

The Identity of Three Classes of Polynomials

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Dedicated to Professor Dumitru Acu on his 60th anniversary

Abstract

It is well-known that, if $f \in \mathbb{R}[X]$, $\deg(f) = n \geq 2$ and Df divides f , then f is a scalar multiple of the n -th power of a monic polynomial of first degree, $X + a$, with a certain $a \in \mathbb{R}$ (it can be proved solving a simple differential equation which contains the associated polynomial function of f and its derivative). The converse assertion is obvious. In this paper, in the main result, we will show that, adding a simple supplementary normalizing condition, the two classes defined by the mentioned properties also coincide with the class of the polynomials f which are reciprocal simultaneously with Df ; but it results that $a = 1$. This result also will be considered in the general situation of the polynomials of $K[X]$, where K is an infinite commutative field and we will use only the formal derivative D . Finally we will pass in the umbral calculus and we will transpose the result in the case of a certain delta operator Q , in relation to its basic sequence $(p_n)_n$.

2000 Mathematics Subject Classification: 05A10, 12D05

Key words and phrases: Polynomial, formal derivative, reciprocal polynomial.

1. Let K be any infinite commutative field and $K^* = K \setminus \{0\}$; we will consider the divisibility in $K[X]$ in the usual sense. We will use the formal derivative, defined for any polynomial $f = a_n X^n + a_{n-1} X^{n-1} + \dots + a_0$ (with $a_n \neq 0$) by the formula $Df = na_n X^{n-1} + (n-1)a_{n-1} X^{n-2} + \dots + a_1$, for this formal derivative the usual properties also being valid.

A polynomial f with $\deg(f) \geq 1$ is called to be a reciprocal polynomial if the equalities $a_k = a_{n-k}$ are verified for any $k = 0, 1, \dots, n$. For any reciprocal polynomial, we have $a_0 \neq 0$ (being equal to a_n) then (because $a_0 = f(0)$), we have $f(0) \neq 0$.

We present now the main result.

Theorem 1. *Let $f \in K[X]$ be, with $\deg(f) = n \geq 2$, and $a \in K^*$. Then the following affirmation are equivalent:*

- (a) *The polynomial Df divides f and $f(0) = \frac{(Df)(0)}{n} = a$.*
- (b) *$f = a(X+1)^n$.*
- (c) *The polynomial f reciprocal, DF also is reciprocal and $f(0) = a$.*

Proof. (a) \implies (b) Because Df divides f , it exists $q \in K[X]$ such that:

$$(1) \quad f = (Df)q.$$

It results $\deg(q) = 1$, then it is $\alpha, \beta \in K$, $\alpha \neq 0$ such that $q = \alpha X + \beta$. Considering the coefficient of X^n of the both parts of the equality (1), we obtain $a_n = na_n \alpha$, then $\alpha = \frac{1}{n}$. Considering the free terms of the both parts of the same equality, it results $a_0 = a_1 \beta$, or equivalent $f(0) = (Df)(0) \cdot \beta$. So, because one of hypothesis, we obtain $a = na\beta$, and so we also find $\beta = \frac{1}{n}$. So the equality (1) can be written:

$$(1') \quad nf = (Df) \cdot (X+1).$$

We fill now identify the coefficients of X^k from the two parts of (1'). We obtain:

$$na_k = (k+1)a_{k+1} + ka_k,$$

an equality which is true for any $k \geq 1$, but also for $k = 0$. It results:

$$(k + 1)a_{k+1} = (n - k)a_k,$$

or, passing k in j ,

$$(2) \quad (j + 1)a_{j+1} = (n - j)a_j.$$

So, we obtain:

$$j = 0 \quad \Rightarrow 1 \cdot a_1 = n \cdot a_0$$

$$j = 2 \quad \Rightarrow 2 \cdot a_2 = (n - 1) \cdot a_1$$

\vdots

$$j = k - 1 \Rightarrow k \cdot a_k = (n - k + 1)a_{k-1}.$$

Multiplying all these equalities, we obtain:

$$1 \cdot 2 \cdot \dots \cdot k \cdot a_k = n(n - 1) \cdot \dots \cdot (n - k + 1)a_0$$

and so, because $a_0 = a$, we have:

$$a_k = \binom{n}{k} a.$$

Therefore:

$$f = \sum_{k=0}^n a_k X^k = a \sum_{k=0}^n \binom{n}{k} X^k = a(X + 1)^n$$

and we have obtained (b).

(b) implies (a) Obvious.

(b) implies (c) Obvious.

(c) implies (b) Because the polynomial f is reciprocal we have the equalities:

$$(3) \quad a_k = a_{n-k} \quad (k = 0, 1, 2, \dots, n).$$

Taking into account the expression of Df , the fact that Df also is reciprocal conducts us to equalize the coefficients of X^k and X^{n-k-1} (of Df). We obtain:

$$(4) \quad (k + 1)a_{k+1} = (n - k)a_k \quad (k = 0, 1, 2, \dots, n - 1).$$

Introducing a_{n-k} from (3) in (4), we obtain:

$$(5) \quad (k+1)a_{k+1} = (n-k)a_k,$$

i.e. we have again find the relation (3). And so, as in the proof of the implication (a) \implies (b), we obtain:

$$a_k = \binom{n}{k} a$$

and so

$$f = a(X+1)^n,$$

i.e. the point (b). The theorem 1 is proved.

So the three classes of polynomials considered in the theorem coincide.

Also, we remark that if a polynomial f is reciprocal together with its derivative Df , then it is reciprocal together its successive derivatives Df , $D^2f, \dots, D^{n-1}f$.

2. We remember here some elements of umbral calculus.

It is known that a sequence of polynomials $(p_n)_n$ is said to be of binomial type if p_n is of degree n and the following equalities

$$(1.1) \quad p_n(u+v) = \sum_{k=0}^n \binom{n}{k} p_k(u)p_{n-k}(v)$$

are satisfied identically in u and v , for any non-negative integer n . We have: $p_0 = 1$ and $p_n(0) = 0$ for $n \geq 1$.

A simple example of polynomials of binomial type is represented by the monomials $e_n(x) = x^n$, $n \in \mathbb{N}$.

Let us denote by E^a the shift operator, defined by $(E^a f)(x) = f(x+a)$. An operator T which commutes with all shift operators is called a *shift-invariant operator*, that is $TE^a = E^aT$.

A *delta operator* Q is a shift-invariant operator for which Qe_1 is a non zero constant. Such operators possesse many of the properties of *the derivative operator* D , for which we have $De_n = ne_{n-1}$.

Here are some examples of delta operators: the forward difference Δ_h , the prederivative operator $D_h = \Delta/h$, the backward difference ∇_h and the central difference δ_h .

It is easy to see that: (i) for every delta operator Q we have $Qc = 0$, where c is a constant; (ii) if p_n is a polynomial of degree n , then, Qp_n is a polynomial of degree $n - 1$.

A sequence of polynomials (p_n) is called by I.M.Sheffer [3] and Gian-Carlo Rota and his collaborators [1], [2], a sequence of *basic polynomials for a delta operator* Q if we have $p_0(x) = 1$, $p_n(0) = 0$, ($n \geq 1$), while $Qp_n = np_{n-1}$.

J.F. Steffensen [4] observed that the property of $e_n(x) = x^n$ to be of binomial type can be extended to an arbitrary sequence of basic polynomials associated to a delta operator.

The following two results can be easily proved (see [1], pag. 182 – 183):

1) If (p_n) is a basic sequence of polynomials for a delta operator, then it is of binomial type;

2) If (p_n) is of binomial type, then it is a basic sequence for some delta operator.

By induction can be easily proved that every delta operator has a unique sequence of basic polynomials associated with it.

Examples (i) if $Q = D$ then $p_n(x) = x^n$;

(ii) if $Q = D_h = \Delta_h/h$ then:

$$p_n(x) = x^{[n,h]} = x(x-h) \dots (x-(n-1)h).$$

3. The theorem 1 can be transposed in a more general context as following.

Theorem 2. Let be $f \in \mathbb{R}[X]$, $\deg(f) = n \geq 2$, $a \in \mathbb{R}^*$ and Q a delta operator. Then the following affirmations are equivalent.:

(a) The polynomial Qf divides the polynomial f and

$$f(0) = \frac{(Qf)(0)}{n} = a.$$

(b) $f = a \cdot (X + 1)^n$.

(c) The polynomial f is reciprocal, Qf also is reciprocal, and $f(0) = a$.

References

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