

## \*—INDUCTIVE LIMITS AND PARTITION OF UNITY

V. MURALI

Department of Mathematics  
Rhodes University  
Grahamstown 6140  
South Africa

(Received November 16, 1987 and in revised form September 22, 1988)

**ABSTRACT** In this note we define and discuss some properties of partition of unity on  $*$ —inductive limits of topological vector spaces. We prove that if a partition of unity exists on a  $*$ —inductive limit space of a collection of topological vector spaces, then it is isomorphic and homeomorphic to a subspace of a  $*$ —direct sum of topological vector spaces.

**KEY WORDS AND PHRASES.** Partition of unity,  $*$ —inductive limits,  $*$ —direct sum.

**AMS (Mos) SUBJECT CLASSIFICATION CODES.** Primary: 46A12, 46A15.

Secondary: 46A99, 46M40.

### 1. INTRODUCTION

M. De Wilde [1] introduced the concept of partition of unity in an inductive limit space of a family of locally convex spaces which extends the usual partition of unity in function spaces. Around the same time S.O. Iyahen [2] introduced  $*$ —inductive limits of topological vector spaces, not necessarily locally convex, as a generalisation of inductive limits. In this paper, we consider the notion of partition of unity in  $*$ —inductive limit spaces of topological vector spaces and obtain some useful results some of which are analogous to De Wilde's results in [1]. In section 2, we briefly discuss the well-known concept of  $F$ —semi—norms in topological vector spaces. The details may be found in [6]. In section 3, we define the concept of partition of unity in  $*$ —inductive limit and using this, obtain a family of  $F$ —semi—norms defining the  $*$ —inductive limit topology. Finally we conclude with a representation theorem of  $*$ —inductive limit space with a partition of unity.

We prove that if a partition of unity exists on a  $*$ —inductive limit space of a collection of topological vector spaces, then it is isomorphic and homeomorphic to a subspace of a  $*$ —direct sum of topological vector spaces.

2. F–SEMI–NORMS

Let E be a vector space over k where k is the field real or complex numbers.

DEFINITION 2.1

An F–semi–norm on E is a mapping  $\nu : E \rightarrow \mathbb{R}$  such that

- (i)  $\nu(x) \geq 0$  for all  $x \in E$ ;
- (ii)  $\nu(\lambda x) \leq \nu(x)$  for all  $x \in E$  and for all  $|\lambda| \leq 1$ ;
- (iii)  $\nu(x+y) \leq \nu(x) + \nu(y)$  for all  $x, y \in E$ ;
- (iv) for each  $x \in E, \nu(\lambda x) \rightarrow 0$  as  $\lambda \rightarrow 0$ .

Suppose that  $V = \{\nu_\alpha : \alpha \in \Lambda\}$  is a family of F–semi–norms on E. Then V determines a linear topology  $\eta$  on E. A base of  $\eta$ –neighbourhoods of the origin in E consists of sets of the form

$$U_{\nu_{\alpha_1}, \nu_{\alpha_2}, \dots, \nu_{\alpha_n}, \epsilon} = \{x \in E : \nu_{\alpha_j}(x) < \epsilon, j = 1, 2, \dots, n\}$$

where  $\epsilon$  is an arbitrary positive number and  $\nu_{\alpha_1}, \nu_{\alpha_2}, \dots, \nu_{\alpha_n}$  is any finite subcollection of

V. Also, it is clear that each  $\nu_\alpha \in V$  is  $\eta$ –continuous and  $\eta$  is the topology on E determined by the family Q of all  $\eta$ –continuous F–semi–norms on E. In fact, an F–semi–norm  $\mu \in Q$  if and only if, for each  $\epsilon > 0$  there exists a  $\delta > 0$  and a finite collection  $\nu_{\alpha_1}, \nu_{\alpha_2}, \dots, \nu_{\alpha_n}$  of V such that

$$U_{\nu_{\alpha_1}, \nu_{\alpha_2}, \dots, \nu_{\alpha_n}, \delta} \subseteq \{x : \mu(x) < \epsilon\}.$$

Conversely, we have the following:

THEOREM 2.1

A vector space topology on E can always be determined by a family of F–semi–norms.

Proof: see [6], chapter 1, Proposition 2.

3. PARTITION OF UNITY:

Let  $(E, \tau)$  be the \*–inductive limit of a family of topological vector spaces  $(E_i, \tau_i) i \in I$ , an index family, relative to linear maps  $u_i : E_i \rightarrow E$ . Suppose further that the index set I is directed and that for each pair indices  $i, j \in I$  with  $i < j$ , there is a continuous linear map  $v_{ij} : E_i \rightarrow E_j$  such that  $u_i = u_j \circ v_{ij}$ .

DEFINITION 3.1 A partition of unity on E is defined to be a family of linear maps  $(T_i) (i \in I), T_i : E \rightarrow E_i$ , which satisfies the following conditions.

- (i)  $T_i \circ u_j$  is continuous for each pair (i–j).
- (ii) For each  $j \in I, T_i \circ u_j = 0$  except for a finite number of  $i \in I$ .
- (iii)  $\sum_{i \in I} u_i \circ T_i$  is the identity map on E.

Remark: We note that the condition (i) is equivalent to the following condition:

- (i) each  $T_i : E \rightarrow E_i$  is continuous.

Example 3.2 Suppose  $(E, \tau)$  is the inductive limit of locally convex spaces  $(E_i, \tau_i) (i \in I)$  with  $\{T_i\} (i \in I)$  is a partition of unity of  $(E, \tau)$ . Then since  $\tau$  is coarser than the

\*-inductive limit topology  $\tau^*$  on  $E$ , it follows that  $\{T_i\}$  ( $i \in I$ ) is also a partition of unity of  $(E, \tau^*)$ .

**Example 3.3** Let  $\{E_n\}$  ( $n = 1, 2, \dots$ ) be a sequence of topological vector spaces,  $E$  be the \*-direct sum of the  $E_n$ 's as defined in [2], and let  $\{P_n\}$  ( $n = 1, 2, \dots$ ) be the projection maps of  $E$  onto  $E_n$ . Then,  $E$  is the \*-inductive limit of the sequence  $\left\{ \bigoplus_{i=1}^N E_i \right\}$  ( $N = 1, 2, \dots$ ) and the maps  $\{P_n\}$  constitute a partition of unity.

We now consider some properties of the \*-inductive limit space  $(E, \tau)$  with a partition of unity  $\{T_i\}$  ( $i \in I$ ) but first some notations.

For each  $i \in I$ , let  $P_i$  be a family of  $F$ -semi-norms on  $E_i$ . Then  $P_i$  determines a linear topology  $\tau_i$  on  $E_i$  and let  $Q_i = \{v_i^a : a \in \Gamma_i\}$  be the family of all  $\tau_i$ -continuous  $F$ -semi-norms on  $E_i$ . For each collection  $s$  of  $F$ -semi-norms  $\{v_i^a : v_i^a \in Q_i\}$  ( $i \in I$ ) and each set  $\sigma$  of positive real numbers  $\{c_i\}$   $i \in I$ , we define a non-negative real-valued function  $\pi_\sigma^s$  on  $E$  by the equation

$$\pi_\sigma^s(x) = \sum_{i \in I} c_i v_i^a(T_i x) \quad \text{for } x \in E. \tag{3.1}$$

It is easy to verify that  $\pi_\sigma^s$  is a well-defined,  $F$ -semi-norm on  $E$ . By  $\Pi$  we denote the family of all such  $F$ -semi-norms  $\pi_\sigma^s$  for every collection of  $\sigma$  and  $s$ .

**THEOREM 3.4** The \*-inductive limit topology  $\tau$  on  $E$  is given by the family  $\Pi$  of  $F$ -semi-norms  $\pi_\sigma^s$  defined by the equation 3.1.

**PROOF** Let  $\tau_\Pi$  be the linear topology on  $E$  generated by the collection  $\Pi$ . We have to prove that  $\tau = \tau_\Pi$ . We will do this in two steps. First, to prove that  $\tau_\Pi$  is coarser than  $\tau$ , it is sufficient to show that each  $u_j : (E_j, \tau_j) \rightarrow (E, \tau_\Pi)$  is continuous. See [4]. Now each  $u_j$  is continuous, if and only if for any  $\pi_\sigma^s \in \Pi$ ,  $\pi_\sigma^s \circ u_j : E_j \rightarrow \mathbb{R}$  is continuous. In fact, for each  $x \in E_j$ ,

$$\pi_\sigma^s(u_j x) = \sum_{i \in I} c_i v_i^a(T_i u_j x).$$

But  $T_i \circ u_j$  is equal to 0 except for a finite number of indices  $i \in I$ .

Let  $J = \{i \in I : T_i \circ u_j \neq 0\}$ .

Now each  $T_i \circ u_j$  is continuous from  $E_j$  into  $E_i$ , and so  $v_i^a(T_i \circ u_j)$  is  $\tau_j$ -continuous. Thus we can write  $\pi_\sigma^s \circ u_j = \sum_{i \in J} c_i (v_i^a(T_i \circ u_j))$  and so  $\pi_\sigma^s \circ u_j$  is continuous. From that it follows that  $\tau_\Pi \subseteq \tau$ .

For each  $x \in E_j$ ,

$$\begin{aligned} \nu(x) &= \nu \left[ \sum_{i \in I} u_i \circ T_i x \right] \\ &\leq \sum_{i \in I} \nu(u_i T_i x). \end{aligned}$$

Now  $\nu_{\sigma u_i}$  is a  $\tau_i$ -continuous F-semi-norm on  $E_i$  and so belongs to  $Q_i$ . Hence

$$\begin{aligned} \nu(x) &\leq \sum (\nu_{\sigma u_i})(T_i x) \\ &= \tau_{\sigma}^s(x). \end{aligned}$$

Here  $s = \{\nu_{\sigma u_i}\} (i \in I)$ , and  $c_i = 1$  for each  $i \in I$ . This implies that the identity map  $(E, \tau_{\Pi}) \rightarrow (E, \tau)$  is continuous and so  $\tau$  is coarser than  $\tau_{\Pi}$  as required. This completes the proof.

**COROLLARY 3.5**      If each  $E_i (i \in I)$  is separated, then  $(E, \tau)$  is separated.

**THEOREM 3.6**      If  $B$  is a bounded set in  $E$ , then  $T_i b = 0$  except for a finite number of indices  $i \in I$ . Hence  $B$  is bounded in  $E$  if and only if there exists a continuous linear mapping  $T$  from  $E$  onto some  $E_i$  such that  $B = u_i T B$ .

The proof is analogous to that of the corresponding result in ([1], p3) and so is omitted here.

**COROLLARY 3.7**      If each  $\{E_i\}$  is sequentially complete, then  $E$  is sequentially complete.

**PROOF:**      Let  $\{x_n\}$  be a Cauchy sequence in  $E$ . Then  $\{x_n\}$  is a bounded set in  $E$ , and so, by theorem 3.6, there exists a continuous linear mapping  $T$  from  $E$  into some  $E_i$  such that  $\{x_n\} = u_i T\{x_n\}$ .

Since a continuous linear mapping from one topological vector space into another takes Cauchy sequences to Cauchy sequences,  $T\{x_n\}$  is a Cauchy sequence in  $E_i$ . Now  $E_i$  is sequentially complete, and so  $T\{x_n\}$  converges to a point  $x$  say in  $E_i$ . Therefore  $u_i T\{x_n\}$  converges to  $u_i x$ , since  $u_i$  is a continuous linear mapping. Therefore  $\{x_n\}$  converges to a point in  $E$ . Hence the result.

At present it is not known whether the completeness of each  $(E_i, \tau_i)$  implies the completeness of  $(E, \tau)$ . Lastly we prove that the collection of numbers in  $\sigma$  of  $\Pi_{\sigma}^s$  can be chosen in an economical way. An useful application of this is given in [4].

**PROPOSITION 3.8**      Let  $\sigma' = \{c_i : c_i \geq 1\}$ . If  $\Pi'$  denotes all F-semi-norms of the form  $\Pi_{\sigma'}^s$ , for various collections of  $s$  and  $\sigma'$ , then  $\tau_{\Pi} = \tau_{\Pi'}$ , where  $\tau_{\Pi}$  and  $\tau_{\Pi'}$  denote the topology generated by  $\Pi$  and  $\Pi'$  respectively.

**PROOF**      It is obvious that  $\Pi' \subseteq \Pi$  and so it is clear that  $\tau_{\Pi'}$  is coarser than  $\tau_{\Pi}$ . Conversely let  $U$  be a  $\tau_{\Pi}$ -neighbourhood of the origin in  $E$ . Then  $U$  contains a set  $V$  of the form

$$\begin{aligned} V &= \{x \in E: \pi_{\sigma_n}^s(x) < \epsilon; n = 1, 2, \dots, m; \epsilon > 0\} \text{ where } \pi_{\sigma_n}^s(x) \\ &= \sum c_i^{(n)} \nu_i^{a(n)}(T_i x) \end{aligned}$$

Now let for any real number  $r$ ,  $[r]$  denote the greatest integer  $\leq r$  then  $c_i^{(n)} < [c_i^{(n)}] + 1$ ;

and if we denote  $\sigma'_n = \{[c_i^{(n)}] + 1\}$ , then it is easy to see that  $\pi_{\sigma'_n}^s(x)$  for all  $x \in E$ . So we

have  $U \supseteq V \supseteq V'$ , where  $V' = \{x \in E: \tau_{\sigma', n}(x) < \epsilon; n = 1, 2, \dots, m, \epsilon > 0\}$  is a

$\tau_{\Pi'}$ -neighbourhood of the origin. Thus we have  $\tau_{\Pi}$  is coarser than  $\tau_{\Pi'}$ , and so  $\tau_{\Pi} = \tau_{\Pi'}$ .

4. DIRECT SUM

In this section we give an analogue of a representation theorem given by D. Keim in [3]. Let  $(E, \tau)$  be the \*-inductive limit of topological vector spaces  $(E_i, \tau_i)$  ( $i \in I$ ) relative to linear maps  $u_i = E_i \rightarrow E$ . Suppose, further that, a partition of unity  $\{T_i\}$  is defined on  $(E, \tau)$ . Then we have the following representation theorem.

**THEOREM 4.1**  $(E, \tau)$  is isomorphic and homeomorphic to a subspace of a \*-direct sum of topological vector spaces.

**PROOF:** Define a linear map  $\Phi$  from  $(E, \tau)$  into the \*-direct sum of  $E_i$ 's as follows:

$$\Phi : E \rightarrow \sum_{i \in I} E_i \text{ given by } \Phi(x) = (T_i x) \text{ for } x \in E.$$

This mapping is well-defined and one-to-one since  $\{T_i\}$  satisfies the conditions (ii) and (iii) of partition of unity respectively. It is easy to check that  $\Phi$  is a linear map and so, is an isomorphism. Moreover that  $\Phi$  is continuous is shown as follows.

By condition (ii) of partition of unity,  $T_i \circ u_j = 0$  except for a finite number of  $i \in I$  and for each fixed  $j \in I$ . Let  $i_1, i_2, \dots, i_n$  be the finite number of indices such that  $T_{i_k} \circ u_j = 0$

for  $k = 1, 2, \dots, n$ . Then  $\Phi \circ u_j = (\sum I_{i_k} \circ T_{i_k}) \circ u_j$  where  $I_{i_k}$  is the injection map of

$E_{i_k} \rightarrow \sum_{i \in I} E_i$ . Now for each  $i_k, k = 1, 2, \dots, m, I_{i_k} \circ T_{i_k} \circ u_j$  is continuous by condition (i) of partition of unity and continuity of each  $I_{i_k}, k = 1, 2, \dots, n$ . Therefore  $\Phi \circ u_j$  is continuous for each  $j \in I$ . Consequently  $\Phi$  is continuous [5], as required.

Conversely, let  $\Phi'$  be a linear map defined by  $\Phi' : \sum_{i \in I} E_i \rightarrow E$

$$\Phi'(x_i) = \sum_{i \in I} u_i(x_i).$$

This is well-defined since  $x_i = 0$  except for a finite number of  $i \in I$ . Moreover,  $\Phi'$  is linear and  $\Phi' \mid \Phi(E) = \Phi^{-1}$ . Also,  $\Phi' \circ I_i = u_i$  is continuous from  $E_i \rightarrow E$  for each

$j \in I$ . Hence  $\Phi'$  is continuous. Thus  $\Phi$  is an isomorphism and a homeomorphism from  $E$  onto  $\sum_{i \in I} E_i$ .

REFERENCES

1. DE WILDE, M., Inductive limits and partition of unity, *Manuscripta Math.*, 5, (1971), 45-58.
2. IYAHEN, S.O., On certain classes of linear topological spaces, *Proc. London Math. Soc.* (3), 18, (1968), 285-307.

3. KEIM, D. Induktive and projective limiten Mit Zerlegung der Einheit, *Manuscripta Math.*, 10, (1973), 191–195.
4. MURALI, V. Partition of unity on algebraic inductive limits, *Math. Japonica* 22, (1977), 497–500.
5. MURALI, V. Suprabarrels in topological vector spaces, *Math. Japonica* 32, (1987) 797–800.
6. WEALBROECK, L. Topological Vector Spaces and Algebras, Springer-Verlag, Lecture notes (1971) 230.