On contractions and invariants of Leibniz Algebras

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Abstract

In this paper, contractions of complex Leibniz algebras are considered. A short summary of the history, relationships of different definitions and comparisons of them are given. We focus on the contractions of three-dimensional case of complex Leibniz algebras. A several contraction invariants that are useful in determining whether one algebra can be obtained as an contraction of another algebra are given.

1 Introduction

In 1951 I.E.Segal [18] introduced the notion of contractions of Lie algebras on physical grounds: if two physical theories (like relativistic and classical mechanics) are related by a limiting process, then the associated invariance groups (like the Poincare and Galilean groups) should also be related by some limiting process. If the velocity of light is assumed to go to infinity, relativistic mechanics "transforms" into classical mechanics. This also induces a singular transition from the Poincare algebra to the Galilean one. Another example is a limiting process from quantum mechanics to classical mechanics under $\hbar \longrightarrow 0$, that corresponds to the contraction of the Heisenberg algebras to the abelian ones of the same dimensions [4].

There are two approaches to the contraction problems of algebras. The first of them is based on physical considerations that is mainly oriented to applications of contractions. Contractions were used to establish connections between various kinematical groups and to shed a light on their physical meaning. In this way relationships between the conformal and Schrodinger groups was elucidated and various Lie algebras including a relativistic position operator were interrelated. Under dynamical group description of interacting systems, contractions corresponding to the coupling constant going to zero give noninteracting systems. Application of contractions allows to derive interesting results in the special function theory and on the variable separation method.

The second consideration is pure algebraical dealing with abstract algebraic structures. We will deal with this case and focus mainly to algebraic aspects of the contractions.

Let A be an n-dimensional algebra over a field K, (underlying vector space denoted V) with the binary operation $\lambda: V \times V \longrightarrow V$. Consider a continuous function $g_t: (0,1] \longrightarrow GL(V)$. In other words, g_t is a nonsingular linear operator on V for all $t \in (0, 1]$. Define parameterized family of new isomorphic to A algebra structures on V via the old binary operation λ as follows:

$$
\lambda_t(x, y) = (g_t * \lambda)(x, y) = g_t^{-1} \lambda(g_t(x), g_t(y)), \ x, y \in V.
$$

Definition 1.1. If the limit $\lim_{t\to+0} \lambda_t = \lambda_0$ exists for all $x, y \in V$, then the algebraic structure λ_0 defined by this way on V is said to be a contraction of the algebra A.

Note 1.1. Obviously, the contractions can be considered in basis level, i.e., let $\{e_1, e_2, ..., e_n\}$ be a basis of an n-dimensional algebra A. If the limit $\lim_{t\to+0} \lambda_t(e_i, e_j) = \lambda_0(e_i, e_j)$ exists then the algebra (V, λ_0) is a contraction of A.

Definition 1.2. A contraction from an algebra A to algebra A_0 is said to be **trivial** if A_0 is abelian and **improper** if A_0 is isomorphic to A.

Note that both the trivial and the improper contractions always exist. Here an example of the trivial and the improper contractions.

Example 1.1. Let $A = (V, \lambda)$ be an n−dimensional algebra. If we take $g_t = diag(t, t, ..., t)$ then $g_t * \lambda$ is abelian and at $g_t = diag(1, 1, ..., 1)$ we get $g_t * \lambda = A$.

In this paper we mainly focus on the algebraic aspects of the contractions. In current usage in algebra the word degeneration also is equally used instead of contraction.

Let V be a vector space of dimension n over an algebraically closed field K (charK=0). The bilinear maps $V \times V \to V$ form a vector space $Hom(V \otimes V, V)$ of dimension n^3 , which can be considered together with its natural structure of an affine algebraic variety over K and denoted by $Alg_n(K)$. An n-dimensional algebra A over K may be considered as an element $\lambda(A)$ of $Alg_n(K)$ via the bilinear mapping $\lambda : A \otimes A \to A$ defining an binary algebraic operation on A. The linear reductive group $GL_n(K)$ acts on $Alg_n(K)$ by $(g * \lambda)(x, y) = g(\lambda(g^{-1}(x), g^{-1}(y)))$ "transport of structure"). Two algebras λ_1 and λ_2 are isomorphic if and only if they belong to the same orbit under this action. For given two algebras $λ$ and $μ$ we say that $λ$ degenerates to $μ$, if $μ$ lies in the Zariski closure of the orbit $λ$. We denote this by $\lambda \rightarrow \mu$.

Definition 1.3. An algebra L over a field K is called a Leibniz algebra if its binary operation λ satisfies the following Leibniz identity:

$$
\lambda((x,\lambda(y,z)) = \lambda(\lambda(x,y),z) - \lambda(\lambda(x,z),y).
$$

The set of all n-dimensional Leibniz algebras over a field K will be denoted by $Leib_n(K)$. The set $Leib_n(K)$ can be included in the above mentioned n^3 -dimensional affine space as follows: let $\{e_1, e_2, \ldots, e_n\}$ be a basis of the Leibniz algebra L. Then the table of multiplication of L is represented by point (γ_{ij}^k) of this affine space as follows:

$$
\lambda(e_i, e_j) = \sum_{k=1}^n \gamma_{ij}^k e_k.
$$

Thus, the algebra L corresponds to the point (γ_{ij}^k) . γ_{ij}^k are called *structure constants* of L. The Leibniz identity gives polynomial relations among γ_{ij}^k . Hence we regard $Leib_n$ as a subvariety of K^{n^3} .

Definition 1.4. A Leibniz algebra λ is said to degenerate to a Leibniz algebra μ , if μ is represented by a structure which lies in the Zariski closure of the $GL_n(K)$ -orbit of the structure which represents λ .

In this case entire orbit $Orb(\mu)$ lies in the closure of $Orb(\lambda)$. We denote this, as has been mentioned above, by $\lambda \to \mu$, i.e., $\mu \in \overline{Orb(\lambda)}$.

Note 1.2. Degeneration is transitive, that is if $\lambda \to \mu$ and $\mu \to \nu$ then $\lambda \to \nu$.

Note 1.3. There are algebras the orbits of which are open in $Leib_n(K)$. These algebras are called rigid. The orbits of the rigid algebras give irreducible components of the variety $Leib_n(K)$. Hence to describe the variety $Leib_n(K)$ it is sufficient to describe all the rigid and rigid family of Leibniz algebras. By the Noetherian consideration they are finite number.

From now on all algebras considered are supposed to be over the field of complex numbers C. We make use of a few useful facts from the algebraic groups theory, concerning the degenerations. The first of them is on constructive subsets of algebraic varieties over \mathbb{C} , the closures of which relative to Euclidean and Zariski topologies coincide. Since $GL_n(\mathbb{C})$ -orbits are constructive sets, the usual Euclidean topology on \mathbb{C}^{n^3} leads to the same degenerations as does the Zariski topology. Now we may express the concept of degeneration in a slightly different way, that is the following condition will imply that $\lambda \to \mu$:

 $\exists g_t \in GL_n(\mathbb{C}(t))$ such that $\lim_{t \to 0} g_t * \lambda = \mu$,

where $\mathbb{C}(t)$ is the field of fractions of the polynomial ring $\mathbb{C}[t]$.

The second fact concerns the closure of $GL_n(\mathbb{C})$ -orbits stating that the boundary of each orbit is a union of finitely many orbits with dimensions strictly less than dimension of the given orbit. It follows that each irreducible component of the variety, on which algebraic group acts, contains only one open orbit that has a maximal dimension. It is obvious that in the content of variety of algebras the representatives of this kind orbits are rigid.

It is an interesting but difficult problem to determine the number of irreducible components of an algebraic variety. In this note we study the variety of 3-dimensional Leibniz algebras. As for other classes of algebras the known cases as follows: for associative algebras $alg_n(\mathbb{C}) : alg_4(\mathbb{C})$ [8], $alg_5(\mathbb{C})$ [16] and [14]; for nilpotent associative algebras case (see [16]); for nilpotent Lie algebras $NL_n(\mathbb{C})$: at $n \leq 5$ it can be found in [11], [3] and NL_6 was described by G.Seeley [17], NL_7 and NL_8 were investigated by Goze M., Ancochea Bermudez J.M. [9] and Goze M., Khakimdjanov Yu.B.[10]; the variety of filiform Lie Algebras were investigated by Goze M., Khakimdjanov Yu.B. [10]; for nilpotent Leibniz algebras in dimension less than 5 the geometric classification can be found in [1]. A slightly different approach to the geometric classification problem of algebras can be found in [5], [6] and [7].

2 Invariance Arguments

For a given Leibniz algebra L we define:

- $\Re(L) = \{x \in L | \lambda(L, x) = 0\}$ the right annihilator of L;
- $\Im(L) = \{x \in L | \lambda(x, L) = 0\}$ the left annihilator of L;
- $Z(L) = \{x \in L | \lambda(x, L) = \lambda(L, x) = 0\}$ the center of L;
- $Aut(L)$ the group of automorphisms of L;
- $L^k = \lambda(L^{k-1}, L)$ the k-th degree of $L, k \in \mathbb{N}$;
- $SA(L)$ the maximal abelian subalgebra of L;
- $Com(L)$ the maximal commutative subalgebra of L;
- $SLie(L)$ the maximal Lie subalgebra of L;
- $HL^i(L,L)$ the ith Leibniz cohomology group.

Invariance Argument 1.

Theorem 2.1. [1] For any $m, r \in \mathbb{N}$ the following subsets of Leib_n are closed relative to the Zariski topology:

1) ${L \in Leib_n \mid dim L^m \lt r}$ 2) ${L \in Leib_n \mid dim \Re(L) \gt m}$ 3) ${L \in Leib_n \mid dim\Im(L) \geq m}$ 4) ${L \in Leib_n \mid dimZ(L) \geq m}$ 5) ${L \in Leib_n \mid dimAut(L) > m}$ 6) ${L \in Leib_n \mid dimSA(L) \ge m}$ 7) $\{L \in Leib_n \mid dimCom(L) \geq m\}$ 8) $\{L \in Leib_n \mid dimSLie(L) \geq m\}$ 9) $\{L \in Leib_n \mid dimHL^i(L,L) \geq m\}$

The proof is an easy consequence of the following fact from algebraic group theory. Let G be a complex reductive algebraic group acting rationally on an algebraic set X. Let B be a Borel subgroup of G. Then $\overline{G} = G * \overline{B}$ [11].

Corollary 2.1. An algebra L does not degenerate to algebra L' if one of the following conditions is valid: 1) $dim L^m < dim L'^m$ for some m, $')$,

3) $dim\Im(L) > dim\Im(L)$ $\lim Z(L) > \dim Z(L'),$ 5) $dimAut(L) \geq dimAut(L)$ 7) $dimCom(L) > dimCom(L)$

9) $dimHL^i(L,L) > dimHL^i(L',L').$

- $),$ 6) $dimSA(L) > dimSA(L'),$
	- $),$ 8) $dimSLie(L) > dimSLie(L').$

The Invariance Arguments below are stated in general sitting and Leibniz algebras case is deduced from these as a special case.

Invariance Argument 2.

Let A be an n-dimensional algebra over a field K and $e_1, e_2, ..., e_n$ be a basis on it. Then the element Let A be an *n*-dimensional algebra over a field **A** and $e_1, e_2, ..., e_n$ be a basis on it. Then the element $x = x_1 \otimes e_1 + x_2 \otimes e_2 + ... + x_n \otimes e_n \in K[x_1, x_2, ..., x_n] \otimes_K A$, where $x_1, x_2, ..., x_n$ are independent variables, is called the generic element of A. Denote by $f_A(R_x)$ a Cayley-Hamilton polynomial of the right-multiplication operator to the generic element x in the algebra $\hat{A} = K[x_1, x_2, ..., x_n] \bigotimes_K K$. It is known that $f_A(R_x)$ doesn't depend on choosing of a basis in A.

Proposition 2.1. If an algebra A degenerates to algebra B then $f_A(R_x) = 0$ in B.

Invariance Argument 3.

Let $\{e_1, e_2, ..., e_n\}$ be a basis of A and $tr(R_{e_i}) = 0$ for all i. If there exists a basis $\{f_1, f_2, ..., f_n\}$ of B such that $tr(R_{f_i}) \neq 0$ for some i then A does not degenerate to B.

Invariance Argument 4.

Let A be given by the structure constants $\gamma_1, \gamma_2, ..., \gamma_r$ and (i, j) be pair of positive integers such that

$$
c_{ij} = \frac{tr(R_x)^i tr(R_y)^j}{tr((R_x)^i \circ (R_y)^j)}.
$$

 c_{ij} is a polynomial of $\gamma_1, \gamma_2, ..., \gamma_r$ and it does not depend on the elements x, y of A.

If neither of these polynomials is zero, we call c_{ij} an (i, j) -invariant of A. Suppose that A has an (i, j) − invariant c_{ij} . Then all $B \in O(A)$ have the same (i, j) − invariant.

Invariance Argument 5.

Let assume that in the previous invariance argument either $tr(R_x)^i tr(R_y)^j = 0$ or $tr((R_x)^i \circ (R_y)^j) = 0$ for all $x, y \in A$ and some pair (i, j) . Then these equations hold for all $B \in \overline{O(A)}$.

3 Variety of 3-dimensional complex Leibniz algebras

In two dimensional Leibniz algebras case one has the following table.

It is easy to see here that the algebras L_1 and L_3 are rigid. Hence, $Leib_2(\mathbb{C})$ has two irreducible components generated by L_1 and L_3 , respectively.

Theorem 3.1. Up to isomorphism, there exist four one parametric families and fourteen explicit repre-

sentatives of complex Leibniz algebras of dimension three.

Proof. The proof can be obtained by combining algebraic classification of Lie (see.[12]) and Leibniz

 \Box

algebras (see.[2]) in dimension three.

In the following two tables we give all isomorphic types of 3-dimensional complex Leibniz algebras and their volumes of invariants (the names in column 1 correspond to the increasing of the automorphisms group's dimension).

L	The characteristic polynomial of R_x in L	The characteristic polynomial of L_x in L
$L_1(\alpha)$ $\alpha \neq 0, -1, \alpha \in \mathbb{C}$	$R_x(R_x^2 - (trR_x)R_x + \frac{\alpha}{(\alpha+1)^2}(trR_x)^2I)$	L_x^3
$L_1(\alpha=-1)$	$R_x(R_x^2 - \frac{1}{2} tr R_x^2 I)$	$\frac{L_x^3}{L_x^3}$
L_{2}	$\overline{R_x^2(R_x - trR_x I)}$	
L_3	$R_x^2(R_x-trR_xI)$	$L_x^2(L_x-trL_xI)$
$L_4(\alpha \neq 1)$	$R_x\{R_x^2 - (trR_x)R_x - \frac{\alpha}{(\alpha-1)^2}(trR_x)^2I\}$	$L_x^2(L_x-trL_xI)$
$L_4(\alpha=1)$	$R_x(R_x^2 - \frac{1}{2} tr R_x^2 I)$	$L_x^2(L_x-trL_xI)$
L_5	$R_x^2(R_x-trR_xI)$	
$\overline{L_6}$	R_x^3	$\frac{L_x^3}{L_x^3} \frac{L_x^3}{L_x^3}$
L ₇	$\overline{R_x^2(R_x-trR_xI)}$	
L_8	$R_x^2(R_x-trR_xI)$	
$L_9(\alpha)$ $\alpha \neq 0,1; \ \alpha \leftrightarrow \alpha^{-1}$	$R_x(R_x^2 - (trR_x)R_x - \frac{\alpha}{(\alpha-1)^2}(trR_x)^2I)$	$L_x(L_x^2 - (trL_x)L_x - \frac{\alpha}{(\alpha-1)^2}(trL_x)^2I)$
$L_9(\alpha=-1)$	$R_x(R_x^2 - \frac{1}{2} tr R_x^2 I)$	$L_x(L_x^2 - \frac{1}{2} tr L_x^2 I)$
L_{10}	$R_x^2(R_x-trR_xI)$	$L_x^2(L_x-trL_xI)$
L_{11}	$R_x(R_x-\frac{1}{2}trR_xI)^2$	$L_x(L_x - \frac{1}{2}tr L_x I)^2$
$L_{12}(\alpha)$ $\alpha\in\mathbb{C}$	R_x^3	L_x^3
L_{13}	R_x^3	
L_{14}		
L_{15}		
L_{16}	$\frac{R_x}{R_x (R_x - \frac{1}{2} tr R_x I)^2} \ \frac{R_x^3}{R_x (R_x - \frac{1}{2} tr R_x I)^2} \ \frac{R_x^3}{R_x^3}$	$\begin{array}{c c}\n\hline\nL_x^3 \\ \hline\nL_x^3 \\ \hline\nL_x(L_x - \frac{1}{2} tr L_x I)^2 \\ \hline\nL_x^3 \\ \hline\nL_x^3 \\ \hline\nL_x^3\n\end{array}$
L_{17}		
L_{18}	$\overline{R_x^3}$	

In the table below R_x and L_x stand for the right and the left multiplication operators, respectively and I stands for the identity operator.

Using the Invariance Arguments we find all possible degenerations of 3-dimensional complex Leibniz algebras.

$$
L_1 \to L_2, L_5, L_6, L_7, L_8, L_{12}(\alpha = 0), L_{14}, L_{15}, L_{18};
$$

$$
L_2 \to L_7, L_8, L_{12}(\alpha = 0), L_{14}, L_{15}, L_{18};
$$

$$
L_3 \rightarrow L_{10}, L_{17}, L_{18};
$$

\n
$$
L_4(\alpha = 0) \rightarrow L_7, L_8, L_{10}, L_{12}(\alpha = 0), L_{13}, L_{14}, L_{15}, L_{17}, L_{18};
$$

\n
$$
L_4(\alpha \neq 0) \rightarrow L_4(\alpha = 0), L_5, L_6, L_7, L_8, L_{10}, L_{12}(\alpha = 0), L_{13}, L_{14}, L_{15}, L_{17}, L_{18};
$$

\n
$$
L_5 \rightarrow L_7, L_8, L_{10}, L_{12}(\alpha = 0), L_{15}, L_{18};
$$

\n
$$
L_6 \rightarrow L_7, L_8, L_{12}(\alpha = 0), L_{14}, L_{15}, L_{18};
$$

\n
$$
L_7 \rightarrow L_8, L_{12}(\alpha = 0), L_{15}, L_{18};
$$

\n
$$
L_8 \rightarrow L_8, L_{12}(\alpha = 0), L_{15}, L_{18};
$$

\n
$$
L_9 \rightarrow L_{10}, L_{11}, L_{16}, L_{17}, L_{18};
$$

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$$
L_{10} \rightarrow L_{17}, L_{18};
$$

\n
$$
L_{11} \rightarrow L_{16}, L_{17}, L_{18};
$$

\n
$$
L_{12}(\alpha = 0) \rightarrow L_{15}, L_{18}
$$

\n
$$
L_{12}(\alpha \neq 0) \rightarrow L_{12}(\alpha = 0), L_{15}, L_{18};
$$

\n
$$
L_{13} \rightarrow L_{15}, L_{17}, L_{18};
$$

\n
$$
L_{14} \rightarrow L_{17}, L_{18};
$$

\n
$$
L_{16} \rightarrow L_{17}, L_{18};
$$

\n
$$
L_{17} \rightarrow L_{18}
$$

\n
$$
L_{18} \rightarrow L_{18}
$$

The following algebras do not take appear on the right hand side of this list after arrows, this means that the algebras L_2, L_3, L_{11}, L_{16} are rigid and the group of algebras $L_1(\alpha)$, $L_4(\alpha)$, $L_9(\alpha)$, $L_{12}(\alpha)$, form rigid families of algebras, i.e., they are not degeneration of other Leibniz structures in dimension three.

For the Leibniz algebras that can not be excluded from the rigidity class by these invariance arguments we apply the following additional arguments:

- 1. A Leibniz algebra can not be degenerated by a Lie algebra.
- 2. Use existing 3-dimensional Lie algebras degenerations ([11], [3], [17]).
- 3. Use existing 3-dimensional nilpotent Leibniz algebras degenerations ([1]).
- 4. Use Associative algebras degenerations ([16]).
- The final result can be spelled out as follows:

Theorem 3.2. 1. The algebras L_2, L_3, L_{11}, L_{16} are rigid and $L_1(\alpha)$, $(\alpha \neq 0)$, $L_4(\alpha)$,

 $L_9(\alpha)$, $(|\alpha| < 1, \alpha \neq 0)$, $L_{12}(\alpha)$, $(\alpha \neq 0)$ are rigid family of algebras in Leib₃(C).

2. Leib₃(\mathbb{C}) consists of eight irreducible components:

$$
Leib_3(\mathbb{C}) = \overline{\cup_{\alpha} Orb(L_1(\alpha))} \ \bigcup \overline{Orb(L_2)} \ \bigcup \overline{Orb(L_3)} \bigcup \overline{\cup_{\alpha}Orb(L_4(\alpha))}
$$

$$
\bigcup \overline{\cup_{|\alpha|<1,\alpha\neq 0}Orb(L_9(\alpha))} \bigcup \overline{Orb(L_{11})} \bigcup \overline{\cup_{\alpha\neq 0}Orb(L_{12}(\alpha))} \bigcup \overline{Orb(L_{16})},
$$

with the dimensions: $dim\overline{\bigcup_{\alpha}Orb(L_1(\alpha))} = 7$, $dimOrb(L_2) = 7$, $dimOrb(L_3) = 6$, $dim\overline{\bigcup_{\alpha}Orb(L_4(\alpha))} = 6$,

 $dim\overline{\bigcup_{|\alpha|<1,\alpha\neq 0}Orb(L_9(\alpha))}$ = 5, $dimOrb(L_{11})$ = 5, $dim\overline{\bigcup_{\alpha\neq 0}Orb(L_{12}(\alpha))}$ = 5, $dimOrb(L_{16})$ = 3 and $dimLeib_3(\mathbb{C}) = 7.$

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