

DISTANCE BETWEEN DOMAINS IN THE SENSE OF LEHTO IS NOT A METRIC

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Abstract. In this paper we consider the question whether the quotient of the set of domains conformally equivalent to a halfplane by the group of Möbius transformations with distance in the sense of Lehto is a metric space. The answer is shown to be negative in the general case. However, restricted to analytic domains the question has an affirmative answer.

1. Introduction

Let \mathcal{D} denote the set of complex domains conformally equivalent to the upper half-plane $H = \{z \in \mathbf{C} : \operatorname{Im} z > 0\}$. Throughout this paper we shall denote elements of \mathcal{D} by $D, \tilde{D}, \tilde{\tilde{D}}$. The Poincaré density of the hyperbolic metric of D is defined by

$$\eta_D(z) = \frac{|\pi'_D(z)|}{\operatorname{Im} \pi_D(z)},$$

where $\pi_D: D \rightarrow H$ is a conformal mapping onto H .

The Schwarzian derivative, or Schwarzian, of a conformal mapping $f: D \rightarrow \tilde{D}$ is defined in D as the holomorphic function

$$S(f, z) = S_f(z) = \left(\frac{f''}{f'}\right)'(z) - \frac{1}{2}\left(\frac{f''}{f'}(z)\right)^2.$$

The following two properties of the Schwarzian derivative are well known:

- (1) $S_f \equiv 0$ if and only if f is a Möbius transformation.
- (2) Cayley's formula

$$S(g \circ f, z) = S(g, f(z))f'(z)^2 + S(f, z)$$

holds for conformal mappings $f: D \rightarrow \tilde{D}$ and $g: \tilde{D} \rightarrow \tilde{\tilde{D}}$.

Furthermore, if a weighted sup-norm is defined for functions φ holomorphic in D by

$$\|\varphi\|_D = \sup_{z \in D} |\varphi(z)| \eta_D(z)^{-2},$$

we have the identities (see e.g. [3])

$$(3) \quad \|S_{g \circ f^{-1}}\|_{\tilde{D}} = \|S_g - S_f\|_D, \quad \|S_f\|_D = \|S_{f^{-1}}\|_{\tilde{D}}.$$

Two domains D and \tilde{D} are said to be Möbius equivalent if there is a Möbius transformation of D onto \tilde{D} . We denote by Ω the quotient set of the set \mathscr{D} by the group of Möbius transformations. The Lehto distance between two domains D and \tilde{D} in \mathscr{D} is defined by

$$\delta(D, \tilde{D}) = \inf\{\|S_f\|_D : f: D \rightarrow \tilde{D} \text{ conformal}\}.$$

The above properties of the Schwarzian derivative imply that

$$\begin{aligned} \delta(D, \tilde{D}) &= \delta(\tilde{D}, D), \\ \delta(D, \tilde{\tilde{D}}) &\leq \delta(D, \tilde{D}) + \delta(\tilde{D}, \tilde{\tilde{D}}) \end{aligned}$$

for all domains D, \tilde{D} and $\tilde{\tilde{D}}$ in \mathscr{D} . Because $\delta(D, \tilde{D}) = 0$ for Möbius equivalent domains D and \tilde{D} , the Lehto distance δ defines a pseudometric in the quotient set Ω . We shall see in the sequel that for the subset of Ω consisting of domains with analytic boundaries the Lehto distance does, indeed, define a metric, but that for an arbitrary domain $D \in \mathscr{D}$, the equality $\delta(D, \tilde{D}) = 0$ does not imply the Möbius equivalence of D and \tilde{D} .

2. Reducing the problem to quadratic differentials

We can consider the space

$$Q = \{\varphi : \varphi \text{ holomorphic in } H, \|\varphi\|_H < \infty\}$$

as the space of quadratic differentials of bounded norm corresponding to the universal Teichmüller space $T(H)$; cf. [3]. If D is a domain in \mathscr{D} and $f: H \rightarrow D$ a conformal mapping of the upper half-plane H onto D , the Kraus–Nehari theorem implies the norm inequality $\|\varphi\|_H \leq \frac{3}{2}$. Thus every conformal mapping $f: H \rightarrow D$ of a domain $D \in \mathscr{D}$ determines a corresponding quadratic differential $\varphi = S_f \in Q$. For another domain $\tilde{D} \in \mathscr{D}$ and conformal mapping $\tilde{f}: H \rightarrow \tilde{D}$ we denote the respective quadratic differential by $\tilde{\varphi} = S_{\tilde{f}} \in Q$. The vanishing $\delta(D, \tilde{D}) = 0$ of the Lehto distance is equivalent to the existence of a sequence $g_n: D \rightarrow \tilde{D}$ of conformal mappings so that $\|S_{g_n}\|_D \rightarrow 0$ when $n \rightarrow \infty$. For each g_n there is a Möbius transformation $A: H \rightarrow H$ such that $g_n = \tilde{f} \circ A_n^{-1} \circ f^{-1}$. Now by (3) we have the identity $\|S_{g_n}\|_D = \|S_{\tilde{f}} - S_{f \circ A_n}\|_H$, and thus we get the following

Lemma 1A. *The vanishing $\delta(D, \tilde{D}) = 0$ of the Lehto distance between the domains $D, \tilde{D} \in \mathcal{D}$ is equivalent to the existence of a sequence of Möbius transformations $A_n: H \rightarrow H$ such that $\|\varphi - \varphi_n\|_H \rightarrow 0$ when $n \rightarrow \infty$, where the sequence of quadratic differentials $\varphi_n \in Q$ is defined by $\varphi_n(z) = \varphi(A_n z) A_n'(z)^2 = S_{f \circ A_n}$.*

Similarly we have for the Möbius equivalence of domains

Lemma 1B. *Two domains $D, \tilde{D} \in \mathcal{D}$ are Möbius equivalent, if and only if there exists a Möbius transformation $A: H \rightarrow H$ such that $\tilde{\varphi} = \varphi(Az) A'(z)^2$.*

For any quadratic differential $\varphi \in Q$ we define the subset $N(\varphi) \subset Q$ by

$$N(\varphi) = \{(\varphi \circ A) A'^2 : A: H \rightarrow H \text{ is a Möbius transformation}\}.$$

As an immediate consequence of Lemmas 1A and 1B we have

Lemma 1C. *Let $f: H \rightarrow D$ be a conformal mapping. The set of all $\tilde{D} \in \mathcal{D}$ which satisfy $\delta(D, \tilde{D}) = 0$ is equal to the set of domains Möbius equivalent to D if and only if $N(S_f)$ is closed in Q .*

Finally we prove

Lemma 1D. *(Ω, δ) is a metric space, if and only if $N(\varphi)$ is closed in Q for all $\varphi \in Q$.*

Proof. Should $N(\varphi)$ be closed in Q for each $\varphi \in Q$, then $\delta(D, \tilde{D}) = 0$ if and only if D and \tilde{D} are Möbius equivalent by Lemma 1C, so that (Ω, δ) would be a metric space. Conversely any $\varphi \in Q$ with $\|\varphi\|_H < \frac{1}{2}$ is the Schwarzian of a conformal mapping of the upper half-plane H by the Ahlfors–Weill theorem (cf. [2]). So should δ define a metric in Ω , then $N(\varphi)$ would by Lemma 1C be closed wherever $\|\varphi\|_H < \frac{1}{2}$, and thus actually for all $\varphi \in Q$. \square

3. A metric for analytic domains

A domain $D \in \mathcal{D}$ is analytic, if the boundary of D is an analytic curve, i.e. the image of a circle K under a conformal mapping defined in a neighbourhood of K . We denote by $C_0(H)$ the subspace of $C(H)$ consisting of continuous functions f vanishing on the boundary of H , and define a subspace Q_0 of Q by

$$Q_0 = \{\varphi \in Q : \varphi \eta_H^{-2} \in C_0(H)\}.$$

Lemma 2. *$S_f \in Q_0$ when $f: H \rightarrow D$ is a conformal mapping onto an analytic domain D .*

Proof. Let $M: H \rightarrow U$ be a Möbius transformation of H onto the unit disc U , and $g = f \circ M^{-1}: U \rightarrow D$. Because D is an analytic domain, the mapping g extends to a conformal mapping defined in a neighbourhood of the closed unit disk \bar{U} . Having a holomorphic extension into a neighbourhood of \bar{U} , the Schwarzian S_g remains bounded on \bar{U} , so that $\lim_{|w| \rightarrow 1} S_g(w) \eta_U^{-2}(w) = 0$. Thus we also have $\lim_{z \rightarrow r} S_f(w) \eta_H^{-2}(z) = 0$ for every boundary point $r \in \bar{\mathbf{R}}$ of H , so that the Schwarzian S_f belongs to Q_0 when D is an analytic domain. \square

Lemma 3. $N(\varphi)$ is closed in Q for every $\varphi \in Q_0$.

Proof. Let $\varphi \in Q_0$ and A_n a sequence of Möbius automorphisms of H such that $\varphi_n = (\varphi \circ A_n)A_n'^2 \rightarrow \tilde{\varphi}$ in Q when $n \rightarrow \infty$. By the compactness principle (e.g. [4]) we may suppose that the sequence A_n converges locally uniformly in H either to a Möbius automorphism $A: H \rightarrow H$, or to a constant $c \in \bar{\mathbf{R}}$. Should the sequence A_n converge to a constant function, we would have for all $z \in H$

$$\tilde{\varphi}(z) \eta_H(z)^{-2} = \lim_{n \rightarrow \infty} \varphi(A_n z) \eta_H(A_n z)^{-2} = 0$$

because $\varphi \in Q_0$. Thus $\tilde{\varphi}$ vanishes identically, and we have $\|\tilde{\varphi}\|_H = 0$. But $\varphi_n \rightarrow \tilde{\varphi}$ in Q , and as $\|\varphi_n\|_H = \|\varphi\|_H$ for all n , and we have $\varphi = \tilde{\varphi} = 0 \in Q_0$, so that $\tilde{\varphi} = \varphi \in N(\varphi)$. When the sequence A_n converges to a Möbius automorphism A , we have $\tilde{\varphi} = (\varphi \circ A)A'^2$, which obviously belongs to $N(\varphi)$. Thus $N(\varphi)$ is a closed subset of Q for all $\varphi \in Q_0$. \square

Denoting by Ω_A the quotient of the set of analytic domains by the group of Möbius transformations we get as an immediate consequence of Lemmas 2, 3 and 1D.

Theorem 1. (Ω_A, δ) is a metric space.

4. (Ω, δ) is not a metric space

We are going to give here three slightly different examples of quadratic differentials $\varphi \in Q$ for which $N(\varphi)$ is not closed in Q . To do this we construct a quadratic differential $\varphi \in Q$ and determine a sequence of Möbius transformations $A_n: H \rightarrow H$ so that the sequence $\varphi_n = (\varphi \circ A_n)A_n'^2$ converges in Q towards a quadratic differential $\tilde{\varphi}$ not in $N(\varphi)$. Particularly, if we choose φ so that $\|\varphi\|_H < \frac{1}{2}$, then by the Ahlfors–Weill theorem there are conformal mappings $f: H \rightarrow D$ and $\tilde{f}: H \rightarrow \tilde{D}$ with $S_f = \varphi$, $S_{\tilde{f}} = \tilde{\varphi}$, so that we have $\delta(D, \tilde{D}) = 0$ for the image domains D and \tilde{D} , although D and \tilde{D} are not Möbius equivalent.

To begin with let us note that for all $a > 0$ the function e^{iaz} is in Q with the norm $\|e^{iaz}\|_H = (2/ae)^2$.

Example 1. Let $\varphi(z) = e^{2\pi iz} + e^{iz}$, $\tilde{\varphi}(z) = e^{2\pi iz} - e^{iz}$ and $A_k(z) = z + n_k$, where $n_k = 2\pi m_k + \pi + o(1)$ when $k \rightarrow \infty$ ($n_k, m_k \in \mathbf{N}$). To establish the existence of such a sequence n_k we notice that the convergents $P_s/Q_s, P_s, Q_s \in \mathbf{N}$, of the continued fraction expansion of π satisfy

$$|\pi - P_s/Q_s| < \frac{1}{Q_s Q_{s+1}} < \frac{1}{Q_s^2}, \quad P_s Q_{s-1} - Q_s P_{s-1} = (-1)^s$$

with a strictly increasing sequence of denominators Q_s (see e.g. [5]). Thus by choosing an appropriate subsequence we have $P_{s_k} = n_k$, $Q_{s_k} = 2m_k + 1$ with n_k, m_k satisfying the above conditions.

It is not difficult to see that $(\varphi \circ A_k)A_k'^2 \rightarrow \tilde{\varphi}$ in Q . It remains to be shown that

$$(4) \quad \tilde{\varphi} = (\varphi \circ A)A'^2$$

holds for no Möbius transformation $A: H \rightarrow H$. Now φ has zeros at $z_k = (2k+1)\pi/(2\pi-1)$ and $\tilde{\varphi}$ at $\tilde{z}_k = 2k\pi/(2\pi-1)$, $k \in \mathbf{Z}$. So should (4) hold for a Möbius transformation, then A would be a translation by an odd integral multiple of $\pi/2\pi-1$. Now for any $c \in \mathbf{R}$ the coefficients of $e^{2\pi iz}$ and e^{iz} in the expansion

$$\varphi(z+c) = e^{2\pi ic} e^{2\pi iz} + e^{ic} e^{iz}$$

are uniquely determined. However, the equations $e^{2\pi ic} = 1$ and $e^{ic} = -1$ cannot be simultaneously satisfied for any c , so that $\tilde{\varphi} \neq (\varphi \circ A)A'^2$ holds for all Möbius automorphisms A of the upper half-plane H .

Example 2. Suppose that the sequence λ_k satisfies $\sum_{k=1}^{\infty} 3^{2k} e^{-2\pi} \pi^{-2} |\lambda_k| < +\infty$ and that $\lambda_k \neq 0$ for infinitely many $k \in \mathbf{N}$. We define φ and $\tilde{\varphi}$ by

$$\begin{aligned} \varphi(z) &= \frac{e^{i\pi z}}{(1 - e^{i\pi z})^2} + \sum_{k=1}^{\infty} \lambda_k e^{2i\pi z/3^k}, \\ \tilde{\varphi}(z) &= \frac{-e^{i\pi z}}{(1 + e^{i\pi z})^2} + \sum_{k=1}^{\infty} \lambda_k e^{2i\pi z/3^k}. \end{aligned}$$

Since $\|e^{i\pi z}/(1 - e^{i\pi z})^2\|_H = 1/\pi^2$ and $\|e^{2i\pi z/3^k}\|_H = 3^{2k}/e^2\pi^2$, we conclude that $\varphi, \tilde{\varphi} \in Q$. Setting $A_n(z) = z + 3^n$ it is easy to see that $(\varphi \circ A_n)A_n'^2 \rightarrow \tilde{\varphi}$ in Q . It remains to be shown that $\tilde{\varphi} \notin N(\varphi)$, i.e., that (4) cannot hold for any Möbius automorphism A of H . Now we notice that the limit

$$\lim_{z \rightarrow r} \varphi(z) \eta_H^{-2}(z)$$

is 0 for all $r \in \mathbf{R} \setminus 2\mathbf{Z}$ and does not exist if $r \in 2\mathbf{Z}$. Similarly, the expression $\tilde{\varphi}(z)\eta_H^{-2}(z)$ equals 0 at all boundary points $r \in \mathbf{R}$ except for the set $2\mathbf{Z}+1$ of odd integers. Thus a Möbius transformation A satisfying (4) would be a translation by an odd integer. But in the expansion

$$\varphi(z+2l+1) - \tilde{\varphi}(z) = \sum_{k=1}^{\infty} \lambda_k (e^{2i\pi(2l+1)/3^k} - 1) e^{2i\pi z/3^k}$$

the coefficients of $e^{i\pi z/3^k}$ are uniquely determined, so that $\varphi(z+2l+1) = \tilde{\varphi}(z)$ cannot hold for any $2l+1 \in \mathbf{Z}$.

Example 3. We now define φ and $\tilde{\varphi}$ by

$$\begin{aligned} \varphi(z) &= (e^{2\pi iz} - e^{-\pi}) \left(\sum_{k=1}^{\infty} \frac{1}{10^k} e^{\pi iz/2^k} \right), \\ \tilde{\varphi}(z) &= (e^{2\pi iz} - e^{-\pi}) \left(\sum_{k=1}^{\infty} \frac{1}{10^k} e^{\pi i/2^k (z+(4^k-1)/3)} \right), \end{aligned}$$

and set $A_n(z) = z + \frac{1}{3}(4^n - 1)$. Since $\|e^{\pi iz/2^k}\|_H = 4^{k+1}/e^2\pi^2$, the series

$$s(z) = \sum_{k=1}^{\infty} \frac{1}{10^k} e^{\pi iz/2^k}$$

converges in Q . Because $e^{2\pi iz} - e^{-\pi}$ is bounded in H , both functions φ and $\tilde{\varphi}$ are in Q , and it is not difficult to see that $(\varphi \circ A_n)A_n'^2 \rightarrow \tilde{\varphi}$ in Q .

For $\text{Im}(z) \leq 1$ we have

$$\left| \frac{1}{10^k} e^{\pi iz/2^k} \right| > 2 \left| \frac{1}{10^{k+1}} e^{\pi iz/2^{k+1}} \right|,$$

so that the series $s(z)$ has no zeros with $\text{Im}(z) < 1$. Since all zeros of $e^{2\pi iz} - e^{-\pi}$ are lying on the line $\text{Im}(z) = \frac{1}{2}$, we see that the functions φ and $\tilde{\varphi}$ do not have any zeros in the horizontal strip $\{z \in H : \text{Im}(z) < \frac{1}{2}\}$. On the other hand, the functions φ and $\tilde{\varphi}$ have zeros on the line $\text{Im}(z) = \frac{1}{2}$ at the points $\frac{1}{2}i + l$ with $l \in \mathbf{Z}$. We conclude that a Möbius automorphism of H satisfying $\tilde{\varphi} = (\varphi \circ A)A'^2$ would be a translation by an integer. Now for any $l \in \mathbf{Z}$ we have an expansion

$$\varphi(z+l) - \tilde{\varphi}(z) = (e^{2\pi iz} - e^{-\pi}) \sum_{k=1}^{\infty} c_n e^{\pi iz/2^k}$$

with uniquely determined coefficients c_n . Hence, for $\varphi(z+l) - \tilde{\varphi}(z)$ to vanish identically we should have

$$l \equiv \frac{1}{3}(4^k - 1) = 1 + 4 + \dots + 4^{k-1} \pmod{2^{k+1}}$$

for every $k = 1, 2, \dots$. This is obviously impossible for any fixed $l \in \mathbf{Z}$, so that $\tilde{\varphi} \neq (\varphi \circ A)A'^2$ for all Möbius transformations A .

By Lemma 1D and the above three counterexamples we get thus the following theorem.

Theorem 2. (Ω, δ) is not a metric space.

5. Further results and discussion

Having shown in the previous section that $N(\varphi)$ is not closed for some $\varphi \in Q$ we now ask how large the set of such φ actually is.

Theorem 3. The set of quadratic differentials $\varphi \in Q$ with $N(\varphi)$ not closed is nowhere dense in Q .

Proof. We proved above that $N(\varphi)$ is not closed in Q if and only if there is a sequence of Möbius transformations A_n of H such that $(\varphi \circ A_n)A_n'^2 \rightarrow \tilde{\varphi}$ and $A_n \rightarrow c \in \bar{\mathbf{R}}$. We shall show that this is possible only for quadratic differentials φ in a nowhere dense subset of Q .

Let $\Psi_0 \in Q$ be an arbitrary quadratic differential. We shall show that within any ball $B \subset Q$ of radius $\varepsilon > 0$ centered at Ψ_0 there is a ball B' of radius $\frac{1}{4}\varepsilon$ such that $N(\varphi)$ is closed for every $\varphi \in B'$. First choose a quadratic differential $\Phi_0 \in Q_0$ with $\|\Phi_0\|_H = 1$ and a point $z_0 \in H$ such that $|\Psi_0(z_0)|\eta_H(z_0)^{-2} > \|\Psi_0\|_H - \frac{1}{4}\varepsilon$, and further a point $z_1 \in H$ with $|\Phi_0(z_1)|\eta_H(z_1)^{-2}$ sufficiently close to 1 and a Möbius transformation $A: H \rightarrow H$ mapping z_0 to z_1 so that

$$\left| \frac{3}{4}\varepsilon\Phi_0(Az_0)A'(z_0)^2e^{i\theta} + \Psi_0(z_0) \right| \eta_H(z_0)^{-2} > \|\Psi_0\|_H + \frac{1}{2}\varepsilon.$$

Thus $\|\Psi_0 + \Phi\|_H > \|\Psi_0\|_H + \frac{1}{2}\varepsilon$ when Φ is defined by

$$\Phi = \frac{3}{4}\varepsilon(\Phi_0 \circ A)A'^2e^{i\theta}.$$

For any $\|\Phi_1\|_H < \frac{1}{4}\varepsilon$, the quadratic differential $\varphi = (\Psi_0 + \Phi) + \Phi_1$ is contained in the ball B of radius ε centered at Ψ_0 with a norm satisfying $\|\varphi\|_H > \|\Psi_0\|_H + \frac{1}{4}\varepsilon$. Because Φ , too, belongs to the subspace Q_0 , we have $(\Phi \circ A_n)A_n'^2 \rightarrow 0$ in H for any sequence A_n of Möbius automorphisms of H converging to a constant. Assuming that $(\varphi \circ A_n)A_n'^2 \rightarrow \tilde{\varphi} \in Q$ when $n \rightarrow +\infty$ we would thus also have $((\Psi_0 + \Phi_1) \circ A_n)A_n'^2 \rightarrow \tilde{\varphi}$ in H as $n \rightarrow +\infty$. But $\|\tilde{\varphi}\|_H = \|\varphi\|_H > \|\Psi_0\|_H + \frac{1}{4}\varepsilon$, so that for some $z \in H$ and $n > n_0$ we would have

$$|(\Psi_0 + \Phi_1)(A_n z)A_n'(z)^2| \eta_H(z)^{-2} = |(\Psi_0 + \Phi_1)(A_n z)| \eta_H(A_n(z))^{-2} > \|\Psi_0\|_H + \frac{1}{4}\varepsilon,$$

contradicting the inequality $\|\Psi_0 + \Phi_1\|_H < \|\Psi_0\|_H + \frac{1}{4}\varepsilon$. \square

Let us finally discuss the background of our examples, especially Examples 3 and 2.

Let Γ_j be a decreasing sequence of Fuchsian groups and denote by $Q(\Gamma_j)$ the increasing sequence of subspaces of Q consisting of all quadratic differentials φ satisfying $\varphi = (\varphi \circ A)A'^2$ for all $A \in \Gamma_j$. Let $P = \bigcup_{j=1}^{\infty} Q(\Gamma_j)$. Consider now sequences A_n of Möbius transformations such that for every j , the transformations A_n are eventually in the same right coset of the group Γ_j . Thus for every j there is N_j such that $(\varphi \circ A_m)A'_m{}^2 = (\varphi \circ A_n)A'_n{}^2$ for all $\varphi \in Q(\Gamma_j)$ whenever $n, m > N_j$. Such a sequence A_n obviously induces a mapping $F: P \rightarrow Q$ when $F(\varphi)$ is defined by $F(\varphi) = \lim_{n \rightarrow \infty} (\varphi \circ A_n)A'_n{}^2 \in Q$. Any fixed Möbius transformation $A: H \rightarrow H$ determines a mapping $F_A: P \rightarrow Q$, $\tilde{\varphi}_A(\varphi) = (\varphi \circ A)A'^2$ corresponding to the constant sequence $A_n = A$.

If $P \neq \bigcup_{j=1}^{\infty} Q(\Gamma_j)$, there can be mappings F which are not equal to F_A for any Möbius transformation A . This can be clearly seen from Example 3. There Γ_j is the group of translations by integral multiples of 2^j , and the mapping F corresponding to the sequence A_n acts as if it were a “translation” by the 2-adic number $1+4+4^2+\dots$. We could choose any other 2-adic number and “translate” any element of P , which is here the smallest closed subspace of Q containing all functions $e^{m\pi iz/2^n}$, $m, n \in \mathbf{N}$.

We end this paper by posing the natural problem of characterizing all $\varphi \in Q$ such that $N(\varphi)$ is closed in Q .

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