Research Article

# Uniqueness and Multiplicity of Solutions for a Second-Order Discrete Boundary Value Problem with a Parameter 

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This paper is concerned with the existence of unique and multiple solutions to the boundary value problem of a second-order difference equation with a parameter, which is a complement of the work by J. S. Yu and Z. M. Guo in 2006.

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## 1. Introduction and preliminaries

In this paper, we consider the existence, uniqueness, and multiplicity of solutions for a secondorder discrete boundary value problem

$$
\begin{gather*}
p(n+1) u(n+1)+c(n) u(n)+p(n) u(n-1)=\lambda f(n, u(n)), \quad n \in Z(1, k),  \tag{1.1}\\
u(0)+\alpha u(1)=A, \quad u(k+1)+\beta u(k)=B,
\end{gather*}
$$

where $\lambda \in \mathbb{R}$ is a parameter. Our technique is based on critical point theory, which is successfully used to deal with the existence of solutions for discrete problems (see [1-9]), especially in $[7,9]$. Similarly to [7], we denote by $\mathbb{N}, \mathbb{Z}$, and $\mathbb{R}$ the sets of all natural numbers, integers, and real numbers, respectively. For $a, b \in \mathbb{Z}, Z(a)=\{a, a+1, \ldots\}, Z(a, b)=\{a, a+1, \ldots, b\}$ when $a \leq b$. We assume that $p(n)$ is nonzero and real-valued for each $n \in Z(1, k), c(n)$ is real-valued for each $n \in Z(1, k)$, and $f(n, u)$ is real-valued for each $(n, u) \in Z(1, k) \times \mathbb{R}$ and continuous in $u$. Let $\mathbb{R}^{k}$ be the real Euclidean space with dimension $k$. For any $u, v \in \mathbb{R}^{k},\|u\|$ and $(u, v)$, denote the usual norm and inner product in $\mathbb{R}^{k}$, respectively.

Consider the functional defined on $\mathbb{R}^{k}$,

$$
\begin{equation*}
J(u)=\frac{1}{2}(M u, u)+(\eta, u)-\lambda F(u), \quad u=(u(1), u(2), \ldots, u(k))^{T} \in \mathbb{R}^{k} \tag{1.2}
\end{equation*}
$$

where $(\cdot)^{T}$ is the transpose of a vector in $\mathbb{R}^{k}$,

$$
\begin{gather*}
M=\left(\begin{array}{cccccc}
c(1)-\alpha p(1) & p(2) & 0 & \cdots & 0 & 0 \\
p(2) & c(2) & p(3) & \cdots & 0 & 0 \\
0 & p(3) & c(3) & \cdots & 0 & 0 \\
\cdots & & \cdots & & \cdots & \\
0 & 0 & 0 & \cdots & c(k-1) & p(k) \\
0 & 0 & 0 & \cdots & p(k) & c(k)-\beta p(k+1)
\end{array}\right) \\
\eta=\left(\begin{array}{c}
p(1) A \\
0 \\
\vdots \\
0 \\
p(k+1) B
\end{array}\right)  \tag{1.3}\\
F(u)=\sum_{j=1}^{k} \int_{0}^{u(j)} f(j, s) d s .
\end{gather*}
$$

It is easy to see that $J(u)$ is Fréchet differentiable with Fréchet derivative

$$
\begin{equation*}
J^{\prime}(u)=M u+\eta-\lambda f(u) \tag{1.4}
\end{equation*}
$$

where $f(u)=(f(1, u(1)), f(2, u(2)), \ldots, f(k, u(k)))^{T}$, and there is a one-to-one correspondence between the critical point of functional $J$ and the solution of BVP (1.1). Furthermore, $u=$ $(u(1), u(2), \ldots, u(k))^{T}$ is a critical point of $J$ if and only if $\{u(t)\}_{t=0}^{k+1}=(u(0), u(1), \ldots, u(k+1))^{T}$ is a solution of (1.1), where $u(0)=A-\alpha u(1), u(k+1)=B-\beta u(k)$ [7].

Recently, Yu and Guo [7] studied the BVP,

$$
\begin{gather*}
p(n+1) u(n+1)+c(n) u(n)+p(n) u(n-1)=f(n, u(n)),  \tag{1.5}\\
u(0)+\alpha u(1)=A, \quad u(k+1)+\beta u(k)=B .
\end{gather*}
$$

They obtained some existence results for (1.5). One of the main results is as follows.
Theorem $1.1\left(A_{0}\right)$. Suppose that $f(n, z)$ satisfies the following assumption:
(f3) there exist constants $a_{1}>0, a_{2}>0, R>0$, and $\beta>2$ such that

$$
\begin{equation*}
\sum_{j=1}^{k} \int_{0}^{u(j)} f(j, s) d s \geq a_{1}\|u\|^{\beta}-a_{2} \quad \text { for } u=(u(1), u(2), \ldots, u(k))^{T} \in \mathbb{R}^{k},\|u\| \geq R \tag{1.6}
\end{equation*}
$$

Then BVP (1.5) has at least one solution.

Equation (1.6) shows that $F(u)=\sum_{j=1}^{k} \int_{0}^{u(j)} f(j, s) d s>0$ for $\|u\|$ large enough. Since $F(u)$ may be negative, then the conclusion of Theorem 1.1 cannot be drawn, which motivates us to consider (1.1). Note that if we take $\lambda=-1$ in (1.1), then $F(u)<0$. Under the similar condition to (1.6) when $\lambda<0$, we not only obtain the existence of solutions for (1.1), but also the multiplicity.

Let $E$ be a Banach space with a direct sum decomposition $E=X \oplus Y$. The functional $I \in$ $C^{1}(E, \mathbb{R})$ has a local linking at 0 if for some $\rho>0, I(u) \leq 0, u \in X,\|u\| \leq \rho$, and $I(u) \geq 0, u \in Y$, $\|u\| \leq \rho$. The functional $I \in C^{1}(E, \mathbb{R})$ is said to satisfy the (PS) condition if any sequence $x_{n} \subset X$ for which $I\left(x_{n}\right)$ is bounded, and $I^{\prime}\left(x_{n}\right) \rightarrow 0(n \rightarrow \infty)$ possesses a convergent subsequence in $E$.

Theorem A (see[10]). Let E be a Banach space. Suppose that $I \in C^{1}(E, \mathbb{R})$ satisfies the (PS) condition and has a local linking at 0 . Assume that $I$ is bounded below and $\inf _{E} I<0$. Then I has at least two nontrivial critical points.

Theorem B (see $[6,11])$. Let $X$ be a real Banach space, $I \in C^{1}(X, \mathbb{R})$ with I even, bounded from below, and satisfying (PS) condition. Suppose $I(0)=0$. There is a set $K \subset X$ such that $K$ is homeomorphic to a unit sphere $S^{n-1}$ in $\mathbb{R}^{n}(n \in \mathbb{N})$ by an odd map, and $\sup _{K} I<0$. Then I possesses at least $n$ distinct pairs of critical points.

## 2. Main results

Following conditions will be useful to prove our main results.
(H1) There exist numbers $\alpha_{1}>2$ and $a_{1}>0$ such that

$$
\begin{equation*}
F(u) \geq a_{1}\|u\|^{\alpha_{1}} \quad \text { for } u=(u(1), u(2), \ldots, u(k))^{T} \in \mathbb{R}^{k} . \tag{2.1}
\end{equation*}
$$

$(\mathrm{H} 2) \lim _{u \rightarrow 0}\left(F(u) /\|u\|^{2}\right)=0$ for $u=(u(1), u(2), \ldots, u(k))^{T} \in \mathbb{R}^{k}$.
Theorem 2.1. Suppose that $M$ is positive definite, $f(j, 0)=0, f(j, u) u<0$ for $j \in Z(1, k)$ and $u \neq 0$, and that $p(1) A=p(k+1) B=0$. Then (1.1) has only trivial solution for $\lambda>0$.

Proof. Note that

$$
\begin{align*}
\left(J^{\prime}(u), u\right) & =(M u, u)+(\eta, u)-\lambda(f(u), u) \\
& \geq \lambda_{1}\|u\|^{2}-\lambda \sum_{j=1}^{k} f(j, u(j)) u(j)  \tag{2.2}\\
& \geq \lambda_{1}\|u\|^{2}>0
\end{align*}
$$

for $u \neq 0$, where $\lambda_{1}$ is the least eigenvalue of $M$, which means that the Nahari manifold is empty. Thus (1.1) has only trivial solution.

Theorem 2.2. Suppose that (H1) and (H2) hold, $p(1) A=p(k+1) B=0$ and $M$ is neither positive definite nor negative definite. Then (1.1) has at least two nontrivial solutions for $\lambda<0$.

Proof. We will prove that the functional $J(u)$ satisfies all conditions of Theorem A by two steps.

Step 1. $J$ is bounded from below and satisfies (PS) condition. Let $\lambda_{-l}, \lambda_{-l+1}, \ldots, \lambda_{-1}, \lambda_{1}, \lambda_{2}, \ldots, \lambda_{m}$ denote all the eigenvalues of $M$, where $\lambda_{-l} \leq \lambda_{-l+1} \leq \cdots \leq \lambda_{-1}<0<\lambda_{1} \leq \lambda_{2} \leq \cdots \leq \lambda_{m}$ and $l+m=k$. For any $j \in Z(-l,-1) \cup Z(1, m)$, set $\xi_{j}$ to be an eigenvector of $M$ corresponding to the eigenvalue $\lambda_{j}, j=-l,-l+1, \ldots,-1,1,2, \ldots, m$, such that

$$
\left(\xi_{i}, \xi_{j}\right)= \begin{cases}0 & \text { for } i \neq j  \tag{2.3}\\ 1 & \text { for } i=j\end{cases}
$$

Let $X$ and $Y$ be subspaces of $\mathbb{R}^{k}$ defined by

$$
\begin{align*}
& X=\left\{x \in \mathbb{R}^{k}: x=\sum_{j=1}^{m} x_{j} \xi_{j}, x_{j} \in \mathbb{R}, j \in Z(1, m)\right\} \\
& Y=\left\{y \in \mathbb{R}^{k}: y=\sum_{j=-l}^{-1} y_{j} \xi_{j}, y_{j} \in \mathbb{R}, j \in Z(-l,-1)\right\} \tag{2.4}
\end{align*}
$$

respectively. Then $\mathbb{R}^{k}$ has the direct sum decomposition $\mathbb{R}^{k}=X \oplus Y$. In view of (H1), we have

$$
\begin{align*}
J(u) & \geq \frac{1}{2} \lambda_{-l}\|u\|^{2}-\lambda a_{1}\|u\|^{\alpha_{1}}  \tag{2.5}\\
& \geq-\frac{1}{2}\left|\lambda_{-l}\right|\left(1-2 / \alpha_{1}\right)\left(\left|\lambda_{-l}\right| /\left(-\alpha_{1} \lambda a_{1}\right)\right)^{2 /\left(\alpha_{1}-2\right)}
\end{align*}
$$

The second inequality follows from the elementary inequality $-a x^{2}+b x^{q} \geq-a(1-2 / q)((2 a) /$ $(q b))^{2 /(q-2)}$, where $a>0, b>0, x>0$, and $q>2$, which can be easily obtained by the fact that the function $h(x)=-a x^{2}+b x^{q}(a, b>0, x \geq 0)$ attains its minimum at $((2 a) /(q b))^{1 /(q-2)}$. Thus $J(u)$ is bounded from below.

Equation (2.5) shows that $J(u)$ is coercive, so we can obtain that any (PS) sequence must be bounded in $\mathbb{R}^{k}$ and, by a standard argument, has a convergent subsequence.
Step 2. $J$ has a local linking at 0 . Indeed, by (H2) for given $\lambda<0$ and sufficiently small $\varepsilon>0$ such that $-\lambda \varepsilon+(1 / 2) \lambda_{-1}<0$, there exists $r>0$ small enough such that for $\|u\|<r$,

$$
\begin{equation*}
F(u)<\varepsilon\|u\|^{2} \tag{2.6}
\end{equation*}
$$

holds. Then for $y \in Y$ such that $0<\|y\|<r$, we have

$$
\begin{align*}
J(y) & \leq \frac{1}{2} \lambda_{-1}\|y\|^{2}-\lambda \varepsilon\|y\|^{2} \\
& =\left(\frac{1}{2} \lambda_{-1}-\lambda \varepsilon\right)\|y\|^{2}<0 \tag{2.7}
\end{align*}
$$

On the other hand, for $x \in X$ with $\|x\|<r$, we have

$$
\begin{equation*}
J(x) \geq \frac{1}{2} \lambda_{1}\|x\|^{2}-\lambda a_{1}\|x\|^{\alpha_{1}} \geq 0 \tag{2.8}
\end{equation*}
$$

The application of Theorem A finishes our proof.

Remark 2.3. By the above proof, we see that replacing (H1) with (f3), and by adding the condition that $F(u) \geq 0$ for $u \in \mathbb{R}^{k}$, Theorem 2.2 still holds, where ( f 3 ) is the same as in Theorem 1.1. Indeed, we have

$$
J(u) \geq \begin{cases}\frac{1}{2} \lambda_{-l} R^{2} & \text { for }\|u\| \leq R,  \tag{2.9}\\ -\frac{1}{2}\left|\lambda_{-l}\right|(1-2 / \beta)\left(\left|\lambda_{-l}\right| /\left(-\beta \lambda a_{1}\right)\right)^{2 /(\beta-2)}+\lambda a_{2} & \text { for }\|u\|>R,\end{cases}
$$

which means that $J(u)$ is bounded from below. The fact that $J(u)$ has local linking at 0 may be verified similarly.

If we further impose some condition on $f(u)$ and matrix $M$, then the following result can be derived.

Theorem 2.4. Suppose (H1) and (H2) hold, $p(1) A=p(k+1) B=0, f(u)$ is odd in $u$, that is, $f(j,-u)=$ $-f(j, u)$ for $(j, u) \in Z(1, k) \times \mathbb{R}$, and that $M$ is neither positive definite nor negative definite and has $l$ distinct negative eigenvalues. Then (1.1) has at least ldistinct pairs of solutions for $\lambda<0$.

Proof. By the proof of Theorem 2.2, $J(u)$ is bounded from below and satisfies (PS) condition. In addition, $J(0)=0, J(u)$ is even. Consider the subset $K$ of $\mathbb{R}^{k}$ :

$$
\begin{equation*}
K=\left\{y \in Y: y=\sum_{j=-l}^{-1} y_{j} \xi_{j}, \sum_{j=-l}^{-1} y_{j}^{2}=\rho^{2}, y_{j} \in \mathbb{R}, j \in Z(-l,-1)\right\} \tag{2.10}
\end{equation*}
$$

where $\rho$ is a positive number small enough to be determined later, $Y$ is defined by Theorem 2.2 similarly. Define the mapping $T: K \rightarrow S^{l-1}$ by

$$
\begin{equation*}
T(y)=T\left(y=\sum_{j=-l}^{-1} y_{j} \xi_{j}\right)=\left(-\frac{y_{-l}}{\rho},-\frac{y_{-l+1}}{\rho}, \ldots,-\frac{y_{-1}}{\rho}\right) \tag{2.11}
\end{equation*}
$$

where $S^{l-1}$ is a unit sphere in $\mathbb{R}^{l}$. Then $T$ is a homeomorphism between $K$ and $S^{l-1}$, and $K$ is a subset of the finite dimensional space $Y$ equipped with the Euclidian norm. We can choose $\rho>0$ and $\varepsilon>0$ small enough such that $(1 / 2) \lambda_{-1}-\lambda \varepsilon<0$ for $y \in K$, and then we have

$$
\begin{equation*}
J(y) \leq \frac{1}{2} \lambda_{-1}\|y\|^{2}-\lambda F(u) \leq \frac{1}{2} \lambda_{-1} \rho^{2}-\lambda \varepsilon \rho^{2} \leq\left(\frac{1}{2} \lambda_{-1}-\lambda \varepsilon\right) \rho^{2} . \tag{2.12}
\end{equation*}
$$

For above $\rho>0$, we have

$$
\begin{equation*}
\sup _{K} J<0, \tag{2.13}
\end{equation*}
$$

which together with Theorem B concludes the proof.
Remark 2.5. The condition that $J(u)$ is bounded from below is crucial to prove both Theorems 2.2 and 2.4. As in Remark 2.3, if we replace (H1) by (f3) and the condition that $F(u) \geq 0$ for $u \in \mathbb{R}^{k}$, then Theorem 2.4 is also true.

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