# **Borel Ideals in Three Variables**

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## 1. Introduction

Borel ideals, are special monomial ideals, occurring as generic initial ideals of homogeneous ideals  $\mathbf{a} \subseteq \mathbf{P}(\mathbf{n}) := \mathbf{k}[X_1, \ldots, X_n]$ , widely studied after Galligo's and Bayer-Stillman's results ([6] and [1]). More precisely (under the action of  $Gl(\mathbf{n}, \mathbf{k})$ on  $\mathbf{P}(\mathbf{n}) : g(X_j) = \sum_{i=1}^n g_{ij}X_i, g = (g_{ij}) \in Gl(\mathbf{n}, \mathbf{k})$ ), given any term-ordering < and homogeneous ideal  $\mathbf{a} \subseteq \mathbf{P}(\mathbf{n})$ , there exists a non-empty open subset U of  $Gl(\mathbf{n}, \mathbf{k})$ such that as g ranges in U,  $gin(\mathbf{a}) := in(g(\mathbf{a}))$  is constant. Moreover,  $gin(\mathbf{a})$  is fixed by the group **B** of upper-triangular invertible matrices, if  $X_1 > \cdots > X_n$ , while  $gin(\mathbf{a})$  is fixed by the group **B'** of lower-triangular invertible matrices if  $X_1 < \cdots < X_n$ . Monomial ideals  $\mathbf{a} \subseteq \mathbf{P}(\mathbf{n})$ , can be studied via the associated order-ideal  $\mathcal{N}(\mathbf{a})$  consisting of all the terms (= monic monomials) 'outside'  $\mathbf{a}$  and called *sous-éscalier* of  $\mathbf{a}$  ([6], [8] and [10]). For a Borel ideal  $\mathbf{b} \subseteq \mathbf{P}(\mathbf{n})$ ,  $\mathcal{N}(\mathbf{b})$  is fixed by **B'** if  $X_1 > \cdots > X_n$ , and by **B** if  $X_1 < \cdots < X_n$ . Studying Borel ideals through their sous-éscaliers, following A. Galligo ([7]), we consider  $X_1 < \cdots < X_n$ .

In Section 2 we fix our notation. In Section 3 we introduce the Borel subsets of the multiplicative semigroup of terms in  $\mathbf{P}(\mathbf{n})$ , illustrating some of their features and giving a 'general construction' to produce Borel subsets of assigned cardinality in each degree. In Section 4 we describe the Borel ideals  $\mathbf{b} \subset \mathbf{P}(\mathbf{n})$ ; in particular, basing on the combinatorics of  $\mathcal{N}(\mathbf{b})$ , we associate to every 0-dimensional  $\mathbf{b} \subseteq$  $\mathbf{P}(\mathbf{n})$ , generated in degrees  $\leq s+1$ , an n by s+1 matrix  $\tilde{\mathcal{M}}(\mathbf{b})$  with non-negative integral entries  $\tilde{m}_{i,j}(\mathbf{b})$ . Since on  $\tilde{\mathcal{M}}(\mathbf{b})'s$  rows one reads the Hilbert functions of sections of  $\mathbf{P}(\mathbf{n})/\mathbf{b}$  with linear spaces (see Definition 4.10 and Remark 4.12 a)),

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inspired by [2],  $\mathcal{M}(\mathfrak{b})$  is called *sous-éscalier sectional matrix*. In Section 5, given any *O*-sequence  $\mathbf{h} = (1, n, h_2, \ldots, h_d, \ldots, h_s)$  of positive integers we introduce an equivalence relation ~ on the set  $\mathcal{B}^n_{\mathbf{h}}$  of 0-dimensional Borel ideals corresponding to  $\mathbf{h}$  via:  $\mathfrak{b} \sim \mathfrak{b}'$  if they have the same sous-éscalier sectional matrix. We also introduce a poset structure on  $\mathcal{B}^n_{\mathbf{h}}/\sim$ , by means of the partial order relation  $\prec$ defined via:  $\overline{\mathfrak{b}} \prec \overline{\mathfrak{b}'}$  if  $\overline{\mathfrak{b}} \neq \overline{\mathfrak{b}'}$  and  $\tilde{m}_{i,j}(\mathfrak{b}) \leq \tilde{m}_{i,j}(\mathfrak{b}')$  for each representatives  $\mathfrak{b}, \mathfrak{b}'$ . The *Lex-segment ideal*  $\mathcal{L}(\mathbf{h})$  gives the unique maximal element of  $\mathcal{B}^n_{\mathbf{h}}/\sim$ . In the 3-variable case, by the combinatorial character of  $\prec$ , we construct the *generalized rev-lex segment* ideal  $\mathcal{L}(\mathbf{h})$  and prove our main results: for any *O*-sequence  $\mathbf{h} = (1, 3, h_2, \ldots, h_s) \in \mathbb{N}^{*(s+1)}$ , the poset  $\mathcal{B}^3_{\mathbf{h}}/\sim$  has a 'natural' lattice structure and  $\overline{\mathcal{L}(\mathbf{h})}$  is its unique minimal element; if  $n \geq 4$ ,  $\mathcal{B}^n_{\mathbf{h}}/\sim$ , only admits a poset structure having, in general, several different minimal elements (see Theorem 5.6 and Example 5.3).

We are grateful to D. Bayer for suggesting us to investigate this subject.

## 2. Notation

In this section we fix our notation, recalling some general facts which will be used. For each positive integer n,  $\mathbf{P}(\mathbf{n})$  is the polynomial ring in the variables  $X_1, \ldots, X_n$ over a field  $\mathbf{k}$  of characteristic 0. If  $n \leq 4, X, Y, Z, T$  replace ordinately  $X_1, \ldots, X_4$ . For  $1 \leq i \leq n$ ,  $\mathbf{P}(\mathbf{i}) := \mathbf{k}[X_1, \ldots, X_i]$  and  $\mathbf{P}'(\mathbf{i}) := \mathbf{k}[X_{n-i+1}, \ldots, X_n]$  are thought as subrings of  $\mathbf{P}(\mathbf{n}) = \mathbf{P}'(\mathbf{n})$ .

For every  $j \in \mathbb{N}$  let  $\mathbf{P}(\mathbf{n})_j$  denote the *j*-homogeneous part of  $\mathbf{P}(\mathbf{n})$  and similarly, for M a subset of  $\mathbf{P}(\mathbf{n})$  let  $M_j$  denote the degree *j* part.

The multiplicative semigroup of terms  $\mathbf{T}(\mathbf{n})$  is the set of monic monomials  $\mathbf{X}^{\mathbf{a}} := X_1^{a_1} \cdot X_2^{a_2} \cdots X_n^{a_n}$  with  $a_i \in \mathbb{N}$ , for  $1 \leq i \leq n$ ,  $\mathbf{T}(\mathbf{i})$  and  $\mathbf{T}'(\mathbf{i})$  denote respectively the terms involving the set of variables  $\{X_1, \ldots, X_i\}$  and  $\{X_{n-i+1}, \ldots, X_n\}$ . For each subset N of  $\mathbf{T}(\mathbf{n})$ , we let  $N(\mathbf{i})$  be the intersection  $N \cap \mathbf{T}(\mathbf{i})$  and  $N'(\mathbf{i})$  the intersection  $N \cap \mathbf{T}'(\mathbf{i})$ . If no confusion can arise, we ordinately write  $\mathbf{P}$ ,  $\mathbf{T}$ ,  $\mathbb{T}$  and  $\mathbb{T}'$  for  $\mathbf{P}(\mathbf{n})$ ,  $\mathbf{T}(\mathbf{n})$ ,  $\mathbf{T}(\mathbf{n}-\mathbf{1})$  and  $\mathbf{T}'(\mathbf{n}-\mathbf{1})$ . On  $\mathbf{T}$  among the possible termorderings, we will consider the lexicographic (l), degree-lexicographic (dl) and degree-reverse-lexicographic (drl) with  $X_1 < \cdots < X_n$ . The following decompositions (in increasing order) hold for all  $j \in \mathbb{N}^*$  and  $n \neq 1$ , (see [10]):

$$(\bullet) \qquad \mathbf{T}_{j} = \mathbb{T}_{j} \sqcup X_{n} \mathbb{T}_{j-1} \sqcup \cdots \sqcup X_{n}^{j-1} \mathbb{T}_{1} \sqcup X_{n}^{j} \mathbb{T}_{0} = \bigsqcup_{r=0}^{n} X_{n}^{r} \mathbb{T}_{j-r} \text{ (w.r.t. dl)}$$

$$(\bullet \bullet) \qquad \mathbf{T}_j = X_1 \mathbf{T}_{j-1} \sqcup \mathbb{T}'_j = \bigsqcup_{i=1}^n X_i \mathbf{T}' (\mathbf{n} - \mathbf{i} + \mathbf{1})_{j-1} \text{ (w.r.t. drl)}$$

For each  $i, j \in \mathbb{N}^*$ ,  $1 \leq i \leq n, 1 \leq \omega \leq {i+j-1 \choose j}$ , the set of the  $\omega$  smallest terms of  $\mathbf{T}(\mathbf{i})_j$  w.r.t. l (resp. rl) is denoted  $\mathbf{L}_{i,\omega,j}$  (resp.  $\Lambda_{i,\omega,j}$ ), and called  $\omega$ -(initial)-l-segment (resp.  $\omega$ -(initial)-rl-segment) of  $\mathbf{T}(\mathbf{i})_j$ .

As usual, the leading term (w.r.t. the given term-ordering) of an  $f \in \mathbf{P}$  is denoted  $T(f) \in \mathbf{T}$ ; for a homogeneous ideal  $\mathfrak{a} \subset \mathbf{P}$ ,  $T(\mathfrak{a}) := \{T(f) : f \in \mathfrak{a}\}$ is a semigroup ideal and  $in(\mathfrak{a}) \subset \mathbf{P}$  is the generated monomial ideal. We call sous-éscalier of  $\mathfrak{a}$  the order ideal  $\mathcal{N}(\mathfrak{a}) := \mathbf{T} \setminus T(\mathfrak{a})$ . For each subset N of **T** and positive integers i, j with  $0 \le i \le n-1$  we denote by  $\lambda_{i,j}(N)$  the number of egree j terms of N involving the variables  $X_{i+1}, \ldots, X_n$ :

$$\lambda_{i,j}(N) := \#(N'(\mathbf{n} - \mathbf{i})_j),\tag{1}$$

it may be useful to conventionally put  $\lambda_{n,j}(N) := 0$ . If  $N \subseteq \mathbf{T}_{\bar{j}}$  for some  $\bar{j} \in \mathbb{N}^*$ , then  $\lambda_{i,j}(N) = 0$  for all  $j \neq \bar{j}$ , thus we write  $\lambda_i(N)$  instead of  $\lambda_{i,\bar{j}}(N)$ . For  $t = X_1^{a_1} \cdot X_2^{a_2} \cdots X_n^{a_n} \in \mathbf{T}$ ,  $N \subseteq \mathbf{T}$ ,  $i, j \in \mathbb{N}^*$  with  $1 \leq i \leq n$ , we put

$$\mu(t) := \min\{\ell \in \{1, \dots, n\} : a_{\ell} \neq 0\},\tag{2}$$

$$\nu_{i,j}(N) := \#\{t \in N_j : \mu(t) = i\}.$$
(3)

As for  $1 \le i \le n$  we have  $t \in \mathbf{T}'(\mathbf{n} - \mathbf{i})$  iff  $\mu(t) \ge i + 1$  for all  $N \subseteq \mathbf{T}$ , it holds:

$$\nu_{i,j}(N) = \lambda_{i-1,j}(N) - \lambda_{i,j}(N).$$
(4)

If  $N \subseteq \mathbf{T}_j$  for some  $j \in \mathbb{N}^*$  we set  $N_{(0)} := N$  and, for all  $\ell \in \mathbb{N}^*$ 

$$N_{(\ell)} := \mathbf{T}_{j+\ell} \setminus \{X_1, \dots, X_n\} \cdot (\mathbf{T}_{j+\ell-1} \setminus N_{(\ell-1)}),$$
(5)

calling it *potential expansion* of N in  $\mathbf{T}_{j+\ell}$ .

By definition, for each homogeneous ideal  $\mathfrak{a} \subseteq \mathbf{P}$ , as  $\mathbf{T}_j \setminus \mathcal{N}(\mathfrak{a})_j = \mathfrak{a} \cap \mathbf{T}_j$ , one has

$$(\mathcal{N}(\mathfrak{a})_j)_{(1)} = \mathbf{T}_{j+1} \setminus T\{\mathfrak{a}_j \mathbf{P}_1\},\tag{6}$$

and, since  $\mathfrak{a}_j \mathbf{P}_1 \subseteq \mathfrak{a}_{j+1}$ , one also has

$$\mathcal{N}(\mathfrak{a})_{j+1} \subseteq (\mathcal{N}(\mathfrak{a})_j)_{(1)}.$$
(7)

For a monomial ideal  $\mathfrak{a} \subseteq \mathbf{P}(\mathbf{n})$ ,  $G(\mathfrak{a})$  denotes its minimal system of generators. If  $\mathfrak{a}$  is generated in degrees  $\leq s + 1$ , with initial degree  $d \in \mathbb{N}^*$ , then

$$#G(\mathfrak{a})_j = #(\mathcal{N}(\mathfrak{a})_{j-1})_{(1)} - #(\mathcal{N}(\mathfrak{a})_j) \text{ holds for every } d \le j \le s+1.$$
(8)

Note that, in the 0-dimensional case, one has in particular  $G(\mathfrak{a})_{s+1} = (\mathcal{N}(\mathfrak{a})_s)_{(1)}$ .

### 3. Borel subsets of T

In this section we give the notion of Borel subset of  $\mathbf{T}$  and some useful properties.

**Definition 3.1.** A subset B of **T** is Borel if  $t \in B$  and  $X_j | t$  imply  $X_i t / X_j \in B$  for all i < j.

**Remark 3.2.** a) For a Borel  $B \subseteq \mathbf{T}(\mathbf{i})_j$  it holds  $X_i^j \in B$  iff  $B = \mathbf{T}(\mathbf{i})_j$ , if B has cardinality  $\omega < \binom{i+j-1}{j}$ , then  $\lambda_0(B) = \omega$  and  $\lambda_{i-1}(B) = 0$ . So, if i = 3, only  $\lambda_1(B)$  is meaningful.

b) For each  $i, j \in \mathbb{N}^*$ ,  $1 \leq i \leq n$ ,  $1 \leq \omega \leq \binom{i+j-1}{j}$ ,  $\mathbf{L}_{i,\omega,j}$  and  $\Lambda_{i,\omega,j}$  are Borel subset of  $\mathbf{T}(\mathbf{i})_j$ , moreover  $\mathbf{L}_{i,\omega,j} = \Lambda_{i,\omega,j}$  iff  $\omega \in \{1, 2, \binom{i+j-1}{j} - 2, \binom{i+j-1}{j} - 1, \binom{i+j-1}{j}\}$  and  $\mathbf{L}_{1,1,j} = \Lambda_{1,1,j} = \{X_1^j\}$ ,  $\mathbf{L}_{2,\omega,j} = \Lambda_{2,\omega,j} = \{X_1^j, \dots, X_1^{j-\omega+1}X_2^{\omega-1}\}$ . Notation 3.3. For all  $a, j \in \mathbb{N}^*$ ,  $a\{j\}$  means the *j*-binomial expansion of *a*,

$$a = \binom{k(j)}{j} + \binom{k(j-1)}{j-1} + \dots + \binom{k(r)}{r}$$

with  $k(j) > k(j-1) > \cdots > k(r) \ge r \ge 1$ . Moreover, for all  $\ell \in \mathbb{Z}$  we let:

$$(a\{j\})^{\ell} := \binom{k(j)+\ell}{j} + \binom{k(j-1)+\ell}{j-1} + \dots + \binom{k(r)+\ell}{r},$$

where  $\binom{k(j-m)+\ell}{j-m} = 0$  if  $k(j-m) + \ell < j-m$  for some  $0 \le m \le j-r$ . In particular  $(a\{j\})^{1-n} = 1$  if  $a = \binom{n+j-1}{j}$ ,  $(a\{j\})^{1-n} = 0$  if  $a < \binom{n+j-1}{j}$ .

**Lemma 3.4.** For each  $j \in \mathbb{N}^*$ ,  $0 \le i \le n-1$  and  $1 \le \omega \le {\binom{n+j-1}{i}} - 1$  it holds:

$$\lambda_i(\mathbf{L}_{n,\omega,j}) = (\omega\{j\})^{-i}.$$

Proof. We prove by induction on  $n \ge 2$  and  $j \in \mathbb{N}^*$  that  $\lambda_1(\mathbf{L}_{n,\omega,j}) = (\omega\{j\})^{-1}$ , this if n = 2 is trivial for all  $j \in \mathbb{N}^*$ . Assume our contention for  $m \le n - 1, h \le j - 1$ and deduce it for n and j. For  $1 \le \omega \le \binom{n+j-1}{j} - 1$  we set  $\sigma(\omega) := -1$  and  $\alpha(\omega) := \omega$  if  $\omega \le \binom{n+j-2}{j}$ , otherwise we set  $\alpha(\omega) := \omega - \sum_{\ell=0}^{\sigma(\omega)} \binom{n+j-2-\ell}{j-\ell}$  with  $\sigma(\omega)$ defined via:  $\binom{n+j-2}{j} + \cdots + \binom{n+j-2-\sigma(\omega)}{j-\sigma(\omega)} < \omega \le \binom{n+j-2}{j} + \cdots + \binom{n+j-2-\sigma(\omega)}{j-\sigma(\omega)} + \binom{n+j-2-\sigma(\omega)-1}{j-\sigma(\omega)-1}$ . As  $\sum_{\ell=0}^{j} \binom{n+j-2-\ell}{j-\ell} = \binom{n+j-1}{j} > \omega$ , we have  $\sigma(\omega) = j - 1$  iff  $\omega = \binom{n+j-1}{j} - 1$ , i.e. for all  $\omega \ne \binom{n+j-1}{j} - 1$ , it holds  $j - \sigma(\omega) - 1 \ge 1$ . By (•) of Section 2, every  $\tau \in \mathbf{L}_{n,\omega,j}$  is not divisible by  $X_n^{\sigma(\omega)+2}$ , thus,  $\sigma(\omega) = -1$  implies  $\mathbf{L}_{n,\omega,j} \subseteq \mathbb{T}_j$ , and the inductive hypothesis on n applies. Otherwise,  $j - \sigma(\omega) - 1 \ge j - 1$  and  $\mathbf{L}_{n,\omega,j} = \bigsqcup_{\ell=0}^{\sigma(\omega)} X_n^{\ell} \mathbb{T}_{j-\ell} \sqcup X_n^{\sigma(\omega)+1} \mathbf{L}_{n-1,\alpha(\omega),j-\sigma(\omega)-1}$ . As  $\omega\{j\} = \sum_{\ell=0}^{\sigma(\omega)} \binom{n+j-2-\ell}{j-\ell} + \alpha(\omega)\{j - \sigma(\omega) - 1\}$ , we end by the inductive hypothesis on j. Similarly for i > 1.

**Remark 3.5.** a) One computes  $\lambda_i(\Lambda_{n,\omega,j})$  similarly (for this reason we gave our proof of Lemma 3.4 different from [11], Theorem 5.5). For each  $j, \omega \in \mathbb{N}^*$  and  $1 \leq \omega \leq {\binom{n+j-1}{j}} - 1$ , by (••) of Section 2, we have:

$$\lambda_1(\Lambda_{n,\omega,j}) = \begin{cases} 0 & \text{if } \omega \le \binom{n+j-2}{j-1} \\ \omega - \binom{n+j-2}{j-1} & \text{otherwise} \end{cases}.$$

Defining  $\rho(\omega)$  via:  $\binom{n+j-2}{j-1} + \dots + \binom{n+j-2-\rho(\omega)}{j-1} \leq \omega < \binom{n+j-2}{j-1} + \dots + \binom{n+j-2-\rho(\omega)-1}{j-1}$ ,  $\sum_{\ell=0}^{n-1} \binom{n+j-2-\ell}{j-1} = \binom{n+j-1}{j} > \omega \text{ implies } \rho(\omega) \leq n-2 \text{ and again by } (\bullet \bullet) \text{ of Section 2}$  we have

$$\lambda_i(\Lambda_{n,\omega,j}) = \begin{cases} 0 & \text{if } \rho(\omega) < i - 1, \\ \omega - \sum_{\ell=0}^{i-1} {n+j-2-\ell \choose j-1} & \text{if } \rho(\omega) \ge i - 1. \end{cases}$$

Note that  $\rho(\omega) + 1$  is the greatest *i* between 0 and n - 2 with  $\lambda_i(\Lambda_{n,\omega,j}) \neq 0$  (i.e.  $\rho(\omega) + 2$  gives the greatest *i* between 1 and n - 1, for which  $X_i^j \in \Lambda_{n,\omega,j}$ .) b) Moreover, by Remark 3.2 b) and Lemma 3.4, for all Borel subsets  $B \subseteq \mathbf{T}_j$ ,

b) Moreover, by Remark 3.2 b) and Lemma 3.4, for all Borel subsets  $B \subseteq \mathbf{1}_j$ , consisting of  $\omega$  elements, with  $3 \leq \omega \leq \binom{n+j-1}{j} - 3$ , we have

$$\lambda_i(\mathbf{L}_{n,\omega,j}) \ge \lambda_i(B) \ge \lambda_i(\Lambda_{n,\omega,j}).$$
(9)

**Lemma 3.6.** If  $B \subseteq \mathbf{T}_j$  is Borel then  $B_{(1)}$  is so, with cardinality  $\sum_{i=1}^n \lambda_{i-1}(B)$ .

*Proof.* Note that for each  $r \in \mathbb{N}^*$ ,  $C \subseteq \mathbf{T}_r$  is Borel iff

$$t \in \mathbf{T}_r \setminus C$$
 and  $X_\ell | t$  imply  $X_i t / X_\ell \in \mathbf{T}_r \setminus B$  for all  $i > \ell$ . (10)

By definition,  $B_{(1)} = \mathbf{T}_{j+1} \setminus \{X_1, \ldots, X_n\} \cdot (\mathbf{T}_j \setminus B)$  and we will show that (10) is verified by  $\{X_1, \ldots, X_n\} \cdot (\mathbf{T}_j \setminus B)$ . Namely,  $\overline{t} \in \{X_1, \ldots, X_n\} \cdot (\mathbf{T}_j \setminus B)$  implies  $\overline{t} = X_{\alpha}t$  for some  $1 \leq \alpha \leq n$  and  $t \in \mathbf{T}_j \setminus B$ . Clearly  $X_{\alpha} | \overline{t}$  and for all  $i > \alpha$  we have  $X_i \overline{t} / X_{\alpha} = X_i t \in \{X_1, \ldots, X_n\} \cdot (\mathbf{T}_j \setminus B)$ . If  $X_{\ell} | \overline{t}$  for  $\ell \neq \alpha$ , then  $X_i t / X_{\ell} \in (\mathbf{T}_j \setminus B)$ for all  $i > \ell$ , since B is Borel, so  $X_i \overline{t} / X_{\ell} = X_i X_{\alpha} t / X_{\ell} \in \{X_1, \ldots, X_n\} \cdot (\mathbf{T}_j \setminus B)$ for all  $i > \ell$ . Moreover,  $X_i \cdot B'(n - i + 1) \cap \{X_1, \ldots, X_n\} \cdot (\mathbf{T}_j \setminus B) = \emptyset$  for each  $i, 1 \leq i \leq n - 1$  and for all  $\tau \in B_{(1)}$  it holds  $\tau \in X_{\mu(\tau)} \cdot B'(\mathbf{n} - \mu(\tau) + \mathbf{1})$ . Thus

$$B_{(1)} = \bigcup_{i=1}^{n} X_i \cdot B'(\mathbf{n} - \mathbf{i} + \mathbf{1})$$
(11)

and the union is disjoint because of  $(\bullet \bullet)$  of Section 2. Thus, by the definition of  $\lambda_{i-1}(B)$ :

$$\#(B_{(1)}) = \sum_{i=1}^{n} \lambda_{i-1}(B).$$
(12)

**Theorem 3.7.** If  $B \subseteq \mathbf{T}_j$  is Borel, then for every  $\ell \in \mathbb{N}^*$ ,  $B_{(\ell)} \subseteq \mathbf{T}_{j+\ell}$  is so and  $\#B_{(\ell)} = \# \bigsqcup_{1 \leq i_1 \leq \cdots \leq i_\ell \leq n} X_{i_1} X_{i_2} \cdots X_{i_\ell} \cdot B'(\mathbf{n} - \mathbf{i}_\ell + \mathbf{1}) = \sum_{i=1}^n {i+\ell-2 \choose \ell-1} \lambda_{i-1}(B).$ 

Proof. Clearly  $B_{(\ell)}$  is Borel being defined iteratively as  $(B_{(\ell-1)})_{(1)}$  (see (5) of Section 2). Since  $B_{(2)} = (B_{(1)})_{(1)}$  and, by the proof of Lemma 3.6,  $B_{(1)} = \bigsqcup_{i=1}^{n} X_i \cdot B'(\mathbf{n} - \mathbf{i} + \mathbf{1})$ , one has  $B_{(2)} = \bigsqcup_{i=1}^{n} X_i \cdot B'_{(1)}(\mathbf{n} - \mathbf{i} + \mathbf{1}) = \bigsqcup_{i=1}^{n} X_i [\bigsqcup_{r=1}^{n} X_r B'(\mathbf{n} - \mathbf{r} + \mathbf{1})]'(\mathbf{n} - \mathbf{i} + \mathbf{1})$ . Since  $t \in \mathbf{T}'(\mathbf{n} - \mathbf{i} + \mathbf{1})$  iff  $\mu(t) \ge i$  (see (4) of Section 2), one has

$$[X_r B'(\mathbf{n} - \mathbf{r} + \mathbf{1})]'(\mathbf{n} - \mathbf{i} + \mathbf{1}) = \begin{cases} \emptyset & \text{if } r < i, \\ X_r B'(\mathbf{n} - \mathbf{r} + \mathbf{1}) & \text{if } r \ge i. \end{cases}$$

Thus,  $B_{(2)} = \bigsqcup_{i=1}^{n} X_i [\bigsqcup_{r=i}^{n} X_r B'(\mathbf{n} - \mathbf{r} + \mathbf{1})] = \bigsqcup_{1 \le i \le r \le n} X_i X_r B'(\mathbf{n} - \mathbf{r} + \mathbf{1})].$  Assume our contention for  $\ell \in \mathbb{N}^*$ , and deduce it for  $\ell + 1$ . As  $B_{(\ell+1)} = (B_{(\ell)})_{(1)}$ , one has

$$B_{(\ell+1)} = \bigsqcup_{i=1}^{n} X_{i} [\bigsqcup_{1 \le r_{1} \le \dots \le r_{\ell} \le n} X_{r_{1}} X_{r_{2}} \cdots X_{r_{\ell}} \cdot B'(\mathbf{n} - \mathbf{r}_{\ell} + \mathbf{1})]'(\mathbf{n} - \mathbf{i} + \mathbf{1})$$
$$= \bigsqcup_{i=1}^{n} X_{i} [\bigsqcup_{i \le r_{1} \le \dots \le r_{\ell} \le n} X_{r_{1}} X_{r_{2}} \cdots X_{r_{\ell}} \cdot B'(\mathbf{n} - \mathbf{r}_{\ell} + \mathbf{1})]$$
$$= \bigsqcup_{1 \le i_{1} \le \dots \le i_{\ell} \le i_{\ell+1} \le n} X_{i_{1}} X_{i_{2}} \cdots X_{i_{\ell+1}} \cdot B'(\mathbf{n} - \mathbf{i}_{\ell+1} + \mathbf{1}).$$

By counting how many times  $B'(\mathbf{n}-\mathbf{i}+\mathbf{1})$ , *i* running from 1 to *n*, contributes to the above union, one gets  $\#(B_{(\ell)})$ .  $B = B'(\mathbf{n})$  only occurs multiplied by  $X_1^{\ell}$ ,  $B'(\mathbf{n}-\mathbf{1})$  occurs  $\binom{\ell}{\ell-1}$  times (multiplied by the  $t \in \mathbf{T}(\mathbf{2})_{\ell}$  divisible by  $X_2$ ) and  $B'(\mathbf{n}-\mathbf{i}+\mathbf{1})$  occurs  $\binom{i+\ell-2}{\ell-1}$  times (multiplied by the  $t \in \mathbf{T}(\mathbf{i})_{\ell}$  divisible by  $X_i$ ). Thus, as claimed,  $\#(B_{(\ell)}) = \sum_{i=1}^n \binom{i+\ell-2}{\ell-1} \lambda_{i-1}(B)$ .

Theorem 3.7 shows how to construct Borel subsets of given cardinality in each degree (for Lex-segments see [8], for the general case see [9]).

General Construction 3.8. Fix  $d < s \in \mathbb{N}^*$  and  $1 \leq \omega \leq \binom{n+d-1}{d} - 1$ , for all  $0 \leq j \leq d-1$ , we let  $B_j := \mathbf{T}_j$  and  $B_d \subseteq \mathbf{T}_d$  a Borel subset of cardinality  $\omega_0 := \omega$ . We also let  $B_{d+\ell} \subseteq (B_{d+\ell-1})_{(1)}$  be a Borel subset of cardinality  $\omega_\ell$  for all  $1 \leq \ell \leq s-d$  and  $\omega_\ell \leq \#(B_d)_{(\ell)}$ , and  $B_j = \emptyset$  for all j > s. As clearly  $(B_j)_{(1)} = \mathbf{T}_{j+1}$ , for all  $0 \leq j \leq d-1$ , we have  $B_{r+1} \subseteq (B_r)_{(1)}$ , for each  $r \in \mathbb{N}$ . Thus,  $\mathbb{N} := \bigsqcup_{r \in \mathbb{N}} B_r$  is  $d-1 \qquad s-d$ 

an order ideal and a Borel subset of **T**, with  $\#N = \sum_{i=0}^{d-1} {n+i-1 \choose i} + \sum_{\ell=0}^{s-d} \omega_{\ell}$ .

Remark 3.9. a) From Lemma 3.4 and Lemma 3.6, we get:

- $\mathbf{L}_{n,\eta,j+1} \subseteq (\mathbf{L}_{n,\omega,j})_{(1)}$  for every  $\eta \leq \#((\mathbf{L}_{n,\omega,j})_{(1)})$ , yet
- $\Lambda_{n,\eta,j+1} \subseteq (\Lambda_{n,\omega,j})_{(1)}$  only for  $\eta \leq \omega$ .

b) For each r between 0 and n-2, we have  $\lambda_r(B_{(1)}) = \sum_{i=r}^{n-2} \lambda_i(B)$ . If  $n = 3, \#(B_{(\ell)}) = \lambda_0(B) + \ell \lambda_1(B)$ , i.e.  $\lambda_1(B_{(\ell)}) = \lambda_1(B)$  for each  $\ell \in \mathbb{N}$ .

#### 4. Borel ideals

In this and next section,  $\mathbf{h} := (1, n, \dots, h_d, \dots, h_s) \in \mathbb{N}^{*(s+1)}$  is the *O*-sequence of a homogeneous 0-dimensional ideal  $\mathfrak{a} \subseteq \mathbf{P}$  with initial degree  $d \leq s$  and generators in degrees  $\leq s+1$  (i.e.  $H_{\mathbf{P}/\mathfrak{a}}(j) = h_j$  for  $0 \leq j \leq s$  and  $H_{\mathbf{P}/\mathfrak{a}}(j) = 0$  for  $j \geq s+1$ . In particular we will say that such an  $\mathbf{h}$  is not *increasing* if  $\Delta(\mathbf{h}) := (1, n - 1, \dots, h_d - h_{d-1}, \dots, h_s - h_{s-1}) = (1, n - 1, \dots, \Delta(\mathbf{h})_d, \dots, \Delta(\mathbf{h})_s) \in \mathbb{Z}^{s+1}$  satisfies  $\Delta(\mathbf{h})_j \leq 0$ , for all  $j \geq d+1$  (n.b. for  $n \geq 2$ ,  $\Delta(\mathbf{h})_j = \binom{n+j-2}{j} > 0$  if  $1 \leq j \leq d-1$ ; no assumption is made on  $\Delta(\mathbf{h})_d$ ). Notation 4.1. The *l*-segment ideal associated to **h** is  $\mathcal{L}(\mathbf{h})$  with  $\mathcal{N}(\mathcal{L}(\mathbf{h}))_j = \mathbf{T}_j$  if  $0 \leq j \leq d-1$ ,  $\mathcal{N}(\mathcal{L}(\mathbf{h}))_j = \mathbf{L}_{n,h_j,j}$  if  $d \leq j \leq s$  and  $\mathcal{N}(\mathcal{L}(\mathbf{h}))_j = \emptyset$  if  $s+1 \leq j$  (see [8]). For **h** non-increasing, the associated *rl*-segment ideal is  $\Lambda(\mathbf{h})$  with  $\mathcal{N}(\Lambda(\mathbf{h}))_j = \mathbf{T}_j$  if  $0 \leq j \leq d-1$ ,  $\mathcal{N}(\Lambda(\mathbf{h}))_j = \Lambda_{n,h_j,j}$  if  $d \leq j \leq s$  and  $\mathcal{N}(\Lambda(\mathbf{h}))_j = \emptyset$  if  $s+1 \leq j$  (see [3] and [10]).

**Definition 4.2.** A monomial ideal  $\mathfrak{b} \subseteq \mathbf{P}$  is Borel if  $\mathcal{N}(\mathfrak{b})_j$  is so, for all  $j \in \mathbb{N}$ and  $\mathcal{B}^n_{\mathbf{h}}$  is the set of 0-dimensional Borel ideals  $\mathfrak{b} \subseteq \mathbf{P}(\mathbf{n})$  corresponding to  $\mathbf{h}$ .

For n = 2 all notions coincide. If  $n \ge 3$ , then *l*-segment and *rl*-segment ideals are Borel, yet there are Borel ideals neither *l*-segment nor *rl*-segment. For a Borel ideal  $\mathfrak{b} \subseteq \mathbf{P}$  of initial degree  $d \in \mathbb{N}^* X_n^d \in G(\mathfrak{b})$ , thus  $\nu_{n,d}(\mathfrak{b}) = 1$  and  $\nu_{n,j}(\mathfrak{b}) = 0$ for all  $j \ne d$ .

**Remark 4.3.** a)  $\mathcal{B}_{\mathbf{h}}^{n} \neq \emptyset$  as it contains  $\mathcal{L}(\mathbf{h})$ ; if  $\Delta(\mathbf{h})_{j} > 0$  for some  $j \geq d+1$ , by Remark 3.9 a) there isn't corresponding *rl*-segment ideal.

b) If  $\mathbf{b} \in \mathcal{B}^n_{\mathbf{h}}$ , as  $G(\mathbf{b})_{s+1} = (\mathcal{N}(\mathbf{b})_s)_{(1)}$  and  $\nu_{n,j}(\mathbf{b}) = 0$ , for all  $j \neq d$ , Lemma 3.6 applied to  $\mathcal{N}(\mathbf{b})_s$  implies  $\nu_{i,s+1}(\mathbf{b}) = \lambda_{i-1,s}(\mathcal{N}(\mathbf{b}))$  for each *i* in the range between 1 and *n*. Moreover, for each  $\ell$  in the range between 0 and  $s - (d + \ell)$ :

$$h_{d+\ell+1} = \#((\mathcal{N}(\mathfrak{b})_{d+\ell})_{(1)} \setminus G(\mathfrak{b})_{d+\ell+1}) = \sum_{i=0}^{n-2} \lambda_{i,d+\ell}(\mathcal{N}(\mathfrak{b})) - \#(G(\mathfrak{b})_{d+\ell+1}).$$

c) By Theorem 3.7 for constructing  $\mathbf{b} \in \mathcal{B}^n_{\mathbf{h}}$  one needs, for r varying from 0 to s-d, Borel subsets  $B_r \subseteq \mathbf{T}_{d+r}$  of cardinality  $h_{d+r}$ , with the following constraints:

1.  $B_{r+1} \subseteq (B_r)_{(1)},$ 

2.  $\#(B_{(\ell)}) \ge h_{d+r+\ell}$  for each  $\ell$  in the range between 0 and  $s - (d+\ell)$ .

**Lemma 4.4.** A monomial ideal  $\mathfrak{a} \subseteq \mathbf{P}$  corresponding to  $\mathbf{h}$  satisfies  $\lambda_{1,j}(\mathcal{N}(\mathfrak{a})) \geq \Delta(\mathbf{h})_j$ , for all j in the range between 0 and s.

Proof. By (••) of Section 2, 
$$\mathcal{N}(\mathfrak{a})_j = (\mathcal{N}(\mathfrak{a})_j \cap X_1 \mathbf{T}_{j-1}) \sqcup (\mathcal{N}(\mathfrak{a})_j)'(\mathbf{n-1})$$
. Letting  
$$\xi_j := \#(\mathcal{N}(\mathfrak{a})_j \cap X_1 \mathbf{T}_{j-1}),$$

we have  $h_j = #(\mathcal{N}(\mathfrak{a})_j) = \xi_j + \lambda_{1,j}(\mathcal{N}(\mathfrak{a}))$ . Moreover,  $\mathfrak{a}_{j-1}\mathbf{P}_1 \subseteq \mathfrak{a}_j$  implies  $\mathfrak{a}_{j-1}X_1 \subseteq \mathfrak{a}_j \cap X_1\mathbf{T}_{j-1}$  or, which is the same,  $\mathcal{N}(\mathfrak{a})_j \cap X_1\mathbf{T}_{j-1} \subseteq \mathcal{N}(\mathfrak{a})_{j-1}X_1$ , i.e.  $\xi_j \leq h_{j-1}$ . So  $\Delta(\mathbf{h})_j := h_j - h_{j-1} = \xi_j + \lambda_{1,j}(\mathcal{N}(\mathfrak{a})) - h_{j-1} \leq \lambda_{1,j}(\mathcal{N}(\mathfrak{a}))$ .

**Corollary 4.5.** A  $\mathfrak{b} \in \mathcal{B}^n_{\mathbf{h}}$  satisfies  $\lambda_{1,j}(\mathcal{N}(\mathfrak{b})) = \Delta(\mathbf{h})_j$  exactly for those j in the range between 0 and s, such that  $G(\mathfrak{b})_j$  does not contain any term divisible by  $X_1$ .

Proof. As clearly  $G(\mathfrak{b})_j = \emptyset$  for each  $0 \leq j \leq d-1$ , only  $j = d+\ell$ ,  $0 \leq \ell \leq s-d$ , matter. Moreover, from Lemma 4.4 one infers that  $\lambda_{1,d+\ell}(\mathcal{N}(\mathfrak{b})) = \Delta(\mathbf{h})_{d+\ell}$  iff  $\xi_{d+\ell} = h_{d+\ell-1}$ . As  $G(\mathfrak{b})_{d+\ell} = (\mathcal{N}(\mathfrak{b})_{d+\ell-1})_{(1)} \setminus \mathcal{N}(\mathfrak{b})_{d+\ell}$  and  $(\mathcal{N}(\mathfrak{b})_{d+\ell-1})_{(1)} = \prod_{j=1}^{n-1} X_j(\mathcal{N}(\mathfrak{b})_{d+\ell-1})'(\mathbf{n}-\mathbf{j}+\mathbf{1})$ , this means exactly  $X_1 \nmid t$ , for all  $t \in G(\mathfrak{b})_{d+\ell}$ . If n = 3, we can say more and therefore, from now on unless otherwise noticed

If n = 3, we can say more and therefore, from now on, unless otherwise noticed,  $\mathbf{T} := \mathbf{T}(\mathbf{3})$  endowed with drl and  $\mathbf{h} := (1, 3, h_2, \dots, h_d, \dots, h_s)$ , as if d = s + 1 then  $\mathcal{B}^3_{\mathbf{h}} = \{(X, Y, Z)^s\}$ , one can take  $d \leq s$ . We begin giving the following definition: **Definition 4.6.** ([9], [10]) For  $j \in \mathbb{N}^*$  and  $1 \le i \le j + 1$ ,  $0 \le a \le j + 1$  we set:

$$\ell_{ij} := \{ X^{j-i+1} Z^{i-1}, X^{j-i} Y Z^{i-1}, \dots, Y^{j-i+1} Z^{i-1} \} and R_{a,j} := \bigsqcup_{i=1}^{a} \ell_{ij}.$$

Note that:  $R_{0,j} = \emptyset$ ,  $R_{j+1,j} = \mathbf{T}(\mathbf{3})_j$ , and  $R_{a,j}$  is the (initial)-*l*-segment  $\mathbf{L}_{3,\frac{a(2j-a+3)}{2},j}$ . If  $B \subseteq \mathbf{T}(\mathbf{3})_j$  is Borel, then  $\#(B \cap \ell_{ij}) > \#(B \cap \ell_{i+1j})$  for every  $1 \le i \le j+1$ ; if  $B \cap \ell_{ij} \ne \emptyset$ , for some  $1 \le i \le j+1$ , a full segment (from the left) of  $\ell_{ij}$  lies in B.

**Definition 4.7.** For each  $0 \le \ell \le s - d$ , the increasing character of **h** in degree  $d + \ell$  is  $a_{\ell} := \max\{0, \max_{i \ge d+\ell} \{\Delta(\mathbf{h})_i\}\}.$ 

In Definition 4.7 we have  $d \ge a_0 \ge a_1 \ge \cdots \ge a_{s-d} := \max\{0, \Delta(\mathbf{h})_s\}$ . Thus,  $a_{\ell} = 0$  for some  $0 \le \ell \le s - d$ , implies  $a_{\ell+r} = 0$  for all  $0 \le r \le s - (d+\ell)$ .

We point out that bonds of Remark 4.3 c) reduce (by Remark 3.9 b)) to:

- $B_{\ell+1} \subseteq (B_\ell)_{(1)}$ ,
- $\lambda_1(B_\ell) \ge a_\ell$ , for all  $0 \le \ell \le s d$ .

**Definition 4.8.** 1. Denoting  $m(\mathbf{h}) \leq s - d$  the index of the last positive increasing character of  $\mathbf{h}$ , we introduce  $\bar{\mathbf{h}} \in \mathbb{N}^{*(d+m(\mathbf{h})+1)}$ , defined by:

$$\bar{h}_j = \begin{cases} \Delta(\mathbf{h})_j = j+1 & \text{if } 0 \le j \le d-1, \\ a_{j-d} & \text{if } d \le j \le d+m(\mathbf{h}). \end{cases}$$

- 2. Following our General Construction 3.8, we define the order ideal  $\mathbb{L}(\mathbf{h}) := \bigcup_{j \in \mathbb{N}} \mathbb{L}(\mathbf{h})_j$ , where:
  - $\mathbb{L}(\mathbf{h})_j := \mathbf{T}_j \text{ if } 0 \le j \le d-1,$
  - $\mathbb{L}(\mathbf{h})_j := R_{\bar{\mathbf{h}}_j,j} \sqcup \{t_1, \ldots, t_{b(j)}\}$  if  $d \leq j \leq d + m(\mathbf{h})$ , with  $b(j) := h_j \frac{\bar{h}_j(2j-\bar{h}_j+3)}{2}$  and  $t_1 < \cdots < t_{b(j)}$  the smallest terms of  $(\mathbb{L}(\mathbf{h})_{j-1})_{(1)} \setminus R_{\bar{h}_j,j}$ ,
  - $\mathbb{L}(\mathbf{h})_j := \{t_1, \ldots, t_{h_j}\}$  if  $d + m(\mathbf{h}) + 1 \le j \le s$ , with  $t_1 < \cdots < t_{h_j}$  the smallest terms of  $(\mathbb{L}(\mathbf{h})_{j-1})_{(1)}$ ,
  - $\mathbb{L}(\mathbf{h})_j := \emptyset$  if j > s.
- 3. The generalized-rl-segment-ideal  $\pounds(\mathbf{h}) \in \mathcal{B}^3_{\mathbf{h}}$  is the monomial ideal with souséscalier  $\mathbb{L}(\mathbf{h})$ .

Let  $s_1 < \cdots < s_{h_j} \in \mathcal{N}(\pounds(\mathbf{h}))_j$  and  $\tau_1 < \cdots < \tau_{h_j} \in \mathcal{N}(\mathfrak{b})_j$ , for  $\mathfrak{b} \in \mathcal{B}^3_{\mathbf{h}}$ ,  $0 \le j \le s$ , be the respective elements, one has  $s_r \le \tau_r$  for all  $1 \le r \le h_j$ . As  $\lambda_{1,d+\ell}(\mathcal{N}(\mathfrak{b})) \ge a_\ell$  for each  $\mathfrak{b} \in \mathcal{B}^3_{\mathbf{h}}$ , the trace of  $\mathcal{N}(\pounds(\mathbf{h}))_{d+\ell}$  in  $\mathbb{T}'_{d+\ell}, \ell$  varying from 0 to s - d, is minimal among the elements of  $\mathcal{B}^3_{\mathbf{h}}$ . If  $\mathbf{h}$  is not-increasing, then  $\mathbb{L}(\mathbf{h}) = \Lambda(\mathbf{h})$ .

**Remark 4.9.** a) The sequence  $\mathbf{h}$  of Definition 4.8 a) is an *O*-sequence being the Hilbert function of the Borel ideal:

$$(\pounds(\mathbf{h}), X_1)/(X_1) \subseteq \mathbf{P}'(\mathbf{2}).$$

b) Letting, for all homogeneous ideal  $\mathfrak{a} \subseteq \mathbf{P}$  and i in the range between 1 and n,  $\mathfrak{a}[i] := (\mathfrak{a}, X_1, \ldots, X_i)/(X_1, \ldots, X_i)$ , we have:

$$\lambda_{i,j}(\mathcal{N}(\mathfrak{a})) := \#((\mathcal{N}(\mathfrak{a})_j)'(\mathbf{n}-\mathbf{i})) = H_{\mathbf{P}/\mathfrak{a}[i]}(j).$$

Drawing inspiration from [2], we associate to every 0-dimensional Borel ideal  $\mathfrak{b} \subseteq \mathbf{P}$ , generated in degrees  $\leq s + 1$ , a matrix in  $M_{n,s+1}(\mathbb{N})$ , defined as follows:

**Definition 4.10.** The sous-éscalier sectional matrix (ses-matrix) of a 0-dimensional Borel ideal  $\mathfrak{b} \subseteq \mathbf{P}$ , generated in degrees  $\leq s + 1$ , is  $\tilde{\mathcal{M}}(\mathfrak{b}) = (\tilde{m}_{i,j}(\mathfrak{b})) \in M_{n,s+1}(\mathbb{N})$ :

$$\tilde{m}_{i,j}(\mathfrak{b}) := \lambda_{i-1,j-1}(\mathcal{N}(\mathfrak{b})), \ 1 \le i \le n, \ 1 \le j \le s+1.$$

In general different ideals in  $\mathcal{B}^n_{\mathbf{h}}$  can share the same ses-matrix.

**Example 4.11.** If  $\mathbf{h} = (1, 3, 4, 3)$ , then both  $\Lambda(\mathbf{h}) = (Z^2, YZ, Y^3, XY^2, X^3Z, X^3Y, X^4)$  and  $\mathcal{L}(\mathbf{h}) = (Z^2, YZ, Y^3, X^2Z, X^2Y^2, X^3Y, X^4)$  are in  $\mathcal{B}^3_{\mathbf{h}}$ . Note that

$$\tilde{\mathcal{M}}(\Lambda(\mathbf{h})) = \begin{pmatrix} 1 & 3 & 4 & 3\\ 1 & 2 & 1 & 0\\ 1 & 1 & 0 & 0 \end{pmatrix} = \tilde{\mathcal{M}}(\mathcal{L}(\mathbf{h})).$$

**Remark 4.12.** a) By Definition 4.10 and Remark 4.9 a) we have:

- $(\tilde{m}_{i,1}(\mathfrak{b}), \ldots, \tilde{m}_{i,s+1}(\mathfrak{b})) = (H_{\mathbf{P}/\mathfrak{b}[i-1]}(0), \ldots, H_{\mathbf{P}/\mathfrak{b}[i-1]}(s))$  as *i* ranges between 1 and *n*, in particular, for each *i* the  $0 \neq \tilde{m}_{i,j}(\mathfrak{b})$  form an *O*-sequence.
- $(\tilde{m}_{1,j}(\mathfrak{b}), \dots, \tilde{m}_{n,j}(\mathfrak{b})) = (H_{\mathbf{P}/\mathfrak{b}[0]}(j-1), \dots, H_{\mathbf{P}/\mathfrak{b}[n-1]}(j-1)), 1 \le j \le s+1.$

b) Given any *O*-sequence  $\mathbf{h} = (1, 3, \binom{4}{2}, \dots, \binom{d+1}{d-1}, h_d, \dots, h_s)$ , by Lemma 3.4, the second row of  $\tilde{\mathcal{M}}(\mathcal{L}(\mathbf{h}))$  is

$$(1 \ 2 \ 3 \ \cdots \ d \ (h_d\{d\})^{-1} \ \cdots \ (h_s\{s\})^{-1}),$$

while, by construction, the second row of  $\tilde{\mathcal{M}}(\mathfrak{L}(\mathbf{h}))$  is

$$(1 \ 2 \ 3 \ \cdots \ d \ a_0 \ \cdots \ a_{m(\mathbf{h})} \ 0 \ \cdots \ 0).$$

In general, for all *O*-sequence  $\mathbf{h} = (1, n, \dots, \binom{n+d-2}{d-1}, h_d, \dots, h_s)$ , the *i*-th row of  $\tilde{\mathcal{M}}(\mathcal{L}(\mathbf{h}))$  is  $(1, n-i+1, \binom{n-i+2}{2}, \dots, \binom{n+d-(i+1)}{d-1}, (h_d\{d\})^{-(i-1)}, \dots, (h_s\{s\})^{-(i-1)})$ .

A well-known result of Eliahou-Kervaire [4] gives a handy formula for the graded Betti numbers of a Borel ideal  $\mathfrak{b} \subseteq \mathbf{P}$ . Namely, if  $X_1 < \cdots < X_n$ , it holds:

$$\beta_{q,j+q}(\mathfrak{b}) = \sum_{\substack{t \in G(\mathfrak{b}) \\ deg \, t=j}} \binom{n-\mu(t)}{q} = \sum_{i=1}^n \binom{n-i}{q} \nu_{i,j}(\mathfrak{b}), \tag{*}$$

(where  $\mu(t)$  is defined in (2) and  $\nu_{i,j}(\mathfrak{b})$  stays for  $\nu_{i,j}(G(\mathfrak{b}))$  (defined in (3))).

In particular, for a 0-dimensional Borel ideal  $\mathfrak{b} \subseteq \mathbf{P}$  of initial degree d and generated in degrees  $\leq s + 1$ , j and q in (\*) vary respectively between d and s + 1, 0 and n - 1.

**Proposition 4.13.** Two 0-dimensional Borel ideals  $\mathfrak{b}, \mathfrak{b}' \subseteq \mathbf{P}(\mathbf{n})$  have the same ses-matrix iff they have the same graded Betti numbers.

*Proof.* Let  $\mathfrak{b}, \mathfrak{b}'$  have either the same ses-matrix or graded Betti numbers, and let d (resp. s+1) be the initial (resp. greatest) degree of generators. Denoting  $\star$ either of  $\mathfrak{b}$  and  $\mathfrak{b}'$ , from (\*) above we get linear relations between the  $\beta_{-,-}(\star)'s$  and  $\nu_{+,+}(\star)$ 's, more precisely, for j varying between d and s+1, we get a Gauss-reduced linear system of n equations, q varying between n-1 and 0. Namely, q=n-1implies  $\beta_{n-1,j+n-1}(\star) = \sum_{i=1}^{n} {\binom{n-i}{n-1}} \nu_{i,j}(\star) = \nu_{1,j}(\star)$ , substituting it in q = n-2 we get  $\beta_{n-2,j+n-2}(\star) = \sum_{i=1}^{n} {\binom{n-i}{n-2}} \nu_{i,j}(\star) = (n-1)\nu_{1,j}(\star) + \nu_{2,j}(\star) = (n-1)\beta_{n-1,j+n-1}(\star) = (n-1)\beta_{n-1,$  $\nu_{2,j}(\star)$ , i.e.  $\nu_{2,j}(\star) = \beta_{n-2,j+n-2}(\star) - (n-1)\beta_{n-1,j+n-1}(\star)$  and so on, until q = 0. Thus  $\beta_{q,j+q}(\star)$ 's are function of  $\nu_{i,j}(\star)$ 's,  $0 \le q \le n-1$ ,  $d \le j \le s+1$ ,  $1 \le i \le n$ , and conversely. As  $\nu_{n,d}(\star) = 1$  and  $\nu_{n,j}(\star) = 0$ , for all j between d+1 and s+1, dependency relations between the  $\beta_{-,-}(\star)$ 's hold. By (8) and (11) we have also

$$\nu_{i,j}(\star) = \#(\mathcal{N}(\star)'((\mathbf{n} - \mathbf{i} + \mathbf{1})_{j-1})_{(1)} - \lambda_{i,j}(\mathcal{N}(\star)) - \sum_{h=1}^{n-1} \nu_{i+h,j}(\star)$$

from which, recalling that  $\lambda_{n-1,j}(\mathcal{N}(\star)) = 0$  for  $d \leq j \leq s$ , we get the following relations between  $\nu_{i,j}(\star)$ 's and  $\lambda_{i,j}(\mathcal{N}(\star))$ 's:

- •  $\nu_{i,s+1}(\star) = \lambda_{i-1,s}(\mathcal{N}(\star))$  for  $1 \le i \le n-1$ ,

which allow to express the last ones in terms of the first ones.

We get our contention taking into account ( $\diamond$ ) and  $\bullet$ 's and recalling that  $\tilde{m}_{i,j}(\star) :=$  $\lambda_{i-1,j-1}(\mathcal{N}(\star))$  for i and j respectively in the range between 1 and n, 1 and s+1.

**Remark 4.14.** Let n = 3, **h** an *O*-sequence and  $\mathfrak{b}, \mathfrak{b}' \in \mathcal{B}^3_{\mathbf{h}}$ , then: a)  $\nu_{3,d}(\mathfrak{b}) = 1$ ,  $\nu_{2,d}(\mathfrak{b}) = d - \lambda_{1,d}(\mathcal{N}(\mathfrak{b}))$ ,  $\nu_{1,d}(\mathfrak{b}) = \binom{d+1}{2} - h_d + \lambda_{1,d}(\mathcal{N}(\mathfrak{b}))$ ; b) as  $G(\mathfrak{b})_{d+\ell+1} = (X\mathcal{N}(\mathfrak{b})_{d+\ell} \sqcup Y((\mathcal{N}(\mathfrak{b})_{d+\ell})'(2)) \setminus \mathcal{N}(\mathfrak{b})_{d+\ell+1}, \text{ for } \ell, 1 \leq \ell \leq \ell$ s-d, we have  $\nu_{3,d+\ell+1}(\mathfrak{b}) = 0$ ,  $\nu_{2,d+\ell+1}(\mathfrak{b}) = \lambda_{1,d+\ell}(\mathcal{N}(\mathfrak{b})) - \lambda_{1,d+\ell+1}(\mathcal{N}(\mathfrak{b}))$ , and  $\nu_{1,d+\ell+1}(\mathfrak{b}) = h_{d+\ell} - h_{d+\ell+1} + \lambda_{1,d+\ell+1}(\mathcal{N}(\mathfrak{b}));$ 

c) if  $0 \to L_2 \to L_1 \to L_0 \to \mathbf{P} \to \mathbf{P}/\mathfrak{b} \to 0$  is the minimal free resolution of  $(\mathbf{P}/\mathfrak{b})$ , with  $L_i = \bigoplus_{j=d}^{s+1} \mathbf{P}(-j-i)^{\beta_{i,j+i}}$ , letting  $0 = h_{s+1} = \lambda_{0,s+1}(\mathcal{N}(\mathfrak{b}))$ , since  $\lambda_{1,j}(\mathcal{N}(\mathfrak{b})) = \beta_{0,j+1} - h_j + h_{j+1}$  for j in the range between d and s, the above found values, inserted in the [4]'s formula (\*) give:

$$\beta_{0,j} = \begin{cases} \binom{d+2}{2} - h_d & \text{if } j = d \\ h_{j-1} - h_j + \lambda_{1,j-1}(\mathcal{N}(\mathfrak{b})) & \text{if } j \text{ varies from } d+1 \text{ to } s+1 \end{cases}$$
  
$$\beta_{1,j+1} = \begin{cases} d(d+2) - 3h_d + h_{d+1} + \beta_{0,d+1} & \text{if } j = d \\ h_{j-1} - 2h_j + h_{j+1} + \beta_{0,j+1} + \beta_{0,j} & \text{if } j \text{ varies from } d+1 \text{ to } s+1 \end{cases}$$
  
$$\beta_{2,j+2} = h_{j-1} - 2h_j + h_{j+1} + \beta_{0,j+1} \text{ if } j \text{ varies between } d \text{ and } s+1.$$

From the above consideration we get

$$\beta_{q,j+q}(\mathfrak{b}) \geq \beta_{q,j+q}(\mathfrak{b}')$$
 if and only if  $\tilde{m}_{q,j+q}(\mathfrak{b}) \geq \tilde{m}_{q,j+q}(\mathfrak{b}')$ .

If  $n \neq 3$ , graded Betti numbers of a 0-Borel ideal are not characterized only in terms of its **h** and  $\beta_{0,j}$ 's.

**Example 4.15.** In  $\mathbf{P} := \mathbf{P}(4)$  (with X < Y < Z < T) let  $\mathfrak{a}$  and  $\mathfrak{b}$  be the two Borel ideals:

$$\begin{split} \mathfrak{a} &= (X^6, X^5Y, X^4Y^2, X^3Y^3, X^2Y^4, XY^5, Y^6, X^5Z, X^4YZ, X^3Y^2Z, X^2Y^3Z, XY^4Z, \\ Y^5Z, X^4Z^2, X^3YZ^2, X^2Y^2Z^2, XY^3Z^2, Y^4Z^2, X^3Z^3, X^2YZ^3, XY^2Z^3, Y^3Z^3, \\ X^2Z^4, XYZ^4, Y^2Z^4, Z^5, X^5T, X^4YT, X^3Y^2T, X^2Y^3T, XY^4T, Y^5T, X^4ZT, \\ X^3YZT, X^2Y^2ZT, XY^3ZT, Y^4ZT, X^2Z^2T, YZ^2T, Z^3T, X^2T^2, XYT^2, \\ Y^2T^2, XZT^2, YZT^2, Z^2T^2, XT^3, YT^3, ZT^3, T^4), \end{split}$$

$$\begin{split} \mathfrak{b} = & (X^6, X^5Y, X^4Y^2, X^3Y^3, X^2Y^4, XY^5, Y^6, X^5Z, X^4YZ, X^3Y^2Z, X^2Y^3Z, XY^4Z, \\ & Y^5Z, X^4Z^2, X^3YZ^2, X^2Y^2Z^2, XY^3Z^2, Y^4Z^2, X^3Z^3, X^2YZ^3, XY^2Z^3, Y^3Z^3, \\ & X^2Z^4, XYZ^4, Y^2Z^4, XZ^5, YZ^5, Z^6, X^5T, X^4YT, X^3Y^2T, X^2Y^3T, Y^4T, \\ & X^4ZT, X^3YZT, X^2Y^2ZT, Y^3ZT, X^3Z^2T, YZ^2T, Z^3T, X^2T^2, XYT^2, Y^2T^2, \\ & XZT^2, YZT^2, Z^2T^2, XT^3, YT^3, ZT^3, T^4). \end{split}$$

We have  $\mathfrak{a}, \mathfrak{b} \in \mathcal{B}^4_{\mathbf{h}}$  with  $\mathbf{h} = (1, 4, 10, 20, 23, 29)$ ,  $\mathbf{P}/\mathfrak{a}$  and  $\mathbf{P}/\mathfrak{b}$  have the same  $\beta_{0,j}$ 's, but different  $\beta_{i,j+i}$  for  $i \geq 1$ , indeed their minimal free resolutions are:

 $\begin{array}{l} 0 \to {\bf P}^4(-7) \oplus {\bf P}(-8) \oplus {\bf P}^{29}(-9) \to {\bf P}^{16}(-6) \oplus {\bf P}^3(-7) \oplus {\bf P}^{94}(-8) \to {\bf P}^{23}(-5) \oplus \\ {\bf P}^4(-6) \oplus {\bf P}^{101}(-7) \to {\bf P}^{12}(-4) \oplus {\bf P}^2(-5) \oplus {\bf P}^{36}(-6) \to {\bf P} \to {\bf P}/\mathfrak{a} \to 0, \\ 0 \to {\bf P}^4(-7) \oplus {\bf P}^{29}(-9) \to {\bf P}^{16}(-6) \oplus {\bf P}^2(-7) \oplus {\bf P}^{93}(-8) \to {\bf P}^{23}(-5) \oplus {\bf P}^4(-6) \oplus \\ {\bf P}^{100}(-7) \to {\bf P}^{12}(-4) \oplus {\bf P}^2(-5) \oplus {\bf P}^{36}(-6) \to {\bf P} \to {\bf P}/\mathfrak{b} \to 0. \end{array}$ 

### 5. The poset structure

In this section, for each  $\mathbf{h} = (1, n, h_2, \dots, h_d, \dots, h_s)$ , with  $n \geq 3$ , we first introduce an equivalence relation  $\sim$  on the set  $\mathcal{B}^n_{\mathbf{h}}$  of 0-dimensional Borel ideals of  $\mathbf{P}(\mathbf{n})$ corresponding to  $\mathbf{h}$ . Then we define a partial order  $\prec$  on  $\mathcal{B}^n_{\mathbf{h}}/\sim$ , which endows it with a poset structure, some features of which are studied.

- **Definition 5.1.** 1. Two Borel ideals  $\mathfrak{b}$ ,  $\mathfrak{b}' \in \mathcal{B}^n_{\mathbf{h}}$  are equivalent (in symbol  $\mathfrak{b} \sim \mathfrak{b}'$ ) if share the same ses-matrix (see Definition 4.10).
  - 2. Two equivalence classes  $\bar{\mathfrak{b}}, \ \bar{\mathfrak{b}}' \in \mathcal{B}^n_{\mathbf{h}} / \sim satisfy \ \bar{\mathfrak{b}} \prec \bar{\mathfrak{b}}' \text{ if } \bar{\mathfrak{b}} \neq \bar{\mathfrak{b}}' \text{ and for all representatives } \mathfrak{b}, \mathfrak{b}' \text{ the ses-matrices's entries satisfy } m_{i,j}(\mathfrak{b}) \leq m_{i,j}(\mathfrak{b}').$

**Remark 5.2.** a) In Example 4.15, the rl-segment ideal  $\Lambda(\mathbf{h})$  and the l-segment ideal  $\mathcal{L}(\mathbf{h})$  are distinct but  $\Lambda(\mathbf{h}) \sim \mathcal{L}(\mathbf{h})$ .

b) By Proposition 4.13, equivalent elements of  $\mathcal{B}^n_{\mathbf{h}}$  have the same minimal free resolution. After Proposition 4.13, tedious but easy computations show that  $\bar{\mathfrak{b}} \prec \bar{\mathfrak{b}}' \in \mathcal{B}^n_{\mathbf{h}} / \sim \text{implies } \beta_{i,j}(\mathfrak{b}) \leq \beta_{i,j}(\mathfrak{b}')$ , for all  $\mathfrak{b} \in \bar{\mathfrak{b}}$ ,  $\mathfrak{b}' \in \bar{\mathfrak{b}}'$ .

c) The ses-matrices of Example 4.15 are not comparable w.r.t.  $\prec$ , indeed:

$$\tilde{\mathcal{M}}(\mathfrak{a}) = \begin{pmatrix} 1 & 4 & 10 & 20 & 23 & 29\\ 1 & 3 & 6 & 10 & 7 & 7\\ 1 & 2 & 3 & 4 & 1 & 0\\ 1 & 1 & 1 & 1 & 0 & 0 \end{pmatrix} \quad \text{and}$$

$$\tilde{\mathcal{M}}(\mathfrak{b}) = \begin{pmatrix} 1 & 4 & 10 & 20 & 23 & 29\\ 1 & 3 & 6 & 10 & 7 & 6\\ 1 & 2 & 3 & 4 & 1 & 1\\ 1 & 1 & 1 & 1 & 0 & 0 \end{pmatrix}.$$

Yet, as their minimal free resolutions show:  $\beta_{i,j}(\mathfrak{b}) \leq \beta_{i,j}(\mathfrak{a})$ , for all i = 0, 1, 2, 3, j = 4, 5, 6, this means that  $\prec$  is a partial order stronger than the one given by the graded Betti numbers.

d) By (9) and Remark 3.9 a), the class  $\mathcal{L}(\mathbf{h})$  of the l-segment ideal corresponding to  $\mathbf{h}$  is the maximum element of  $\mathcal{B}^n_{\mathbf{h}}/\sim$ .

e) If **h** is not increasing, (9) and Remark 3.9 b) imply that the la class  $\overline{\Lambda(\mathbf{h})}$  of the rl-segment ideal corresponding to **h** is the minimum element of  $\mathcal{B}_{\mathbf{h}}^n/\sim$ .

f) If n = 3, by Remark 4.9 b) the class  $\mathcal{L}(\mathbf{h})$  of the generalized-rl-segment ideal corresponding to  $\mathbf{h}$  is the minimum element of  $\mathcal{B}^n_{\mathbf{h}} / \sim$ , whatsoever  $\mathbf{h}$  could be.

Altogether we have that  $\mathcal{B}_{\mathbf{h}}^3/\sim$ , endowed with  $\prec$ , is a poset with universal extremes  $\mathbf{0} = \overline{\mathcal{L}(h)}$  and  $\mathbf{1} = \overline{\mathcal{L}(h)}$ .

**Example 5.3.** Let  $\mathbf{h} = (1, 4, 10, 20, 35, 46, 59)$ . Then  $\Delta(\mathbf{h}) = (1, 3, 6, 10, 15, 11, 13)$ ,  $in.deg.(\mathbf{h}) = 5$ , as  $\Delta(\mathbf{h})_6 = 13 > 0$ , does not exist rl-segment ideal. By Lemma 4.4  $\tilde{m}_{2,6}(\mathfrak{b}) \geq 11$  and  $\tilde{m}_{2,7}(\mathfrak{b}) \geq 13$  for all  $\mathfrak{b} \in \mathcal{B}^4_{\mathbf{h}}$ . We have to look for  $\lambda_{1,6}(\mathcal{N}(\mathfrak{b})) = 13$ , by Proposition 3.6 and Remark 4.3 e),  $\lambda_{1,5}(\mathcal{N}(\mathfrak{b})) + \lambda_{2,5}(\mathcal{N}(\mathfrak{b})) - \#(G(\mathfrak{b})_6) = 13$  so the minimal values of  $\lambda_{1,5}(\mathcal{N}(\mathfrak{b}))$  and  $\lambda_{2,5}(\mathcal{N}(\mathfrak{b}))$  are for  $G(\mathfrak{b})_6 = \emptyset$ . We have for this only the following possibilities:

$$\begin{split} \lambda_{1,5}(\mathcal{N}(\mathfrak{b})) &= 11 \text{ and } \lambda_{2,5}(\mathcal{N}(\mathfrak{b})) = 2; \text{ or } \\ \lambda_{1,5}(\mathcal{N}(\mathfrak{b})) &= 12 \text{ and } \lambda_{2,5}(\mathcal{N}(\mathfrak{b})) = 1; \text{ or } \\ \lambda_{1,5}(\mathcal{N}(\mathfrak{b})) &= 13 \text{ and } \lambda_{2,5}(\mathcal{N}(\mathfrak{b})) = 0, \text{ which give ordinately:} \\ \mathfrak{b}_1 &= (T^5, ZT^4, Z^2T^3, Z^3T^2, YT^4, YZT^3, YZ^2T^2, Y^2T^3, Y^2ZT^2, Y^3T^2, Z^6T, Z^7, \\ YZ^5T, YZ^6, Y^2Z^4T, Y^2Z^5, Y^3Z^3T, Y^3Z^4, Y^4Z^2T, Y^4Z^3, Y^5ZT, Y^5Z^2, Y^6T, \\ Y^6Z, Y^7, XZ^5T, XZ^6, XYZ^4T, XYZ^5, XY^2Z^3T, XY^2XZ^4, XY^3Z^2T, \\ XY^3Z^3, XY^4ZT, XY^4Z^2, XY^5T, XY^5Z, XY^6, X^2Z^4T, X^2Z^5, X^2YZ^3T, \\ X^2YZ^4, X^2Y^2Z^2T, X^2Y^2Z^3, X^2Y^3ZT, X^2Y^3Z^2, X^2Y^4T, X^2Y^4Z, X^2Y^5) + \\ X^3(Y, Z, T)^4 + X^4(Y, Z, T)^3 + X^5(Y, Z, T)^2 + X^6(Y, Z, T) + (X^7), \end{split}$$

	(1)	4	10	20	35	46	59	
$ ilde{\mathcal{M}}(\mathfrak{b}_1) =$	1	3	6	10	15	11	13	;
	1	2	3	4	5	2	2	
	$\backslash 1$	1	1	1	1	0	0 /	

$$\begin{split} \mathfrak{b}_2 &= (T^5, ZT^4, Z^2T^3, Z^3T^2, Z^4T, YT^4, YZT^3, YZ^2T^2, Y^2T^3, XT^4, Z^7, YZ^6, Y^2Z^5, \\ &Y^3Z^3T, Y^3Z^4, Y^4ZT^2, Y^4Z^2T, Y^4Z^3, Y^5T^2, Y^5ZT, Y^5Z^2, Y^6T, Y^6Z, Y^7, \\ &XZ^6, XY^2Z^3T, XYZ^5, XY^2Z^4, XY^3ZT^2, XY^3Z^2T, XY^3Z^3, XY^4T^2, \\ &XY^4ZT, XY^4Z^2, XY^5T, XY^5Z, XY^6) + X^2(Z^5, YZ^3T, YZ^4, Y^2ZT^2, \\ &Y^2Z^2T, Y^2Z^3, Y^3T^2, Y^3ZT, Y^3Z^2, Y^4T, Y^4Z, Y^5) + X^3[(Y, Z, T)^4 \setminus \{T^4\}] + \end{split}$$

$$\tilde{\mathcal{M}}(Y,Z,T)^{3} + X^{5}(Y,Z,T)^{2} + X^{6}(Y,Z,T) + (X^{7}),$$
$$\tilde{\mathcal{M}}(\mathfrak{b}_{2}) = \begin{pmatrix} 1 & 4 & 10 & 20 & 35 & 46 & 59\\ 1 & 3 & 6 & 10 & 15 & 12 & 13\\ 1 & 2 & 3 & 4 & 5 & 1 & 1\\ 1 & 1 & 1 & 1 & 1 & 0 & 0 \end{pmatrix};$$

$$\begin{split} & \mathfrak{b}_{3} \!=\! (T^{5},ZT^{4},Z^{2}T^{3},Z^{3}T^{2},Z^{4}T,YT^{4},YZT^{3},YZ^{2}T^{2},YZ^{3}T,XT^{4},Z^{7},YZ^{6},Y^{2}Z^{5},\\ & Y^{3}Z^{4},Y^{4}T^{3},Y^{4}ZT^{2},Y^{4}Z^{2}T,Y^{4}Z^{3},Y^{5}T^{2},Y^{5}ZT,Y^{5}Z^{2},Y^{6}T,Y^{6}Z,Y^{7},XZ^{6},\\ & XYZ^{5},XY^{2}Z^{4},XY^{3}T^{3},XY^{3}ZT^{2},XY^{3}Z^{2}T,XY^{3}Z^{3},XY^{4}T^{2},XY^{4}ZT,\\ & XY^{4}Z^{2},XY^{5}T,XY^{5}Z,XY^{6}) + X^{2}(Z^{5},YZ^{4},Y^{2}T^{3},Y^{2}ZT^{2},Y^{2}Z^{2}T,Y^{2}Z^{3},\\ & Y^{3}T^{2},Y^{3}ZT,Y^{3}Z^{2},Y^{4}T,Y^{4}Z,Y^{5}) + X^{3}[(Y,Z,T)^{4} \setminus \{T^{4}\}] + X^{4}(Y,Z,T)^{3} + \\ & X^{5}(Y,Z,T)^{2} + X^{6}(Y,Z,T) + (X^{7}), \, \text{with} \end{split}$$

$$\tilde{\mathcal{M}}(\mathfrak{b}_3) = \tilde{\mathcal{M}}(\mathfrak{b}_2);$$

$$\begin{split} \mathfrak{b}_4 &= (T^5, ZT^4, Z^2T^3, Z^3T^2, Z^4T, Z^5, YT^4, YZT^3, XT^4, XZT^3, Y^3Z^2T^2, Y^3Z^3T, \\ &Y^3Z^4, Y^3Z^4, Y^4T^3, Y^4ZT^2, Y^4Z^2T, Y^4Z^3, Y^5T^2, Y^5ZT, Y^5Z^2, Y^6T, Y^6Z, \\ &Y^7) + X(Y^2Z^2T^2, Y^2Z^3T, Y^2Z^4, Y^3T^3, Y^3ZT^2, Y^3Z^2T, Y^3Z^3, Y^4T^2, Y^4ZT, \\ &Y^4Z^2, Y^5T, Y^5Z, Y^6) + X^2(YZ^2T^2, YZ^3T, YZ^4, Y^2T^3, Y^2ZT^2, Y^2Z^2T, \\ &Y^2Z^3, Y^3T^2, Y^3ZT, Y^3Z^2, Y^4T, Y^4Z, Y^5) + X^3[(Y, Z, T)^4 \setminus \{T^4, ZT^3\}] + \\ &X^4(Y, Z, T)^3 + X^5(Y, Z, T)^2 + X^6(Y, Z, T) + (X^7), \end{split}$$

$$\tilde{\mathcal{M}}(\mathfrak{b}_4) = \begin{pmatrix} 1 & 4 & 10 & 20 & 35 & 46 & 59 \\ 1 & 3 & 6 & 10 & 15 & 13 & 13 \\ 1 & 2 & 3 & 4 & 5 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 \end{pmatrix}$$

The above Example 5.3 shows that if  $n \ge 4$ , and **h** is such that  $\Delta(\mathbf{h})_j \le 0$ , for some  $j \ge d + 1$ , then  $\mathcal{B}^n_{\mathbf{h}} / \sim$  does not have minimum element but several minimal ones. Recently, using different methods, C. A. Francisco in [5] proved a similar result for the partial ordering on  $\mathcal{B}^n_{\mathbf{h}}$  given by the graded Betti numbers.

We prove now that for each *O*-sequence  $\mathbf{h} = (1, 3, \binom{4}{2}, \dots, h_d, \dots, h_s) \in \mathbb{N}^{*(s+1)}$ , the  $\prec$  above endows  $\mathcal{B}^3_{\mathbf{h}} / \sim$  with a lattice structure.

**Lemma 5.4.** Let  $\mu_0, \ldots, \mu_{s-d} \in \mathbb{N}$  satisfy  $\lambda_{1,d+\ell}(\mathcal{N}(\mathcal{L}(\mathbf{h}))) \geq \mu_\ell \geq \lambda_{1,d+\ell}(\mathcal{N}(\mathcal{L}(\mathbf{h})))$ , as  $\ell$  varies from 0 to s-d, then there exists an ideal  $\mathfrak{d} \in \mathcal{B}^3_{\mathbf{h}}$  with  $\lambda_{1,d+\ell}(\mathcal{N}(\mathfrak{d})) = \mu_\ell$ .

*Proof.* We argue as in the construction of  $\mathcal{L}(\mathbf{h})$ :

- for all  $0 \leq j \leq d-1$ :  $\Delta_j = \mathbf{T}_j$ ,
- for all  $0 \leq \ell \leq s d$ :  $\Delta_{d+\ell} = R_{\mu_\ell, d+\ell} \sqcup \{t_1, \dots, t_{c(\ell)}\}$  with  $c(\ell) := h_{d+\ell} \frac{\mu_\ell(2(d+\ell)-\mu_\ell+3)}{2}$  and  $t_1 < \dots < t_{c(\ell)}$  smallest terms of  $(\Delta_{d+\ell-1})_{(1)} \setminus R_{\mu_\ell, d+\ell}$ ,
- for all  $r \in \mathbb{N}^*$ :  $\Delta_{s+r} = \emptyset$ ,  $\Delta := \bigsqcup_{j \in \mathbb{N}} \Delta_j \subset \mathbf{T}$  is a Borel subset which is an order ideal. The wanted  $\mathfrak{d} \in \mathcal{B}^{\mathfrak{d}}_{\mathbf{h}}$  is the monomial ideal having  $\Delta$  as sous-éscalier.

**Remark 5.5.** a) The  $(d + \ell)$ -degree terms of  $G(\mathfrak{d})$  ( $\mathfrak{d}$  defined in Lemma 5.4 and  $0 \leq \ell \leq s - d$ ), are ordinately greater or equal than those of any  $\mathfrak{b} \in \mathcal{B}^3_{\mathbf{h}}$  with  $\lambda_1(\mathcal{N}(\mathfrak{b})_{d+\ell}) = \mu_\ell$ . In fact  $G(\mathfrak{d})_d$  consists of the  $(\frac{(d+2)(d+1)}{2} - h_d)$  biggest elements of  $\mathbf{T}_d \setminus R_{\mu_0,d}, G(\mathfrak{d})_{d+\ell}$  consists of the greatest  $h_{d+\ell} + \mu_\ell - h_{d+\ell+1}$  elements of  $(\Delta_{d+\ell})_{(1)} \setminus R_{\mu_{\ell+1},d+\ell}$  for all  $1 \leq \ell \leq s - d$ , and  $G(\mathfrak{d})_{s+1} = (\Delta_s)_{(1)}$ .

b) Lemma 5.4 allows to determine all possible ses-matrix of ideals in  $\mathcal{B}_{\mathbf{h}}^3$ . Indeed, the second row in the matrix of Remark 4.12 b) must be of the form:

$$(1 \ 2 \ 3 \ \cdots \ d \ \mu_0 \ \cdots \ \mu_{s-d})$$

for all  $\mu_0 \geq \cdots \geq \mu_{s-d} \in \mathbb{N}$  such that  $a_\ell \leq \mu_\ell \leq (h_{d+\ell} \{ d+\ell \})^{-1}$ .

**Theorem 5.6.** The poset  $\mathcal{B}_{\mathbf{h}}^3 / \sim$  has a lattice structure.

Proof. Given  $\bar{\mathfrak{b}}, \bar{\mathfrak{b}}' \in \mathcal{B}_{\mathbf{h}}^3 / \sim$ , let  $\mathfrak{b} \in \bar{\mathfrak{b}}, \mathfrak{b}' \in \bar{\mathfrak{b}}'$ , and  $\mu_0 \geq \cdots \geq \mu_{s-d}$  (resp.  $\mu'_0 \geq \cdots \geq \mu'_{s-d}$ ) be the (d-s+1)-tuple defined, for  $\ell$  ranging from 0 to s-d, by:  $\mu_{\ell} := \min\{\lambda_1(\mathcal{N}(\mathfrak{b})_{d+\ell}), \lambda_1(\mathcal{N}(\mathfrak{b}')_{d+\ell})\}$  (resp.  $\mu'_{\ell} := \max\{\lambda_1(\mathcal{N}(\mathfrak{b})_{d+\ell}), \lambda_1(\mathcal{N}(\mathfrak{b}')_{d+\ell})\}$ ). We set:  $\bar{\mathfrak{b}} \wedge \bar{\mathfrak{b}}' := \bar{\mathfrak{d}}, \bar{\mathfrak{b}} \vee \bar{\mathfrak{b}}' := \bar{\mathfrak{d}}'$ , with  $\mathfrak{d} \in \mathcal{B}_{\mathbf{h}}^3$  (resp.  $\mathfrak{d}' \in \mathcal{B}_{\mathbf{h}}^3$ ), the ideal constructed, as in Lemma 5.4, from the above (d-s+1)-tuple  $\mu_0, \ldots, \mu_{s-d}$  (resp.  $\mu'_0, \ldots, \mu'_{s-d}$ ). Note that if  $\bar{\mathfrak{b}} \preceq \bar{\mathfrak{b}}'$ , then, by construction,  $\bar{\mathfrak{b}} \wedge \bar{\mathfrak{b}}' := \bar{\mathfrak{b}}$  and  $\bar{\mathfrak{b}} \vee \bar{\mathfrak{b}}' := \bar{\mathfrak{b}}'$ . For all  $\mathfrak{b}, \mathfrak{b}' \in \mathcal{B}_{\mathbf{h}}^3$  we have  $\bar{\mathfrak{b}} \wedge \bar{\mathfrak{b}}' \preceq \bar{\mathfrak{b}}, \bar{\mathfrak{b}}'$ , and  $\bar{\mathfrak{b}} \vee \bar{\mathfrak{b}}' \succeq \bar{\mathfrak{b}}, \bar{\mathfrak{b}}'$ . Moreover,  $\bar{\mathfrak{a}} \preceq \bar{\mathfrak{b}} \wedge \bar{\mathfrak{b}}'$ 

and  $\bar{\mathfrak{a}}' \succeq \bar{\mathfrak{b}} \lor \bar{\mathfrak{b}}'$  for all  $\mathfrak{a}, \mathfrak{a}' \in \mathcal{B}_h$  with  $\bar{\mathfrak{a}} \preceq \bar{\mathfrak{b}}, \bar{\mathfrak{b}}'$  and  $\bar{\mathfrak{a}}' \succeq \bar{\mathfrak{b}}, \bar{\mathfrak{b}}'$ . All this proves that we have the claimed lattice structure.

**Example 5.7.** If h = (1, 3, 6, 10, 15, 16, 11), then  $\#\mathcal{B}_{\mathbf{h}}^3 = 20$  and  $\mathcal{B}_h / \sim \text{consists}$  of six classes  $\overline{\mathfrak{b}_1} = \overline{\Lambda(h)}, \ldots, \overline{\mathfrak{b}_6} = \overline{\mathcal{L}(h)}$ . The poset structure is described in next picture where for all  $1 \leq i \leq 6$ , *i* represents the class  $\overline{\mathfrak{b}_i}$  and an oriented arrow from *i* to  $j \ (i \neq j)$  indicates that  $\overline{\mathfrak{b}_i} \succ \overline{\mathfrak{b}_j}$ 

2	$\leftarrow$	4	$\leftarrow$	6
Ļ		$\downarrow$		$\downarrow$
1	$\leftarrow$	3	$\leftarrow$	5.

Moreover,

$$\frac{\overline{\mathfrak{b}_2} \wedge \overline{\mathfrak{b}_3} = \overline{\mathfrak{b}_1}}{\overline{\mathfrak{b}_2} \wedge \overline{\mathfrak{b}_5} = \overline{\mathfrak{b}_1}} \qquad \qquad \overline{\mathfrak{b}_2} \vee \overline{\mathfrak{b}_3} = \overline{\mathfrak{b}_4} \\ \overline{\mathfrak{b}_2} \vee \overline{\mathfrak{b}_5} = \overline{\mathfrak{b}_6} \\ \overline{\mathfrak{b}_4} \wedge \overline{\mathfrak{b}_5} = \overline{\mathfrak{b}_3} \qquad \qquad \overline{\mathfrak{b}_4} \vee \overline{\mathfrak{b}_5} = \overline{\mathfrak{b}_6}$$

Finally notice that, according to Remark 4.14 c), for all  $\mathfrak{a} \in \overline{\mathfrak{b}_2} \cup \overline{\mathfrak{b}_3}$  we have  $\beta_0 = 23, \beta_1 = 39, \beta_2 = 17$ . Of course  $\beta_{i,j+i}$ 's distinguish the elements of  $\overline{\mathfrak{b}_2}$  from those of  $\overline{\mathfrak{b}_3}$ .

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