# Gelfand-Kirillov Dimension in some Crossed Products

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Abstract. Let k be a field, R a k-algebra and  $A = R[\theta_1, \theta_2, \ldots, \theta_n]$  a Poincaré-Birkhoff-Witt extension of R. If each  $\theta_i$  acts locally finitely on R, then we show that GKdim(A) = GKdim(R) + n. From this we deduce some results concerning incomparability, prime length and Tauvel's height formula in the crossed product  $R \star g$  where g is a finite-dimensional Lie algebra acting as derivations on R. Similar results are obtained for  $R \star G$ , where G is a free abelian group of finite rank. As a corollary of the results for G, Tauvel's height formula is established in  $R \otimes_k P(\lambda)$ where  $P(\lambda)$  is the quantum torus.

## 0. Introduction

All rings (except the Lie algebras) in this paper are associative with identity. When we say that a ring is noetherian we mean left and right noetherian.

For the basic material concerning the Gelfand-Kirillov dimension (denoted by GKdim) we refer to [8]. We refer the reader to [5, 7, 12] for some definitions and undefined terminologies.

Let k be a field. We say that Tauvel's height formula holds in a noetherian k-algebra A with finite Gelfand-Kirillov dimension provided

$$ht(P) + GKdim(A/P) = GKdim(A)$$

for any prime ideal P of A where ht(P) denotes the height of P.

Throughout, we fix a field k, an algebra R over k and a positive integer n.

0138-4821/93 \$ 2.50 © 2002 Heldermann Verlag

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Let g be a k-Lie algebra of finite dimension n. We suppose that g acts by derivations on R and we denote by  $A = R \star g$  the crossed product of R by the enveloping algebra U(g) of g (see [1, 12, 13]).

For any g-prime ideal P of R, g-ht(P) denotes the g-height of P; i.e.

 $\sup\{s : \text{there is a chain of } g \text{-prime ideals } P_0 \subset P_1 \subset \cdots \subset P_s = P \text{ of } R\}.$ 

We denote by  $spec_g(R)$  the set of g-prime ideals of R. If k has characteristic zero and if R is noetherian or g-locally finite with g solvable, any g-prime ideal of R is prime [2, Proposition 4.4]; so  $g-ht(P) \leq ht(P)$ .

If  $X \in g$ , we denote by  $\overline{X}$  the canonical image of X in  $R \star g$  and we set  $\delta(X) = \delta_X$ . We recall that there exist a linear map  $\delta$  from g to the k-Lie algebra of k-derivations of R and a bilinear map  $t : g \times g \to R$  such that  $[\overline{X}, \overline{Y}] - [\overline{X}, \overline{Y}] = t(X, Y)$ . If t is the 0-map,  $R \star g$  is usually denoted by R # U(g); in this case R is a g-module.

We say that condition  $(C_1)$  (resp.  $(C_2)$ ) is satisfied in  $spec_g(R)$  if Tauvel's height formula holds for all g-prime ideals of R (resp. if the g-height and the height are finite and coincide on the g-prime ideals of R).

Let R be finitely generated over k and  $A = R[\theta_1, \theta_2, \dots, \theta_n]$  a PBW extension of R. In [11], J. Matczuk showed that GKdim(A) = GKdim(R) + n. This result generalizes [12, 8.2.10] and from this, he deduced some results concerning incomparability and prime length. On the other hand a result of M. Lorenz [10] states that if R is  $\delta$ -locally finite, then  $GKdim(R[\theta]) = GKdim(R) + 1$  where  $\delta$  is the derivation of R determined by  $\theta$ .

In the first section of this paper, we show that all the results in [11] are also true if we replace the "finitely generated" hypothesis on R by the assumption that each  $\theta_i$  acts locally finitely on R. This result generalizes [10] and it enables us to obtain the results in [11] with their g-invariant version in the ring  $R \star h$  if R is g-locally finite or finitely generated, where h is an ideal of g. If further k is of characteristic 0, g is completely solvable, condition  $(C_1)$ is satisfied in  $spec_g(R)$  and R is g-hypernormal, we have improved the preceding results. To close this section, we show that if R is noetherian of finite Gelfand-Kirillov dimension and if conditions  $(C_1)$  and  $(C_2)$  hold in  $spec_g(R)$ , then conditions  $(C_1)$  and  $(C_2)$  also hold in  $spec_g(R \star g_i)$  for each i; where the  $g_i$  are terms of a composition series of g (see the definition after Corollary 1.11).

In the second section, G is a free abelian group of finite rank n. We prove similar statements as in Section 1.

# 1. When the action comes from a Lie algebra

In this section the characteristic of k is arbitrary. A Poincaré-Birkhoff-Witt extension (or PBW extension) of R is a ring extension  $A \supseteq R$  generated by elements  $\{\theta_1, \ldots, \theta_n\}$  such that

- $[\theta_i, r] \in R$  for each  $i = 1, 2, \ldots, n$ .
- $[\theta_i, \theta_j] \in R + R\theta_1 + R\theta_2 + \dots + R\theta_n$ .
- A is free as (left and right) R-module with basis  $\theta_1^{i_1}\theta_2^{i_2}...\theta_n^{i_n}$ ; where the  $i_j$  are positive integers [11].

The Poincaré-Birkhoff-Witt theorem implies that any crossed product  $R \star g$  is a PBW extension of R. We will denote by  $\delta_i$  the derivation of R determined by  $\theta_i$ ; i.e.  $\delta_i(r) = [\theta_i, r]$  for all  $r \in R$  and we will set  $\Delta = \{\delta_1, \ldots, \delta_n\}$ . We say that R is  $\Delta$ -locally finite if every element of R is contained in a finite-dimensional  $\Delta$ -stable subspace of R.

Let  $A = R[\theta_1, \theta_2, \dots, \theta_n]$  be a PBW extension of R. Our aim in the next theorem is to give a relation between the Gelfand-Kirillov dimension of R and A.

**Theorem 1.1.** Suppose that  $A = R[\theta_1, \theta_2, ..., \theta_n]$  is a PBW extension of R. If R is  $\Delta$ -locally finite, then GKdim(A) = GKdim(R) + n.

*Proof.* Denote by X the k-linear subspace of A spanned by  $1, \theta_1, \theta_2, \ldots, \theta_n$ . For any  $l \ge 1$ , let  $A_l \subseteq X^l$  denote the subspace spanned by all monomials of the form  $\theta_1^{i_1}\theta_2^{i_2}...\theta_n^{i_n}$  where  $i_1 + i_2 + \cdots + i_n \le l, 0 \le i_j$  for  $j = 1, \ldots, n$ .

Let W be a finite-dimensional k-vector subspace of A. Using locally finite-dimensionality of  $\Delta$ , we can choose a finite-dimensional  $\Delta$ -invariant subspace V of R such that  $W \subseteq \sum_{i_1+i_2+\cdots+i_n\leq t} V \theta_1^{i_1} \theta_2^{i_2} \dots \theta_n^{i_n}$  for some  $t \in \mathbb{N}$  where the  $i_j's \in \mathbb{N}$  and  $[\theta_i, \theta_j] \in V + V \theta_1 + \cdots + V \theta_n = VX$  for all  $0 \leq i, j \leq n$ . Thus, eventually replacing V by k.1 + V, we may assume that  $1 \in V$ . Now, it is clear that each power of V is  $\Delta$ -stable and  $V^l \subseteq V^{l+1}$  for any  $l \in \mathbb{N}$ . We can show as in the proof of [11, Theorem A] that between the subspaces V, X and  $A_l$  the following relations

$$X^t V \subseteq V X^t + V^2 X^{t-1} + \dots + V^t X + V^{t+1}$$

and

$$X^t \subseteq V^{t-1}A_t$$

hold for any  $t \ge 1$ . From these relations, we deduce that  $W'^t \subseteq V^t X^t$  for any  $t \ge 1$ ; where  $W' = X + V \subseteq VX$ . Now the same argument as in [11] shows that  $GKdim(k[W']) \le GKdim(R) + n$ . It is clear that  $W \subseteq k[W']$ ; so  $GKdim(k[W]) \le GKdim(R) + n$ . This means that  $GKdim(A) \le GKdim(R) + n$ .

Let V' be a finite-dimensional k-vector subspace of R. Since R is  $\Delta$ -locally finite, we can find a finite-dimensional  $\Delta$ -stable subspace V of R such that  $V' \subseteq V$  and  $[\theta_i, \theta_j] \in V + V\theta_1 + \cdots + V\theta_n = VX$  for all  $0 \leq i, j \leq n$ . We may suppose that  $1 \in V$ . Set  $W = V + X \subseteq VX$ . It is easy to see that  $V'^t A_t \subseteq V^t A_t \subseteq W^{2t}$  for any  $t \geq 1$ . This implies that

$$GKdim(k[V']) + n \le GKdim(k[V]) + n \le GKdim(k[W]) \le GKdim(A).$$

It follows that  $GKdim(R) + n \leq GKdim(A)$ .

**Remark 1.2.** All the results in [11] remain true if we replace the "finitely generated" hypothesis on R by the assumption that R is  $\Delta$ -locally finite.

Let  $b \in R$ . Then U(g).b is a g-stable k-vector subspace of R. We say that b is g-finite if U(g).b has a finite dimension. It is clear that an element of  $R \star h$  is g-finite if and only if it is g/h-finite. If b and b' are two g-finite elements of R, then bb' is a g-finite element of R. We say that R is g-locally finite if all its elements are g-finite.

The enveloping algebra of an ideal h of g is g-locally finite for the left adjoint action of g. If R is g-locally finite, any g-invariant factor of R is g-locally finite. If g acts trivially on R, then R is g-locally finite.

Let  $(X_1, X_2, \ldots, X_n)$  be a basis of g. Then R is g-locally finite if and only if R is  $\Delta$ -locally finite, where  $\Delta = \{\delta_{X_i}; 1 \leq i \leq n\}.$ 

**Lemma 1.3.** Let h be an ideal of g of dimension l and let  $Y \in h$ .

- (1) If the k-bilinear map t is identically zero, then  $\overline{Y}$  is a g-finite element of R # U(h).
- (2) If R is g-locally finite, then  $\overline{Y}$  is a g-finite element of  $R \star h$ .

Proof. Let  $(Y_1, Y_2, \ldots, Y_l)$  be a basis of h and X any element of g. (1) We have  $\delta_X(\overline{Y}) = \overline{[X, Y]} \in \overline{kY_1 + \cdots + kY_l} = k\overline{Y_1} + \cdots + k\overline{Y_l}$ . It is clear that  $k\overline{Y_1} + \cdots + k\overline{Y_l}$  is a finite-dimensional g-stable subspace of R # U(h).

(2) The image Imt of t is a finite-dimensional subspace of R. Since R is g-locally finite, Imt is contained in a finite-dimensional g-stable subspace V of R. Now,  $\delta_X(\overline{Y}) = \overline{[X,Y]} + t(X,Y) \in \overline{kY_1 + \cdots + kY_l} + V = k\overline{Y_1} + \cdots + k\overline{Y_l} + V$  and  $k\overline{Y_1} + \cdots + k\overline{Y_l} + V$  is a finite-dimensional g-stable subspace of  $R \star h$ .

**Corollary 1.4.** Let h be an ideal of g. If R is g-locally finite, then so is  $R \star h$ .

The following is a generalization of the well known result [12, 8.2.7] which asserts that  $GKdim(R \otimes_k U(g)) = GKdim(R) + n$ .

**Corollary 1.5.** Let h be an ideal of g of dimension l. Suppose that R is g-locally finite. Then  $GKdim(R \star h) = GKdim(R) + l$ .

*Proof.* R is h-locally finite, since it is g-locally finite. The result follows from Theorem 1.1.

As an application of Corollary 1.5 we shall show some results concerning incomparability, prime length and Tauvel's height formula. We denote by dim the classical Krull dimension and by g-dim its g-invariant version; i.e. the maximal length of a chain of g-prime ideals of R. If k is of characteristic zero and if R is noetherian or g-locally finite with g solvable, then g-dim $(R) \leq dim(R)$ .

**Corollary 1.6.** Let R be noetherian of finite Gelfand-Kirillov dimension, h an ideal of g of dimension l,  $A = R \star g$  and  $B = R \star h$ . Suppose that R is finitely generated or g-locally finite.

- (1) Let k be of characteristic zero and P be a g-prime ideal of B such that  $P \cap R = 0$ . Then g-ht $(P) \leq ht(P) \leq l$ . If R is g-simple, then g-dim $(B) \leq l$ .
- (2) Let P be a prime ideal of A such that  $P \cap R = 0$ . Then  $ht(P) \leq n$ . If R is g-simple, then  $dim(A) \leq n$ .

Proof. (1) The lower bound was previously noted. Since  $R = R/(P \cap R)$  is a subalgebra of B/P, we have  $GKdim(R) \leq GKdim(B/P)$ . Corollary 1.5 in the g-locally finite case ([12, 8.2.10] in the finitely generated case) implies that  $GKdim(B) - GKdim(B/P) \leq l$ . By [8, Corollary 3.16],  $ht(P) \leq l$ .

If R is g-simple, by the preceding paragraph,  $ht(Q) \leq l$  for any g-prime ideal Q of B. Thus  $g\text{-}dim(B) \leq l$ .

(2) Note that the g-prime ideals of  $R \star g$  are precisely its prime ideals and that in (1) we have assumed char(k) = 0 to be sure that all the g-prime ideals of  $B = R \star h$  are prime.

The next result bounds g-dim(B) in terms of  $dim_k(h)$  and of g-dim(R). Although, the bound is surely not sharp.

**Proposition 1.7.** Let R be noetherian of finite Gelfand-Kirillov dimension, h an ideal of g of dimension l,  $A = R \star g$  and  $B = R \star h$ . Suppose that R is finitely generated or g-locally finite.

- (1) Let k be of characteristic zero. Suppose that  $P_0 \subset P_1 \subset \cdots \subset P_{l+1}$  is a strictly increasing chain of g-prime ideals of B, then  $P_0 \cap R \subset P_{l+1} \cap R$  and g-dim(B) < (l+1)(g-dim(R)+1).
- (2) Suppose that  $P_0 \subset P_1 \subset \cdots \subset P_{n+1}$  is a strictly increasing chain of prime ideals of A, then  $P_0 \cap R \subset P_{n+1} \cap R$  and  $\dim(A) < (n+1)(g-\dim(R)+1)$ .

Proof. (1) Suppose that  $P_0 \cap R = P_{l+1} \cap R = I$ . Then I is a g-prime ideal of R and  $B/IB \simeq (R/I) \star h$ . Set  $\overline{R} = R/I$  and  $\overline{B} = B/IB$ . In  $\overline{B}$ , we have a strictly increasing chain of g-prime ideals  $\overline{P_0} \subset \overline{P_1} \subset \cdots \subset \overline{P_{l+1}}$  of length l+1 such that  $\overline{P_0} \cap \overline{R} = \overline{P_{l+1}} \cap \overline{R} = \overline{I} = 0$ ; where  $\overline{P_i}$ 's denote the natural images of  $P_i$ 's in  $\overline{B}$ . It follows that g-ht $(\overline{P_{l+1}}) \geq l+1$ . By Corollary 1.6(1), g-ht $(\overline{P_{l+1}}) \leq l$  and we get a contradiction.

Let  $P_0 \subset P_1 \subset \cdots \subset P_s$  be a strictly increasing chain of g-prime ideals of B. By the preceding paragraph,

$$P_0 \cap R \subset P_{l+1} \cap R \subset P_{2(l+1)} \cap R \subset P_{3(l+1)} \cap R \subset \cdots$$

is a strictly increasing chain of g-prime ideals of R. Since this chain can contain at most (1+g-dim(R)) g-prime ideals, we conclude that s < (l+1)(g-dim(R)+1).

(2) The proof is similar to that of (1). Use Corollary 1.6(2) in the place of Corollary 1.6(1).

**Remarks 1.8.** (1) Under the assumption that R is finitely generated, the results in Corollary 1.6(2) and Proposition 1.7(2) are consequences of [11]. In the *g*-locally finite case, they are new.

(2) If the characteristic of k is 0, Passman [14, Corollary 4.4] established (1.7(2)) in a more general setting without the assumptions that R has finite Gelfand-Kirillov dimension and is "finitely generated" or "g-locally finite".

(3) Let g be abelian. If the characteristic of k is 0 (resp. p > 0), Chin [1, Theorem 2.11] (resp. Chin and Quinn [2, Theorem 1.10]) established the first assertion of (1.7(2)) without the assumptions that R is noetherian of finite Gelfand-Kirillov dimension and is finitely generated or g-locally finite.

(4) If the characteristic of k is 0 and g is solvable, Chin [1, Corollary 2.19] established the first assertion of (1.7(2)) for a chain of prime ideals

$$P_0 \subset P_1 \subset \cdots \subset P_l$$

such that  $l \ge 2^n$  without the assumptions that R is noetherian with finite Gelfand-Kirillov dimension and is finitely generated or g-locally finite.

The ring R is g-hypernormal if for any pair of distinct comparable g-invariant ideals  $I \subset J$ in R, the factor J/I contains a nonzero normal element of  $(R/I) \star g$ . If R is g-simple, R is g-hypernormal. Let k be algebraically closed of characteristic 0. If g is solvable and if R is commutative g-locally finite then R is g-hypernormal.

We say that condition  $(C_1)$  (resp.  $(C_2)$ ) is satisfied in  $spec_g(R)$  if Tauvel's height formula is valid for all g-prime ideals of R (resp. if the g-height and the height are finite and coincide on the g-prime ideals of R).

By [5, Corollaire 2.8], condition  $(C_2)$  is satisfied in  $spec_g(R)$  if char(k) = 0 and R is noetherian g-hypernormal.

If R is g-simple, conditions  $(C_1)$  and  $(C_2)$  are trivially satisfied in  $spec_g(R)$ .

Proposition 1.7 can be improved under additional hypotheses.

**Corollary 1.9.** Let k be of characteristic 0, R noetherian of finite Gelfand-Kirillov dimension, h an ideal of g of dimension l,  $A = R \star g$  and  $B = R \star h$ . Suppose that R is finitely generated or g-locally finite. Assume also that condition  $(C_1)$  is satisfied in  $spec_g(R)$ .

(1) If P is a g-prime ideal of B, then  $g-ht(P) \le ht(P \cap R) + l$ .

(2) Then  $g\text{-}dim(B) \leq dim(R) + l$ . In particular,  $dim(A) \leq dim(R) + n$ .

Proof. (1) Set  $Q = P \cap R$ . So R/Q is a subalgebra of B/P and  $GKdim(R/Q) \leq GKdim(B/P)$ . By condition  $(C_1)$ ,  $GKdim(R) - ht(Q) \leq GKdim(B/P)$ . Corollary 1.5 in the g-locally finite case and [12, 8.2.10] in the finitely generated case imply that  $GKdim(B) - GKdim(B/P) \leq ht(Q) + l$ , hence the upper bound follows from [8, Corollary 3.16].

**Corollary 1.10.** Let k be of characteristic 0, R noetherian g-hypernormal of finite Gelfand-Kirillov dimension, h an ideal of g of dimension l,  $A = R \star g$  and  $B = R \star h$ . Suppose that R is finitely generated or g-locally finite. Assume also that condition  $(C_1)$  and  $(C_2)$  are satisfied in  $spec_g(R)$ .

(1) If P is a g-prime ideal of B, then  $g-ht(P) \leq ht(P) \leq g-ht(P \cap R) + l$ .

(2) Then  $g\text{-}dim(B) \leq g\text{-}dim(R) + l$ . In particular,  $dim(A) \leq g\text{-}dim(R) + n$ .

*Proof.* (1) By condition  $(C_2)$ , we have g- $ht(P \cap R) = ht(P \cap R)$ . The results follow from Corollary 1.9(1).

**Corollary 1.11.** Let k be algebraically closed of characteristic 0, g solvable, h an ideal of g of dimension l, R commutative noetherian g-locally finite with finite Gelfand-Kirillov dimension,  $A = R \star g$  and  $B = R \star h$ . Suppose that condition  $(C_1)$  is satisfied in  $spec_g(R)$ .

(1) If P is a g-prime ideal of B, then  $g-ht(P) \leq g-ht(P \cap R) + l$ .

(2) Then  $g\text{-}dim(B) \leq g\text{-}dim(R) + l$ . In particular,  $dim(A) \leq g\text{-}dim(R) + n$ .

Proof. By [5, Corollaire 2.18] and [6, Remark 0.1], B is g-hypernormal.

Now we shall study Tauvel's height formula in  $R \star g$ . Our aim is to obtain the results of [4] in the case where the ring R is g-locally finite instead of finitely generated.

Let g be completely solvable. We fix a composition series of g; i.e. a chain

$$0 = g_0 \subset g_1 \subset \cdots \subset g_n = g$$

of ideals of g such that  $g_{i+1}/g_i$  has dimension one. We shall set  $R_i = R \star g_i$ ;  $0 \le i \le n$  and  $B = R_m$ ; so  $R_0 = R$  and  $R \star g_n = R \star g$ . Choose  $X_i$  in  $g_i - g_{i-1}$  such that  $X_i + g_{i-1}$  is a basis of  $g_i/g_{i-1}$ . So  $(X_1, X_2, \ldots, X_n)$  is a basis of g. We have  $R_i \simeq R_{i-1}[\theta_i, \delta_i]$ ; where  $\delta_i(r) = \delta_{X_i}(r)$  for any  $r \in R_{i-1}$ .

Suppose that condition  $(C_2)$  is satisfied in  $spec_g(R)$ . Let P be a g-prime ideal of  $B = R * g_m$ ,  $0 \le m \le n$  and  $Q = P \cap R$ . Set l = g-ht(Q). So there exists a strictly saturated increasing chain of g-prime ideals of R ending at Q

$$Q_0 \subset Q_1 \subset \cdots \subset Q_l = Q.$$

Set  $P_i = Q_i B$ ;  $0 \le i \le l$  and  $P_{l+i} = (P \cap R_i)B$ ;  $0 \le i \le m$ . So  $P_l = Q_l B = QB$  and  $P_{l+m} = P$ . Consider the chain of g-prime ideals of B ending at P

$$P_0 \subset P_1 \subset \dots \subset P_l \subseteq P_{l+1} \subseteq \dots \subseteq P_{l+m} = P \tag{(\alpha)}$$

**Proposition 1.12.** Let k be of characteristic 0, g completely solvable, R noetherian g-locally finite with finite Gelfand-Kirillov dimension,  $B = R * g_m; 0 \le m \le n$  and P a g-prime ideal of B. Suppose that conditions  $(C_1)$  and  $(C_2)$  are satisfied in  $spec_g(R)$ . Then the length of the chain  $(\alpha)$  is GKdim(B) - GKdim(B/P).

*Proof.* The proof is similar to that of [4, Proposition 3.1] using "g-locally finite" in the place of "finitely generated". If m = 0, the result is true by the hypotheses. Assume that the result is true in  $R_i$ ,  $0 \le i < m$ . Set  $B' = R * g_{m-1}$ ,  $P' = P \cap B'$ ; so  $P \cap R_i = P' \cap R_i$ for  $0 \le i \le m - 1$ . Set  $P'_i = Q_i B'$ ;  $0 \le i \le l$  and  $P'_{l+i} = (P' \cap R_i)B'$ ;  $0 \le i \le m - 1$ ; so  $P' = P'_{l+m-1}$ . By the induction hypothesis, the length of the chain

$$P'_0 \subset P'_1 \subset \dots \subset P'_l = QB' \subseteq P'_{l+1} \subseteq \dots \subseteq P'_{l+m-1} = P' \tag{\beta}$$

is GKdim(B')-GKdim(B'/P'). By Corollary 1.5, its length is GKdim(B)-GKdim(B/P'B). Clearly,  $P_i = P'_iB$  for  $0 \le i \le l$ ;  $P_{l+i} = P'_{l+i}B$  and  $P_{l+i} \cap B' = P'_{l+i}$  for  $0 \le i \le m-1$ . We deduce that  $P_i = P_{i+1}$  if and only if  $P'_i = P'_{i+1}$ ,  $0 \le i \le l$  and  $P'_{l+i+1} = P'_{l+i}$  if and only if  $P'_{l+i+1} = P_{l+i}$ . It follows that the chain of g-prime ideals of B

$$P_0 \subset P_1 \subset \cdots \subset P_l \subseteq P_{l+1} \subseteq \cdots \subseteq P_{l+m-1} = P'B$$

has the same length as the chain ( $\beta$ ). Thus, its length is GKdim(B) - GKdim(B/P'B). If P = P'B, then by Corollary 1.5, the result is true.

If  $P'B \subset P$ , the chain ( $\alpha$ ) has for length GKdim(B) - GKdim(B/P'B) + 1. Now we shall show that GKdim(B/P) = GKdim(B/P'B) - 1. As B'/P' is a subalgebra of B/P, we have  $GKdim(B'/P') \leq GKdim(B/P)$ ; hence  $GKdim(B/P'B) - 1 \leq GKdim(B/P)$ , by Corollary 1.5. On the other hand, P/P'B is a nonzero g-invariant ideal of the prime noetherian ring B/P'B. By [12, 2.3.5 (ii)], P/P'B contains a regular element. By [8, Proposition 3.15],  $GKdim(B/P) \leq GKdim(B/P'B) - 1$  and the proposition is proved.

We are now ready to prove Tauvel's height formula in  $R \star g$ .

**Proposition 1.13.** Let k be of characteristic 0, g completely solvable, R noetherian glocally finite with finite Gelfand-Kirillov dimension,  $B = R * g_m$ ;  $0 \le m \le n$  and P a g-prime ideal of B. Suppose that conditions (C<sub>1</sub>) and (C<sub>2</sub>) are satisfied in  $spec_g(R)$ . Then GKdim(B) = GKdim(B/P) + ht(P).

Proof. By (1.12),  $GKdim(B) - GKdim(B/P) \le htP$ . By [8, Corollary 3.16],  $GKdim(B) - GKdim(B/P) \ge htP$ .

The following result is not already known.

**Corollary 1.14.** Let k be of characteristic 0, g completely solvable, R noetherian g-locally finite g-simple with finite Gelfand-Kirillov dimension. Set  $B = R * g_m$ ,  $0 \le m \le n$  and let P be a g-prime ideal of B. Then we have GKdim(B) = GKdim(B/P) + ht(P).

**Corollary 1.15.** Let k be of characteristic 0, g completely solvable, R noetherian g-locally finite with finite Gelfand-Kirillov dimension,  $B = R*g_m$ ;  $0 \le m \le n$  and P a g-prime ideal of B. Suppose that conditions  $(C_1)$  and  $(C_2)$  are satisfied in  $spec_g(R)$ . Then g-ht(P) = ht(P).

*Proof.* Let h' be an ideal of g of codimension 1 in h. Assume that the property is true in  $B' = R \star h'$ . Set  $B = R \star h$  and  $P' = P \cap B'$ . So  $B = B' \star (h/h')$ ,  $B/P'B = (B'/P') \star (h/h')$ , g-ht(P') = ht(P') and (cf [4, Lemme 4.9]),

$$ht(P') = g - ht(P') \le g - ht(P'B) \le g - ht(P) \tag{**}$$

By Corollary 1.5, GKdim(B/P'B) = GKdim(B'/P') + 1 and GKdim(B) = GKdim(B') + 1, since B' and B'/P' are h/h'-locally finite. We deduce from Proposition 1.13 that ht(P'B) = ht(P').

If P = P'B, (\*\*) implies that  $ht(P) \leq g \cdot ht(P)$ , as claimed.

If  $P'B \subset P$  then ht(P') = ht(P'B) < ht(P). As B'/P' is a subalgebra of B/P, we have  $GKdim(B'/P') \leq GKdim(B/P)$ . On the other hand, Proposition 1.13 implies that  $ht(P) \leq ht(P') + 1$ . It follows that ht(P) = ht(P') + 1. By [4, Lemme 4.9], g- $ht(P) \geq g$ -ht(P'B) + 1. But g-ht(P'B) = ht(P'B) = ht(P'), so g- $ht(P) \geq ht(P') + 1 \geq ht(P)$  and the proof is complete.

## 2. When the action comes from an abelian group

In this section R is a k-algebra. Let G be a group and kG the group algebra of G. We suppose that G acts on R by k-algebra automorphisms. Denote by  $R \star G$  the crossed product of R by G. For any  $x \in G$ , we denote by  $\bar{x}$  the canonical image of x in  $R \star G$ . We recall that there exists a map  $t : G \times G \to U(R)$ ; (U(R) is the multiplicative group of unit elements of R) such that

$$\bar{x}\bar{y} = \overline{xy}t(x,y)$$

and  $\bar{x}r = x(r)\bar{x}$ . It follows that

$$x(\bar{y}) = \overline{x(y)}t(xy, x^{-1})t(x, x^{-1})^{-1}x(t(x, y))$$

where  $x(y) = xyx^{-1}$ .

If  $t(x, y) = 1 \quad \forall x, y \in G$  then R is a G-module,  $R \star G$  is usually denoted by R # G [12] and is the k-algebra generated by R and kG.

For any G-prime ideal P of R, G-ht(P) denotes the G-height of P. We denote by G-dim the G-invariant version of dim.

Let P be a G-prime ideal of R. Then P is semi-prime invariant and G permutes transitively the minimal prime ideals over P. It follows that ht(P) = ht(I) and GKdim(R/P) = GKdim(R/I), where I is any minimal prime ideal over P.

From now on G is a free abelian group of finite rank n with basis  $x_1, x_2, \ldots, x_n$ .

We denote by  $G_i$  the subgroup of G whose basis is  $x_1, x_2, \ldots, x_i$ . We will set  $R_i = R \star G_i$ ;  $0 \leq i \leq n$ . So we have  $R_0 = R$ ,  $R \star G_n = R \star G$  and  $R_i = R_{i-1}[\bar{x}_i, \bar{x}_i^{-1}, \phi_i]$ ; where  $\phi_i(r) = x_i(r) = \bar{x}_i r \bar{x}_i^{-1}$  for any  $r \in R_{i-1}$ . If *Imt* is contained in the center of R, the  $\phi_i$  are commuting automorphisms of R.

In the remainder of this section we suppose (except 2.7) that the image of t is contained in a field W which is a finite-dimensional G-stable subalgebra of R. This condition is satisfied if the image Imt of t is contained in k; in particular if Imt is identically 1.

## Lemma 2.1.

(1) If  $y \in G_i$ , then  $\bar{y}$  is a *G*-finite element of  $R_i$ .

(2) If R is G-locally finite, then  $R_i$  is G-locally finite.

*Proof.* (1) Since G is abelian we have

$$x(\bar{y}) = \bar{y}t(xy, x^{-1})t(x, x^{-1})^{-1}x(t(x, y)).$$

(Note in passing that we have also  $x(\bar{y}) = \bar{y}t(y, x^{-1})t(x, y)$ .) So  $x(\bar{y}) \in \bar{y}W$  and  $\bar{y}W$  is a finite-dimensional G-stable k-vector subspace of R.

(2) Suppose that  $R_{i-1}$  is G-locally finite. Let  $u \in R_{i-1}$ . Then  $g(u) \in V$  where V is a finitedimensional G-stable subspace of R and  $g(u\bar{x}_i) = g(u)g(\bar{x}_i) \in V\bar{x}_iW$ . Now it is easy to see that  $V\bar{x}_iW$  is a finite-dimensional G-stable subspace of  $R_i$ . Then  $R_i$  is G-locally finite.

**Lemma 2.2.** Let R be G-locally finite. Then  $GKdim(R_i) = GKdim(R_{i-1}) + 1$ ;  $1 \le i \le n$ .

*Proof.* By (2.1),  $R_i$  is G-locally finite. So  $R_i$  is  $\phi_{i+1}$ -locally finite. By [9, Proposition 1],  $GKdim(R_{i+1}) = GKdim(R_i) + 1.$ 

Let  $\lambda = (\lambda_{ij})$  be an  $n \times n$  matrix of nonzero elements of k such that  $\lambda_{ii} = 1$  and  $\lambda_{ji} = \lambda_{ij}^{-1}$ for  $1 \leq i, j \leq n$ . The multiparameter coordinate ring of quantum affine *n*-space is the kalgebra  $O_{\lambda}(k^n)$  generated by elements  $x_1, \ldots, x_n$  subject only to the relation  $x_i x_j = \lambda_{ij} x_j x_i$ for  $1 \leq i, j \leq n$ . Denote by  $P(\lambda)$  the localisation of  $O_{\lambda}(k^n)$  with respect to the multiplicative set generated by the  $x_i$ . The  $P(\lambda)$  are exactly the k-algebras which are crossed product  $k \star \mathbb{Z}^n$ of the field k by the group  $\mathbb{Z}^n$ . We deduce from (2.2) that the Gelfand-Kirillov dimension of  $R \otimes_k P(\lambda)$  is GKdim(R) + n, since  $R \otimes_k P(\lambda) \simeq R \star G$  where G acts trivially on R and Imt is contained in k.

Let P be a G-prime ideal of R. Then G permutes transitively the minimal prime ideals over P. A consequence of this fact is that ht(P) = ht(I) for any minimal prime I over P.

**Proposition 2.3.** Let R be noetherian G-locally finite with finite Gelfand-Kirillov dimension. Set  $A = R \star G$  and  $B = R \star G_m$ .

- (1) Let P be a G-prime ideal of B such that  $P \cap R = 0$ . Then  $ht(P) \leq m$ .
- (2) If R is G-simple, then G-dim $(B) \leq m$ .

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- (3) Suppose that  $P_0 \subset P_1 \subset \cdots \subset P_{m+1}$  is a strictly increasing chain of *G*-prime ideals of *B*, then  $P_0 \cap R \subset P_{m+1} \cap R$ .
- (4) Then G-dim(B) < (m+1)(G-dim(R) + 1).

Proof. (1) Since  $R = R/(P \cap R)$  is a subalgebra of B/P, we have  $GKdim(R) \leq GKdim(B/P)$ . Lemma 2.2 implies that  $GKdim(B) - GKdim(B/P) \leq m$ . Note that GKdim(B/P) = GKdim(B/I) for any minimal prime ideal I over P. By [8, Corollary 3.16],  $ht(I) \leq GKdim(B) - GKdim(B/I)$ . But ht(I) = ht(P); so  $ht(P) \leq m$ .

(2) By [7, Proposition 3.6, Corollaire 3.8], B is G-hypernormal and G-ht(P) = ht(P).

(3) and (4) Adapt the proof of Proposition 1.7 (1).

**Remarks 2.4.** (1) If G is abelian finitely generated, Chin [1, Theorem 3.9] established (2.3(3)) for m = n without the assumptions that R is noetherian G-locally finite of finite Gelfand-Kirillov dimension.

(2) If G is nilpotent finitely generated of Hirsch number n, Chin [1, Theorem 3.10] established (2.3(3)) for m = n and for a chain of prime ideals

$$P_0 \subset P_1 \subset \cdots \subset P_l$$

such that  $l \geq 2^n$  without the assumptions that R is noetherian G-locally finite with finite Gelfand-Kirillov dimension.

We say that condition  $(C_1)$  is satisfied in  $spec_G(R)$  if Tauvel's height formula is valid for all G-prime ideals of R.

By [7, Remarque 3.5(ii)], G- $ht(P) \leq ht(P)$  for any G-prime ideal P of R if R is commutative noetherian. Proposition 2.3(3) can be improved under additional hypothesis.

**Corollary 2.5.** Let R be commutative noetherian G-locally finite with finite Gelfand-Kirillov dimension. Set  $A = R \star G$  and  $B = R \star G_m$ . Suppose that condition  $(C_1)$  is satisfied in  $spec_G(R)$ .

- (1) Let P be a G-prime ideal of B, then  $G-ht(P) \leq ht(P) \leq ht(P \cap R) + m$ .
- (2) Then G-dim $(B) \leq dim(R) + m$ .

*Proof.* (1) Adapt the proof of Corollary 1.9.

**Lemma 2.6.** Let k be algebraically closed.

- (1) Assume that W is contained in the center of R. If I is a nonzero G-invariant ideal of R, then there exists in I a nonzero element u such that  $x(u) = \lambda_x u$  for any  $x \in G$ ; where  $\lambda_x \in k$ .
- (2) If R is commutative, then R is G-hypernormal.

*Proof.* (1) See the proof of [7, Lemme 4.12].

**Corollary 2.7.** Let k be algebraically closed, R commutative noetherian G-locally finite with finite Gelfand-Kirillov dimension. Set  $A = R \star G$  and  $B = R \star G_m$ . Suppose that condition  $(C_1)$  is satisfied in  $spec_G(R)$ .

- (1) Let P be a G-prime ideal of B, then G-ht(P)  $\leq G$ -ht(P  $\cap R$ ) + m.
- (2) Then  $G\text{-}dim(B) \leq G\text{-}dim(R) + m$ . In particular,  $dim(A) \leq G\text{-}dim(R) + n$ .

*Proof.* By Corollary 2.6(2), R is G-hypernormal. By [7, Corollaire 3.8], B is G-hypernormal. By [7, Proposition 3.6], G- $ht(P \cap R) = ht(P \cap R)$  and G-ht(P) = ht(P). The results follow from Corollary 2.5.

The following proposition and its two corollaries are generalizations of [7, Proposition 4.14, Corollaries 4.15, 4.16]

**Proposition 2.8.** Let k be algebraically closed, R commutative noetherian G-locally finite with finite Gelfand-Kirillov dimension. Set  $A = R \star G$  and  $B = R \star G_m$ ;  $0 \leq m \leq n$ . Suppose that condition  $(C_1)$  is satisfied in  $spec_G(R)$ . Then Tauvel's height formula holds in  $spec_G(B)$ .

*Proof.* The result follows from [7, Corollaire 4.5].

From Proposition 2.8, we get the two following corollaries.

**Corollary 2.9.** Let k be algebraically closed, R commutative, finitely generated, G-locally finite and G-prime with finite Gelfand-Kirillov dimension. Set  $A = R \star G$  and  $B = R \star G_m$ ;  $0 \leq m \leq n$ . Then Tauvel's height formula holds in  $spec_G(B)$ .

**Corollary 2.10.** Let k be algebraically closed, R commutative, noetherian, G-locally finite and G-simple with finite Gelfand-Kirillov dimension. Set  $A = R \star G$  and  $B = R \star G_m$ ;  $0 \leq m \leq n$ . Then Tauvel's height formula holds in  $spec_G(B)$ .

As last application of [7, Proposition 4.5], we get the following result which was proved for R = k in [3].

**Proposition 2.11.** Let R be noetherian hypernormal with finite Gelfand-Kirillov dimension. If Tauvel's height formula holds in R then it also holds in  $R \otimes_k P(\lambda)$ .

#### 3. When the action comes from an abelian monoid

Let  $S = R[x_1, \phi_1][x_2, \phi_2] \dots [x_n, \phi_n]$  be an iterated skew polynomial ring over k. For  $1 \le i \le n$  set  $S_i = R[x_1, \phi_1][x_2, \phi_2] \dots [x_i, \phi_i]$  with  $S_0 = R$ ,  $S_n = S$  and assume that the following conditions hold:

- (1) for  $1 \leq i \leq n$ ,  $\phi_i$  is a k-algebra automorphism of R,
- (2) for  $1 \leq i < j \leq n$ ,  $\phi_i$  is a k-algebra automorphism of  $S_i$ ,
- (3) for  $1 \le i < j \le n$ , there exists  $\lambda_{i,j} \in k^*$  such that  $\phi_j(x_i) = \lambda_{i,j} x_i$ .

Set  $\Phi_{i,j} = \{\phi_i, \phi_{i+1}, \dots, \phi_j\}$ ;  $1 \leq i < j \leq n$ . We say that R is  $\Phi_{i,j}$ -locally finite if each element of R is contained in a finite-dimensional  $\phi_l$ -stable subspace of R;  $l = i, i + 1, \dots, j$ .

**Lemma 3.1.** If R is  $\Phi_{1,n}$ -locally finite, then  $S_i$  is  $\Phi_{i+1,n}$ -locally finite.

**Proposition 3.2.** If R is  $\Phi_{1,n}$ -locally finite, then GKdim(S) = GKdim(R) + n.

**Corollary 3.3.** The Gelfand-Kirillov dimension of  $R \otimes_k O_{\lambda}(k^n)$  is GKdim(R) + n.

*Proof.*  $R \otimes_k O_{\lambda}(k^n) \simeq R[x_1, \phi_1][x_2, \phi_2] \dots [x_n, \phi_n]$  where each  $\phi_i$  acts trivially on R.

The result in Corollary (3.3) is known for R = k (see [3]).

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Received September 2, 2000