



ON NORMAL NUMBERS AND POWERS OF ALGEBRAIC NUMBERS

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Abstract

Let $\alpha > 1$ be an algebraic number and $\xi > 0$. Denote the fractional parts of $\xi\alpha^n$ by $\{\xi\alpha^n\}$. In this paper, we estimate a lower bound for the number $\lambda_N(\alpha, \xi)$ of integers n with $0 \leq n < N$ and

$$\{\xi\alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\}.$$

Our results show, for example, the following: Let α be an algebraic integer with Mahler measure $M(\alpha)$ and $\xi > 0$ an algebraic number with $\xi \notin \mathbb{Q}(\alpha)$. Put $[\mathbb{Q}(\alpha, \xi) : \mathbb{Q}(\alpha)] = D$. Then there exists an absolute constant c satisfying

$$\lambda_N(\alpha, \xi) \geq c \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log N)^{3/2}}{(\log \log N)^{1/2}}$$

for all large N .

1. Introduction

A *normal* number in an integer base α is a positive number for which all finite words with letters from the alphabet $\{0, 1, \dots, \alpha - 1\}$ occur with the proper frequency. It is easily checked that a positive number ξ is a normal number in base α if and only if the sequence $\xi\alpha^n$ ($n = 0, 1, \dots$) is uniformly distributed modulo 1. Borel [6] proved that almost all positive ξ are normal numbers in every integer base. Moreover, Koksma [16] showed that if any real number $\alpha > 1$ is given, then the sequence $\xi\alpha^n$ ($n = 0, 1, \dots$) is uniformly distributed modulo 1 for almost all positive ξ , which is a generalization of Borel's result. However, it is generally difficult to check a given geometric sequence is uniformly distributed modulo 1 or not. For instance, we even do not know whether the numbers $\sqrt{2}$, $\sqrt[3]{5}$ and π are normal in base 10.

Borel [7] conjectured that each algebraic irrational number is normal in every integer base. However, we know no such number whose normality was proved. We now introduce some partial results.

Let α be a natural number greater than 1 and ξ a positive algebraic irrational number. For simplicity, assume that $\xi < 1$. Write its α -ary expansion as

$$\xi = \sum_{i=-\infty}^{-1} s_i(\xi)\alpha^i = .s_{-1}(\xi)s_{-2}(\xi)\cdots$$

with $s_i(\xi) \in \{0, 1, \dots, \alpha - 1\}$. First, we measure the complexity of the infinite word $\mathbf{s} = s_{-1}(\xi)s_{-2}(\xi)\cdots$ by the number $p(N)$ of distinct blocks of length N appearing in the word \mathbf{s} . If ξ is normal in base α , then $p(N) = \alpha^N$ for any positive N . Ferenczi and Mauduit [13] showed that

$$\lim_{N \rightarrow \infty} (p(N) - N) = \infty.$$

Adamczewski and Bugeaud [1] improved their result as follows:

$$\lim_{N \rightarrow \infty} \frac{p(N)}{N} = \infty.$$

Moreover, Bugeaud and Evertse [10] showed for any positive ξ with $\eta < 1/11$ that

$$\limsup_{N \rightarrow \infty} \frac{p(N)}{N(\log N)^\eta} = \infty.$$

Bugeaud and Evertse [10] gave a lower bound of the number $\text{ch}(N)$ of digit changes among the first $(N + 1)$ digits of the α -ary expansion of ξ . Namely,

$$\text{ch}(N) = \text{Card}\{i \in \mathbb{N} | 1 \leq i \leq N, s_{-i}(\xi) \neq s_{-i-1}(\xi)\},$$

where Card denotes the cardinality. They showed for an algebraic irrational $\xi > 0$ of degree $D(\geq 2)$ that there exist an effectively computable absolute constant c_1 and an effectively computable constant $c_2(\alpha, \xi)$, depending only on α and ξ , satisfying

$$\text{ch}(N) \geq c_1 \frac{(\log N)^{3/2}}{(\log 6D)^{1/2}(\log \log N)^{1/2}}$$

for any N with $N \geq c_2(\alpha, \xi)$.

Next, we count the number $\lambda_N(\alpha, \xi)$ of nonzero digits among the first N digits of the α -ary expansion of ξ , where

$$\lambda_N(\alpha, \xi) = \text{Card}\{i \in \mathbb{N} | 1 \leq i \leq N, s_{-i}(\xi) \neq 0\}. \tag{1}$$

Let ξ be an algebraic irrational number of degree D with $1 < \xi < 2$. In the case of $\alpha = 2$, Bailey, Borwein, Crandall, and Pomerance [4] showed that an arbitrary positive ε is given, then

$$\lambda_N(\alpha, \xi) > (1 - \varepsilon)(2A_D)^{-1/D} N^{1/D}$$

for all sufficiently large N , where $A_D (> 0)$ is the leading coefficient of the minimal polynomial of ξ . Moreover, in the same way as the proof of the inequality above, we can show for any natural number $\alpha \geq 2$ that there exists a positive constant $c_3(\alpha, \xi)$ depending only on α and ξ satisfying

$$\lambda_N(\alpha, \xi) \geq c_3(\alpha, \xi) N^{1/D}$$

for every sufficiently large N .

In what follows, we consider the fractional parts of geometric progressions whose common ratios are algebraic numbers. Let $\alpha > 1$ be an algebraic number with minimal polynomial $a_d X^d + a_{d-1} X^{d-1} + \dots + a_0 \in \mathbb{Z}[X]$, where $a_d > 0$ and $\gcd(a_d, a_{d-1}, \dots, a_0) = 1$. Put

$$L_+(\alpha) = \sum_{a_i > 0} a_i, \quad L_-(\alpha) = \sum_{a_i \leq 0} |a_i|. \tag{2}$$

Moreover, write the Mahler measure of α by

$$M(\alpha) = a_d \prod_{k=1}^d \max\{1, |\alpha_k|\},$$

where $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$ are the conjugates of α . We now recall the definition of a Pisot and Salem number. A Pisot number is an algebraic integer greater than 1 whose conjugates different from itself have absolute values strictly less than 1. A Salem number is an algebraic integer greater than 1 which has at least one conjugate with modulus 1 and exactly one conjugate outside the unit circle. Take a positive number ξ . If α is a Pisot or Salem number, then assume $\xi \notin \mathbb{Q}(\alpha)$. Dubickas [11] showed for infinitely many $n \geq 1$ that

$$\{\xi\alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\},$$

where $\{\xi\alpha^n\}$ means the fractional part of $\xi\alpha^n$. In what follows we estimate the number of such n , namely, we give a lower bound of the number

$$\lambda_N(\alpha, \xi) = \text{Card} \left\{ n \in \mathbb{Z} \mid 0 \leq n < N, \{\xi\alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\} \right\}. \tag{3}$$

(3) is generalization of (1). In fact, assume that α is a natural number greater than 1 and that ξ is a positive number with $\xi < 1$. Then, for $n \geq 0$,

$$\{\xi\alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\} = \frac{1}{\alpha}$$

if and only if the $(n + 1)$ -th digit of α -ary expansion of ξ is nonzero.

Dubickas's result above implies

$$\lim_{N \rightarrow \infty} \lambda_N(\alpha, \xi) = \infty.$$

He verified this by showing that, for infinitely many $n \geq 0$,

$$s_{-n}(\xi) \neq 0,$$

where $s_{-n}(\xi)$ will be defined in Section 2. Moreover, in the same way as that of Theorem 3 of [11], we can show the following: Assume that α has at least one conjugate different from itself outside the unit circle. Then

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(\alpha, \xi)}{\log N} \geq \left(\log \left(\frac{\log M(\alpha)}{\log M(\alpha) - \log(a_d \alpha)} \right) \right)^{-1}. \tag{4}$$

At the beginning of Section 5, we give another proof of (4). In this paper we improve this estimation in the case where $\alpha > 1$ and $\xi > 0$ are algebraic numbers with $\xi \notin \mathbb{Q}(\alpha)$ by using a version of the quantitative parametric subspace theorem of Bugeaud and Evertse [10]. First, we consider the case where $\alpha > 1$ is an algebraic integer.

Theorem 1. *Let $\alpha > 1$ be an algebraic integer with Mahler measure $M(\alpha)$. Let ξ be a positive algebraic number with $\xi \notin \mathbb{Q}(\alpha)$. Put*

$$D = [\mathbb{Q}(\alpha, \xi) : \mathbb{Q}(\alpha)].$$

Then there exists an effectively computable absolute constant $c > 0$ such that

$$\lambda_N(\alpha, \xi) \geq c \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log N)^{3/2}}{(\log \log N)^{1/2}}$$

for every sufficiently large N .

Next we give a lower bound of $\lambda_N(\alpha, \xi)$ in the case where $\alpha > 1$ is not an algebraic integer.

Theorem 2. *Let $\alpha > 1$ be an algebraic number of degree d with Mahler measure $M(\alpha)$. We denote the leading coefficient of the minimal polynomial of α by $a_d(\geq 1)$. Let ξ be a positive algebraic number with $\xi \notin \mathbb{Q}(\alpha)$. Assume that α is not an algebraic integer. Then*

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(\alpha, \xi)}{\log N} \geq \left(\log \left(\frac{\log M(\alpha)}{\log M(\alpha) - \log a_d} \right) \right)^{-1}.$$

Theorem 2 gives an improvement of (4) since

$$\left(\log \left(\frac{\log M(\alpha)}{\log M(\alpha) - \log a_d} \right) \right)^{-1} > \left(\log \left(\frac{\log M(\alpha)}{\log M(\alpha) - \log(a_d \alpha)} \right) \right)^{-1}.$$

We introduce a numerical example in the case of $\alpha = 4 + 1/\sqrt{2}$. The minimal polynomial of α is $2X^2 - 16X + 31$, so we have $a_d = 2$, $M(\alpha) = 31$, and

$$\min\left\{\frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)}\right\} = \min\left\{\frac{1}{33}, \frac{1}{16}\right\} = \frac{1}{33}.$$

Note that the conjugate of α is greater than 1. Thus by (4), for any positive ξ ,

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(4 + 1/\sqrt{2}, \xi)}{\log N} \geq \left(\log \left(\frac{\log(31)}{\log(31) - \log(8 + \sqrt{2})} \right) \right)^{-1} = 0.944\dots$$

On the other hand, the second statement of Theorem 2 implies that if $\xi > 0$ is an algebraic number with $\xi \notin \mathbb{Q}(\sqrt{2})$, then

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(4 + 1/\sqrt{2}, \xi)}{\log N} \geq \left(\log \left(\frac{\log(31)}{\log(31) - \log(2)} \right) \right)^{-1} = 4.43\dots$$

Remark 3. By using the same method for the proof of Theorem 2 and 1, Bugeaud [9] gave a lower bound for the number of digit changes in the β -expansion of algebraic numbers.

2. Preliminaries

Let $\alpha > 1$ be an algebraic number of degree d and ξ a positive number. Write the minimal polynomial of α by $P_\alpha(X) = a_d X^d + \dots + a_0 \in \mathbb{Z}[X]$ ($a_d > 0$). In this section, we study the sequence $(s_m(\xi))_{m=-\infty}^\infty$ defined by

$$s_m(\xi) = - \sum_{i=0}^d a_{d-i} \{\xi \alpha^{-m-i}\}.$$

Let $[x]$ be the integral part of a real number x . Since

$$0 = \sum_{i=0}^d a_{d-i} \xi \alpha^{-m-i} = \sum_{i=0}^d a_{d-i} \left([\xi \alpha^{-m-i}] + \{\xi \alpha^{-m-i}\} \right),$$

we have

$$\begin{aligned} s_m(\xi) &= \sum_{i=0}^d a_{d-i} \left([\xi \alpha^{-m-i}] - \xi \alpha^{-m-i} \right) \\ &= \sum_{i=0}^d a_{d-i} [\xi \alpha^{-m-i}]. \end{aligned} \tag{5}$$

In particular, $s_m(\xi)$ is a rational integer. Thus we get the following:

Lemma 4. *Let ξ be a positive number.*

(1) *If $s_m(\xi) \neq 0$, then*

$$\max_{-m-d \leq n \leq -m} \{\xi \alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\}.$$

(2) *$s_m(\xi) = 0$ for all sufficiently large m .*

Proof. We first show the first statement. Since $s_m(\xi)$ is a nonzero integer, we have

$$1 \leq |s_m(\xi)| = \left| - \sum_{i=0}^d a_{d-i} \{\xi \alpha^{-m-i}\} \right|.$$

By using $0 \leq \{\xi \alpha^{-m-i}\} < 1$, we obtain the first statement. The second statement follows from (5) and $[\xi \alpha^{-m}] = 0$ for each sufficiently large m . □

Proposition 5. *Write the conjugates of α with moduli greater than 1 by $\alpha_1 (= \alpha), \dots, \alpha_p$. Let ξ be a positive number. Then*

(1) *For $2 \leq k \leq p$,*

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi) = 0.$$

(2)

$$\sum_{i=-\infty}^{\infty} \alpha^i s_i(\xi) = -\frac{\xi}{\alpha} (P_\alpha^*)' \left(\frac{1}{\alpha} \right) \neq 0,$$

where $P_\alpha^*(X) = a_d + a_{d-1}X + \dots + a_0X^d$ denotes the reciprocal polynomial of $P_\alpha(X)$ and $(P_\alpha^*)'(X)$ its derivative.

Remark 6. By the second statement of Lemma 4, the series

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi)$$

converges for any k with $1 \leq k \leq p$.

Proof. We first consider the case of $0 < \xi < 1$. Then, for any $m \leq 0$, $[\xi\alpha^m] = 0$, and so $s_{-m}(\xi) = 0$ by (5). Put

$$f(z) = \sum_{n=0}^{\infty} [\xi\alpha^n] z^n, \quad g(z) = \sum_{n=0}^{\infty} \{\xi\alpha^n\} z^n.$$

Then we have

$$\begin{aligned} \left(\frac{\xi}{1-\alpha z} - g(z) \right) P_\alpha^*(z) &= f(z) P_\alpha^*(z) \\ &= \sum_{h=0}^{\infty} \sum_{\substack{i,j \geq 0 \\ i+j=h}} [\xi\alpha^i] a_{d-j} z^h \\ &= \sum_{h=0}^{\infty} \sum_{i=h-d}^h [\xi\alpha^i] a_{d-h+i} z^h = \sum_{h=0}^{\infty} s_{-h}(\xi) z^h. \end{aligned}$$

Consider the region of $z \in \mathbb{C}$ satisfying

$$\left(\frac{\xi}{1-\alpha z} - g(z) \right) P_\alpha^*(z) = \sum_{h=0}^{\infty} s_{-h}(\xi) z^h. \tag{6}$$

Since $0 \leq \{\xi\alpha^n\} < 1$ for any n , the left-hand side of (6) is a meromorphic function on $\{z : |z| < 1\}$. Moreover, because the sequence $s_{-m}(\xi)$ ($m = 0, 1, \dots$) is bounded, the right-hand side of (6) converges for $|z| < 1$. Hence (6) holds for

$|z| < 1$. In particular, since the left-hand side of (6) has a zero at $z = \alpha_k^{-1}$ with $2 \leq k \leq p$, we obtain

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi) = \sum_{i=0}^{\infty} \alpha_k^{-i} s_{-i}(\xi) = 0.$$

Let $\alpha_1 = \alpha, \dots, \alpha_p, \alpha_{p+1}, \dots, \alpha_d$ be the conjugates of α . $P_\alpha^*(z)$ has a simple zero at $z = 1/\alpha$ since

$$P_\alpha^*(z) = z^d P_\alpha\left(\frac{1}{z}\right) = a_d(1 - \alpha z)(1 - \alpha_2 z) \cdots (1 - \alpha_d z).$$

Note that $g(z)$ is holomorphic for $|z| < 1$. Hence

$$\begin{aligned} \sum_{i=-\infty}^{\infty} \alpha^i s_i(\xi) &= \sum_{i=0}^{\infty} \alpha^{-i} s_{-i}(\xi) \\ &= \lim_{z \rightarrow 1/\alpha} \frac{\xi P_\alpha^*(z)}{1 - \alpha z} = -\frac{\xi}{\alpha} (P_\alpha^*)' \left(\frac{1}{\alpha} \right) \neq 0. \end{aligned}$$

Next, we check the case of $\xi \geq 1$. Take a positive integer R satisfying $\xi \alpha^{-R} < 1$. Then we obtain

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi) = \alpha_k^R \sum_{i=-\infty}^{\infty} \alpha_k^{i-R} s_{i-R}(\xi \alpha^{-R}) = 0$$

for $2 \leq k \leq p$, and

$$\sum_{i=-\infty}^{\infty} \alpha^i s_i(\xi) = \alpha^R \sum_{i=-\infty}^{\infty} \alpha^{i-R} s_{i-R}(\xi \alpha^{-R}) = -\frac{\xi}{\alpha} (P_\alpha^*)' \left(\frac{1}{\alpha} \right).$$

□

3. The Quantitative Subspace Theorem

First, we consider approximations of given algebraic numbers by algebraic numbers which lies in a fixed number field. We fix an algebraic closure $\overline{\mathbb{Q}}$ of \mathbb{Q} . In what follows, assume that all algebraic number fields are subfields of $\overline{\mathbb{Q}}$. Let us begin with some notation about the absolute values on \mathbf{K} , where \mathbf{K} is a number field of degree d . Let $\mathcal{M}_{arc}(\mathbf{K})$ be the set of archimedean places of \mathbf{K} and $\mathcal{M}_{non}(\mathbf{K})$ the set of non-archimedean places of \mathbf{K} , respectively. Moreover, put $\mathcal{M}(\mathbf{K}) = \mathcal{M}_{arc}(\mathbf{K}) \cup \mathcal{M}_{non}(\mathbf{K})$. We define the absolute values $|\cdot|_v$ and $\|\cdot\|_v$ associated with a place $v \in \mathbf{K}$. In the case of $\mathbf{K} = \mathbb{Q}$, we have

$$\mathcal{M}(\mathbb{Q}) = \{\infty\} \cup \{\text{primes}\}.$$

In the case of $v = \infty$, let $|\cdot|_\infty$ be the ordinary archimedean absolute value on \mathbb{Q} . If $v = p$ is a prime number, then denote by $|\cdot|_p$ the p -adic absolute value, normalized such that $|p|_p = p^{-1}$.

Next, we consider the case where \mathbf{K} is an arbitrary number field. Suppose a place $v \in \mathcal{M}(\mathbf{K})$ lies above the place $p_v \in \mathcal{M}(\mathbb{Q})$. We choose the normalized absolute value $|\cdot|_v$ in such a way that the restriction of $|\cdot|_v$ to \mathbb{Q} is $|\cdot|_{p_v}$. Let \mathbf{K}_v (resp. \mathbb{Q}_{p_v}) be the completion of $(\mathbf{K}, |\cdot|_v)$ (resp. $(\mathbb{Q}, |\cdot|_{p_v})$). Put

$$d(v) = \frac{[\mathbf{K}_v : \mathbb{Q}_{p_v}]}{[\mathbf{K} : \mathbb{Q}]}$$

and

$$\|\cdot\|_v = |\cdot|_v^{d(v)}.$$

Define the height of x by

$$H(x) = \prod_{v \in \mathcal{M}(\mathbf{K})} \max\{1, \|x\|_v\}.$$

By Lemma 3.10 of [20], we have

$$H(x)^{\deg x} = M(x) \tag{7}$$

Moreover, the product formula (for instance see [20], p. 74) implies for any nonzero $x \in \mathbf{K}$ that

$$H(x^{-1}) = H(x). \tag{8}$$

Now we introduce Theorem 2 of [17] in the case of $d = 1$, which we use to prove Theorem 2. Suppose every valuation of \mathbf{K} to be extended to $\overline{\mathbb{Q}}$.

Theorem 7 (Locher [17]). *Let $0 < \varepsilon \leq 1$ and \mathbf{F}/\mathbf{K} be an extension of number fields of degree D . Let S be a finite set of places of \mathbf{K} with cardinality s . Suppose that for each $v \in S$, a fixed element $\theta_v \in \mathbf{F}$ is given. Let H be a real number with $H \geq H(\theta_v)$ for all $v \in S$. Consider the inequality*

$$\prod_{v \in S} \min\{1, \|\theta_v - \gamma\|_v\} < H(\gamma)^{-2-\varepsilon} \tag{9}$$

to be solved in elements $\gamma \in \mathbf{K}$. Then there are at most

$$e^{7s+19} \varepsilon^{-s-4} \log(6D) \log\left(\varepsilon^{-1} \log(6D)\right)$$

solutions $\gamma \in \mathbf{K}$ of (9) with

$$H(\gamma) \geq \max\left\{H, 4^{4/\varepsilon}\right\}.$$

Next, we consider approximations of given algebraic numbers by algebraic numbers with arbitrary degree. Let us introduce the quantitative subspace theorem proved by Bugeaud and Evertse [10]. Let $\mathcal{L} = (L_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$ be a tuple of linear forms with the following properties:

$$\left\{ \begin{array}{l} L_{iv} \in \mathbf{K}[X, Y] \text{ for } v \in \mathcal{M}(\mathbf{K}), i = 1, 2, \\ L_{1v} = X, L_{2v} = Y \text{ for all but finitely many } v \in \mathcal{M}(\mathbf{K}), \\ \det(L_{1v}, L_{2v}) = 1 \text{ for } v \in \mathcal{M}(\mathbf{K}), \\ \text{Card} \left(\bigcup_{v \in \mathcal{M}(\mathbf{K})} \{L_{1v}, L_{2v}\} \right) \leq r. \end{array} \right. \tag{10}$$

Put

$$\bigcup_{v \in \mathcal{M}(\mathbf{K})} \{L_{1v}, L_{2v}\} = \{L_1, \dots, L_s\}$$

and

$$\mathcal{H} = \mathcal{H}(\mathcal{L}) = \prod_{v \in \mathcal{M}(\mathbf{K})} \max_{1 \leq i < j \leq s} \|\det(L_i, L_j)\|_v. \tag{11}$$

Moreover, let $\mathbf{c} = (c_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$ be a tuple of reals with the following properties:

$$\left\{ \begin{array}{l} c_{1v} = c_{2v} = 0 \text{ for all but finitely many } v \in \mathcal{M}(\mathbf{K}), \\ \sum_{v \in \mathcal{M}(\mathbf{K})} \sum_{i=1}^2 c_{iv} = 0, \\ \sum_{v \in \mathcal{M}(\mathbf{K})} \max\{c_{1v}, c_{2v}\} \leq 1. \end{array} \right. \tag{12}$$

Next, take any finite extension \mathbf{E} of \mathbf{K} and any place $w \in \mathcal{M}(\mathbf{E})$. Let $v \in \mathcal{M}(\mathbf{K})$ be the place lying below w . Write the completion of $(\mathbf{E}, |\cdot|_w)$ (resp. $(\mathbf{K}, |\cdot|_v)$) by \mathbf{E}_w (resp. \mathbf{K}_v). For $i = 1, 2$, define the linear forms L_{1w}, L_{2w} and the real numbers c_{1w}, c_{2w} by

$$L_{iw} = L_{iv} \text{ and } c_{iw} = d(w|v)c_{iv}, \tag{13}$$

where

$$d(w|v) = \frac{[\mathbf{E}_w : \mathbf{K}_v]}{[\mathbf{E} : \mathbf{K}]}.$$

Note that

$$\|x\|_w = \|x\|_v^{d(w|v)} \text{ for } x \in \mathbf{K} \tag{14}$$

and that

$$\sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} d(w|v) = 1 \text{ for } v \in \mathcal{M}(\mathbf{K}). \tag{15}$$

Take a positive number Q and $\mathbf{x} = (x, y) \in \overline{\mathbb{Q}}^2$. We define the twisted height $H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x})$. There exists a number field \mathbf{E} including the field $\mathbf{K}(x, y)$. Then put

$$H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x}) = \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}},$$

which is a finite product by the assumption of \mathcal{L} and \mathbf{c} . We show that $H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x})$ does not depend on the choice of \mathbf{E} . Let \mathbf{E}' be another number field including $\mathbf{K}(x, y)$. Take a number field \mathbf{F} with $\mathbf{F} \supset \mathbf{E} \cup \mathbf{E}'$. By (13), (14), and (15)

$$\begin{aligned} & \prod_{u \in \mathcal{M}(\mathbf{F})} \max_{1 \leq i \leq 2} \|L_{iu}(\mathbf{x})\|_u Q^{-c_{iu}} \\ &= \prod_{w \in \mathcal{M}(\mathbf{E})} \prod_{\substack{u \in \mathcal{M}(\mathbf{F}) \\ u|w}} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w^{d(u|w)} Q^{-d(u|w)c_{iw}} \\ &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}}. \end{aligned}$$

Similarly, we get

$$\begin{aligned} \prod_{w' \in \mathcal{M}(\mathbf{E}')} \max_{1 \leq i \leq 2} \|L_{iw'}(\mathbf{x})\|_{w'} Q^{-c_{iw'}} &= \prod_{u \in \mathcal{M}(\mathbf{F})} \max_{1 \leq i \leq 2} \|L_{iu}(\mathbf{x})\|_u Q^{-c_{iu}} \\ &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}}. \end{aligned}$$

Now we consider the inequality

$$H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x}) \leq Q^{-\delta}, \tag{16}$$

where $\mathbf{x} \in \overline{\mathbb{Q}}^2$ and $Q, \delta > 0$.

Theorem 8 (Bugeaud and Evertse [10]). *Let $\mathcal{L} = (L_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$ be a tuple of linear forms satisfying (10) and $\mathbf{c} = (c_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$ a tuple of reals fulfilling (12). Moreover, let $0 < \delta \leq 1$.*

Then there are proper linear subspaces T_1, \dots, T_{t_1} of $\overline{\mathbb{Q}}^2$, all defined over \mathbf{K} , with

$$t_1 = t_1(r, \delta) = 2^{25} \delta^{-3} \log(2r) \log(\delta^{-1} \log(2r)) \tag{17}$$

such that the following holds: for every real Q with

$$Q > \max\left(\mathcal{H}^{1/\binom{r}{2}}, 2^{2/\delta}\right) \tag{18}$$

there is a subspace $T_i \in \{T_1, \dots, T_{t_1}\}$ which contains all solutions $\mathbf{x} \in \overline{\mathbb{Q}}^2$ of (16).

This is Proposition 4.1 of [10] in the case of $n = 2$.

4. Systems of Inequalities

In this section we apply Theorem 8 to certain systems of inequalities, which are generalization of Theorem 5.1 in [10]. Let $\mathbf{K} \subset \overline{\mathbb{Q}}$ be a number field of degree d . We define some notation about linear forms with algebraic coefficients. Take a linear form $L(X, Y) = \alpha X + \beta Y \in \overline{\mathbb{Q}}[X, Y]$ and put

$$\mathbf{K}(L) = \mathbf{K}(\alpha, \beta).$$

Define the inhomogeneous height $H^*(L)$ of L by

$$H^*(L) = \prod_{v \in \mathcal{M}(\mathbf{K}(L))} \max\{1, \|\alpha\|_v, \|\beta\|_v\}.$$

Note that, for a number field \mathbf{E} including $\mathbf{K}(L)$,

$$\begin{aligned} & \prod_{w \in \mathcal{M}(\mathbf{E})} \max\{1, \|\alpha\|_w, \|\beta\|_w\} \\ &= \prod_{v \in \mathcal{M}(\mathbf{K}(L))} \prod_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} \max\{1, \|\alpha\|_w, \|\beta\|_w\}^{d(w|v)} = H^*(L) \end{aligned} \tag{19}$$

by (15). In what follows we put, for $w \in \mathbf{E}$,

$$\|L\|_w = \max\{\|\alpha\|_w, \|\beta\|_w\}.$$

Moreover, if an automorphism $\sigma : \overline{\mathbb{Q}} \rightarrow \overline{\mathbb{Q}}$ is given, let

$$\sigma(L) = \sigma(\alpha)X + \sigma(\beta)Y.$$

Write the Archimedean place associated with the inclusion map $\mathbf{K} \hookrightarrow \mathbb{C}$ by ∞ , namely,

$$\|x\|_\infty = |x|^{1/d} \text{ for } x \in \mathbf{K}. \tag{20}$$

Let ε be a real with $0 < \varepsilon \leq 1/2$ and S a finite subset of $\mathcal{M}(\mathbf{K})$ including all archimedean places of \mathbf{K} . Moreover, let L_{iv} ($v \in S, i = 1, 2$) be linear forms in X, Y with coefficients in $\overline{\mathbb{Q}}$ such that

$$\begin{cases} \det(L_{1v}, L_{2v}) = 1 \text{ for } v \in S, \\ \text{Card}(\bigcup_{v \in S} \{L_{1v}, L_{2v}\}) \leq R, \\ [K(L_{iv}) : K] \leq D \text{ for } v \in S, i = 1, 2, \\ H^*(L_{iv}) \leq H \text{ for } v \in S, i = 1, 2, \end{cases} \tag{21}$$

and e_{iv} ($v \in S, i = 1, 2$) be reals satisfying

$$\sum_{v \in S} \sum_{i=1}^2 e_{iv} = -\varepsilon. \tag{22}$$

Put

$$A = 1 + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} (\geq 1).$$

Finally, let Ψ be a function from $O_{\mathbf{K}}^2$ to $\mathbb{R}_{\geq 0}$, where $O_{\mathbf{K}}$ is the ring of integers of \mathbf{K} . Suppose every valuation v of \mathbf{K} to be extended to $\overline{\mathbb{Q}}$. Consider the system of inequalities

$$\|L_{iv}(\mathbf{x})\|_v \leq \Psi(\mathbf{x})^{e_{iv}} \text{ (} v \in S, i = 1, 2\text{)}, \tag{23}$$

where $\mathbf{x} \in O_{\mathbf{K}}^2$ with $\Psi(\mathbf{x}) \neq 0$.

Proposition 9. *The set of solutions $\mathbf{x} \in O_{\mathbf{K}}^2$ of (23) with*

$$\Psi(\mathbf{x}) > \max\{2H, 2^{4/\varepsilon}\} \tag{24}$$

is contained in the union of at most

$$2^{31} A^4 \varepsilon^{-3} \log(2RD) \log(\varepsilon^{-1} \log(2RD))$$

proper linear subspaces of \mathbf{K}^2 .

Proof. We can prove this proposition in the same way as Theorem 5.1 in [10]. Let \mathbf{E} be a finite normal extension of \mathbf{K} , containing the coefficients of L_{iv} as well as the conjugates over \mathbf{K} of these coefficients, for $v \in S$, $i = 1, 2$. Let \tilde{S} denote the set of places of \mathbf{E} lying above the places in S . Note that $\tilde{S} \supset \mathcal{M}_{arc}(\mathbf{E})$. Take a place $w \in \mathcal{M}(\mathbf{E})$ above the place $v \in \mathcal{M}(\mathbf{K})$. For simplicity, put

$$d_w = d(w|v).$$

If $w \in \tilde{S}$, then there exists an automorphism σ_w of \mathbf{E} satisfying

$$\|x\|_w = \|\sigma_w(x)\|_v^{d_w} \text{ for } x \in \mathbf{E}.$$

For $i = 1, 2$, we define the linear forms L_{iw} and the real numbers e_{iw} by

$$L_{iw} = \begin{cases} \sigma_w^{-1}(L_{iv}) & (w \in \tilde{S}), \\ X & (i = 1, w \notin \tilde{S}) \\ Y & (i = 2, w \notin \tilde{S}) \end{cases}$$

and

$$e_{iw} = \begin{cases} d_w e_{iv} & (w \in \tilde{S}), \\ 0 & (w \notin \tilde{S}), \end{cases}$$

respectively. Take an $\mathbf{x} \in O_{\mathbf{K}}^2$ with (23). If $w \notin \tilde{S}$, then w is non-archimedean, so

$$\|L_{iw}(\mathbf{x})\|_w \leq 1.$$

Moreover, since

$$\|L_{iv}(\mathbf{x})\|_v^{d_w} = \|\sigma_w(L_{iw}(\mathbf{x}))\|_v^{d_w} = \|L_{iw}(\mathbf{x})\|_w,$$

\mathbf{x} satisfies the system of inequalities

$$\|L_{iw}(\mathbf{x})\|_w \leq \Psi(\mathbf{x})^{e_{iw}} \quad (w \in \mathcal{M}(\mathbf{E}), i = 1, 2). \tag{25}$$

By using (15) and (22) we get

$$\begin{aligned} \sum_{w \in \mathcal{M}(\mathbf{E})} \sum_{i=1}^2 e_{iw} &= \sum_{v \in S} \sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} \sum_{i=1}^2 d_w e_{iv} \\ &= \sum_{v \in S} \sum_{i=1}^2 e_{iv} = -\varepsilon. \end{aligned} \tag{26}$$

By the definition of L_{iw} with $1 \leq i \leq 2$ and $w \in \mathcal{M}(\mathbf{E})$

$$\text{Card} \left(\bigcup_{w \in \mathcal{M}(\mathbf{E})} \{L_{1w}, L_{2w}\} \right) \leq 2 + DR.$$

Let $\mathcal{L} = (L_{iw} : w \in \mathcal{M}(\mathbf{E}), i = 1, 2)$. Define the tuple of reals $\mathbf{c} = (c_{iw} : w \in \mathcal{M}(\mathbf{E}), i = 1, 2)$ by

$$c_{iw} = A^{-1} \left(e_{iw} - \frac{1}{2} \sum_{j=1}^2 e_{jw} \right).$$

We apply Theorem 8 with \mathcal{L} , \mathbf{c} , $r = 2 + DR (\geq 4)$, and

$$\delta = \frac{\varepsilon}{2A}.$$

It is easy to check the condition (10). We verify the condition (12). The first statement is clear by the definition of c_{iw} and e_{iw} . The second statement follows from $c_{1w} + c_{2w} = 0$ for each $w \in \mathcal{M}(\mathbf{E})$. Moreover, by using (22) and (26), we obtain

$$\begin{aligned} A \sum_{w \in \mathcal{M}(\mathbf{E})} \max\{c_{1w}, c_{2w}\} &= \sum_{w \in \mathcal{M}(\mathbf{E})} \max\{e_{1w}, e_{2w}\} - \frac{1}{2} \sum_{w \in \mathcal{M}(\mathbf{E})} \sum_{j=1}^2 e_{jw} \\ &= \sum_{v \in S} \sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} \max\{d_w e_{1v}, d_w e_{2v}\} + \frac{\varepsilon}{2} \\ &\leq \frac{\varepsilon}{2} + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} \sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} d_w \\ &\leq 1 + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} = A. \end{aligned}$$

Therefore we proved the last inequality of (12).

Let $\mathbf{x} \in O_{\mathbf{K}}^2$ be a solution of (23) with (24). Then \mathbf{x} also fulfills (25). Put

$$Q = \Psi(\mathbf{x})^A.$$

Finally, we show that such an \mathbf{x} satisfies (16) and (18). By (25) and the definition of c_{iw} ,

$$\begin{aligned} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}} &= \|L_{iw}(\mathbf{x})\|_w \Psi(\mathbf{x})^{-e_{iw}} \Psi(\mathbf{x})^{(e_{1w} + e_{2w})/2} \\ &\leq \Psi(\mathbf{x})^{(e_{1w} + e_{2w})/2} \end{aligned}$$

for $w \in \mathcal{M}(\mathbf{E})$, $i = 1, 2$. By taking product over $w \in \mathcal{M}(\mathbf{E})$ and using (26), we get

$$\begin{aligned} H_{Q,\mathcal{L},\mathbf{c}}(\mathbf{x}) &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}} \\ &\leq \prod_{w \in \mathcal{M}(\mathbf{E})} \Psi(\mathbf{x})^{(e_{1w}+e_{2w})/2} \\ &= \Psi(\mathbf{x})^{-\varepsilon/2} = Q^{-\delta}. \end{aligned}$$

Thus (16) is verified. Put

$$\bigcup_{w \in \mathcal{M}(\mathbf{E})} \{L_{1w}, L_{2w}\} = \{L_1, \dots, L_s\},$$

where $s \leq r$. We check $H^*(L_{iw}) \leq H$ for $w \in \mathcal{M}(\mathbf{E})$ and $i = 1, 2$. We may assume that $w \in \tilde{S}$. There exists an automorphism σ_w of \mathbf{E} such that $L_{iw} = \sigma_w^{-1}(L_{iv})$, where $v \in S$ is the place below w . By (19) and (21)

$$\begin{aligned} H^*(L_{iw}) &= \prod_{u \in \mathcal{M}(\mathbf{E})} \max\{1, \|\sigma_w^{-1}(L_{iv})\|_u\} \\ &= \prod_{u \in \mathcal{M}(\mathbf{E})} \max\{1, \|L_{iv}\|_u\} = H^*(L_{iv}) \leq H. \end{aligned}$$

Let $\tilde{D} = [\mathbf{E} : \mathbb{Q}]$ and $1 \leq i < j \leq s$. If w is an Archimedean place, then

$$\begin{aligned} \|\det(L_i, L_j)\|_w &\leq 2^{[\mathbf{E}_w : \mathbb{R}]/\tilde{D}} \|L_i\|_w \|L_j\|_w \\ &\leq 2^{[\mathbf{E}_w : \mathbb{R}]/\tilde{D}} \prod_{l=1}^s \max\{1, \|L_l\|_w\}. \end{aligned}$$

Similarly, if w is non-Archimedean, then by the ultrametric inequality

$$\|\det(L_i, L_j)\|_w \leq \|L_i\|_w \|L_j\|_w \leq \prod_{l=1}^s \max\{1, \|L_l\|_w\}.$$

Since $\sum_{w \in \mathcal{M}_{arc}(\mathbf{E})} [\mathbf{E}_w : \mathbb{R}] = \tilde{D}$, we conclude that

$$\begin{aligned} \mathcal{H}(\mathcal{L}) &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i < j \leq s} \|\det(L_i, L_j)\|_w \\ &\leq \prod_{w \in \mathcal{M}_{arc}(\mathbf{E})} 2^{[\mathbf{E}_w : \mathbb{R}]/\tilde{D}} \prod_{l=1}^s H^*(L_l) \leq 2H^r, \end{aligned}$$

hence

$$\begin{aligned} \max \left\{ \mathcal{H}(\mathcal{L})^{1/\binom{r}{2}}, 2^{2/\delta} \right\} &\leq \max \left\{ 2^{1/\binom{r}{2}} H^{r/\binom{r}{2}}, 2^{4A/\varepsilon} \right\} \\ &\leq \max \left\{ 2H, 2^{4/\varepsilon} \right\}^A < \Psi(\mathbf{x})^A = Q. \end{aligned}$$

Let $t_1 = t_1(r, \delta)$ be defined as (17). Theorem 8 implies the following: there are proper subspaces T_1, \dots, T_{t_1} of $\overline{\mathbb{Q}}$ all defined over \mathbf{E} such that any solution $\mathbf{x} \in O_{\mathbf{K}}^2$ of (23) with (24) satisfies

$$\mathbf{x} \in \bigcup_{i=1}^{t_1} (T_i \setminus \mathbf{K}^2).$$

Therefore, for the proof of the proposition it suffices to check

$$t_1 \leq 2^{31} A^4 \varepsilon^{-3} \log(2RD) \log(\varepsilon^{-1} \log(2RD)) \tag{27}$$

Since $DR \geq 2$, we have

$$\log(2r) \leq 2 \log(2DR).$$

Moreover, by $0 < \varepsilon \leq 1/2$

$$\begin{aligned} \log(\delta^{-1} \log(2r)) &\leq \log(4A\varepsilon^{-1} \log(2DR)) \\ &\leq 4A \log(\varepsilon^{-1} \log(2DR)). \end{aligned}$$

Thus (27) follows. □

5. Proof of Main Results

We give another proof of the inequality (4). Without loss of generality, we may assume that $1/\alpha \leq \xi < 1$. In fact, there is an integer R with $1/\alpha \leq \xi\alpha^R < 1$. Then since $\xi\alpha^n = (\xi\alpha^R)\alpha^{n-R}$, we have

$$|\lambda_N(\alpha, \xi) - \lambda_N(\alpha, \xi\alpha^R)| \leq |R|.$$

In particular, for any $n \leq 0$, $[\xi\alpha^n] = 0$, and so $s_{-n}(\xi) = 0$ by (5).

Recall that $s_{-n}(\xi) \neq 0$ for infinitely many positive n , which we introduced in Section 1. Define the increasing sequence of positive integers $(n_j)_{j=1}^\infty$ by $s_{-n}(\xi) \neq 0$ if and only if $n = n_j$ for some $j \geq 1$. By the first statement of Lemma 4, it suffices to show that

$$\liminf_{j \rightarrow \infty} \frac{j}{\log n_j} \geq \left(\log \left(\frac{\log M(\alpha)}{\log M(\alpha) - \log(a_d \alpha)} \right) \right)^{-1}.$$

Write the conjugates of α by $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$. Without loss of generality, we may assume that

$$|\alpha_k| > 1 \quad (1 \leq k \leq p),$$

where p is the number of the conjugates of α whose absolute values are greater than 1. In what follows, $C_1(\alpha), C_2(\alpha), \dots$ denote positive constants depending only on α . We first check

$$C_1(\alpha)\alpha^{n_j} \leq \left| \sum_{i=0}^{n_j} \alpha^i s_{i-n_j}(\xi) \right| \leq C_2(\alpha)\alpha^{n_j} \tag{28}$$

for any sufficiently large $j \geq 1$. By using $s_m(\xi) = 0$ for any $m > 0$ and the second statement of Proposition 5, we get

$$\begin{aligned} \sum_{i=0}^{n_j} \alpha^i s_{i-n_j}(\xi) &= \sum_{i=0}^{\infty} \alpha^i s_{i-n_j}(\xi) \\ &= \alpha^{n_j} \sum_{i=-\infty}^{\infty} \alpha^{i-n_j} s_{i-n_j}(\xi) - \sum_{i=-\infty}^{-1} \alpha^i s_{i-n_j}(\xi) \\ &= -\xi \alpha^{-1+n_j} (P_\alpha^*)' \left(\frac{1}{\alpha} \right) - \sum_{i=-\infty}^{-1} \alpha^i s_{i-n_j}(\xi), \end{aligned}$$

where $(P_\alpha^*)'$ is defined in Proposition 5. Thus

$$\begin{aligned} \left| \sum_{i=0}^{n_j} \alpha^i s_{i-n_j}(\xi) + \xi \alpha^{-1+n_j} (P_\alpha^*)' \left(\frac{1}{\alpha} \right) \right| &\leq \max\{L_+(\alpha), L_-(\alpha)\} \sum_{i=-\infty}^{-1} \alpha^i \\ &\leq C_3(\alpha). \end{aligned}$$

By considering $(P_\alpha^*)'(1/\alpha) \neq 0$, we obtain (28). Recall that, for any nonempty subset I of $\{1, 2, \dots, d\}$, the number

$$a_d \prod_{k \in I} \alpha_k$$

is an algebraic integer (for example, see pages 71 and 72 of [20]). So (28) implies that

$$1 \leq \left| a_d^{n_j} \prod_{k=1}^d \left(\sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right) \right| \tag{29}$$

since the right-hand side of this inequality is the absolute value of a nonzero rational integer. By the first statement of Proposition 5, for $2 \leq k \leq p$,

$$\left| \sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right| = \left| - \sum_{i=1+n_j}^{\infty} \alpha_k^i s_{i-n_j}(\xi) - \sum_{i=-\infty}^{-1} \alpha_k^i s_{i-n_j}(\xi) \right|.$$

Because $s_{i-n_j}(\xi) = 0$ for each i with $i \geq 1 + n_j$, we have

$$\begin{aligned} \left| \sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right| &\leq \max \{L_+(\alpha), L_-(\alpha)\} \sum_{i=-\infty}^{n_j-n_{1+j}} |\alpha_k^i| \\ &\leq C_4(\alpha) |\alpha_k|^{n_j-n_{1+j}}. \end{aligned} \tag{30}$$

Similarly, if $p + 1 \leq k \leq d$, then

$$\left| \sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right| \leq C_5(\alpha) n_j. \tag{31}$$

Take an arbitrary positive ε . By combining (28), (29), (30), and (31), we conclude for sufficiently large j that

$$\begin{aligned} 1 &\leq C_6(\alpha) a_d^{n_j} \alpha^{n_j} \left(\prod_{k=2}^p |\alpha_k|^{n_j-n_{1+j}} \right) \left(\prod_{k=1+p}^d n_j \right) \\ &\leq |\alpha_2 \cdots \alpha_p|^{-n_{1+j}} ((1 + \varepsilon)M(\alpha))^{n_j}. \end{aligned}$$

Hence, for $j \geq j_0$,

$$\frac{n_{1+j}}{n_j} \leq \frac{\log((1 + \varepsilon)M(\alpha))}{\log M(\alpha) - \log(a_d \alpha)} =: F_1(\varepsilon)$$

and

$$n_j \leq n_{j_0} F_1(\varepsilon)^{j-j_0}.$$

Therefore we conclude that

$$\liminf_{j \rightarrow \infty} \frac{j}{\log n_j} \geq \frac{1}{\log F_1(\varepsilon)}.$$

Since ε is an arbitrary positive number, (4) is proved.

Proof of Theorem 2. Theorem 3 of [11] shows for infinitely many $n \geq 0$ that $s_{-n}(\xi) \neq 0$. There exists the unique increasing sequence of positive integers $(n_j)_{j=1}^\infty$ such that $s_{-n}(\xi) \neq 0$ if and only if $n = n_j$ for some $j \geq 1$. Put

$$\xi' = \sum_{i=-\infty}^{-1} \alpha^i s_i(\xi) \text{ and } \xi_j = \sum_{i=-n_j}^{-1} \alpha^i s_i(\xi).$$

We may assume $\xi \in [1/\alpha, 1)$. Then $s_n(\xi) = 0$ for any $n \geq 0$. By Proposition 5, $\xi' \notin \mathbb{Q}(\alpha)$. Thus we get $\xi' \neq \xi_j$ for any $j \geq 1$. Recall that ∞ is the archimedean place defined by (20). In what follows, let $C_1(\alpha), C_2(\alpha), \dots$ be positive constants depending only on α . Then

$$\begin{cases} 0 < \|\xi' - \xi_j\|_\infty \leq C_1(\alpha)\alpha^{-n_1+j/d}, \\ \|\xi_j\|_\infty \leq C_1(\alpha). \end{cases} \tag{32}$$

Take an arbitrary positive number ε . Apply Theorem 7 with

$$\mathbf{K} = \mathbb{Q}(\alpha), S = \mathcal{M}_{arc}(\mathbf{K}) \cup \{v \in \mathcal{M}_{non}(\mathbf{K}) \mid \|\alpha\|_v < 1\},$$

and

$$\theta_v = \begin{cases} 1/\xi' & (\text{if } v = \infty), \\ 0 & (\text{otherwise}). \end{cases}$$

Consider solutions γ of (9) satisfying $\gamma = 1/\xi_j$ for some j . Let us take any $j_0 \geq 0$. By (32) there exists at most finitely many $j \geq 1$ with $\xi_j = \xi_{j_0}$. Thus by Theorem 7 there exist at most finitely many j such that $\gamma = 1/\xi_j$ fulfills (9). Namely, for all sufficiently large j ,

$$\prod_{v \in S} \min \left\{ 1, \left\| \theta_v - \frac{1}{\xi_j} \right\|_v \right\} \geq H \left(\frac{1}{\xi_j} \right)^{-2-\varepsilon} = H(\xi_j)^{-2-\varepsilon}. \tag{33}$$

We have

$$\begin{aligned} & \prod_{v \in S} \min \left\{ 1, \left\| \theta_v - \frac{1}{\xi_j} \right\|_v \right\} \\ &= \left\| \frac{1}{\xi'} - \frac{1}{\xi_j} \right\|_\infty \prod_{v \in S \setminus \{\infty\}} \min \left\{ 1, \left\| \frac{1}{\xi_j} \right\|_v \right\} \\ &= \left\| \frac{1}{\xi'} - \frac{1}{\xi_j} \right\|_\infty \max\{1, \|\xi_j\|_\infty\} \left(\prod_{v \in S} \max\{1, \|\xi_j\|_v\} \right)^{-1} \\ &\leq C_1(\alpha)^2 \alpha^{-n_1+j/d} \left(\prod_{v \in S} \max\{1, \|\xi_j\|_v\} \right)^{-1}. \end{aligned}$$

Note that if $v \in \mathcal{M}(\mathbf{K}) \setminus S$, then $\|\xi_j\|_v \leq 1$ by the ultrametric inequality. Hence

$$\begin{aligned} \prod_{v \in S} \min \left\{ 1, \left\| \theta_v - \frac{1}{\xi_j} \right\|_v \right\} &\leq C_1(\alpha)^2 \alpha^{-n_1+j/d} H(\xi_j)^{-1} \prod_{v \in \mathcal{M}(\mathbf{K}) \setminus S} \max\{1, \|\xi_j\|\} \\ &\leq C_1(\alpha)^2 \alpha^{-n_1+j/d} H(\xi_j)^{-1}. \end{aligned}$$

By combining the inequality above and (33), we obtain, for any sufficiently large j ,

$$\alpha^{n_1+j} \leq C_1(\alpha)^{2d} H(\xi_j)^{(1+\varepsilon)d}.$$

Write the conjugates of α by $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$. Let p (resp. q) be the number of the conjugates of α whose absolute values are greater (resp. smaller) than 1. Without loss of generality we may assume $|\alpha_k| > 1$ if $1 \leq k \leq p$, $|\alpha_k| < 1$ if $p+1 \leq k \leq p+q$, and $|\alpha_k| = 1$ otherwise. By the ultrametric inequality

$$\prod_{v \in \mathcal{M}_{non}(\mathbf{K})} \max\{1, \|\xi_j\|_v\} \leq \prod_{v \in \mathcal{M}_{non}(\mathbf{K})} \max\{1, \|\alpha^{-1}\|_v\}^{n_j}.$$

Since $s_n(\xi) \leq \max\{L_+(\alpha), L_-(\alpha)\}$ for every integer n

$$\begin{aligned} \prod_{k=p+1}^d \left| \sum_{i=-n_j}^{-1} \alpha_k^i s_i(\xi) \right|^{1/d} &\leq \prod_{k=p+1}^{p+q} \left| C_2(\alpha) \alpha_k^{-n_j} \right|^{1/d} \prod_{k=p+q+1}^d (C_2(\alpha) n_j)^{1/d} \\ &= C_2(\alpha)^{(d-p)/d} n_j^{(d-p-q)/d} \prod_{k=p+1}^{p+q} |\alpha_k|^{n_j/d}. \end{aligned}$$

By using the first statement of Proposition 5 and $s_n(\xi) = 0$ for any $n \geq 0$,

$$\begin{aligned} \prod_{k=1}^p \left| \sum_{i=-n_j}^{-1} \alpha_k^i s_i(\xi) \right|^{1/d} &= \left| \sum_{i=-n_j}^{-1} \alpha^i s_i(\xi) \right|^{1/d} \prod_{k=2}^p \left| \sum_{i=-\infty}^{-n_1+j} \alpha_k^i s_i(\xi) \right|^{1/d} \\ &\leq C_3(\alpha)^{1/d} \prod_{k=2}^p \left| C_3(\alpha) \alpha_k^{-n_1+j} \right|^{1/d} \\ &= C_3(\alpha)^{p/d} \prod_{k=2}^p \left| \alpha_k^{-n_1+j} \right|^{1/d}. \end{aligned}$$

Hence we obtain

$$\begin{aligned} \prod_{v \in \mathcal{M}_{arc}(\mathbf{K})} \max\{1, \|\xi_j\|_v\} &= \prod_{k=1}^d \left| \sum_{i=-n_j}^{-1} \alpha_k^i s_i(\xi) \right|^{1/d} \\ &\leq C_4(\alpha) n_j^{(d-p-q)/d} \prod_{v \in \mathcal{M}_{arc}(\mathbf{K})} \max\{1, \|\alpha^{-1}\|_v\}^{n_j} \\ &\quad \times \prod_{k=2}^p \left| \alpha_k^{-n_{1+j}} \right|^{1/d} \end{aligned}$$

and so

$$H(\xi_j) \leq C_4(\alpha) n_j^{(d-p-q)/d} H(\alpha^{-1})^{n_j} \prod_{k=2}^p \left| \alpha_k^{-n_{1+j}} \right|^{1/d}.$$

Finally, we conclude for sufficiently large j that

$$\begin{aligned} \alpha^{n_{1+j}} &\leq C_5(\alpha) n_j^{(1+\varepsilon)(d-p-q)} H(\alpha^{-1})^{(1+\varepsilon)dn_j} \prod_{k=2}^p \left| \alpha_k^{-n_{1+j}} \right|^{(1+\varepsilon)} \\ &\leq H(\alpha^{-1})^{(1+2\varepsilon)dn_j} \prod_{k=2}^p \left| \alpha_k^{-n_{1+j}} \right|^{(1+\varepsilon)} \\ &= M(\alpha)^{(1+2\varepsilon)n_j} \prod_{k=2}^p \left| \alpha_k^{-n_{1+j}} \right|^{(1+\varepsilon)}, \end{aligned}$$

where for the last equality we use (7). Taking logarithms of both sides of the inequality above, we get

$$\frac{n_{1+j}}{n_j} \leq \frac{(1 + 2\varepsilon) \log M(\alpha)}{\log \alpha + (1 + \varepsilon) \log |\alpha_2 \cdots \alpha_p|} =: F_2(\varepsilon), \tag{34}$$

consequently

$$\liminf_{j \rightarrow \infty} \frac{j}{\log n_j} \geq \frac{1}{\log F_2(\varepsilon)}.$$

Therefore by the first statement of Lemma 4

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(\alpha, \xi)}{\log N} \geq \frac{1}{\log F_2(\varepsilon)}.$$

Since ε is an arbitrary positive number, we proved the theorem. In fact,

$$\lim_{\varepsilon \rightarrow +0} \frac{1}{\log F_2(\varepsilon)} = \left(\log \left(\frac{\log M(\alpha)}{\log M(\alpha) - \log a_d} \right) \right)^{-1}.$$

□

Proof of Theorem 1. We may assume $\xi \in [1/\alpha, 1)$, and so $s_n(\xi) = 0$ for any $n \geq 0$. Put

$$\xi' = \sum_{i=-\infty}^{-1} \alpha^i s_i(\xi). \tag{35}$$

By the second statement of Proposition 5, we have $\xi' \notin \mathbb{Q}(\alpha)$. Let p be the number of the conjugates of α whose absolute values are greater than 1. Write the conjugates of α by $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$, where d is the degree of α . Without loss of generality, we may assume that $|\alpha_k| > 1$ for $k = 1, 2, \dots, p$.

First we show the following:

Lemma 10. *There is a sequence of integers $\mathbf{y} = (y_n)_{n=1}^\infty$ satisfying the following:*

1. $y_n = 0$ or $y_n = s_{-n}(\xi)$;
2. $\sum_{i=1}^\infty y_i \alpha^{-i} = \xi'$;
3. $\sum_{i=1}^\infty y_i \alpha_k^{-i} = 0$ for any k with $2 \leq k \leq p$;
4. Put

$$\{n \geq 1 | y_n \neq 0\} =: \{n_1 < n_2 < \dots\}$$

and

$$\xi_j = \sum_{i=1}^{n_j} y_i \alpha^{-i}.$$

Then, for any h and l with $h < l$, $\xi_h \neq \xi_l$.

Proof. We construct the bounded sequences of integers $\mathbf{y}_m = (y(m, n))_{n=1}^\infty$ ($m = 1, 2, \dots$) by induction on m fulfilling the following:

1. For any $n \geq 1$,

$$y(m, n) = 0 \text{ or } y(m, n) = s_{-n}(\xi); \tag{36}$$

2.

$$\sum_{i=1}^{\infty} \alpha^{-i} y(m, i) = \xi'; \tag{37}$$

3. For any k with $2 \leq k \leq p$,

$$\sum_{i=1}^{\infty} \alpha_k^{-i} y(m, i) = 0. \tag{38}$$

In particular, we have, for any $m, n \geq 1$,

$$|y(m, n)| \leq |s_{-n}(\xi)| \leq \max\{L_+(\alpha), L_-(\alpha)\}.$$

Define $\mathbf{y}_1 = (y(1, n))_{n=1}^{\infty}$ by

$$y(1, n) = s_{-n}(\xi) \quad (n \geq 1).$$

For $m = 1$, (36) and (37) hold. Moreover, (38) follows from the first statement of Proposition 5.

Next, assume that we have a sequence of integers \mathbf{y}_m with (36), (37), and (38) for $m \geq 1$. Let

$$\begin{aligned} \Xi_m &= \{n \geq 1 \mid y(m, n) \neq 0\} \\ &=: \{n(m, 1) < n(m, 2) < \dots\} \end{aligned}$$

and

$$\xi(m, j) = \sum_{i=1}^{n(m, j)} \alpha^{-i} y(m, i).$$

By (37) and $\xi' \notin \mathbb{Q}(\alpha)$, Ξ_m is an infinite set. If $\xi(m, h) \neq \xi(m, l)$ for any $h \neq l$, then then $\mathbf{y} = \mathbf{y}_m$ satisfies the last condition of Lemma 10. Moreover, first, second, and third conditions of Lemma 10 follow immediately from (36), (37), and (38). Otherwise, we define \mathbf{y}_{m+1} by using \mathbf{y}_m . There exists an $h \geq 1$ such that $\xi(m, h) = \xi(m, l)$ for some $l > h$. For such an h , write the minimal value by h_m . Put

$$\Lambda_m = \{l > h_m \mid \xi(m, l) = \xi(m, h_m)\}.$$

Then Λ_m is a finite set. In fact, if Λ_m is an infinite set, then

$$\xi' = \lim_{\substack{n \rightarrow \infty \\ n \in \Lambda_m}} \xi(m, n) = \xi(m, h_m) \in \mathbb{Q}(\alpha),$$

which contradicts to $\xi \notin \mathbb{Q}(\alpha)$. So let

$$l_m = \max \Lambda_m.$$

We define $\mathbf{y}_{m+1} = (y(m+1, n))_{n=1}^\infty$ by

$$y(m+1, n) = \begin{cases} 0 & (\text{if } 1 + n(m, h_m) \leq n \leq n(m, l_m)), \\ y(m, n) & (\text{otherwise}). \end{cases}$$

Note that

$$\xi(m+1, j) = \begin{cases} \xi(m, j) & (\text{if } j \leq h_m) \\ \xi(m, j + l_m - h_m) & (\text{if } j > h_m). \end{cases} \tag{39}$$

Now we verify that \mathbf{y}_{m+1} fulfills (36), (37), and (38). (36) is obvious by the definition of \mathbf{y}_{m+1} . By the inductive hypothesis and

$$0 = \xi(m, l_m) - \xi(m, h_m) = \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha^{-i} y(m, i), \tag{40}$$

we get

$$\sum_{i=1}^\infty \alpha^{-i} y(m+1, i) = \sum_{i=1}^\infty \alpha^{-i} y(m, i) - \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha^{-i} y(m, i) = \xi'.$$

By taking the conjugate of (40), we deduce for any k with $2 \leq k \leq p$ that

$$0 = \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha_k^{-i} y(m, i).$$

Thus

$$\begin{aligned} \sum_{i=1}^\infty \alpha_k^{-i} y(m+1, i) &= \sum_{i=1}^\infty \alpha_k^{-i} y(m, i) - \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha_k^{-i} y(m, i) \\ &= \sum_{i=1}^\infty \alpha_k^{-i} y(m, i) = 0. \end{aligned}$$

For the proof of Lemma 10 we may assume that, for any $m \geq 1$, $\mathbf{y} = \mathbf{y}_m$ is defined and does not satisfy the conditions of Lemma 10. We verify that $h_{m+1} > h_m$ for each $m \geq 1$. It suffices to check for $1 \leq h < l$ with $h \leq h_m$ that

$$\xi(m+1, l) \neq \xi(m+1, h).$$

In the case of $h < h_m$, this follows from (39) and the definition of h_m . So consider the case of $h = h_m$. Since $l + l_m - h_m > l_m$ we get

$$\xi(m + 1, l) = \xi(m, l + l_m - h_m) \neq \xi(m, h_m) = \xi(m + 1, h_m)$$

by the definition of l_m . Hence the sequence h_m ($m = 1, 2, \dots$) is strictly increasing. In particular, $h_m \geq m$.

Let $n \geq 1$. Take an integer m with $m \geq n$. Note that

$$n \leq m \leq h_m \leq n(m, h_m).$$

So, by the definition of \mathbf{y}_{m+1} , we have $y(m + 1, n) = y(m, n)$. Thus

$$y(m, n) = y(n, n) \text{ for any } m \geq n. \tag{41}$$

We define the sequence $\mathbf{y} = (y_n)_{n=1}^\infty$ by

$$y_n = y(n, n).$$

In what follows we check the conditions of Lemma 10. The first condition is clear. Let $m \geq 1$ be any integer. Then by (41)

$$\begin{aligned} \left| \xi' - \sum_{i=1}^\infty \alpha^{-i} y_i \right| &= \left| \sum_{i=1}^\infty \alpha^{-i} (y(m, i) - y(i, i)) \right| \\ &= \left| \sum_{i=m+1}^\infty \alpha^{-i} (y(m, i) - y(i, i)) \right| \\ &\leq 2 \max\{L_+(\alpha), L_-(\alpha)\} \frac{1}{(\alpha - 1)\alpha^m}. \end{aligned}$$

Similarly, for $2 \leq k \leq p$,

$$\begin{aligned} \left| \sum_{i=1}^\infty \alpha_k^{-i} y_i \right| &= \left| \sum_{i=1}^\infty \alpha_k^{-i} (y(m, i) - y(i, i)) \right| \\ &= \left| \sum_{i=m+1}^\infty \alpha_k^{-i} (y(m, i) - y(i, i)) \right| \\ &\leq 2 \max\{L_+(\alpha), L_-(\alpha)\} \frac{1}{(\alpha_k - 1)\alpha_k^m}, \end{aligned}$$

where for the first equality we use (38). Since m is arbitrary, we obtain the second and third conditions.

Finally, assume that $\xi_h = \xi_l$ for some $h < l$. Take an integer m with $m > l$. Then by (41)

$$\xi(m, h) = \xi_h = \xi_l = \xi(m, l).$$

By the definition of h_m , we get $h_m \leq h < m$, which contradicts to $h_m \geq m$. Therefore, the last condition follows. \square

For $N \geq 1$ put

$$\tau_N = \text{Card}\{n \in \mathbb{Z} | 1 \leq n \leq N, y_n \neq 0\}.$$

By the first condition of Lemma 10

$$\tau_N \leq \text{Card}\{n \in \mathbb{Z} | 1 \leq n \leq N, s_{-n}(\xi) \neq 0\}. \tag{42}$$

In what follows, we verify for all sufficiently large N that

$$\tau_N \geq c \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log N)^{3/2}}{(\log \log N)^{1/2}}. \tag{43}$$

Theorem 1 follows from (42), (43), and the first statement of Lemma 4.

Put $\mathbf{K} = \mathbb{Q}(\alpha)$. Let ∞ be the Archimedean place in \mathbf{K} which is defined by (20). In what follows, let $C_1(\alpha, \xi), C_2(\alpha, \xi), \dots$ be positive constants depending only on α and ξ . Put

$$C_1(\alpha, \xi) = \max\{L_+(\alpha), L_-(\alpha)\}^{1/d} \max_{1 \leq k \leq p} \left(\frac{1}{1 - |\alpha_k^{-1}|} \right)^{1/d}.$$

Then we have

$$\begin{aligned} 0 < \|\xi' - \xi_j\|_\infty &= \left| \sum_{i=n_1+j}^\infty y_i \alpha^{-i} \right|^{1/d} \\ &\leq C_1(\alpha, \xi) \|\alpha\|_\infty^{-n_1+j}. \end{aligned} \tag{44}$$

Let ε be an arbitrary positive number with $\varepsilon \leq 1/2$ and $F_2(\varepsilon)$ be defined by (34). In the same way as the proof of Theorem 2, we can verify that

$$\frac{n_{1+j}}{n_j} \leq F_2(\varepsilon)$$

for sufficiently large j . In particular, since

$$\lim_{\varepsilon \rightarrow +0} F_2(\varepsilon) = 1,$$

we get, for $j \geq C_2(\alpha, \xi)$,

$$n_{1+j} \leq 2n_j. \tag{45}$$

We count the numbers of j fulfilling

$$n_{1+j} \geq (1 + 2\varepsilon)n_j. \tag{46}$$

Assume (46) and

$$n_j \geq C_3(\alpha, \xi)\varepsilon^{-9/8}. \tag{47}$$

We determine $C_3(\alpha, \xi)$ later. Let

$$S = \mathcal{M}_{arc}(\mathbf{K}) \cup \{v \in \mathcal{M}_{non}(\mathbf{K}) \mid \|\alpha\|_v < 1\}.$$

Define the linear forms $L_{i,v}$ ($v \in S, i = 1, 2$) by

$$L_{1v} = \begin{cases} X - \xi'Y & \text{for } v = \infty, \\ X & \text{for } v \in S \setminus \{\infty\}, \end{cases}$$

$$L_{2v} = Y \text{ for } v \in S.$$

Then (21) is satisfied with $R = 3, D = [\mathbb{Q}(\alpha, \xi) : \mathbb{Q}(\alpha)]$, and $H = H(\xi)$. Consider the system of inequalities (23) with

$$e_{1v} = \begin{cases} -(5\varepsilon)/4 & \text{for } v = \infty, \\ \varepsilon/(4d') & \text{for } v \in \mathcal{M}_{arc}(\mathbf{K}) \setminus \{\infty\}, \\ 0 & \text{for } v \in \mathcal{M}_{non}(\mathbf{K}) \setminus S, \end{cases}$$

$$e_{2v} = (\log \|\alpha\|_v) / (\log \|\alpha\|_\infty), \text{ for } v \in S$$

$$\Psi(x, y) = \|y\|_\infty,$$

where $d' = \text{Card}(\mathcal{M}_{arc}(\mathbf{K}) \setminus \{\infty\})$. Then (22) follows from the product formula. Apply Proposition 9 with

$$\mathbf{x}_j = \left(\sum_{i=1}^{n_j} y_i \alpha^{-i+n_j}, \alpha^{n_j} \right) \in O_{\mathbf{K}}^2.$$

If $C_3(\alpha, \xi)$ is sufficiently large, then (24) follows from (47). In fact,

$$\log \Psi(\mathbf{x}_j) = n_j \log \|\alpha\|_\infty > \max \left\{ \log(2H), \frac{4}{\varepsilon} \log 2 \right\}.$$

We check that \mathbf{x}_j satisfies the system of inequalities (23). If $i = 2$, then

$$\begin{aligned} \|L_{2v}(\mathbf{x}_j)\|_v &= \|\alpha^{n_j}\|_v = \|\alpha^{n_j}\|_\infty^{(\log \|\alpha\|_v)/(\log \|\alpha\|_\infty)} \\ &= \Psi(\mathbf{x}_j)^{e_{2v}}. \end{aligned}$$

In the case of $v \in S \setminus \mathcal{M}_{non}(\mathbf{K})$, by the ultrametric inequality

$$\|L_{1v}(\mathbf{x}_j)\|_v = \left\| \sum_{i=1}^{n_j} y_i \alpha^{-i+n_j} \right\|_v \leq 1 = \Psi(\mathbf{x}_j)^{e_{1v}}.$$

Now we show that

$$n_j^{1/d} C_1(\alpha, \xi) \leq \|\alpha^{n_j}\|_\infty^{\varepsilon/(4d')} = \Psi(\mathbf{x}_j)^{\varepsilon/(4d')}. \tag{48}$$

Note that (48) is equivalent to

$$\frac{4d'}{\log \|\alpha\|_\infty} \left(\frac{1}{d} + \frac{\log C_1(\alpha, \xi)}{\log n_j} \right) \leq \frac{\varepsilon n_j}{\log n_j}.$$

In what follows, constants implied by the Vinogradov symbols \ll, \gg are absolute. If $n_j \geq C_3(\alpha, \xi)\varepsilon^{-9/8}$, then

$$\frac{\varepsilon n_j}{\log n_j} \gg \varepsilon n_j^{8/9} \geq C_3(\alpha, \xi)^{8/9}.$$

Thus, if $C_3(\alpha, \xi)$ is sufficiently large, then (48) follows. By (44), (46), and (48), we get

$$\begin{aligned} \|L_{1\infty}(\mathbf{x}_j)\|_\infty &= \|\alpha^{n_j}\|_\infty \|\xi_j - \xi'\|_\infty \\ &\leq C_1(\alpha, \xi) \|\alpha\|_\infty^{n_j - n_{1+j}} \leq C_1(\alpha, \xi) \|\alpha^{n_j}\|_\infty^{-2\varepsilon} \\ &\leq \|\alpha^{n_j}\|_\infty^{-(5\varepsilon)/4} = \Psi(\mathbf{x}_j)^{-(5\varepsilon)/4}. \end{aligned}$$

Let $v \in \mathcal{M}_{arc}(\mathbf{K}) \setminus \{\infty\}$. Then there exists an embedding $\sigma : \mathbf{K} \hookrightarrow \mathbb{C}$ such that

$$\|x\|_v = \|\sigma(x)\|_\infty$$

for any $x \in \mathbf{K}$. Let $\sigma(\alpha) = \alpha_k$, where $2 \leq k \leq d$. If $2 \leq k \leq p$, then by (48) and the third condition of Lemma 10

$$\begin{aligned} \|L_{1v}(\mathbf{x}_j)\|_v &= \left\| \sum_{i=1}^{n_j} y_i \alpha_k^{-i+n_j} \right\|_\infty = \|\alpha_k^{n_j}\|_\infty \left\| \sum_{i=n_{1+j}}^\infty y_i \alpha_k^{-i} \right\|_\infty \\ &\leq C_1(\alpha, \xi) \|\alpha_k^{n_j - n_{1+j}}\|_\infty \\ &\leq C_1(\alpha, \xi) \leq \Psi(\mathbf{x}_j)^{\varepsilon/(4d')} = \Psi(\mathbf{x}_j)^{e_{1v}}. \end{aligned}$$

In the case of $k \geq p + 1$, by using $|\alpha_k| \leq 1$ and (48), we obtain

$$\begin{aligned} \|L_{1v}(\mathbf{x}_j)\|_v &= \left\| \sum_{i=1}^{n_j} y_i \alpha_k^{-i+n_j} \right\|_\infty \\ &\leq |n_j \max\{L_+(\alpha), L_-(\alpha)\}|^{1/d} \leq n_j^{1/d} C_1(\alpha, \xi) \\ &\leq \Psi(\mathbf{x}_j)^{\varepsilon/(4d')} = \Psi(\mathbf{x}_j)^{e_{1v}}. \end{aligned}$$

Since

$$\begin{aligned} A &= 1 + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} \\ &\leq 1 + \frac{\varepsilon}{4} + \sum_{k=1}^p \frac{\log \|\alpha_k\|_\infty}{\log \|\alpha\|_\infty} \ll \frac{\log M(\alpha)}{\log \alpha}, \end{aligned}$$

Proposition 9 indicates that the vectors \mathbf{x}_j satisfying (46) and (47) lie in

$$\ll \left(\frac{\log M(\alpha)}{\log \alpha} \right)^4 \varepsilon^{-3} \log(6D) \log(\varepsilon^{-1} \log(6D))$$

one-dimensional linear subspaces of \mathbf{K}^2 . By the last condition of Lemma 10, if $j \neq l$, then \mathbf{x}_j and \mathbf{x}_l are linearly independent over \mathbf{K} . Thus we obtain

$$\begin{aligned} \text{Card}\{j \geq 0 | n_j \geq C_3(\alpha, \xi) \varepsilon^{-9/8}, n_{1+j} \geq (1 + 2\varepsilon)n_j\} \\ \ll \left(\frac{\log M(\alpha)}{\log \alpha} \right)^4 \varepsilon^{-3} \log(6D) \log(\varepsilon^{-1} \log(6D)). \end{aligned} \tag{49}$$

Let j_1 be the smallest j such that $n_j \geq C_2(\alpha, \xi)$ and J an integer with

$$J > \max\{n_{j_1}^3, 2^{12} C_3(\alpha, \xi)^{12}\}. \tag{50}$$

Moreover, let j_2 be the largest integer with $n_{j_2} \leq 2C_3(\alpha, \xi) J^{5/12}$. Then since

$$n_{j_1} \leq J^{1/3} \leq 2C_3(\alpha, \xi) J^{5/12},$$

we get

$$n_{j_2} \geq n_{j_1} \geq C_2(\alpha, \xi). \tag{51}$$

So by (45)

$$n_{j_2} \geq \frac{n_{1+j_2}}{2} \geq C_3(\alpha, \xi) J^{5/12}.$$

For a positive integer $u(\geq 2)$, put

$$\varepsilon_1 = \frac{(\log(6D))^{1/3}(\log M(\alpha))^{4/3}}{(\log \alpha)^{4/3}} \left(\frac{\log J}{J}\right)^{1/3}, \varepsilon_u = \frac{1}{2}.$$

Note that if $C_3(\alpha, \xi)$ is sufficiently large, then $\log(\varepsilon_1^{-1}) \geq \log(6D)$. Next, let $\varepsilon_2, \dots, \varepsilon_{u-1}$ be any reals satisfying

$$\varepsilon_1 < \varepsilon_2 < \dots < \varepsilon_{u-1} < \varepsilon_u.$$

Then we have

$$n_{j_2} \geq C_3(\alpha, \xi)\varepsilon_h^{-9/8} \tag{52}$$

for $h = 1, \dots, u$. In fact,

$$n_{j_2} C_3(\alpha, \xi)^{-1} \varepsilon_h^{9/8} \geq J^{5/12} \varepsilon_1^{9/8} \geq J^{5/12} \cdot J^{-3/8} \geq 1.$$

Let $\mathcal{S}_0 = \{j_2, 1 + j_2, \dots, J\}$ and, for $h = 1, \dots, u$, let \mathcal{S}_h denote the set of positive integers j such that $j_2 \leq j < J$ and $n_{1+j} \geq (1 + 2\varepsilon_h)n_j$. Moreover, write the cardinality of \mathcal{S}_h by T_h for $h = 1, \dots, u$. Then $\mathcal{S}_0 \supset \mathcal{S}_1 \supset \dots \supset \mathcal{S}_u$. If $j \in \mathcal{S}_0$, then by (51) and (52) we have

$$\frac{n_{1+j}}{n_j} \leq 2$$

and

$$n_j \geq C_3(\alpha, \xi)\varepsilon_h^{-9/8} \text{ for } h = 1, \dots, u.$$

Thus we get

$$\begin{aligned} \frac{n_J}{n_{j_2}} &= \frac{n_J}{n_{-1+J}} \frac{n_{-1+J}}{n_{-2+J}} \dots \frac{n_{1+j_2}}{n_{j_2}} \\ &\leq \left(\prod_{j \in \mathcal{S}_u} \frac{n_{1+j}}{n_j}\right) \prod_{h=0}^{u-1} \left(\prod_{j \in \mathcal{S}_h \setminus \mathcal{S}_{1+h}} \frac{n_{1+j}}{n_j}\right) \\ &\leq 2^{T_u} (1 + 2\varepsilon_1)^J \prod_{h=1}^{u-1} (1 + 2\varepsilon_{h+1})^{T_h - T_{1+h}}. \end{aligned}$$

Taking logarithms, we obtain

$$\begin{aligned} \log\left(\frac{n_J}{n_{j_2}}\right) &\leq T_u \log 2 + 2\varepsilon_1 J + \sum_{h=1}^{u-1} 2\varepsilon_{1+h}(T_h - T_{1+h}) \\ &\leq T_u \log 2 + 2\varepsilon_1 J + 2\varepsilon_2 T_1 + 2 \sum_{h=2}^{u-1} (\varepsilon_{1+h} - \varepsilon_h) T_h - T_u. \end{aligned}$$

(49) implies

$$T_h \ll \left(\frac{\log M(\alpha)}{\log \alpha}\right)^4 \log(6D) \varepsilon_h^{-3} \log(\varepsilon_h^{-1} \log(6D))$$

for $h = 1, \dots, u$, and so

$$\begin{aligned} \log\left(\frac{n_J}{n_{j_2}}\right) &\ll \varepsilon_1 J + \left(\frac{\log M(\alpha)}{\log \alpha}\right)^4 \log(6D) \\ &\quad \times \left(\log(\log(6D)) + \varepsilon_2 \varepsilon_1^{-3} \log(\varepsilon_1^{-1} \log(6D)) \right. \\ &\quad \left. + \sum_{h=2}^{u-1} (\varepsilon_{1+h} - \varepsilon_h) \varepsilon_h^{-3} \log(\varepsilon_h^{-1} \log(6D)) \right). \end{aligned}$$

If u tends to infinity and if $\max_{1 \leq h \leq u-1} (\varepsilon_{1+h} - \varepsilon_h)$ tends to zero, then the sum converges to a Riemann integral, so

$$\begin{aligned} &\lim_{u \rightarrow \infty} \sum_{h=2}^{u-1} (\varepsilon_{1+h} - \varepsilon_h) \varepsilon_h^{-3} \log(\varepsilon_h^{-1} \log(6D)) \\ &= \int_{\varepsilon_1}^{1/2} x^{-3} \log(x^{-1} \log(6D)) dx \\ &\ll \varepsilon_1^{-2} \log(\varepsilon_1^{-1}) + \log(\log(6D)) \varepsilon_1^{-2} \ll \varepsilon_1^{-2} \log(\varepsilon_1^{-1}). \end{aligned}$$

Thus,

$$\begin{aligned} \log\left(\frac{n_J}{n_{j_2}}\right) &\ll \varepsilon_1 J + \left(\frac{\log M(\alpha)}{\log \alpha}\right)^4 \log(6D) \varepsilon_1^{-2} \log(\varepsilon_1^{-1}) \\ &\ll \left(\frac{\log M(\alpha)}{\log \alpha}\right)^{4/3} (\log(6D))^{1/3} J^{2/3} (\log J)^{1/3}, \end{aligned}$$

where for the second inequality we use $\log(\varepsilon_1^{-1}) \leq \log J$. By using (50) and the definition of j_2 we obtain

$$\frac{n_{j_2}}{n_J^{1/2}} \leq \frac{n_{j_2}}{J^{1/2}} \leq 2C_3(\alpha, \xi)J^{-1/12} \leq 1,$$

and so

$$\log n_J \ll \left(\frac{\log M(\alpha)}{\log \alpha}\right)^{4/3} (\log(6D))^{1/3} J^{2/3} (\log J)^{1/3} =: G(J).$$

Hence

$$J \gg \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log n_J)^{3/2}}{(\log \log n_J)^{1/2}}.$$

In fact, since the function $x^{3/2}(\log x)^{-1/2}$ is monotone increasing for $x > e$,

$$\frac{(\log n_J)^{3/2}}{(\log \log n_J)^{1/2}} \ll \frac{(\log G(J))^{3/2}}{(\log \log G(J))^{1/2}} \ll \left(\frac{\log M(\alpha)}{\log \alpha}\right)^2 (\log(6D))^{1/2} J.$$

Therefore, we proved the theorem. □

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