

COMMUTATIVITY FOR A CERTAIN CLASS OF RINGS

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ABSTRACT. We discuss the commutativity of certain rings with unity 1 and one-sided s -unital rings under each of the following conditions: $x^r[x^s, y] = \pm[x, y^t]x^n$, $x^r[x^s, y] = \pm x^n[x, y^t]$, $x^r[x^s, y] = \pm[x, y^t]y^m$, and $x^r[x^s, y] = \pm y^m[x, y^t]$, where r, n , and m are non-negative integers and $t > 1$, s are positive integers such that either s, t are relatively prime or $s[x, y] = 0$ implies $[x, y] = 0$. Further, we improve the result of [6, Theorem 3] and reprove several recent results.

Throughout the paper R will represent an associative ring (with or without unity 1). Let $C(R)$ denote the commutator ideal of R , $Z(R)$ the center of R , and H the heart of R . By $(GF(q))_2$ we mean the ring of 2×2 matrices over the Galois field $GF(q)$ with q elements. Set $e_{11} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$, $e_{12} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $e_{21} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$, and $e_{22} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ in $(GF(p))_2$ for a prime p . Following [1], a ring R is said to be left (resp., right) s -unital, if $x \in Rx$ (resp., $x \in xR$) for each element x in R . Further, R is called s -unital if $x \in Rx \cap xR$ (see [2] and [3]). The symbol $[x, y]$ stands for the commutator $xy - yx$ for any $x, y \in R$. In some particular cases several authors [1-3, 5] studied the commutativity of rings satisfying the following conditions:

- (c₁) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm[x, y^t]x^n$ with integers $t > 1, s \geq 1, n \geq 0, r \geq 0$.
- (c₂) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm[x, y^t]y^m$ with integers $t > 1, s \geq 1, m \geq 0, r \geq 0$.
- (c₃) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm x^n[x, y^t]$ with integers $t > 1, s \geq 1, n \geq 0, r \geq 0$.
- (c₄) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm y^m[x, y^t]$ with integers $t > 1, s \geq 1, m \geq 0, r \geq 0$.

To develop the commutativity of a ring R satisfying one of the above conditions, we need some extra condition such as

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Q(s): For any positive integer s $s[x, y] = 0$ implies $[x, y] = 0$ for all $x, y \in R$,

or

Q(s,t): s and t are relatively prime integers.

To prove our results we need a few preliminary lemmas. We begin with the following well-known result [6, p. 221].

Lemma 1. *Let $x, y \in R$ and $[[x, y], x] = 0$. Then $[x^k, y] = kx^{k-1}[x, y]$ for any positive integer k .*

Lemma 2 ([7]). *Let R be a ring with unity 1, and let $f : R \rightarrow R$ be a function such that $f(x+1) = f(x)$ for every $x \in R$. If for some positive integer n we have $x^n f(x) = f(x)x^n = 0$ for all $x \in R$, then necessarily $f(x) = 0$.*

Lemma 3 ([8]). *Let f be a polynomial in the non-commuting indeterminates x_1, x_2, \dots, x_n with integer coefficients. Then the following statements are equivalent:*

- (i) $C(R)$ is a nil ideal for any ring R satisfying $f = 0$.
- (ii) $(GF(p))_2$ fails to satisfy $f = 0$ for every prime p .

The main results of the paper are:

Theorem 1. *Let R be a ring with 1 satisfying (c_1) together with either $Q(s, t)$ or $Q(s)$. Then R is commutative.*

Theorem 2. *Let R be a ring with 1 satisfying (c_2) together with either $Q(s, t)$ or $Q(s)$. Then R is commutative.*

Theorem 3. *Let R be a ring with 1 satisfying (c_3) together with either $Q(s, t)$ or $Q(s)$. Then R is commutative.*

Theorem 4. *Let R be a ring with 1 satisfying (c_4) such that either $Q(s, t)$ or $Q(s)$ holds. Then R is commutative.*

Remark 1. The well-known Grassmann algebra rules out the possibility of $t = 1$ in the above theorems. Moreover, if we drop the restriction that R has unity 1 in the above theorems, then the ring R may be poorly non-commutative. Indeed, the following example demonstrates this constraint: Let D_k be the ring of all $k \times k$ matrices over a division ring D , and let $A_k = \{(a_{ij} \in D_k \mid a_{ij} = 0, j \geq i)\}$. Then A_k is a non-commutative ring for any positive integer $k > 2$. But A_3 satisfies (c_1) , (c_2) , (c_3) , and (c_4) for all positive integers s, t and non-negative integers m, n , and r .

According to [9], let f be a polynomial in two non-commuting indeterminates with integral coefficients. Now write f in the form

$$f(x, y) = \sum_{r=1}^d \sum_{i=0}^r f_{ri}(x, y),$$

where f_{ri} denotes the sum of all terms of f with degree i in x and $r - i$ in y . Let s_{ri} denote the sum of the coefficients of f_{ri} . Then we note that if

$$s_{ri} = 0 \quad \text{for all } r \text{ and } i, \tag{I}$$

then all commutative rings satisfy $f = 0$. The converse is also true as proved by Kezlan [9]. For this we take a transcendental extension field of rationals and use the fact that the polynomial

$$f(X^d, X^{d+1}) = \sum_{r=1}^d \sum_{i=0}^r s_{ri} X^{(d+1)r-i}$$

in one indeterminate X vanishes on it.

Thus if f is to be equivalent with the commutativity it must at least satisfy (I), and so we may write

$$f(x, y) = m[x, y] + \sum_{r=3}^d \sum_{i=1}^{r-1} f_{ri}(x, y)$$

for some integer m . Moreover, if m is divisible by a prime p , then the ring of strictly upper triangular 3×3 matrices over any field of characteristic p satisfies the identity, and so we assume that

$$m = \pm 1. \tag{II}$$

Let us consider the condition

$$f_{r1} = 0 \quad \text{for all } r. \tag{III}$$

In [9] Kezlan proved the following

Theorem. *If f satisfies (I), (II), and (III), then an arbitrary ring R is commutative if and only if it satisfies the identity $f = 0$.*

Also, it should be remarked that (I) in the theorem could be replaced by

$$f_{r,r-1} = 0 \quad \text{for all } r. \tag{IV}$$

An example was given in [9] to show that we must assume either (III) or (IV) or some other condition concerning the terms linear in x or in y . So (I) and (II) alone are not enough.

Further, in [9] Kezlan proved that for a polynomial $f(x, y)$ the identity $f(x, y) = 0$ is equivalent with the commutativity for all rings if $f(x, y) =$

$\pm[x, y] + \sum_{r=3}^d \sum_{i=1}^{r-1} f_{ri}(x, y)$, where f_{ri} denotes the sum of all terms of $f(x, y)$ with degree i in x and $r - i$ in y , where s_{si} denotes the sum of coefficients of f_{ri} . It was also shown that under certain restrictions on the terms linear in one variable or the other, the polynomial identity $f(x, y) = 0$ is indeed equivalent with the commutativity.

The following fact plays an important role in the proof of our results.

Lemma 4 ([9]). *Let R be a ring satisfying the polynomial identity $f(x, y) = \pm[x, y]$, where each homogeneous component of $f(x, y)$ has integer coefficients whose sum is zero and where $f(x, y)$ has no linear terms either in x or in y . Then R is commutative.*

Now we prove

Proposition 1. *Let R be a ring with $p[x, y] = 0$ for all x, y in R , p a prime, and let s be a positive integer not divisible by p . Suppose that R satisfies a polynomial identity of the form $f(x, y) = \pm m[x, y]$, where m is any non-negative integer and $f(x, y)$ satisfies the same condition as in Lemma 4. Then R is commutative.*

Proof. Let $qp + mn = 1$. By hypothesis, we have

$$f(x, y) = \pm m[x, y] \quad \text{for all } x, y \in R.$$

Multiply the above identity by n and use $p[x, y] = 0$ to get $nf(x, y) = \pm n m[x, y]$. Hence by Lemma 4 R is commutative. \square

Lemma 5. *Let R be a ring with 1 satisfying (c_1) or (c_2) or (c_3) or (c_4) such that either $Q(s, t)$ or $Q(s)$ holds. Then $C(R)$ is nil.*

Proof. Let $x = e_{22}$ and $y = e_{21} + e_{22}$ in (c_1) and (c_2) . Then by Lemma 3, x and y fail to satisfy the polynomial identities (c_3) and (c_4) for any prime p . Similarly, $x = e_{11}$ and $y = e_{21}$ fail to satisfy (c_3) and (c_4) . Thus $C(R)$ is a nil ideal. \square

Proof of Theorem 1. According to Lemma 5, $C(R)$ is nil. Now let R satisfy (c_1) . Then by contradiction we assume that there exists a non-commutative ring with 1 satisfying (c_1) . Another step is to pass to the subdirectly irreducible case, and with $Q(s, t)$ this reduction can be obtained as in [9]. Therefore, without loss of generality, we assume that there is a ring R such that

- (α) R is a non-commutative ring with 1, satisfies (c_1) , and R is subdirectly irreducible with heart $H = C(R)$ with $H^2 = (0)$.

Using the condition $Q(s)$, we must slightly modify the arguments in [8] because this condition is not preserved under homomorphism. Then assuming that we have a non-commutative ring A with unity satisfying (c_1) and that $Q(s)$ holds, we have $a, b \in A$ with $s[a, b] \neq 0$. By Zorn's lemma we get an ideal M which is maximal with respect to the exclusion of $s[a, b]$. Then the ring $\bar{A} = A/M$ is not commutative, satisfies (c_1) , and is subdirectly irreducible with the heart containing $\bar{s}[\bar{a}, \bar{b}]$. Hence the ring $R = \bar{A}$ may not inherit $Q(s)$. This shows that s does not annihilate all commutators of R . To summarize these paragraphs, if $Q(s, t)$ holds, then we have a ring R satisfying (α) . If $Q(s)$ holds, then, in addition to (α) , we have

(β) s does not annihilate all commutators of R .

Now we define a mapping $F : R \rightarrow R$ for fixed $y, w \in R$ by

$$F(x) = \pm[x, (y+w)^t - y^t - w^t] \quad \text{for all } x \in R. \quad (1)$$

Replace x by $x+1$ in (1) to get

$$F(x+1) = \pm[x, (y+w)^t - y^t - w^t] = F(x).$$

Multiplying (1) by x^n on the right, we get

$$\begin{aligned} F(x)x^n &= \pm[x, (y+w)^t - y^t - w^t]x^n = \\ &= \pm[x, (y+w)^t]x^n \mp [x, y^t]x^n \mp [x, w^t]x^n = \\ &= x^r[x^s, y+w] - \{ \pm[x, y^t]x^n \} - \{ \pm[x, w^t]x^n \} = \\ &= x^r[x^s, y] + x^r[x^s, w] - x^r[x^s, y] - x^r[x^s, w] = 0. \end{aligned}$$

Using Lemma 2, we obtain $F(x) = 0$ for all x, y in R . Hence

$$\begin{aligned} \pm[x, (y+w)^t - y^t - w^t]x^n &= 0, \\ \pm[x, (y+w)^t] \mp [x, y^t] \mp [x, w^t] &= 0 \end{aligned}$$

and

$$\pm[x, (y+w)^t] = \pm[x, y^t] \pm [x, w^t] = 0. \quad (2)$$

Substituting $w = 1$ in (2), we get

$$\pm\left(t[x, y] + \sum_{k=2}^{t-1} \binom{t}{k} [x, y^k]\right) = 0. \quad (3)$$

By (3) we can write

$$t[x, y] + \sum_{k=2}^{t-1} \binom{t}{k} [x, y^k] = 0. \quad (3')$$

Replacing y in turn by $z_1y, z_2y, \dots, z_{t-1}y$ in (3'), we get

$$\begin{aligned} z_1 t[x, y] + \binom{t}{2} z_1^2[x, y^2] + \binom{t}{3} z_1^3[x, y^3] + \dots + \binom{t}{t-1} z_1^{t-1}[x, y^{t-1}] &= 0, \\ z_2 t[x, y] + \binom{t}{2} z_2^2[x, y^2] + \binom{t}{3} z_2^3[x, y^3] + \dots + \binom{t}{t-1} z_2^{t-1}[x, y^{t-1}] &= 0, \\ \dots & \\ \dots & \\ z_{t-1} t[x, y] + \binom{t}{2} z_{t-1}^2[x, y^2] + \binom{t}{3} z_{t-1}^3[x, y^3] + \dots + \binom{t}{t-1} z_{t-1}^{t-1}[x, y^{t-1}] &= 0. \end{aligned}$$

The above identities can be written in the matrix form:

$$\begin{aligned} & A_{(t-1) \times (t-1)} W_{(t-1) \times 1} = \\ & = \begin{pmatrix} z_1 & z_1^2 & z_1^3 & \dots & z_1^{t-1} \\ z_2 & z_2^2 & z_2^3 & \dots & z_2^{t-1} \\ z_3 & z_3^2 & z_3^3 & \dots & z_3^{t-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ z_{t-1} & z_{t-1}^2 & z_{t-1}^3 & \dots & z_{t-1}^{t-1} \end{pmatrix} \begin{pmatrix} t[x, y] \\ \binom{t}{2}[x, y^2] \\ \binom{t}{3}[x, y^3] \\ \vdots \\ \binom{t}{t-1}[x, y^{t-1}] \end{pmatrix} = 0. \end{aligned}$$

Multiplying the above by $\text{adj}(A)$, we have $\det(A)$. In particular, $\det(A)t[x, y] = 0$ for all $x, y \in R$.

Let z_i^j be the (i, j) th entry in the matrix A . Since factoring z_i out of the i th row of A gives a Vandermonde matrix, $\langle R, + \rangle$ is torsion free. Therefore $[x, y] = 0$ for all $x, y \in R$, and R is commutative. (In short, if $\langle R, + \rangle$ is torsion free, then the standard Vandermonde determinant argument shows that the homogeneous components must vanish on R (see [9]). So $[x, y] = 0$, since R is torsion free. Thus R is commutative.)

As in [9], the subdirect irreducibility gives a unique prime p such that R has elements of additive order p , from which it follows that $pH = (0)$ (as a special case p annihilates all commutators).

Let p not divide t . Then (3) yields a polynomial identity of the type in Proposition 1 and hence R is commutative. Thus p must divide t . Therefore p cannot divide s , which is obvious if $Q(s, t)$ holds, and is also true if $Q(s)$ holds, since s does not annihilate all commutators as p does. Hence in either of the cases $Q(s, t)$ and $Q(s)$ we get

$$p \text{ divides } t \text{ but does not divide } s. \quad (4)$$

By interchanging the roles of x and y in (2) we obtain

$$\pm[y, (x+w)^t] = \pm[y, x^t] \pm [y, w^t]. \quad (5)$$

Replace x by $x+1$ in (c_1) to get the identities

$$(x+1)^r[(x+1)^s, y] = \pm[x, y^t](x+1)^n$$

and

$$\sum_{j=0}^r \sum_{k=1}^s \binom{r}{j} \binom{s}{k} x^j [x^k, y] = \pm \sum_{j=1}^n \binom{n}{j} [x, y^t] x^s. \quad (6)$$

Now we prove that $H \subseteq Z(R)$. Let $x \in H$ and $y \in R$. Thus using $H^2 = (0)$, from (6) we have

$$s[x, y] = \pm [x, y^t] \quad \text{for all } x \in H, y \in R. \quad (7)$$

Substitute $w = y$ into (5) to get

$$\pm [y, xy^{t-1} + yxy^{t-2} + \cdots + y^{t-1}x] = 0$$

and so

$$\pm [x, y^t] = 0 \quad \text{for all } x \in H, y \in R. \quad (8)$$

From (7), (8) and the fact that p does not divide s we have $[x, y] = 0$ for $x \in H, y \in R$. Hence

$$H \subseteq Z(R). \quad (9)$$

Thus all commutators are central. By Lemma 5 we get

$$[x, y^t] = ty^{t-1}[x, y] = 0 \quad \text{for all } x, y \in R. \quad (10)$$

This condition shows that t divisible by p annihilates all commutators. Hence (6) can be rewritten as

$$s[x, y] + \sum_{k=2}^s \binom{s}{k} [x^k, y] = \pm \sum_{j=1}^r \sum_{k=1}^s \binom{r}{j} \binom{s}{k} x^j [x^k, y]$$

which is the form of Proposition 1. Hence R is commutative. \square

Proof of Theorem 2. Let R satisfy (c_2) . As above, it is easy to observe that the reduction to a subdirectly irreducible ring R satisfying (α) with $Q(s, t)$ holds and so do both conditions (α) and (β) with $Q(s)$. Replacing $x + 1$ by x and $y + 1$ by y in c_2 gives the following identities:

$$\begin{aligned} s[x, y] &= \pm G(x, y), \\ t[x, y] &= \pm H(x, y), \end{aligned}$$

where G and H satisfy the conditions of Lemma 4. As in the proof of Theorem 1, we have a unique prime p such that $pH = (0)$. Thus by Proposition 1, p must divide both t and s , which is impossible if $Q(s, t)$ holds. Otherwise, since s is divisible by p , it must annihilate all commutators. Thus (β) gives a contradiction if $Q(s)$ holds. Hence R is commutative. \square

Since Theorems 3 and 4 can be proved in the same way, we omit their proofs.

A recent commutativity study [10] deals with rings satisfying related conditions of the form

$$[xy - p(yx), x] = 0$$

or

$$[xy - p(yx), y] = 0.$$

This becomes possible by interchanging the roles of x and y as

$$[yx - p(xy), y] = 0$$

or

$$[yx - p(xy), x] = 0.$$

The following result is proved in [10].

Theorem 5. *Let R be a ring with 1 such that for each $x, y \in R$, there exist $p(t), q(t) \in t^2Z[t]$ for which $[xy - p(yx), x] = 0$ and $[xy - q(yx), y] = 0$. Then R is commutative.*

Now we generalize Theorem 5.

Theorem 6. *Let R be a ring with unity 1 such that for each $x, y \in R$ there exists $p(x) \in x^2Z[x]$ for which either $[yx - p(yx), x] = 0$ or $[yx - p(yx), y] = 0$. Then R is commutative.*

Proof. Suppose that $p(x) = a_2x^2 + a_3x^3 + a_4x^4 + \cdots + a_nx^n$, where a_1, a_2, \dots, a_n are integers. By hypothesis, we can write for all $x, y \in R$

$$\begin{aligned} [yx, x] &= [p(yx), x], \\ [x, y]x &= [p(yx), x]. \end{aligned} \tag{1'}$$

Putting $x + 1$ for x in (1') gives

$$\begin{aligned} [x, y](x + 1) &= [p(y(x + 1)), x], \\ [x, y]x + [x, y] &= [a_2(y(x + 1))^2 + a_3(y(x + 1))^3 + \cdots + a_n(y(x + 1))^n, x], \\ [x, y]x + [x, y] &= [a_2y(x + 1)y + a_3y(x + 1)y(x + 1)y + \cdots + \\ &\quad + a_ny(x + 1)y(x + 1) \cdots y(x + 1)y, x](x + 1) = \\ &= [a_2(yxy + y^2) + a_3(yxyxy + yxy^2 + y^2xy + y^3) + \cdots + \\ &\quad + a_n(yxyx \cdots y + (x + 1), x)](x + 1), \\ [x, y]x + [x, y] &= [a_2yxy + a_3yxyxy + \cdots + a_nyxyxyx \cdots yx, x] + \\ &\quad + [a_2y^2 + a_3y^3 + \cdots + a_ny^n, x] + H(x, y), \end{aligned}$$

where each homogeneous component of G has the sum of coefficients which is equal to zero. Thus H has no terms linear in y , and each term of H has a degree greater than 1 in x . Hence

$$[x, y]x + [x, y] = [p(yx), x] + [p(y), x] + H(x, y). \quad (2')$$

Using (1') and (2'), we obtain

$$[x, y] = [x, p(y)] + G(x, y).$$

Thus R is commutative by Kezlan's theorem [11] or Lemma 4. Similarly, with the help of Lemma 4, R is commutative if R satisfies $[yx - p(xy), y] = 0$. \square

Similarly to the proof of Theorem 6, we can reprove the next theorem using Lemma 4.

Theorem 7 ([12]). *Let R be a ring with unity 1 satisfying*

$$[xy - p(yx), y] = 0 \quad \text{for all } x, y \in R,$$

where $p(x) \in x^2Z[x]$. Then R is commutative.

Now let P be a ring property. If P is inherited by every subring and every homomorphic image, then P is called an h -property. More weakly, if P is inherited by every finitely generated subring and every natural homomorphic image modulo the annihilator of a central element, then P is called an H -property.

A ring property P such that a ring R has the property P if and only if all its finitely generated subrings have P is called an F -property.

Proposition 2 ([13, Proposition 1]). *Let P be an H -property, and P' be an F -property. If every ring R with unity 1 having the property P has the property P' , then every s -unital ring having P has P' .*

Finally, Theorems 1–4, 6, and 7 are automatically generalized from a unital ring to s -unital ones due to Proposition 2. Indeed, we have

Theorem 8. *Let R be a left (resp., right) s -unital ring satisfying (c_1) (resp., (c_2)). Then R is commutative.*

Theorem 9. *Let R be a left s -unital (resp., right) ring satisfying (c_3) (resp., (c_4)). Then R is commutative.*

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