k$ . Then for all  $\varphi_1, \dots, \varphi_k \in \mathcal{E}$

$$\varphi_1 \star \cdots \star \varphi_k = \delta^{(k)}(\varphi_1 \otimes \cdots \otimes \varphi_k) \text{conc}^{(k)}. \tag{6}$$

As a consequence of (6) and (5), observe the *reciprocity law for Polya action*:

$$(S_1 \star \cdots \star S_k)a = ((S_1 \otimes \cdots \otimes S_k)(a\delta^{(k)})) \text{conc}^{(k)}, \tag{7}$$

for all  $S_1, \dots, S_k \in \widehat{\mathcal{P}}$  and all  $a \in \mathcal{A}$ . An element  $P$  of  $\widehat{\mathcal{P}}$  is a *Lie projector*, if  $P \star P = P$  and if  $P\mathcal{A} = \mathcal{L}$  for all sets  $X$ . As a consequence of (7), we note

$$(P_1 \star \cdots \star P_k)\mathcal{A} \subseteq \underbrace{\mathcal{L} \cdots \mathcal{L}}_k =: \mathcal{L}^k, \tag{8}$$

for all Lie projectors  $P_1, \dots, P_k$ . Furthermore, (cf. [11], 1.5.6.)

$$a\delta^{(k)} = \sum_{i=0}^{k-1} \underbrace{1_{\mathcal{A}} \otimes \cdots \otimes 1_{\mathcal{A}}}_i \otimes a \otimes \underbrace{1_{\mathcal{A}} \otimes \cdots \otimes 1_{\mathcal{A}}}_{k-i-1}, \tag{9}$$

for all  $a \in \mathcal{L}$ . From (6) and (9) we deduce:

$$a(\varphi_1 \star \cdots \star \varphi_k) = 0_{\mathcal{A}} \tag{10}$$

for all  $a \in \mathcal{L}$ ,  $k > 1$  and  $\varphi_1, \dots, \varphi_k \in \mathcal{E}$  with the property  $1_{\mathcal{A}}\varphi_j = 0_{\mathcal{A}}$ ,  $1 \leq j \leq k$ . Recall  $E = \sum_{n \geq 0} 1_{S_n} = 1_{(\mathcal{P}, \star)}$ . Put  $I := \sum_{n \geq 1} 1_{S_n}$ , that means  $E = \emptyset + I$ , then  $I^{\star n}a = 0_{\mathcal{A}}$  for all  $a \in \mathcal{L}$  and  $n = 0$  or  $n > 1$ , by (10).<sup>3</sup> Define

$$R := \log E = \sum_{n \geq 1} \frac{(-1)^{n-1}}{n} I^{\star n},$$

<sup>3</sup>  $I^{\star n}$  denotes the  $n$ -fold convolution product of  $I$  with itself.

then  $Ra = a$  for all  $a \in \mathcal{L}$ . Define the mapping

$$\widehat{\downarrow} : \widehat{\mathcal{P}} \rightarrow \prod_{n=0}^{\infty} \left( \bigoplus_{k=0}^n K S_k \otimes K S_{n-k} \right) = \widehat{\mathcal{P} \otimes \mathcal{P}}$$

as the continuous extension of  $\downarrow$ . For any sequence  $(\alpha_n)_{n \geq 0}$  with  $\alpha_n \in K S_n$  the series  $\alpha := \sum_{n \geq 0} \alpha_n$  is convergent and

$$\alpha \widehat{\downarrow} = \sum_{n=0}^{\infty} (\alpha_n \downarrow).$$

From  $1_{S_n} \downarrow = \sum_{k=0}^n 1_{S_k} \otimes 1_{S_{n-k}}$  we deduce

$$E \widehat{\downarrow} = E \otimes E = \sum_{n=0}^{\infty} \sum_{k=0}^n 1_{S_k} \otimes 1_{S_{n-k}} \in \widehat{\mathcal{P} \otimes \mathcal{P}}.$$

It is well known that  $R = \log E$  is primitive with respect to  $\widehat{\downarrow}$ , i.e.

$$R \widehat{\downarrow} = R \otimes \emptyset + \emptyset \otimes R. \tag{11}$$

Putting  $\rho_n := p_n(R)$ , in particular  $R = \sum_{n \geq 1} \rho_n$ , we have now:

$$\rho_n \downarrow = \rho_n \otimes \emptyset + \emptyset \otimes \rho_n.$$

The elements  $\rho_n$  are contained in the subalgebra of  $(\mathcal{P}, \star)$  generated by all  $1_{S_n}$ , i.e. in Solomons Algebra  $\mathcal{D} = \bigoplus_{n \geq 0} \mathcal{D}_n$ , which is contained in  $\mathfrak{A}$  by 1.1. Again by 1.1, we conclude for  $n \geq 1$  and all  $y \in \mathcal{A}_n$

$$\begin{aligned} (Ry)\delta &= (\rho_n y)\delta = (\rho_n \downarrow)(y\delta) = \\ &= \rho_n y \otimes 1_{\mathcal{A}} + 1_{\mathcal{A}} \otimes \rho_n y = Ry \otimes 1_{\mathcal{A}} + 1_{\mathcal{A}} \otimes Ry, \end{aligned}$$

therefore  $R\mathcal{A} \subseteq \mathcal{L}$  by the theorem of Friedrichs. Since  $Ra = a$  for all  $a \in \mathcal{L}$  we get

**Proposition 2.1** *R is a Lie projector, i.e. R is an idempotent in  $(\widehat{\mathcal{P}}, *)$  and  $R\mathcal{A}\langle X \rangle = \mathcal{L}\langle X \rangle$  for all sets X. Especially,  $\rho_n$  is an idempotent in  $\mathfrak{A}_n$ .<sup>4</sup>*

We can easily deduce from 2.1:

$$\mathcal{O} = R * \mathcal{P} = \bigoplus_{n \geq 1} \rho_n * K S_n \quad \text{and} \quad \mathcal{O}_n = \rho_n * K S_n. \tag{12}$$

In particular,  $\mathcal{O}$  is a right ideal in  $(\mathcal{P}, *)$ . Concerning the proof, we remark that

$$x * y = (x(yw)) \text{st} = yw(\text{pol } x) \text{st}$$

<sup>4</sup>The elements  $\rho_n$  first appeared in [12], by communication of a referee also in [2], [8]. Further,  $\text{pol } R$  is the canonical projection  $\pi_1$  from [10].

for all  $x \in \widehat{\mathcal{P}}$  and  $y \in \mathcal{P}$ , therefore

$$R * y = (R(yw)) \text{ st} \in (\mathcal{P}w \cap \mathcal{L}) \text{ st} = \mathcal{O},$$

that means  $R * \mathcal{P} \subseteq \mathcal{O}$ . On the other hand, if  $y \in \mathcal{O}$  then  $yw \in \mathcal{L}$  and  $R * y = (R(yw)) \text{ st} = yw \text{ st} = y$ .

### 3 Multiplicative reciprocity

We prove Schocker’s theorem in a rather generalized version, which is more convenient for applications.

In analogy to  $\delta^{(k)}$  we define recursively

$$\downarrow^{(k)} := \downarrow (\downarrow^{(k-1)} \otimes \text{id}).$$

Then

$$\downarrow^{(k)} : \mathcal{P} \rightarrow \mathcal{P}^{\otimes k} = \bigoplus_{n=0}^{\infty} \left( \bigoplus_{j_1+\dots+j_k=n} KS_{j_1} \otimes \dots \otimes KS_{j_k} \right)$$

is a homogeneous algebra homomorphism. Further,

$$\widehat{\downarrow}^{(k)} : \widehat{\mathcal{P}} \rightarrow \prod_{n=0}^{\infty} \left( \bigoplus_{j_1+\dots+j_k=n} KS_{j_1} \otimes \dots \otimes KS_{j_k} \right) = \widehat{\mathcal{P}^{\otimes k}}$$

denotes the continuous extension of  $\downarrow^{(k)}$ , that means  $x \widehat{\downarrow}^{(k)} = \sum_{n \geq 0} x_n \downarrow^{(k)}$  for  $x = \sum_{n \geq 0} x_n$ ,  $x_n \in KS_n$ . Similarly  $\widehat{\text{conv}}^{(k)}$  is the continuous extension of  $\text{conv}^{(k)} : \mathcal{P}^{\otimes k} \rightarrow \mathcal{P}$ ,  $z_1 \otimes \dots \otimes z_k \mapsto z_1 \star \dots \star z_k$ . Put  $\mathcal{O}_n := \mathcal{O} \cap KS_n$  and  $\mathfrak{A}_n := \mathfrak{A} \cap KS_n$ , then  $\mathcal{O} = \bigoplus_{n \geq 1} \mathcal{O}_n$  and  $\mathfrak{A} = \bigoplus_{n \geq 0} \mathfrak{A}_n$ . We put  $\widehat{\mathfrak{A}} := \prod_{n \geq 0} \mathfrak{A}_n$  and  $\widehat{\mathcal{O}} := \prod_{n \geq 1} \mathcal{O}_n$ . For the proof of Schocker’s theorem we need a remarkable relationship between the convolution product on  $\mathcal{P}$  and the coproduct  $\downarrow$ , the *reciprocity law*. We define a symmetric, non-degenerate bilinear form  $(, )_{\mathcal{P}}$  on  $\mathcal{P}$  by

$$(\sigma, \tau)_{\mathcal{P}} := \begin{cases} 1 & \text{if } \sigma = \tau^{-1} \\ 0 & \text{otherwise} \end{cases}$$

for all permutations  $\sigma$  and  $\tau$  and bilinear extension. A moment’s reflection reveals

$$(\alpha * \beta, \gamma)_{\mathcal{P}} = (\alpha, \beta * \gamma)_{\mathcal{P}} = (\beta, \gamma * \alpha)_{\mathcal{P}},$$

for all  $\alpha, \beta, \gamma \in \mathcal{P}$ . There exists exactly one non-degenerate and symmetric bilinear form  $(, )_{\mathcal{P} \otimes \mathcal{P}}$  on  $\mathcal{P} \otimes \mathcal{P}$  with the property

$$(\alpha \otimes \beta, \gamma \otimes \delta)_{\mathcal{P} \otimes \mathcal{P}} := (\alpha, \gamma)_{\mathcal{P}} (\beta, \delta)_{\mathcal{P}},$$

for all  $\alpha, \beta, \gamma, \delta \in \mathcal{P}$ .



**Reciprocity Law 3.1** (cf. [3]) For all  $\alpha_1, \alpha_2, \beta \in \mathcal{P}$ :

$$(\alpha_1 \star \alpha_2, \beta)_{\mathcal{P}} = (\alpha_1 \otimes \alpha_2, \beta \downarrow)_{\mathcal{P} \otimes \mathcal{P}}.$$

The following assertion is a little bit changed and more convenient formulation of Schocker’s theorem 1.4.

**Main Lemma 3.2** For all  $x \in \widehat{\mathcal{P}}$  the following statements are equivalent:

- (i)  $x \in \mathfrak{A}$ ,
- (ii)  $(z_1 \star \cdots \star z_k) * x = ((z_1 \otimes \cdots \otimes z_k) *_{\otimes} x \widehat{\downarrow}^{(k)}) \widehat{\text{conv}}^{(k)}$   
for all  $z_1, \dots, z_k \in \widehat{\mathcal{P}}$  and for all  $k \in \mathbb{N}$ ,
- (iii)  $(z_1 \star z_2) * x = ((z_1 \otimes z_2) *_{\otimes} x \widehat{\downarrow}) \text{conv}$  for all  $z_1, z_2 \in \widehat{\mathcal{P}}$ ,
- (iv)  $(z_1 \star \cdots \star z_k) * x = ((z_1 \otimes \cdots \otimes z_k) *_{\otimes} x \widehat{\downarrow}^{(k)}) \text{conv}^{(k)}$   
for all  $z_1, \dots, z_k \in \mathcal{P}$  and for all  $k \in \mathbb{N}$ ,
- (v)  $(z_1 \star z_2) * x = ((z_1 \otimes z_2) *_{\otimes} x \widehat{\downarrow}) \text{conv}$  for all  $z_1, z_2 \in \mathcal{P}$ ,
- (vi)  $(x * z) \widehat{\downarrow} = x \widehat{\downarrow} *_{\otimes} z \widehat{\downarrow}$  for all  $z \in \widehat{\mathcal{P}}$ ,
- (vii)  $(x * z) \widehat{\downarrow} = x \widehat{\downarrow} *_{\otimes} z \downarrow$  for all  $z \in \mathcal{P}$ .<sup>5</sup>

*Proof* Let  $x = \sum_{n \geq 0} x_n \in \widehat{\mathcal{P}}$ ,  $x_n \in K\mathcal{S}_n$ . Every statement (i) up to (vii) is true for  $x$  if and only if it is true for all  $x_n$ . Therefore, we can assume  $x \in K\mathcal{S}_n$  for some  $n$ . First we show the equivalence of (ii) up to (vii). Furthermore, we can assume  $z_1 \star \cdots \star z_k, z_1 \star z_2, z \in K\mathcal{S}_n$  resp. In particular (ii) and (iv), (iii) and (v), (vi) and (vii) resp. are equivalent. (v) is a weakening of (iv). By induction on  $k$  we show that (iv) follows from (v). For  $k = 1$  nothing is to prove. Let  $k \geq 2$  and  $z := z_1 \star \cdots \star z_{k-1}$ . Using Sweedler’s notation ([14]) we conclude:

$$\begin{aligned} & (z_1 \star \cdots \star z_k) * x \\ &= (z \star z_k) * x \\ &= ((z \otimes z_k) *_{\otimes} x \downarrow) \text{conv} \\ &= \sum (z * x^{(1)} \otimes z_k * x^{(2)}) \text{conv} \\ &= \sum (((z_1 \otimes \cdots \otimes z_{k-1}) *_{\otimes} x^{(1)} \downarrow^{(k-1)}) \text{conv}^{(k-1)} \otimes z_k * x^{(2)}) \text{conv} \\ &= \sum (((z_1 \otimes \cdots \otimes z_{k-1}) *_{\otimes} x^{(1)} \downarrow^{(k-1)}) \otimes z_k * x^{(2)}) \text{conv}^{(k)} \\ &= (((z_1 \otimes \cdots \otimes z_{k-1}) \otimes z_k) *_{\otimes} x \downarrow (\downarrow^{(k-1)} \otimes \text{id})) \text{conv}^{(k)} \\ &= ((z_1 \otimes \cdots \otimes z_k) *_{\otimes} x \downarrow^{(k)}) \text{conv}^{(k)} \end{aligned}$$

<sup>5</sup>Cf. [9], where (vii) is shown for all  $x, z \in \mathfrak{A}$  (Theorem 10).

Altogether, (ii) up to (v) are equivalent. To prove equivalence of (v) and (vii), we argue as follows: on the one hand we have for all  $z_1, z_2 \in \mathcal{P}$

$$\begin{aligned} ((x * z) \downarrow, z_1 \otimes z_2)_{\mathcal{P} \otimes \mathcal{P}} &= (x * z, z_1 \star z_2)_{\mathcal{P}} \\ &= (z, (z_1 \star z_2) * x)_{\mathcal{P}} \end{aligned}$$

and on the other hand

$$\begin{aligned} (x \downarrow *_{\otimes} z \downarrow, z_1 \otimes z_2)_{\mathcal{P} \otimes \mathcal{P}} &= (z \downarrow, (z_1 \otimes z_2) *_{\otimes} x \downarrow)_{\mathcal{P} \otimes \mathcal{P}} \\ &= (z, ((z_1 \otimes z_2) *_{\otimes} x \downarrow) \text{conv})_{\mathcal{P}}. \end{aligned}$$

Therefore (vii) is true, if and only if for all  $z_1, z_2 \in \mathcal{P}$ ,

$$(z_1 \star z_2) * x = ((z_1 \otimes z_2) *_{\otimes} x \downarrow) \text{conv}$$

i.e if and only if (v) is true. Now the equivalence of (ii) up to (vii) is shown.

Assume (ii), in particular for all  $k \geq 0$ :

$$\overbrace{(R \star \cdots \star R)}^k * x = \left( \overbrace{(R \otimes \cdots \otimes R)}^k *_{\otimes} x \downarrow^{(k)} \right) \widehat{\text{conv}}^{(k)}.$$

Since  $R * \mathcal{P} = \mathcal{O}$ , we have  $R^{*k} * x \in \mathcal{O}^{*k}$ . We conclude:

$$\begin{aligned} x &= 1_{(\widehat{\mathcal{P}}, *)} * x \\ &= \exp R * x \\ &= \sum_{k \geq 0} \frac{1}{k!} R^{*k} * x \\ &= \sum_{k=0}^n \frac{1}{k!} R^{*k} * x \in \sum_{k=0}^n \mathcal{O}^{*k} \subseteq \mathfrak{A} \subseteq \widehat{\mathfrak{A}}. \end{aligned}$$

Remains to show that (v) is a consequence of (i). We prove this under the additional assumption that  $x$  is contained in the convolution subalgebra of  $\mathfrak{A}$ , generated by the primitive elements of  $\mathcal{O}$  <sup>6</sup>. By linearity we may assume that  $x = y_1 \star \cdots \star y_l$  is a convolution product of primitive elements of  $\mathcal{O}$ . Let  $X$  be an infinite set and  $\mathcal{A} := \mathcal{A}(X)$ . Call  $Y_j := \text{pol } y_j$ ,  $Z_i := \text{pol } z_i$  and  $\mathfrak{Y} := (\text{pol} \otimes \text{pol})(x \downarrow)$ , the endomorphisms, induced by Polya action from  $z_i, y_j, x \downarrow$  on  $\mathcal{A}, \mathcal{A} \otimes \mathcal{A}$  resp. Now (v) is equivalent to:

$$(Y_1 \star \cdots \star Y_l)(Z_1 \star Z_2) = (\mathfrak{Y}(Z_1 \otimes Z_2)) \text{conv}. \tag{13}$$

On the other hand,

$$(Y_1 \star \cdots \star Y_l)\delta = \delta \mathfrak{Y},$$

<sup>6</sup>By [9]  $\mathcal{O} = \text{Prim } \mathfrak{A}$ .

by 1.1. As an easy consequence we get

$$\begin{aligned}
 (Y_1 \star \cdots \star Y_l)(Z_1 \star Z_2) &= (Y_1 \star \cdots \star Y_l)\delta(Z_1 \otimes Z_2) \text{ conc} \\
 &= \delta\mathfrak{Y}(Z_1 \otimes Z_2) \text{ conc} \\
 &= (\mathfrak{Y}(Z_1 \otimes Z_2)) \text{ conv.}
 \end{aligned}$$

It remains to show that all elements of  $\mathcal{O}$  are primitive. Let  $x$  be an element of  $\mathcal{O}$ . Since  $R \in \widehat{\mathcal{O}}$  and  $x = R * x$  on account of (12), we can apply (vi), and conclude due to (11):

$$x \downarrow = (R * x) \downarrow = R \widehat{\downarrow} *_{\otimes} x \downarrow = (R * x) \otimes \emptyset + \emptyset \otimes (R * x) = x \otimes \emptyset + \emptyset \otimes x. \quad \square$$

### 4 Multiplication rules

**Corollary 4.1** *If  $y$  is a primitive element in  $(\mathcal{P}, \downarrow)$  and  $x \in \widehat{\mathfrak{A}}$ , then  $x * y$  is also primitive. In particular,  $\text{Prim } \mathcal{P}$  is an  $\mathfrak{A}$ -left module.*

*Proof* In Sweedler’s notation we have  $z \downarrow = z \otimes \emptyset + \emptyset \otimes z + \sum z^{(1)} \otimes z^{(2)}$  for all  $z \in \mathcal{P}$ , where  $z^{(1)}, z^{(2)} \neq \emptyset$ . The statement now follows from 3.2, (vii).  $\square$

**Corollary 4.2** *If  $z_1, z_2$  are elements of  $\widehat{\mathcal{P}}$  with the property  $p_0(z_1) = 0 = p_0(z_2)$ , and if  $x \in \widehat{\mathfrak{A}}$  is primitive, then  $(z_1 \star z_2) * x = 0_{\widehat{\mathcal{P}}}$ . Further,  $\widehat{\mathcal{O}}$  is the set of all primitive elements of  $\widehat{\mathfrak{A}}$ . In particular,  $\mathcal{O}$  is the set of primitive elements of  $\mathfrak{A}$  (cf. [9]).*

*Proof* From 3.2, (iii) we conclude

$$(z_1 \star z_2) * x = (z_1 * x) \star (z_2 * \emptyset) + (z_1 * \emptyset) \star (z_2 * x) = 0_{\widehat{\mathcal{P}}}.$$

The second statement follows from [9]. For convenience, we give the short argument. The end of the proof of 3.2 shows in particular:

$$\widehat{\mathcal{O}} \subseteq \text{Prim}(\widehat{\mathfrak{A}}, \widehat{\downarrow}). \tag{14}$$

An element  $x \in \widehat{\mathcal{P}}$  is primitive if and only if  $p_n(x)$  is primitive for all  $n$ . In particular,  $p_0(x) = 0$ . Now let  $x \in \text{Prim } \widehat{\mathfrak{A}}$ . Then

$$x = E * x = \exp R * x = \sum_{n \geq 0} \frac{1}{n!} R^{*n} * x = R * x,$$

finally  $\widehat{\mathcal{O}} = R * \widehat{\mathfrak{A}} \supseteq R * \text{Prim } \widehat{\mathfrak{A}} = \text{Prim } \widehat{\mathfrak{A}}$ .  $\square$

A useful consequence of 3.2, (ii) is the following multiplication rule for all  $\alpha_1, \dots, \alpha_l \in \widehat{\mathcal{P}}$  and  $\beta_1, \dots, \beta_k \in \widehat{\mathcal{O}}$ :

$$(\alpha_1 \star \cdots \star \alpha_l) * (\beta_1 \star \cdots \star \beta_k) = \sum_{J_1, \dots, J_l} (\alpha_1 * \beta_{J_1}) \star \cdots \star (\alpha_l * \beta_{J_l}). \tag{15}$$

The summation is extended over all pairwise disjoint subsets  $J_1, \dots, J_l$  of  $[k]$  such that  $J_1 \cup \dots \cup J_l = [k]$ ; if  $J = \{j_1, \dots, j_m\} \subseteq [k]$  with  $j_1 < \dots < j_m$ , then  $\beta_J = \beta_{j_1} \star \dots \star \beta_{j_m}$ , and if  $J = \emptyset$ , then  $\beta_J = 1_{(\mathcal{P}, \star)} = \emptyset \in \mathcal{S}_0$ . Patras and Reutenauer ([9], Theorem 10) have shown that  $\mathfrak{A}$  is a subalgebra of  $(\mathcal{P}, \star)$ . This is also a consequence of (15): if  $\alpha_1, \dots, \beta_k \in \mathcal{O}$  the product in (15) is an element of  $\mathfrak{A}$ , since  $\mathcal{O} = R \star \mathcal{P}$  is a right ideal of  $(\mathcal{P}, \star)$ . Two special cases are of interest:

$$(\alpha_1 \star \dots \star \alpha_k) \star (\beta_1 \star \dots \star \beta_k) = \sum_{\sigma \in \mathcal{S}_k} (\alpha_1 \star \beta_{1\sigma}) \star \dots \star (\alpha_l \star \beta_{l\sigma}). \tag{16}$$

If  $p_0(\alpha_1) = \dots = p_0(\alpha_l) = 0_{\widehat{\mathcal{P}}}$  and  $l > k$ :

$$(\alpha_1 \star \dots \star \alpha_l) \star (\beta_1 \star \dots \star \beta_k) = 0_{\widehat{\mathcal{P}}}. \tag{17}$$

Denote some simple consequences of (15), (16) and (17):

- $R^{\star n} \star R^{\star k} = 0_{\widehat{\mathcal{P}}} = I^{\star n} \star R^{\star k}$  if  $n > k$ ,
- $R^{\star n} \star R^{\star n} = n! R^{\star n}$ ,
- $I^{\star l} \star R^{\star k} = l! \mathfrak{S}_k^{(l)} R^{\star k}$  if  $l \leq k$ ,
- $R \star R^{\star k} = (\sum_{l=1}^k (-1)^{l-1} (l-1)! \mathfrak{S}_k^{(l)}) R^{\star k} = 0_{\widehat{\mathcal{P}}}$  if  $1 < k$ ,
- $R^{\star n} \star R^{\star k} = 0_{\widehat{\mathcal{P}}}$  if  $n \neq k$ .

Here  $\mathfrak{S}_k^{(l)}$  is a Stirling number of the second kind, i.e. the number of ways of partitioning of  $[k]$  into  $l$  non-empty subsets. The fourth equation follows easily from  $\mathfrak{S}_k^{(l)} = l \mathfrak{S}_{k-1}^{(l)} + \mathfrak{S}_{k-1}^{(l-1)}$  for  $k > l$ . As a consequence, we get:

**Proposition 4.3** *The elements  $1/k! R^{\star k}$  of  $\widehat{\mathcal{O}}$  constitute a system of pairwise orthogonal idempotents of  $(\widehat{\mathcal{P}}, \star)$ , summing up to the neutral element  $E = \exp R$  of  $(\widehat{\mathcal{P}}, \star)$  ([10]). In particular, putting  $\mathcal{O}^{(k)} := R^{\star k} \star \mathfrak{A}$ ,*

$$\mathfrak{A} = \bigoplus_{k \geq 0} \mathcal{O}^{(k)}$$

*is a direct decomposition of  $(\mathfrak{A}, \star)$  into right ideals. Moreover,  $\sum_{k=1}^n \mathcal{O}^{(k)}$  is an ideal of  $(\mathfrak{A}, \star)$  for all  $n \in \mathbb{N}$  and*

$$\mathcal{O}^{(k)} = \left\langle \sum_{\sigma \in \mathcal{S}_k} \alpha_{1\sigma} \star \dots \star \alpha_{k\sigma} \mid \alpha_1, \dots, \alpha_k \in \mathcal{O} \right\rangle_K. \tag{18}$$

*Proof* Put  $\mathcal{O}^{\star n} := \overbrace{\mathcal{O} \star \dots \star \mathcal{O}}^n$ , then by (17)

$$\mathcal{O}^{\star n} = E \star \mathcal{O}^{\star n} = \sum_{k \geq 0} \frac{1}{k!} R^{\star k} \star \mathcal{O}^{\star n} = \sum_{k=0}^n R^{\star k} \star \mathcal{O}^{\star n} \subseteq \sum_{k=0}^n \mathcal{O}^{(k)},$$

therefore  $\sum_{k=0}^n \mathcal{O}^{\star k} = \sum_{k=0}^n \mathcal{O}^{(k)}$ . Applying  $R^{\star n}$  on this equation by Polya action we conclude  $R^{\star n} * \mathcal{O}^{\star n} = \mathcal{O}^{(n)}$ . The assertion (18) now follows from (16). By (17),

$$\mathcal{O}^{\star l} * \mathcal{O}^{\star k} = \{0_{\widehat{\mathcal{P}}}\} \quad \text{if } l > k.$$

In particular,  $\sum_{k=1}^n \mathcal{O}^{(k)}$  is an ideal in  $(\mathfrak{A}, *)$ . □

### 5 The endomorphism $\Theta$ of $\mathfrak{A}$

The next statement describes another combinatorial property of the Patras-Reutenauer algebra.

**Theorem 5.1** *Let  $x \in \mathfrak{A}_n$ , then  $(xw)_J \text{ st}$  is an element of  $\mathfrak{A}_{|J|}$  and depends only on  $|J|$ , for all  $J \subseteq [n]$ .*

*Proof* As a convolution algebra,  $\mathfrak{A}$  is generated by it’s primitive elements ([9] or 4.2). If  $\alpha_1, \dots, \alpha_k \in \text{Prim } \mathfrak{A}$  then

$$(\alpha_1 \star \dots \star \alpha_k) \downarrow = \sum_{J \subseteq [k]} \alpha^J \otimes \alpha^{[k] \setminus J},$$

where  $\alpha^J = \alpha_{j_1} \star \dots \star \alpha_{j_l}$  for  $J = \{j_1, \dots, j_l\}$ ,  $j_1 < \dots < j_l$ . Therefore  $\mathfrak{A} \downarrow \subseteq \mathfrak{A} \otimes \mathfrak{A}$  and  $\mathfrak{A}_n \downarrow \subseteq \bigoplus_j \mathfrak{A}_j \otimes \mathfrak{A}_{n-j}$ . Let  $x = \sum_{\sigma \in \mathcal{S}_n} k_\sigma \sigma$ , then by (3)

$$x \downarrow = \sum_{j=0}^n \sum_{\sigma \in \mathcal{S}_n} k_\sigma ((\sigma w)_{[j]} \text{ st} \otimes (\sigma w)_{[n] \setminus [j]} \text{ st}). \tag{19}$$

For all  $J \subseteq [n]$  with  $|J| = n - 1$  now follows by 1.3:

$$\begin{aligned} (xw)_J \text{ st} \otimes 1_{\mathcal{S}_1} &= \left( \sum_{\sigma \in \mathcal{S}_n} k_\sigma (\sigma w)_J \text{ st} \right) \otimes 1_{\mathcal{S}_1} \\ &= \sum_{\sigma \in \mathcal{S}_n} k_\sigma ((\sigma w)_J \text{ st} \otimes (\sigma w)_{[n] \setminus J} \text{ st}) \\ &= \sum_{\sigma \in \mathcal{S}_n} k_\sigma ((\sigma w)_{[n-1]} \text{ st} \otimes (\sigma w)_{[n] \setminus [n-1]} \text{ st}) \\ &= \left( \sum_{\sigma \in \mathcal{S}_n} k_\sigma (\sigma w)_{[n-1]} \text{ st} \right) \otimes 1_{\mathcal{S}_1} \\ &= (xw)_{[n-1]} \text{ st} \otimes 1_{\mathcal{S}_1}. \end{aligned}$$

Since the summand for  $j = n - 1$  in (19) is an element of  $\mathfrak{A}_{n-1} \otimes \mathfrak{A}_1 = \mathfrak{A}_{n-1} \otimes 1_{\mathcal{S}_1}$ , we conclude

$$(xw)_J \text{ st} \otimes 1_{\mathcal{S}_1} = a \otimes 1_{\mathcal{S}_1} = (xw)_{[n-1]} \text{ st} \otimes 1_{\mathcal{S}_1},$$

for some suitable  $a \in \mathfrak{A}_{n-1}$ . A simple argument, using the basis  $\mathcal{S}$  of  $\mathcal{P}$ , shows

$$(xw)_J \text{ st} = a = (xw)_{[n-1]} \text{ st}.$$

We have proved the statement for  $|J| = n - 1$ . Let  $L, L'$  be different subsets of  $[n]$  such that  $|L| = |L'| \leq n - 2$ . Take  $i \in L \setminus L'$  and  $j \in L' \setminus L$ , put  $L'' := (L \setminus \{i\}) \cup \{j\}$ , then  $i \notin L' \cup L''$  and  $|L \cup L''| = |L \cup \{j\}| = |L| + 1 \leq n - 1$ . Therefore exist  $J_1, J_2 \subseteq [n]$  with the property  $|J_1| = n - 1 = |J_2|$  and  $L' \cup L'' \subseteq J_1, L \cup L'' \subseteq J_2$ . If  $M \subseteq N \subseteq [n]$ , then for all  $\sigma \in \mathcal{S}_n$  we have the *transitivity rule*:

$$(\sigma w)_M \text{ st} = ((\sigma w)_N)_M \text{ st} = ((\sigma w)_N \varphi)_{M\varphi} \text{ st} = (((\sigma w)_N \text{ st})w)_{M\varphi} \text{ st}, \tag{20}$$

where  $\varphi$  is an algebra endomorphism of  $\mathcal{A}(\mathbb{N})$ , such that  $\varphi$  induces the uniquely determined order isomorphism of  $N$  onto  $\{1, \dots, |N|\}$ . For example,

$$(xw)_L \text{ st} = (((xw)_{J_1} \text{ st})w)_{L\varphi} \text{ st}.$$

Because  $(xw)_{J_1} \text{ st} = (xw)_{J_2} \text{ st} \in \mathfrak{A}_{n-1}$ , we conclude by induction

$$(xw)_L \text{ st} = (xw)_{L''} \text{ st} = (xw)_{L'} \text{ st} \in \mathfrak{A}_{|L|}. \quad \square$$

We define a linear mapping  $\Theta : \mathcal{P} \rightarrow \mathcal{P}, \sigma \mapsto \sigma\Theta := (\sigma w)_{[n-1]} \text{ st}$ , for all  $\sigma \in \mathcal{S}_n$ . Roughly spoken,  $\sigma\Theta$  emerges from  $\sigma \in \mathcal{S}_n$  by striking out the letter  $n$  in the image line of  $\sigma$ , for example,  $13247865\Theta = 1324765$ . Then  $\mathfrak{A}\Theta \subseteq \mathfrak{A}$  by 5.1. As a matter of fact,  $(xw)_J \text{ st} = x\Theta^{n-|J|}$ , for all  $x \in \mathfrak{A}_n$  and all  $J \subseteq [n]$ .

**Proposition 5.2**  $\Theta : \mathfrak{A} \rightarrow \mathfrak{A}$  is a homomorphism for the inner product. In particular,  $((x * y)w)_J \text{ st} = (xw)_J \text{ st} * (yw)_J \text{ st}$ , for all  $x, y \in \mathfrak{A}_n$  and all  $J \subseteq [n]$ .

*Proof* Let  $x = \sum_{\sigma \in \mathcal{S}_n} k_\sigma \sigma$  and  $y = \sum_{\sigma \in \mathcal{S}_n} l_\sigma \sigma$  be elements of  $\mathfrak{A}_n$ . Put  $x * y = \sum_{\sigma \in \mathcal{S}_n} m_\sigma \sigma$ . Recall that  $\mathfrak{A}$  is a subalgebra of  $(\mathcal{P}, *)$ . By Schocker’s theorem (or [9], Theorem 10)  $(x * y) \downarrow = x \downarrow *_{\otimes} y \downarrow$ , and we conclude by 1.3

$$\begin{aligned} & ((x * y)w)_{[n-1]} \text{ st} \otimes 1_{\mathcal{S}_1} \\ &= \sum_{\sigma \in \mathcal{S}_n} m_\sigma ((\sigma w)_{[n-1]} \text{ st} \otimes (\sigma w)_{[n] \setminus [n-1]} \text{ st}) \\ &= \sum_{\rho, \tau \in \mathcal{S}_n} k_\rho l_\tau ((\rho w)_{[n-1]} \text{ st} * (\tau w)_{[n-1]} \text{ st} \otimes 1_{\mathcal{S}_1}) \\ &= \left( \left( \sum_{\rho \in \mathcal{S}_n} k_\rho (\rho w)_{[n-1]} \text{ st} \right) * \left( \sum_{\tau \in \mathcal{S}_n} l_\tau (\tau w)_{[n-1]} \text{ st} \right) \right) \otimes 1_{\mathcal{S}_1} \\ &= ((xw)_{[n-1]} \text{ st} * (yw)_{[n-1]} \text{ st}) \otimes 1_{\mathcal{S}_1}, \end{aligned}$$

therefore  $(x * y)\Theta = x\Theta * y\Theta$ . □

**Proposition 5.3** *The mapping  $\Theta$  induces a derivation of the convolution algebra  $(\mathfrak{A}, \star)$ .*

*Proof* Let  $n \in \mathbb{N}$ ,  $x \in \mathfrak{A}_j$  and  $y \in \mathfrak{A}_{n-j}$ . Put  $v := 1.2 \cdots n \in \mathbb{N}^*$  and  $u := 1.2 \cdots (n-1) \in \mathbb{N}^*$ . Then

$$(x \star y)w = (x \star y)1.2 \cdots n = \sum_{\substack{J \subseteq [n] \\ |J|=j}} (xv_J)(yv_{[n] \setminus J}),$$

for example by (7). Observe that  $(x \star y)\Theta = x \star y\Theta + x\Theta \star y$  is equivalent to  $(x \star y)w_{[n-1]} = (x \star y)\Theta w = (x \star y\Theta)w + (x\Theta \star y)w$ . On the one hand, we have

$$\begin{aligned} &(x \star y)w_{[n-1]} \\ &= \sum_{\substack{J \subseteq [n] \\ |J|=j}} ((xv_J)(yv_{[n] \setminus J}))_{[n-1]} \\ &= \sum_{\substack{n \notin J \subseteq [n] \\ |J|=j}} (xv_J)(yv_{[n] \setminus J})_{[n-1]} + \sum_{\substack{n \in J \subseteq [n] \\ |J|=j}} (xv_J)_{[n-1]}(yv_{[n] \setminus J}). \end{aligned}$$

For  $n \in J \subseteq [n]$  with  $|J| = j$  call  $\Phi$  any algebra endomorphism of  $\mathcal{A}(\mathbb{N})$ , such that  $\Phi$  induces the uniquely determined order preserving bijection of  $J$  onto  $[j]$ . Then,

$$x\Theta u_{J \setminus \{n\}}\Phi = x\Theta w = (xw)_{[j-1]} = (xv_J)_{[n-1]}\Phi,$$

therefore  $x\Theta u_{J \setminus \{n\}} = (xv_J)_{[n-1]}$ , analogously  $y\Theta u_{[n] \setminus J} = (yv_{[n] \setminus J})_{[n-1]}$  if  $n \notin J$ . To finish the proof, we conclude on the other hand

$$\begin{aligned} &(x \star y\Theta)w + (x\Theta \star y)w \\ &= \sum_{\substack{L \subseteq [n-1] \\ |L|=j}} (xu_L)(y\Theta u_{[n-1] \setminus L}) + \sum_{\substack{M \subseteq [n-1] \\ |M|=j-1}} (x\Theta u_M)(yu_{[n-1] \setminus M}) \\ &= \sum_{\substack{n \notin J \subseteq [n] \\ |J|=j}} (xu_J)(y\Theta u_{[n] \setminus J}) + \sum_{\substack{n \in J \subseteq [n] \\ |J|=j}} (x\Theta u_{J \setminus \{n\}})(yv_{[n] \setminus J}) \\ &= \sum_{\substack{n \notin J \subseteq [n] \\ |J|=j}} (xu_J)((yv_{[n] \setminus J})_{[n-1]}) + \sum_{\substack{n \in J \subseteq [n] \\ |J|=j}} ((xv_J)_{[n-1]})(yv_{[n] \setminus J}), \end{aligned}$$

and that was to be shown. □

Next we prove that  $\Theta$  induces an epimorphism of  $\mathfrak{A}_n$  onto  $\mathfrak{A}_{n-1}$ . In particular,  $(\mathfrak{A}_{n-1}, \star)$  is isomorphic to a factor algebra of  $(\mathfrak{A}_n, \star)$ . We first describe a system of generators for the vector space  $\mathfrak{A}$ . Recall that  $\mathcal{O}$  is a homogeneous subspace of  $\mathfrak{A}$ ,

i.e.

$$\mathcal{O} = \bigoplus_{k \geq 1} \mathcal{O}_n,$$

where  $\mathcal{O}_n := \mathcal{O} \cap K\mathcal{S}_n$ . The elements of  $\mathcal{O}_n$  are called homogeneous of degree  $n$ . Obviously, the set of all products  $\alpha_1 \star \cdots \star \alpha_n$ , where  $\alpha_1, \dots, \alpha_n$  are homogeneous elements of  $\mathcal{O}$ , is a system of linear generators of  $\mathfrak{A}$ . Call  $\mathcal{G}$  the set of all products

$$\alpha_{1,1} \star \cdots \star \alpha_{1,j_1} \star \alpha_{2,1} \star \cdots \star \alpha_{2,j_2} \star \cdots \star \alpha_{l,1} \star \cdots \star \alpha_{l,j_l}, \tag{21}$$

where  $l \in \mathbb{N}$  and  $\alpha_{i,k} \in \mathcal{O}_i$ . Let  $\mathcal{G}^n, \mathcal{G}_n$  resp. be the set of all such elements with the property  $j_1 + j_2 + \cdots + j_l = n, 1 \cdot j_1 + 2 \cdot j_2 + \cdots + l \cdot j_l = n$  resp., then  $\mathcal{G}_n \subseteq \mathfrak{A}_n$ . Finally put  $\mathcal{O}^{<n>}$  the subspace generated by  $\mathcal{G}^n$ . By (16), (17) and 4.3 we get:

$$R^{\star n} * \mathcal{O}^{<m>} = \begin{cases} \mathcal{O}^{(n)} & \text{if } n = m, \\ \{0_{\mathcal{P}}\} & \text{if } n > m. \end{cases}$$

We conclude

$$\sum_{k=0}^n \mathcal{O}^{<k>} = E \sum_{k=0}^n \mathcal{O}^{<k>} = \sum_{j=0}^n (j!)^{-1} R^{\star j} \sum_{k=0}^n \mathcal{O}^{<k>} \supseteq \sum_{k=0}^n \mathcal{O}^{(k)}.$$

In particular,  $\mathfrak{A} = \sum_{k \geq 0} \mathcal{O}^{<k>}$  by 4.3, i.e.  $\mathcal{G}$  generates the vector space  $\mathfrak{A}$ , and  $\mathcal{G}_n$  is a generating system for  $\mathfrak{A}_n$ , since  $\mathfrak{A} = \bigoplus \mathfrak{A}_n$ . Recall the Specht-Wever element

$$\omega_n = \sum_{\pi \in \mathcal{V}_n} (-1)^{1\pi^{-1}-1} \pi,$$

where  $\mathcal{V}_n$  is the set of all valley permutations in  $\mathcal{S}_n$  (cf. [3]). A permutation  $\pi$  is in  $\mathcal{V}_n$  if

$$1\pi > 2\pi > \cdots > k\pi > (k+1)\pi = 1 < (k+2)\pi < \cdots < n\pi.$$

Polya action of  $\omega_n$  creates left normed Lie monomials:

$$\omega_n x_1 \cdots x_n = [\cdots [[x_1, x_2], x_3] \cdots, x_n],$$

for all words  $x_1 \cdots x_n$  of length  $n$  over an alphabet  $X$ , e.g. by [6]. By the Dynkin-Specht-Wever theorem we have  $\omega_n * \omega_n = n \cdot \omega_n$ . Since the left normed Lie monomials generate the Lie algebra  $\mathcal{L}(X)$ , we conclude from  $\omega_n * \sigma = (\omega_n(\sigma w))$  st:

$$\mathcal{O}_n = \omega_n * K\mathcal{S}_n = \omega_n * \mathfrak{A}_n.$$

In particular,  $\mathcal{O}_1 = \omega_1 * K\mathcal{S}_1 = \langle \omega_1 \rangle_K$ . Therefore we may assume

$$\alpha_{1,1} = \cdots = \alpha_{1,j_1} = \omega_1,$$

for all elements (21) of  $\mathcal{G}_n$ . From the definition of  $\omega_n$  easily follows  $\omega_1 \Theta = \emptyset \in \mathcal{S}_0$  and

$$\omega_n \Theta = 0_{\mathcal{P}} \quad \text{for } n \geq 2,$$



in particular  $\mathcal{O}_n = \omega_n * \mathfrak{A}_n \subseteq \ker \Theta$  for  $n \geq 0$  by 5.2, where  $\ker \Theta$  denotes the kernel of  $\Theta$ . Together with 5.3 this leads to

$$\begin{aligned} &\omega_1^{*j_1} * \alpha_{2,1} * \dots * \alpha_{2,j_2} * \dots * \alpha_{l,1} * \dots * \alpha_{l,j_l} \Theta \\ &= j_1 \omega_1^{*(j_1-1)} * \alpha_{2,1} * \dots * \alpha_{2,j_2} * \dots * \alpha_{l,1} * \dots * \alpha_{l,j_l}. \end{aligned}$$

Therefore  $\mathcal{G}\Theta$  generates  $\mathfrak{A}$  and  $\mathcal{G}_n\Theta$  generates  $\mathfrak{A}_{n-1}$ . For all  $a \in \mathfrak{A}_{n-1}$  and all  $b = \sum_{\sigma \in \mathcal{S}_n} c_\sigma \sigma \in \mathfrak{A}_n$ ,

$$\begin{aligned} (\omega_1 * a) * b &= ((\omega_1 \otimes a) *_{\otimes} b \downarrow) \text{conv} \\ &= (\omega_1 * (\sum_{\sigma \in \mathcal{S}_n} c_\sigma) 1_{\mathcal{S}_1}) * (a * b \Theta) \in \omega_1 * \mathfrak{A}_{n-1}, \end{aligned}$$

by 3.2. Observing that  $\Theta : \omega_1 * \mathfrak{A}_{n-1} \rightarrow \mathfrak{A}_{n-1}$  is a bijection, we have shown:

**Proposition 5.4**  $\Theta : \mathfrak{A} \rightarrow \mathfrak{A}$  is surjective. In particular,  $\mathfrak{A}_n \Theta = \mathfrak{A}_{n-1}$ . Furthermore,  $\omega_1 * \mathfrak{A}_{n-1}$  is a right ideal in  $(\mathfrak{A}_n, *)$  and

$$\mathfrak{A}_n = (\omega_1 * \mathfrak{A}_{n-1}) \oplus (\mathfrak{A}_n \cap \ker \Theta).$$

### 6 Concluding remarks

By work of Loïc Foissy  $\text{Prim } \mathcal{P}$  is a free Lie algebra with respect to convolution [4].  $\mathcal{O}$  is a Lie subalgebra of  $\text{Prim } \mathcal{P}$ , since  $(\mathfrak{A}, *, \downarrow)$  is a Bialgebra and  $\mathcal{O} = \text{Prim } \mathfrak{A}$  ([9]). Therefore  $\mathcal{O}$  is a free Lie algebra by a theorem of Shirshov/Witt (cf. [11]). As a consequence,  $\mathfrak{A}$  is a free associative algebra, since  $\mathfrak{A}$  is a universal enveloping algebra of  $\mathcal{O}$  ([9]). The direct decomposition of  $\mathfrak{A}$  in 4.3 then follows from the Poincaré-Birkhoff-Witt theorem. Schocker’s result leads to the ideal properties of the subspaces  $\mathcal{O}^{(l)}$ . The homomorphism  $\Theta$ , described in 5.2, induces a homomorphism between Solomon’s algebras  $\mathcal{D}_n$  and  $\mathcal{D}_{n-1}$ , which was first studied in [1].

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