



## FINDING ALMOST SQUARES V

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### Abstract

An almost square of type 2 is an integer  $n$  that can be factored in two different ways as  $n = a_1b_1 = a_2b_2$  with  $a_1, a_2, b_1, b_2 \approx \sqrt{n}$ . In this paper, we continue the study of almost squares of type 2 in short intervals and improve the  $1/2$  upper bound. We also draw connections with almost squares of type 1.

### 1. Introduction and Main Results

An almost square (of type 1) is an integer  $n$  that can be factored as  $n = ab$  with  $a, b$  close to  $\sqrt{n}$ . For example,  $9999 = 99 \times 101$ . We call an integer  $n$  an almost square of type 2 if it has two different such representations,  $n = a_1b_1 = a_2b_2$  where  $a_1, b_1, a_2, b_2$  are close to  $\sqrt{n}$ . For example  $99990000 = 9999 \times 10000 = 9900 \times 10100$ . Of course, this depends on what we mean by close. More precisely, for  $0 \leq \theta \leq 1/2$  and  $C > 0$ ,

**Definition 1** An integer  $n$  is a  $(\theta, C)$ -almost square of type 1 if  $n = ab$  for some integers  $a < b$  in the interval  $[n^{1/2} - Cn^\theta, n^{1/2} + Cn^\theta]$ .

**Definition 2** An integer  $n$  is a  $(\theta, C)$ -almost square of type 2 if  $n = a_1b_1 = a_2b_2$  for some integers  $a_1 < a_2 \leq b_2 < b_1$  in the interval  $[n^{1/2} - Cn^\theta, n^{1/2} + Cn^\theta]$ .

In a series of papers [1], [2], [3], [4], the author was interested in finding almost squares of either types in short intervals. In particular, given  $0 \leq \theta \leq \frac{1}{2}$ , we want to find “admissible” exponent  $\phi_i \geq 0$  (as small as possible) such that, for some constants  $C_{\theta,i}, D_{\theta,i} > 0$ , the interval  $[x - D_{\theta,i}x^{\phi_i}, x + D_{\theta,i}x^{\phi_i}]$  contains a  $(\theta, C_{\theta,i})$ -almost square of type  $i$  ( $i = 1, 2$ ) for all sufficiently large  $x$ . These lead to the following

**Definition 3** We let  $f(\theta) := \inf \phi_1$  and  $g(\theta) := \inf \phi_2$ , where the infima are taken over all the “admissible”  $\phi_i$  ( $i = 1, 2$ ) respectively.

Clearly  $f$  and  $g$  are non-increasing functions of  $\theta$ . It was conjectured (and partially verified) that

**Conjecture 4** For  $0 \leq \theta \leq \frac{1}{2}$ ,

$$f(\theta) = \begin{cases} \frac{1}{2}, & \text{if } 0 \leq \theta < \frac{1}{4}, \\ \frac{1}{2} - \theta, & \text{if } \frac{1}{4} \leq \theta \leq \frac{1}{2}; \end{cases}$$

and

$$g(\theta) = \begin{cases} \text{does not exist,} & \text{if } 0 \leq \theta < \frac{1}{4}, \\ 1 - 2\theta, & \text{if } \frac{1}{4} \leq \theta \leq \frac{1}{2}. \end{cases}$$

In [3], it was proved that

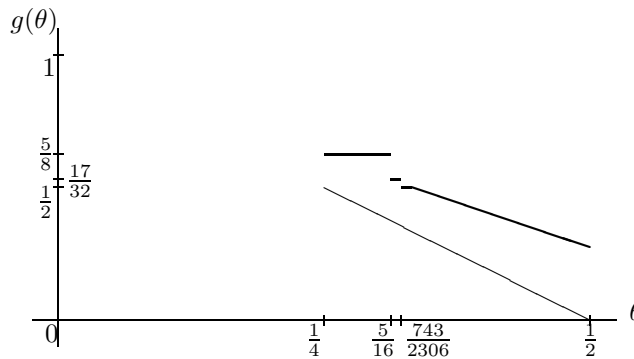
**Theorem 5** For  $\frac{1}{4} \leq \theta \leq \frac{1}{2}$ ,

$$g(\theta) \leq \begin{cases} \frac{5}{8}, & \text{if } \frac{1}{4} \leq \theta \leq \frac{5}{16}, \\ \frac{17}{32}, & \text{if } \frac{5}{16} \leq \theta \leq \frac{743}{2306}, \\ \frac{1}{2}, & \text{if } \frac{743}{2306} < \theta \leq \frac{1}{2}. \end{cases}$$

The purpose of this paper is to improve the  $\frac{1}{2}$  upper bound for  $g(\theta)$  in certain range of  $\theta$ , namely

**Theorem 6** For  $\frac{1}{3} \leq \theta \leq \frac{1}{2}$ , we have  $g(\theta) \leq 1 - \frac{3\theta}{2}$ .

Combining the above two theorems, we have the following picture:



The thin downward sloping line is the conjectural lower bound  $1 - 2\theta$  while the thick line segments above are the upper bounds from Theorems 5 and 6.

Furthermore, there are some connections between almost squares of type 1 and almost squares of type 2.

**Theorem 7** *If Conjecture 4 is true for  $f(\theta)$  when  $\frac{1}{4} \leq \theta \leq \frac{1}{2}$ , then*

$$g(\theta) \leq \frac{3}{2} - 3\theta$$

for  $\frac{1}{3} \leq \theta \leq \frac{1}{2}$ .

**Notation.** Both  $f(x) = O(g(x))$  and  $f(x) \ll g(x)$  mean that  $|f(x)| \leq Cg(x)$  for some constant  $C > 0$ .

**2. Unconditional Result: Theorem 6**

*Proof.* We shall use the fact: for any real number  $x \geq 1$ , there exists a perfect square  $a^2$  such that  $a^2 \leq x < (a + 1)^2$ . Hence  $|x - a^2| \ll \sqrt{x}$ .

Given  $x \geq 1$  sufficiently large. The almost square of type 2 close to  $x$  we have in mind has the form

$$n = (G^2 - 1)(H^2 - h^2) = a_1b_1 = a_2b_2,$$

where  $\{a_1, b_1\} = \{(G - 1)(H - h), (G + 1)(H + h)\}$  and  $\{a_2, b_2\} = \{(G - 1)(H + h), (G + 1)(H - h)\}$ .

Let  $0 < \lambda < \frac{1}{4}$ . We choose  $G = [x^{1/4-\lambda}]$ .

First, we approximate  $\frac{x}{G^2-1}$  by  $H^2$  where  $H = [\sqrt{\frac{x}{G^2-1}} + 1]$ . Then  $0 < H^2 - \frac{x}{G^2-1} \ll H$ . One can check that

$$GH = G \left[ \sqrt{\frac{x}{G^2-1}} + 1 \right] = x^{1/2} \left( 1 + O\left(\frac{1}{G^2}\right) \right) + O(G) = x^{1/2} + O(x^{2\lambda}) + O(x^{1/4-\lambda}).$$

Next, we approximate  $H^2 - \frac{x}{G^2-1}$  by  $h^2$  for some  $0 < h \ll H^{1/2} \ll x^{1/8+\lambda/2}$ . We can get within a distance  $H^2 - \frac{x}{G^2-1} - h^2 \ll H^{1/2} \ll x^{1/8+\lambda/2}$ . Therefore

$$|x - (G^2 - 1)(H^2 - h^2)| \leq \left| \frac{x}{G^2 - 1} - (H^2 - h^2) \right| G^2 \ll G^2 x^{1/8+\lambda/2} \ll x^{5/8-3\lambda/2}.$$

The number  $n = (G^2 - 1)(H^2 - h^2) = (G - 1)(G + 1)(H - h)(H + h)$ . Notice that

$$\begin{aligned} (G - 1)(H - h) &= GH - H - Gh + h \\ &= x^{1/2} + O(x^{2\lambda}) + O(x^{1/4-\lambda}) \\ &\quad + O(x^{1/4+\lambda}) + O(x^{3/8-\lambda/2}) + O(x^{1/8+\lambda/2}) \\ &= x^{1/2} + O(x^{1/4+\lambda}) + O(x^{3/8-\lambda/2}). \end{aligned}$$

One can check that the same asymptotic holds for  $(G - 1)(H + h)$ ,  $(G + 1)(H - h)$  and  $(G + 1)(H + h)$ . When  $\lambda \geq \frac{1}{12}$ , the first error term dominates. Thus, we just have found a  $(\frac{1}{4} + \lambda, C)$ -almost square of type 2 within a distance  $O(x^{5/8-3\lambda/2})$  from  $x$  for some  $C > 0$ .

Set  $\theta = \frac{1}{4} + \lambda$ . The condition  $\frac{1}{12} \leq \lambda < \frac{1}{4}$  becomes  $\frac{1}{3} \leq \theta < \frac{1}{2}$ . Meanwhile  $\frac{5}{8} - \frac{3\lambda}{2} = 1 - \frac{3\theta}{2}$ . Therefore, for any  $\frac{1}{3} \leq \theta < \frac{1}{2}$ , there exists a  $(\theta, C)$ -almost square of type 2 within a distance  $O(x^{1-3\theta/2})$  from  $x$ . So  $g(\theta) \leq 1 - \frac{3\theta}{2}$  when  $\frac{1}{3} \leq \theta < \frac{1}{2}$ . When  $\lambda = \frac{1}{4}$  (i.e.  $\theta = \frac{1}{2}$ ), one simply uses  $G = 2$  and the above argument works in the same way to give  $g(\frac{1}{2}) \leq \frac{1}{4}$ .  $\square$

### 3. Connection to Almost Squares of Type 1: Theorem 7

*Proof.* In the previous section, we used an elementary method to approximate  $\frac{x}{G^2-1}$  by  $(H - h)(H + h)$ , a  $(\frac{1}{4}, C)$ -almost square of type 1, since  $h \ll H^{1/2} \ll (\frac{x}{G^2-1})^{1/4}$ . So one should expect to do better using  $(\phi, C)$ -almost square of type 1 for some  $\frac{1}{4} \leq \phi \leq \frac{1}{2}$ .

Again we choose  $G = [x^{1/4-\lambda}]$  and let  $H = \sqrt{\frac{x}{G^2-1}}$ . By Conjecture 4 on  $f(\theta)$ , we can find a  $(\phi, C)$ -almost square of type 1, say  $ab$ , such that  $H - CH^{2\phi} \leq a < b \leq H + CH^{2\phi}$  and

$$\left| \frac{x}{G^2 - 1} - ab \right| \ll \left( \frac{x}{G^2 - 1} \right)^{1/2-\phi+\epsilon} \ll x^{1/4-\phi/2+\lambda-2\lambda\phi+\epsilon}$$

for  $x$  sufficiently large. Hence

$$|x - (G - 1)(G + 1)ab| \ll x^{3/4-\lambda-\phi/2-2\lambda\phi+\epsilon}. \tag{1}$$

Similar to the previous section, one has

$$\begin{aligned} (G - 1)a = (G - 1)(H + O(H^{2\phi})) &= GH - H + O(GH^{2\phi}) \\ &= x^{1/2} + O(x^{1/4+\lambda}) + O(x^{1/4-\lambda+\phi/2+2\lambda\phi}). \end{aligned}$$

The same is true for  $(G-1)b$ ,  $(G+1)a$  and  $(G+1)b$ . One can check that  $\frac{1}{4} + \lambda \geq \frac{1}{4} - \lambda + \frac{\phi}{2} + 2\lambda\phi$  if and only if  $\lambda \geq \frac{\phi}{4-4\phi}$ .

Let  $\theta = \frac{1}{4} + \lambda$  and  $\lambda = \frac{\phi}{4-4\phi}$ . Then the exponent in (1) satisfies  $\frac{3}{4} - \lambda - \frac{\phi}{2} - 2\lambda\phi = 1 - \theta(1 + 2\phi)$ . Therefore, for any  $\frac{1}{4} + \frac{\phi}{4-4\phi} \leq \theta \leq \frac{1}{2}$ , there exists a  $(\theta, C')$ -almost square of type 2 within a distance of  $O(x^{1-\theta(1+2\phi)+\epsilon})$  from  $x$  for some  $C' > 0$ .

Given  $\frac{1}{3} \leq \theta \leq \frac{1}{2}$ , the bigger the  $\phi$ , the better the above result. Since  $\frac{\phi}{4-4\phi}$  is an increasing function of  $\phi$ , the biggest  $\phi$  we can use is when  $\frac{1}{4} + \frac{\phi}{4-4\phi} = \theta$ . This gives  $\phi = 1 - \frac{1}{4\theta} \leq \frac{1}{2}$  as  $\theta \leq \frac{1}{2}$ . Using this value of  $\phi$ , we have a  $(\theta, C')$ -almost square of type 2 within a distance of  $O(x^{3/2-3\theta+\epsilon})$  from  $x$ . This proves Theorem 7 as  $\epsilon$  can be arbitrarily small.  $\square$

**Remark.** The exponent  $\frac{3}{2} - 3\theta \rightarrow 0$  as  $\theta \rightarrow \frac{1}{2}$ . However  $\frac{3}{2} - 3\theta$  is always greater than the conjectural value  $1 - 2\theta$  for  $g(\theta)$  which is no surprise as part of the almost square has the special form  $G^2 - 1$ . It would be interesting to see how one could incorporate the extra degree of freedom, namely  $G^2 - g^2$  for some  $g$ , for further improvements.

## References

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