

## WEIGHTED COMPOSITION OPERATORS ON BERGMAN AND DIRICHLET SPACES

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ABSTRACT. Let  $H(\Omega)$  denote a functional Hilbert space of analytic functions on a domain  $\Omega$ . Let  $w : \Omega \rightarrow \mathbf{C}$  and  $\phi : \Omega \rightarrow \Omega$  be such that  $wf \circ \phi$  is in  $H(\Omega)$  for every  $f$  in  $H(\Omega)$ . The operator  $wC_\phi$  given by  $f \rightarrow wf \circ \phi$  is called a *weighted composition operator* on  $H(\Omega)$ . In this paper we characterize such operators and those for which  $(wC_\phi)^*$  is a composition operator. Compact weighted composition operators on some functional Hilbert spaces are also characterized. We give sufficient conditions for the compactness of such operators on weighted Dirichlet spaces.

### 1. INTRODUCTION

A Hilbert space  $H(\Omega)$  of analytic functions on a domain  $\Omega$  is called a *functional Hilbert space* provided the point evaluation  $f \rightarrow f(x)$  is continuous for every  $x$  in  $\Omega$ . The Hardy space  $H^2$  and the Bergman space  $L_a^2(\mathbf{D})$  are the well-known examples of functional Hilbert spaces. An application of the Riesz representation theorem shows that for every  $x \in \Omega$  there is a vector  $k_x$  in  $H(\Omega)$  such that  $f(x) = \langle f, k_x \rangle$  for all  $f$  in  $H(\Omega)$ . Let  $K = \{k_x : x \in \Omega\}$ . An operator  $T$  on  $H(\Omega)$  is a *composition operator* if and only if  $K$  is invariant under  $T^*$  [1]. In fact,  $T^*k_x = k_{\phi(x)}$ , where  $T = C_\phi$ . It is a *multiplication operator* if and only if the elements of  $K$  are eigenvectors of  $T^*$  [2]. In this case  $T^*k_x = \overline{\psi(x)}k_x$ , where  $T = M_\psi$  is the operator of multiplication by  $\psi$ . An operator  $T$  on  $H(\Omega)$  is a *weighted composition operator* if and only if  $T^*K \subset \tilde{K}$ , where  $\tilde{K} = \{\lambda k_x | \lambda \in \mathbf{C}, x \in \Omega\}$ . In this case  $T^*k_x = \overline{w(x)}k_{\phi(x)}$ , where  $T = wC_\phi$ .

We note that the Hardy space  $H^2$  can be identified as the space of func-

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1991 *Mathematics Subject Classification.* 47B37, 47B38.

*Key words and phrases.* Weighted composition operator, compact, functional Hilbert space, Carleson measure, angular derivative, Dirichlet space.

tions  $f$  analytic in the open unit disc  $\mathbf{D}$  such that

$$\|f\|^2 = \sup_{0 < r < 1} \int_{-\pi}^{\pi} |f(re^{i\theta})|^2 d\theta < \infty.$$

Actually, if  $f \in H^2$  and  $f(z) = \sum a_n z^n$  then  $\|f\|^2 = \sum |a_n|^2$ . Moreover, if  $f \in H^2$  then

$$\langle f, g \rangle = \sum a_n \bar{b}_n,$$

where  $g(z) = \sum b_n z^n$ . For  $\lambda \in \mathbf{D}$  the function  $k_\lambda(z) = (1 - \bar{\lambda}z)^{-1}$  is the reproducing kernel for  $\lambda$ .

Let  $G$  be a bounded open subset of the complex plane  $\mathbf{C}$ . For  $1 \leq p \leq \infty$ , the Bergman space of  $G$ ,  $L_a^p(G)$  is the set of all analytic functions  $f: G \rightarrow \mathbf{C}$  such that  $\int_G |f|^p dA < \infty$ , where  $dA(z) = 1/\pi r dr d\theta$  is the usual area measure on  $G$ . Note that  $L_a^p(G)$  is closed in  $L^p(G)$  and it is therefore a Banach space. When  $G = \mathbf{D}$  the inner product in  $L_a^2(\mathbf{D})$  is given by

$$\langle f, g \rangle = \sum \frac{a_n \bar{b}_n}{n+1},$$

where  $f = \sum a_n z^n$  and  $g = \sum b_n z^n$ . Therefore  $k_\lambda(z) = (1 - \bar{\lambda}z)^{-2}$  is the reproducing kernel for the point  $\lambda \in \mathbf{D}$ .

Let  $\lambda_\alpha$  ( $\alpha > -1$ ) be the finite measure defined on  $\mathbf{D}$  by  $d\lambda_\alpha(z) = (1 - |z|^2)^\alpha dA(z)$ . For  $\alpha > -1$  and  $0 < p < \infty$  the *weighted Bergman space*  $A_{\alpha}^p$  is the collection of all functions  $f$  analytic in  $\mathbf{D}$  for which  $\|f\|_{p,\alpha}^p = \int_{\mathbf{D}} |f|^p d\lambda_\alpha < \infty$ . The *weighted Dirichlet space*  $D_\alpha$  ( $\alpha > -1$ ) is the collection of all analytic functions  $f$  in  $\mathbf{D}$  for which the derivative  $f'$  belongs to  $A_{\alpha}^2$ . Note that  $A_{\alpha}^p$  is a Banach space for  $p \geq 1$ , and a Hilbert space for  $p = 2$  [3]. The Dirichlet space  $D_\alpha$  is a Hilbert space in the norm

$$\|f\|_{D_\alpha}^2 = |f(0)|^2 + \int_{\mathbf{D}} |f'|^2 d\lambda_\alpha.$$

For these spaces the unit ball is a normal family and the point evaluation is bounded. Also,  $f(z) = \sum a_n z^n$  analytic in  $\mathbf{D}$  belongs to  $A_{\alpha}^2$  if and only if  $\sum (n+1)^{-1-\alpha} |a_n|^2 < \infty$ , and to  $D_\alpha$  if and only if  $\sum (n+1)^{1-\alpha} |a_n|^2 < \infty$ . We also note that if  $\alpha > -1$ , then  $D_\alpha \subset A_{\alpha}^2$  and the inclusion map is continuous.

A function  $\phi$  on  $\mathbf{D}$  is said to have an *angular derivative* at  $\zeta \in \partial\mathbf{D}$  if there exist a complex number  $c$  and a point  $\omega \in \partial\mathbf{D}$  such that  $(\phi(z) - \omega)/(z - \zeta)$  tends to  $c$  as  $z$  tends to  $\zeta$  over any triangle in  $\mathbf{D}$  with one vertex at  $\zeta$ . Define  $d(\zeta) = \liminf_{z \rightarrow \zeta} \frac{1 - |\phi(z)|}{1 - |z|}$ , where  $z$  tends unrestrictedly to  $\zeta$  through  $\mathbf{D}$ . By [4, §5.3] the existence of an angular derivative at  $\zeta \in \partial\mathbf{D}$  is equivalent to  $d(\zeta) < \infty$ .

For the proof of the next proposition see [5, Proposition 3.4].

**Proposition 1.1.** *If  $\phi$  is analytic in  $\mathbf{D}$  with  $\phi(\mathbf{D}) \subset \mathbf{D}$ , then  $C_\phi$  is bounded on  $A_\alpha^p$  for all  $0 < p < \infty$  and  $\alpha > -1$ . Also, if  $w \in H^\infty$  then  $wC_\phi$  is bounded on  $A_\alpha^p$  for all  $0 < p < \infty$  and  $\alpha > -1$ .*

In this paper we characterize such operators and those for which  $(wC_\phi)^*$  is a composition operator. We also study the boundedness and compactness of the weighted composition operators on  $A_\alpha^p$  or  $D_\alpha$ . The relationship between the compactness of such operators and a special class of measures on the unit disc, *Carleson measures*, is shown. The main result is to determine, in terms of geometric properties of  $\phi$  and  $w$ , when  $wC_\phi$  is a compact operator on weighted Dirichlet spaces. For Bergman spaces we attack the problem in terms of an angular derivative of  $\phi$  and an angular limit of  $w$ . We obtain some sufficient conditions for weighted Dirichlet spaces. Finally, we would like to acknowledge the fact that we are borrowing heavily the techniques of the proofs of [5].

## 2. ADJOINT OF WEIGHTED COMPOSITION OPERATORS

In this section we investigate when the adjoint of a weighted composition operator on some functional Hilbert space is a composition operator.

**Theorem 2.1.** *Let  $T = wC_\phi$  be a weighted composition operator on  $A_\alpha^2$ ,  $\alpha > -1$ . Then  $T^* = C_\psi$  if and only if  $w = k_\lambda$  and  $\phi(z) = az(1 - \bar{\lambda}z)^{-1}$ , where  $\lambda = \psi(0)$  and  $a$  is a suitable constant. In particular,  $\psi$  has the form  $\psi(z) = \bar{a}z + \lambda$ .*

*Proof.* Assume  $(wC_\phi)^* = C_\psi$ . Then  $(wC_\phi)^*k_x = C_\psi k_x$  or  $\overline{w(x)}k_{\phi(x)}(y) = k_x \circ \psi(y)$ . It follows that

$$\frac{\overline{w(x)}}{(1 - \overline{\phi(x)y})^{\alpha+2}} = \frac{1}{(1 - \bar{x}\psi(y))^{\alpha+2}}, \quad x, y \in \mathbf{D}.$$

In short,  $(1 - \overline{\phi(x)y})^{\alpha+2} = \overline{w(x)}(1 - \bar{x}\psi(y))^{\alpha+2}$ . If we put  $y = 0$  and  $\psi(0) = \lambda$  we have  $1 = \overline{w(x)}(1 - \lambda\bar{x})^{\alpha+2}$ . Therefore  $w = k_\lambda$ . We also have  $(1 - \lambda\bar{x})(1 - \overline{\phi(x)y}) = 1 - \bar{x}\psi(y)$  for all  $x, y \in \mathbf{D}$ . Hence  $\overline{\phi(x)y} + \lambda\bar{x} - \lambda\overline{\phi(x)\bar{x}y} = \bar{x}\psi(y)$ . Now if  $xy \neq 0$ , then  $\overline{\phi(x)}(1 - \lambda\bar{x})(\bar{x})^{-1} = (\psi(y) - \psi(0))y^{-1}$ . Since the right-hand side is independent of  $x$ , it should be a constant, say,  $\bar{a}$ ,  $a \in \mathbf{C}$ . Therefore  $\psi(z) = \bar{a}z + \lambda$  and  $\phi(z) = az(1 - \bar{\lambda}z)^{-1}$ .

Conversely, suppose  $T = wC_\phi$ , where  $w = k_\lambda$  and  $\phi(x) = ax(1 - \bar{\lambda}x)^{-1}$ ,  $a \in \mathbf{C}$ . Then

$$\begin{aligned} T^*k_y(x) &= \overline{w(y)}k_{\phi(y)}(x) = \frac{1}{(1 - \lambda\bar{y})^{\alpha+2}} \cdot \frac{1}{(1 - \overline{\phi(y)}x)^{\alpha+2}} = \\ &= \frac{1}{(1 - \lambda\bar{y})^{\alpha+2}} \cdot \frac{1}{(1 - \bar{a}\bar{y}(1 - \lambda\bar{y})^{-1}x)^{\alpha+2}} = \\ &= \frac{1}{(1 - \lambda\bar{y} - \bar{a}yx)^{\alpha+2}} = C_\psi k_y(x), \end{aligned}$$

where  $\psi(x) = \bar{a}x + \lambda$ .  $\square$

*Remark.* By an analogous proof we can show that Theorem 2.1 is also true when  $T$  is a weighted composition operator on  $H^2$ .

We use the next theorem to give a sufficient condition for the subnormality of  $wC_\phi$  on  $H^2$ .

**Theorem 2.2 ([6]).** *If  $\phi$  is a nonconstant analytic function defined on the unit disc  $\mathbf{D}$  with  $\phi(\mathbf{D}) \subset \mathbf{D}$  such that  $C_\phi^*$  is subnormal on  $H^2$  (and not normal), then there is a number  $c$  with  $|c| = 1$  for which  $\lim_{\rho \rightarrow 1} \phi(\rho c) = c$  and  $\lim_{\rho \rightarrow 1^-} \phi'(\rho c) = s < 1$ . Moreover, if  $\phi$  is analytic in a neighborhood of  $c$ , then  $C_\phi^*$  is subnormal on  $H^2$  if and only if*

$$\phi(z) = \frac{(r + s)z + (1 - s)c}{r(1 - s)\bar{c}z + (1 + sr)}$$

for some  $r, s$  with  $0 \leq r \leq 1$  and  $0 < s < 1$ . Here, as above,  $s = \phi'(c)$ .

Applying Theorem 2.2 and the above remark we obtain

**Corollary 2.3.** *If  $w = k_\lambda$  and  $\phi(z) = szk_\lambda(z)$  with  $0 < s < 1$  and  $\lambda = (1 - s)c$ , where  $c$  is the number indicated in Theorem 2.2, then  $wC_\phi$  is subnormal on  $H^2$ .*

### 3. A WEIGHTED SHIFT ANALOGY

As we shall see, for suitable  $w$  and  $\phi$  the operator  $(wC_\phi)^*$  (as well as the operator  $wC_\phi$ ) has an invariant subspace on which it is similar to a weighted shift.

We begin by defining the notions of forward and backward iteration sequences, see also [7].

**Definition 3.1.** A nonconstant sequence  $\{z_k\}_{k=0}^\infty$  is a B-sequence for  $\phi$  if  $\phi(z_k) = z_{k-1}$ ,  $k = 1, 2, \dots$ . A nonconstant sequence  $\{z_k\}_{k=0}^\infty$  or  $\{z_k\}_{k=-\infty}^\infty$  is an F-sequence for  $\phi$  if  $\phi(z_k) = z_{k+1}$  for all  $k$ .

**Theorem 3.2.** *If  $\{z_j\}_{j=0}^\infty$  is a B-sequence for  $\phi$  and*

$$\frac{1 - |z_j|}{1 - |z_{j-1}|} \leq r < 1$$

*for all  $j$ , then  $\{z_j\}_{j=0}^\infty$  gives rise to an invariant subspace of  $(wC_\phi)^*$  on which it is similar to a backward weighted shift.*

*Proof.* Let  $\{z_j\}$  be a B-sequence as in the statement of the theorem. By [7, p. 203],  $\{z_j\}$  is an interpolating sequence. Let  $u_j = (1 - |z_j|^2)^{1/2}k_j$ , where  $k_j$  denotes the reproducing kernel at  $z_j$ . We keep this notation throughout the rest of this section. Let  $\mathcal{M}$  be the closed linear span of  $\{u_j\}$ . By [6],  $\{u_j\}$  is a basic sequence in  $\mathcal{M}$  equivalent to an orthonormal basis. Since

$$(wC_\phi)^*u_j = (1 - |z_j|^2)^{1/2}\overline{w(z_j)}k_{j-1} = \overline{w(z_j)}\left(\frac{1 - |z_j|^2}{1 - |z_{j-1}|^2}\right)^{1/2}u_{j-1},$$

$(wC_\phi)^*|_{\mathcal{M}}$  is similar to a backward weighted shift with weights

$$\left\{ \left( \frac{1 - |z_{j+1}|^2}{1 - |z_j|^2} \right)^{1/2} \overline{w(z_{j+1})} \right\}. \quad \square$$

Recall that if  $\phi$  is analytic in  $\mathbf{D}$  with  $\phi(\mathbf{D}) \subset \mathbf{D}$  and  $\phi$  is not an analytic elliptic automorphism of  $\mathbf{D}$ , then there is a unique fixed point  $a$  of  $\phi$  (with  $|a| \leq 1$ ) such that  $|\phi'(a)| \leq 1$ . We will call the distinguished fixed point  $a$  the *Denjoy–Wolff point* [8] of  $\phi$ . We note that if  $|a| = 1$  then  $0 < \phi'(a) \leq 1$ , and if  $|a| < 1$  then  $0 \leq |\phi'(a)| < 1$ .

**Corollary 3.3.** *If  $\phi$  has a Denjoy–Wolff point  $a$  in  $\partial\mathbf{D}$  with  $\phi'(a) < 1$  then every F-sequence for  $\phi$  gives rise to an invariant subspace of  $(wC_\phi)^*$  on which it is similar to a forward weighted shift with weights*

$$\left\{ \left( \frac{1 - |z_{j-1}|^2}{1 - |z_j|^2} \right)^{1/2} \overline{w(z_{j-1})} \right\}.$$

**Corollary 3.4.** *For  $0 < s < 1$  let  $w = k_{1-s}$  and  $\phi(z) = szk_{1-s}(z)$ . Then  $wC_\phi$  has an invariant subspace  $\mathcal{M}$  such that  $wC_\phi|_{\mathcal{M}}$  is similar to a weighted shift.*

*Proof.* Let  $\psi(z) = sz + (1 - s)$ . Then 1 is a Denjoy–Wolff point for  $\psi$ . Also,  $\psi'(1) = s < 1$ . So by Corollary 3.3 every F-sequence for  $\psi$  gives rise to an invariant subspace of  $C_\psi^*$  on which it is similar to a weighted shift. Now by Theorem 2.1,  $C_\psi^* = wC_\phi$  where  $w = k_{1-s}$  and  $\phi(z) = szk_{1-s}(z)$ . The proof is now complete.  $\square$

We note that if  $\phi$  has a Denjoy–Wolff point  $a$  in  $\partial\mathbf{D}$  with  $\phi'(a) < 1$ , then for real  $\theta$ ,  $C_\phi$  is similar to  $e^{i\theta}C_\phi$  [7]. In fact, much more is true. For the proof of the next corollary see [7].

**Corollary 3.5.** *If  $\phi$  is an analytic map of the disc to itself,  $\phi(1) = 1$  and  $\phi'(1) < 1$ , then for any function  $w$  for which  $wC_\phi$  is bounded we have  $wC_\phi$  similar to  $\lambda wC_\phi$  for  $|\lambda| = 1$ .*

#### 4. COMPACTNESS ON WEIGHTED BERGMAN SPACES

In this section we will focus our attention on the relationship between compact weighted composition operators and a special class of measures on the unit disc. First, we will recall a few definitions.

For  $0 < \delta \leq 2$  and  $\zeta \in \partial\mathbf{D}$  let

$$S(\zeta, \delta) = \{z \in \mathbf{D} : |z - \zeta| < \delta\}.$$

One can show that the  $\lambda_\alpha$ -measure of the semidisc  $S(\zeta, \delta)$  is comparable with  $\delta^{\alpha+2}$  ( $\alpha > -1$ ). We can now give

**Definition 4.1.** Let  $\alpha > -1$  and suppose  $\mu$  is a finite positive Borel measure on  $\mathbf{D}$ . We call  $\mu$  an  $\alpha$ -Carleson measure if

$$\|\mu\|_\alpha = \sup \mu(S(\zeta, \delta)) / \delta^{\alpha+2} < \infty,$$

where the supremum is taken over all  $\zeta \in \partial\mathbf{D}$  and  $0 < \delta \leq 2$ . If, in addition,

$$\lim_{\delta \rightarrow 0} \sup_{\zeta \in \partial\mathbf{D}} \mu(S(\zeta, \delta)) / \delta^{\alpha+2} = 0,$$

then we call  $\mu$  a compact  $\alpha$ -Carleson measure.

The next theorem is stated and proved in [5]. Since we refer to it several times, we state it without proof.

**Theorem 4.2.** *Fix  $0 < p < \infty$  and  $\alpha > -1$  and let  $\mu$  be a finite positive Borel measure on  $\mathbf{D}$ . Then  $\mu$  is an  $\alpha$ -Carleson measure if and only if  $A_\alpha^p \subset L^p(\mu)$ . In this case the inclusion map  $I_\alpha : A_\alpha^p \rightarrow L^p(\mu)$  is a bounded operator with a norm comparable with  $\|\mu\|_\alpha$ . If  $\mu$  is an  $\alpha$ -Carleson measure, then  $I_\alpha$  is compact if and only if  $\mu$  is compact.*

In the next theorem we extend the result of [5, Corollary 4.4] by characterizing the compact weighted composition operators on the spaces  $A_\alpha^p$  in terms of Carleson measures.

**Theorem 4.3.** *Fix  $0 < p < \infty$  and  $\alpha > -1$ . Then  $wC_\phi$  is a bounded (compact) operator on  $A_\alpha^p$  if and only if the measure  $\mu_{\alpha,p} \circ \phi^{-1}$  is an  $\alpha$ -Carleson (compact  $\alpha$ -Carleson) measure. Here  $d\mu_{\alpha,p} = |w|^p d\lambda_\alpha$ .*

*Proof.* We know that

$$\|(wC_\phi)f\|_{p,\alpha}^p = \int_{\mathbf{D}} |f \circ \phi|^p |w|^p d\lambda_\alpha = \int_{\mathbf{D}} |f|^p d\mu_{\alpha,p} \circ \phi^{-1},$$

for every  $f \in A_\alpha^p$ . By Theorem 4.2  $wC_\phi$  is bounded on  $A_\alpha^p$  if and only if  $\mu_{\alpha,p} \circ \phi^{-1}$  is an  $\alpha$ -Carleson measure.

Now equip the space  $A_\alpha^p$  with the metric of  $L^p(\mu_{\alpha,p} \circ \phi^{-1})$  and call this (usually incomplete) space  $X$ . The above equation shows that  $wC_\phi$  induces an isometry  $S$  of  $X$  into  $A_\alpha^p$ . Thus  $wC_\phi = SI_\alpha$  is compact if and only if  $I_\alpha$  is. An application of Theorem 4.2 completes the proof.  $\square$

A modification of the proof of Theorem 5.3 of [5] will give

**Theorem 4.4.** *Suppose  $\alpha > -1$ ,  $p > 0$ .*

(a) *If  $wC_\phi$  is a compact operator on  $A_\alpha^p$ , then  $\phi$  does not have an angular derivative at those points of  $\partial\mathbf{D}$  at which  $w$  has a nonzero angular limit.*

(b) *Suppose  $w$  has a zero angular limit at any point of  $\partial\mathbf{D}$  at which  $\phi$  has an angular derivative; then  $wC_\phi$  is compact.*

## 5. BOUNDEDNESS ON WEIGHTED DIRICHLET SPACES

In this section we study the relationship between the boundedness of weighted composition operators on weighted Dirichlet spaces and a special class of measures on the unit disc.

We recall that  $D_1 = H^2$  and if  $\alpha > 1$  then  $D_\alpha = A_{\alpha-2}^2$  and the characterization of bounded (compact) weighted composition operators on  $D_\alpha$  for  $\alpha > 1$  is given in Theorem 4.3. However, for  $-1 < \alpha < 1$ , an obvious necessary condition for  $wC_\phi$  to be bounded on  $D_\alpha$  is that  $w = wC_\phi 1 \in D_\alpha$ . In the following, we characterize the boundedness of such operators.

**Theorem 5.1.** *Suppose  $w \in D_\alpha$ . Then  $wC_\phi$  is bounded on  $D_\alpha$  if the measures  $\mu_\alpha \circ \phi^{-1}$  and  $\nu_\alpha \circ \phi^{-1}$  are  $\alpha$ -Carleson measures, where  $d\mu_\alpha = |w'|^2 d\lambda_\alpha$  and  $d\nu_\alpha = |w|^2 |\phi'|^2 d\lambda_\alpha$ .*

*Proof.* Assume  $\mu_\alpha \circ \phi^{-1}$  and  $\nu_\alpha \circ \phi^{-1}$  are  $\alpha$ -Carleson measures. Then, for every  $f$  in  $D_\alpha$  we have  $f' \in A_\alpha^2 \subset L^2(\nu_\alpha \circ \phi^{-1})$  by Theorem 4.2. For every  $f$  in  $D_\alpha$  we have  $(wC_\phi f)' = w f \circ \phi + w(f \circ \phi)'$ . We now have

$$\begin{aligned} \|w(f \circ \phi)'\|_{2,\alpha}^2 &= \int |w|^2 |\phi'|^2 |f' \circ \phi|^2 d\lambda_\alpha = \\ &= \int |f' \circ \phi|^2 d\nu_\alpha = \\ &= \int |f'|^2 d\nu_\alpha \circ \phi^{-1} < \infty, \end{aligned}$$

therefore,  $w(f \circ \phi)' \in A_\alpha^2$ . Note also that

$$\int |w'|^2 |f \circ \phi|^2 d\lambda_\alpha = \int |f \circ \phi|^2 d\mu_\alpha = \int |f|^2 d\mu_\alpha \circ \phi^{-1}.$$

Since  $f \in D_\alpha \subset A_\alpha^2 \subset L^2(\mu_\alpha \circ \phi^{-1})$ , we have  $\int |w'|^2 |f \circ \phi|^2 d\lambda_\alpha < \infty$ . Combining these two observations we conclude that  $(wC_\phi f)' \in A_\alpha^2$  for every  $f$  in  $D_\alpha$ . Therefore  $wC_\phi f \in D_\alpha$  and  $wC_\phi$  is bounded on  $D_\alpha$ .  $\square$

### 6. COMPACTNESS ON DIRICHLET SPACES

The main result of this section concerns sufficient conditions for the compactness of weighted composition operators on Dirichlet spaces  $D_\alpha$ . We would like to investigate whether an analogue of Theorem 4.3, the Carleson measure characterization of compact weighted composition operators, holds for Dirichlet spaces.

**Theorem 6.1.** *If  $\mu_\alpha \circ \phi^{-1}$  and  $\nu_\alpha \circ \phi^{-1}$  are compact  $\alpha$ -Carleson measures, where  $d\mu_\alpha = |w'|^2 d\lambda_\alpha$  and  $d\nu_\alpha = |w|^2 |\phi'|^2 d\lambda_\alpha$ , then  $wC_\phi$  is compact on  $D_\alpha$  for  $\alpha > -1$ .*

*Proof.* Let  $X$  denote the space  $D_\alpha$  taken in the metric induced by  $\|\cdot\|_1$  defined by

$$\|f\|_1^2 = (\|f\|_2 + \|f\|_3)^2 + |w(0)f \circ \phi(0)|^2,$$

where  $\|f\|_2^2 = \int_{\mathbf{D}} |f|^2 d\mu_\alpha \circ \phi^{-1}$  and  $\|f\|_3^2 = \int_{\mathbf{D}} |f'|^2 d\nu_\alpha \circ \phi^{-1}$  ( $f \in D_\alpha$ ). Let  $I : D_\alpha \rightarrow X$  be the identity map and define  $S : X \rightarrow D_\alpha$  by  $Sf = wf \circ \phi$ . So  $wC_\phi = SI$ . To show that  $S$  is a bounded operator let  $f \in X$ . Then

$$\begin{aligned} \|Sf\|_{D_\alpha}^2 &= \int_{\mathbf{D}} |(wf \circ \phi)'|^2 d\lambda_\alpha + |w(0)f \circ \phi(0)|^2 \leq \\ &\leq (\|w'f \circ \phi\|_{2,\alpha} + \|w\phi'(f' \circ \phi)\|_{2,\alpha})^2 + |w(0)f \circ \phi(0)|^2. \end{aligned}$$

We use the change of variable formula to get

$$\int_{\mathbf{D}} |w'|^2 |f \circ \phi|^2 d\lambda_\alpha = \int_{\mathbf{D}} |f|^2 d\mu_\alpha \circ \phi^{-1} = \|f\|_2^2$$

and

$$\int_{\mathbf{D}} |w|^2 |\phi'|^2 |f' \circ \phi|^2 d\lambda_\alpha = \int_{\mathbf{D}} |f'|^2 d\nu_\alpha \circ \phi^{-1} = \|f\|_3^2.$$

Thus we have

$$\|Sf\|_{D_\alpha}^2 \leq (\|f\|_2 + \|f\|_3)^2 + |w(0)f \circ \phi(0)|^2 = \|f\|_1^2.$$



Hence  $\|S\| \leq 1$  and  $S$  is bounded. If we show that  $I$  is compact, then  $wC_\phi = SI$  is compact and the proof is complete.

Now, we use the idea of [5, Theorem 4.3] to prove that  $I$  is compact. It is enough to show that each sequence  $(f_n)$  in  $D_\alpha$  that converges uniformly to zero on compact subsets of  $\mathbf{D}$  must be norm convergent to zero in  $X$ . Fix  $0 < \delta < 1$  and let  $\mu_\delta$  and  $\nu_\delta$  be the restriction of the measures  $\mu_\alpha \circ \phi^{-1}$  and  $\nu_\alpha \circ \phi^{-1}$  to the annulus  $1 - \delta < |z| < 1$ . Observe that the  $\alpha$ -Carleson norm of  $\mu_\delta$  and  $\nu_\delta$  satisfy

$$\|\mu_\delta\|_\alpha \leq c_1 \sup \mu_\alpha \circ \phi^{-1}(S(\zeta, r))/r^{\alpha+2},$$

and

$$\|\nu_\delta\|_\alpha \leq c_2 \sup \nu_\alpha \circ \phi^{-1}(S(\zeta, r))/r^{\alpha+2},$$

where the supremum is taken over all  $0 < r < \delta$  and  $\zeta \in \partial\mathbf{D}$ , and  $c_1, c_2$  are positive constants which depend only on  $\alpha$ . Since  $\mu_\alpha \circ \phi^{-1}$  and  $\nu_\alpha \circ \phi^{-1}$  are compact  $\alpha$ -Carleson measures, the right-hand sides of the above two inequalities, which we denote by  $\epsilon_1(\delta)$  and  $\epsilon_2(\delta)$ , respectively, tend to zero as  $\delta \rightarrow 0$ . So we have

$$\begin{aligned} \|f_n\|_2^2 &= \int_{|z| < 1-\delta} |f_n|^2 d\mu_\alpha \circ \phi^{-1} + \int_{\mathbf{D}} |f_n|^2 d\mu_\delta \leq \\ &\leq o(1) + k_1 \epsilon_1(\delta) \|f_n\|_{2,\alpha}^2, \end{aligned}$$

and in the same manner

$$\|f_n\|_3^2 \leq o(1) + k_2 \epsilon_2(\delta) \|f_n'\|_{2,\alpha}^2,$$

where  $k_1$  and  $k_2$  are constants depending only on  $\alpha$ . We recall that the estimate of the first terms comes from the uniform convergence of  $(f_n)$  to zero on  $|z| \leq 1 - \delta$ , and the estimate of the second terms comes from the first part of [6, Theorem 4.3]. Since  $\epsilon_i(\delta) \rightarrow 0$  as  $\delta \rightarrow 0$ ,  $i = 1, 2$ , and  $w(0)f_n \circ \phi(0) \rightarrow 0$ , we have  $\|f_n\|_1 \rightarrow 0$ , which completes the proof.  $\square$

We now characterize compact weighted composition operators in terms of an angular derivative of  $\phi$  and angular limit of  $w, w'$ .

**Theorem 6.2.** *If  $w'$  has a zero angular limit at any point of  $\partial\mathbf{D}$  at which  $\phi$  has an angular derivative, then  $\mu_\alpha \circ \phi^{-1}$  is a compact  $\alpha$ -Carleson measure. Here  $d\mu_\alpha = |w'|^2 d\lambda_\alpha$ .*

*Proof.* Suppose  $w'$  has a zero angular limit at those points of  $\partial\mathbf{D}$  at which  $\phi$  has an angular derivative. Choose  $0 < \gamma < \alpha$  with  $r = 2 - (\alpha - \gamma) > 0$ . For  $0 < \delta < 2$  define

$$\epsilon(\delta) = \sup \left\{ \frac{(1 - |z|^2)|w'(z)|}{1 - |\phi(z)|^2} : 1 - |z| \leq \delta \right\}.$$

Since  $w'$  has a zero angular limit at those points of  $\partial\mathbf{D}$  at which  $\phi$  has an angular derivative we have  $\lim_{\delta \rightarrow 0} \epsilon(\delta) = 0$ . With no loss of generality assume that  $\phi(0) = 0$ . Fix  $S = S(\zeta, \delta)$ . By the Schwartz Lemma and definition of  $\epsilon(\delta)$  we have

$$|w'(z)|(1 - |z|^2) \leq (1 - |\phi(z)|^2)\epsilon(\delta) \leq 2\delta\epsilon(\delta)$$

whenever  $\phi(z) \in S$ . So we have

$$\begin{aligned} \mu_\alpha \circ \phi^{-1}(S) &= \int_{\phi^{-1}(S)} |w'(z)|^2(1 - |z|^2)^\alpha d\lambda(z) \leq \\ &\leq (2\delta\epsilon(\delta))^{\alpha-\gamma} \int_{\phi^{-1}(S)} |w'(z)|^\gamma(1 - |z|^2)^\gamma d\lambda(z) \times \\ &\quad \times (2\epsilon(\delta))^{\alpha-\gamma} \delta^{\alpha-\gamma} \mu_{r,\gamma} \circ \phi^{-1}(S). \end{aligned}$$

Here  $d\mu_{r,\gamma}(z) = |w'(z)|^r d\lambda_\gamma(z)$ . Now by Proposition 1.1 and Theorem 4.2,  $\mu_{r,\gamma} \circ \phi^{-1}$  is a  $\gamma$ -Carleson measure. Thus there exists a constant  $k$  independent of  $\zeta, \delta$  such that  $\mu_{r,\gamma} \circ \phi^{-1}(S) \leq k\delta^{\gamma+2}$ .

Hence  $\mu_\alpha \circ \phi^{-1}(S) \leq k(2\epsilon(\delta))^{\alpha-\gamma} \delta^{\alpha+2}$ . Since  $\epsilon(\delta) \rightarrow 0$  as  $\delta \rightarrow 0$ ,  $\mu_\alpha \circ \phi^{-1}$  is therefore a compact  $\alpha$ -Carleson measure and the proof is complete.  $\square$

To state our main result we need

**Theorem 6.3.** *Suppose  $w$  has a zero angular limit at any point of  $\partial\mathbf{D}$  at which  $\phi$  has an angular derivative. If, in addition, for some  $-1 < \gamma < \alpha$ , the measure  $\eta_\gamma \circ \phi^{-1}$  is a  $\gamma$ -Carleson measure, where  $d\eta_\gamma = |w|^{2-\alpha+\gamma} |\phi'|^2 d\lambda_\gamma$ , then  $\nu_\alpha \circ \phi^{-1}$  is a compact  $\alpha$ -Carleson measure ( $d\nu_\alpha = |w|^2 |\phi'|^2 d\lambda_\alpha$ ).*

*Proof.* For  $0 < \delta < 2$  define

$$\rho(\delta) = \sup \left\{ \frac{(1 - |z|^2)|w(z)|}{1 - |\phi(z)|^2} : 1 - |z| \leq \delta \right\}.$$

By the argument of the proof of Theorem 6.2  $\lim_{\delta \rightarrow 0} \rho(\delta) = 0$ . Also, we have  $|w(z)|(1 - |z|^2) \leq (1 - |\phi(z)|^2)\rho(\delta) \leq 2\delta\rho(\delta)$ , whenever  $\phi(z) \in S(\zeta, \delta)$ . Thus

$$\begin{aligned} \nu_\alpha \circ \phi^{-1}(S) &= \int_{\phi^{-1}(S)} |w|^2 |\phi'(z)|^2 (1 - |z|^2)^\alpha d\lambda(z) \leq \\ &\leq (2\delta\rho(\delta))^{\alpha-\gamma} \int_{\phi^{-1}(S)} |w|^{2-\alpha+\gamma} |\phi'(z)|^2 (1 - |z|^2)^\gamma d\lambda(z) = (2\rho(\delta))^{\alpha-\gamma} \eta_\gamma \circ \phi^{-1}(S). \end{aligned}$$

Now we use the hypothesis that  $\eta_\gamma \circ \phi^{-1}$  is a  $\gamma$ -Carleson measure; so there exists a constant  $k$  independent of  $\zeta$  and  $\delta$  such that  $\eta_\gamma \circ \phi^{-1}(S) \leq k\delta^{\gamma+2}$ .

Thus  $\nu_\alpha \circ \phi^{-1}(S) \leq k(2\rho(\delta)^{\alpha-\gamma})\delta^{\alpha+2}$ . Since  $\rho(\delta) \rightarrow 0$  as  $\delta \rightarrow 0$ ,  $\nu_\alpha \circ \phi^{-1}$  is therefore a compact  $\alpha$ -Carleson measure, and the proof is complete.  $\square$

Now we state the main theorem.

**Theorem 6.4.** *Let  $w' \in H^\infty$  and  $\phi \in D_\alpha$ . Assume  $w$  and  $w'$  have a zero angular limit at any point of  $\partial\mathbf{D}$  at which  $\phi$  has an angular derivative. If, in addition, for some  $-1 < \gamma < \alpha$  the measure  $\eta_\gamma \circ \phi^{-1}$  is a  $\gamma$ -Carleson measure, then  $wC_\phi$  is compact on  $D_\alpha$ . Here  $d\eta_\gamma = |w|^{2-\alpha+\gamma}|\phi'|^2 d\lambda_\gamma$ .*

*Proof.* By Theorems 6.2 and 6.3, the measures  $\mu_\alpha \circ \phi^{-1}$  and  $\nu_\alpha \circ \phi^{-1}$  are compact  $\alpha$ -Carleson measures. Thus Theorem 6.1 shows that  $wC_\phi$  is compact on  $D_\alpha$ .  $\square$

#### ACKNOWLEDGEMENT

This research was partially supported by a Grant (No. 69-SC-591-317) from Shiraz University Research Council.

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(Received 09.08.1995)

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